Louisville / Jefferson County Metro Government

Consolidated Annual Performance and Evaluation Report

CAPER

Program Year 2014

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Louisville / Jefferson County Metro Government

Greg Fischer
Mayor

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2015

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PROGRAM YEAR 2014 CAPER

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DEVELOP LOUISVILLE

LOUISVILLE FORWARD

Fifth Program Year CAPER

The CPMP Fifth Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

General Questions

Assessment of Program Year Goals and Objectives

Louisville Metro Government receives annual grants on a formula basis to carry out a wide range of activities geared toward housing rehabilitation and development, public improvements, economic development, public services, maintaining and improving neighborhoods, and homeless support. The five-year Consolidated Plan is Louisville Metro's comprehensive planning document and application for funding from four formula grant programs administered by the U.S. Department of Housing and Urban Development's (HUD) Office of Community Planning and Development: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons With AIDS (HOPWA). Louisville Metro submitted the 2010 – 2014 Louisville/Jefferson County Metro Government Five-Year Consolidated Plan (Consolidated Plan) in 2010.

In addition to preparing a five-year strategic plan, Louisville Metro Government is required to submit an annual Action Plan before the start of each Program Year. The Action Plan describes the resources available, the programs/projects to be funded, and the proposed accomplishments for the Program Year.

Louisville Metro is required to provide an assessment of its accomplishments utilizing CDBG, HOME, ESG, and HOPWA entitlement funds at the end of each program year. This Consolidated Annual Performance and Evaluation Report (CAPER) summarizes the activities carried out under the final Action Plan for Program Year 2014, which covered the period from July 1, 2014 to June 30, 2015.

Entitlement allocations for Program Year 2014 included: CDBG funds of $10,401,455, HOME funds of $2,541,514, ESG funds of $848,884, and HOPWA funds of $572,269. Estimates of carry-forward funds and program income in the final Action Plan included: estimated CDBG program income of $400,000 and carry-forward/reallocated funds of $97,748; and estimated HOME program income of $300,000 and carry-forward/reallocated funds of -$108,105. Actual program income received totaled $580,574 for CDBG and $485,174 for HOME.

Total funds drawn in Program Year 2014 for each entitlement fund, regardless of the program year in which projects or activities were funded, are as follows: CDBG - $12,655,514.74; HOME - $3,821,933.64; ESG - $925,006.82; and HOPWA - $696,672.71.

Develop Louisville was the lead agency for Louisville Metro Government's entitlement grant activities during Program Year 2014. Develop Louisville was created in a departmental reorganization within Louisville Metro Government that went into effect on July 1, 2014. The previous lead agency for Louisville Metro's entitlement grant activities was the Louisville Metro Department of Community Services and Revitalization, which was split during the reorganization into Develop Louisville's Office of Housing and Community Development and the Department of Community Services. While Develop Louisville serves as the lead agency, the Department of Community Services is primarily responsible for the implementation of ESG and HOPWA programs, as well as some CDBG programs.

The CAPER contains narrative statements as well as required financial reports produced through the Integrated Disbursement and Information System (IDIS). IDIS is a comprehensive database that tracks accomplishments and expenditures for each program/project. The following IDIS reports are included as attachments: PR-01 HUD Grants and Program Income Report; PR03 – CDBG Activity Summary Report; PR23 – CDBG Summary of Accomplishments; PR23 – HOME Summary of Accomplishments; PR26 – CDBG Financial Summary Report; PR-33 – HOME Matching Liability Report; CR-60 – Subrecipient Information (for ESG); CR-65 – ESG Persons Assisted; CR-70 – ESG Assistance Provided; CR-75 – ESG Expenditures.

The Consolidated Plan identified five priority need categories and their respective goals as follows:

- **Goal 1: Housing** – Louisville Metro residents have a range of choices for safe, decent, secure, and affordable housing.
- **Goal 2: Economic Development –** Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.
- **Goal 3: Community Development** – Louisville Metro fosters a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.
- **Goal 4: Homelessness** – Reduce the incidence of homelessness in the Louisville Metro community.
- **Goal 5: Special Needs/HOPWA** – Address the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families.

The 2014 Action Plan included programs/projects to address each of these goals. Progress toward Metro's **housing** goal was made during Program Year 2014 through CDBG and HOME-funded programs geared toward homeownership assistance, education, and counseling, housing rehabilitation, and affordable housing development. Through these various programs, 26 households received down payment assistance (including 13 purchasers of CHDO-developed homes and an additional 13 households through the metro-wide Down Payment Assistance program), 3,459 persons received education and counseling services, 347 households were provided with home repairs and rehabilitation, and five Affordable Housing Development Program projects (including 59 HOME-funded units) were in progress. During Program Year 2014, 21% of CDBG funds drawn (including program income) and 82.2% of HOME funds drawn (including program income) were expended on housing programs.

Progress toward Metro's **economic development** goal was made during Program Year 2014 through the Microenterprise Development program, a Portland NRSA business loan, and the Louisville Economic Development Corridors of Opportunity in Louisville (COOL) program. The Microenterprise Development program resulted in 16 full-time jobs and two part-time jobs created and 20 full-time jobs retained for low-to-moderate income individuals. Additionally, in Program Year 2014, a Portland NRSA economic development loan was made to Kentucky Peerless Distilling Co., LLC, for $225,000. Peerless plans to use the funds to purchase equipment for a new distillery located in the Portland neighborhood that will create 15 full-time jobs. The COOL Program supported preliminary engineering and design work to support intersection and corridor improvements between Industry Road and Central Avenue along Fourth Street (the University Corridor), and also funded design work for streetscape improvements in the Oak Street corridor in Old Louisville. During Program Year 2014, 7.9% of CDBG funds drawn were expended on economic development programs.

Progress toward Metro's **community development** goal was made during Program Year 2014 through many CDBG-funded programs geared toward public improvements, public services, and maintaining and improving neighborhoods. In Program Year 2014: 69 blighted structures were demolished; 26,194 housing inspections were conducted; improvements were completed at two Metro Parks Community Centers and additional funds were allocated to improve six others. Progress was made on renovations at two eligible public facilities, the Portland Museum and Louisville Central Community Center's Family Strengthening Center. The Family Economic Success program provided 2,519 individuals with skills necessary to move toward self-sufficiency through referrals and case management. Additional prior-year funds were expended on infrastructure improvements at the Sheppard Square HOPE VI redevelopment site and at the Park Springs development. During Program Year 2014, 49.1% of CDBG funds drawn were expended on community development programs.

Progress was made toward Metro's **homelessness** goal during Program Year 2014 through the provision of case management, supportive services, transitional housing, and services to the chronically homeless through CDBG, HOME, ESG, and HOPWA funded programs. These programs were geared toward tenant based rental assistance (TBRA), short-term rent, mortgage and utility assistance (STRMU), rapid re-housing, and supportive services to shelters assisting in prevention, operations, and essential services. In Program Year 2014, 76 households received HOME TBRA, 31 households received HOPWA TBRA, 144 households received HOPWA STRMU assistance, 8,012 homeless persons used CDBG supportive services, and 12 programs at 10 non-profits (plus one administered through the Department of Community Services) received ESG assistance for street outreach, prevention, shelter operations, and/or essential services. During Program Year 2014, 8.7% of CDBG funds expended (including program income), 13% of HOME funds drawn (including program income), 94.7% of ESG funds drawn, and 22.9% of HOPWA funds drawn were expended on programs focused on homelessness.

Progress toward Metro's **special needs/HOPWA** goal was made during Program Year 2014 through the various HOPWA-funded programs and through the Metro Ramps program administered by the Center for Accessible Living. During Program Year 2014, 31 households received HOPWA TBRA, 144 households received HOPWA STRMU assistance, and 1,911 persons received HOPWA supportive services. 89.2% of HOPWA funds drawn were expended on these programs. During Program Year 2014, 10.8% of HOPWA funds drawn were spent on administrative activities (including grantee administration and project sponsor administration). Additionally, the Metro Ramps program served 115 households with members with disabilities.

Louisville Metro Government has continued to review its programs and incorporated changes as needed throughout the fifth year of the 2010 – 2014 Consolidated Plan. The Self Evaluation section includes discussion more specific to Program Year 2014.

Breakdown of CPD Formula Grant Funds

The following tables provide a breakdown of the grant funds spent on grant activities for each of the goals identified in the Consolidated Plan. Please note that the values in the amount expended column represent total funds drawn in Program Year 2014 regardless of the year in which a program/activity was funded. It should also be noted that the amounts expended below include $400,000 in Louisville Metro Government General Funds, which were expended on CDBG-eligible programs in order to adjust for ineligible CDBG expenditures from a previous year.

CPD FORMULA GRANTS
FUNDS APPLIED TO HOUSING GOALS

Program	Funding Source	Amount Expended in 2014
Residential Programs Delivery	CDBG	$647,162.64
Emergency Repair	CDBG	$650,637.98
Rental Rehabilitation	CDBG	$380,227.82
Weatherization	CDBG	$34,774.00
Portland NRSA Home Rehab	CDBG	$386,830.78
Shawnee NRSA Home Rehab	CDBG	$440,594.29
CHDO Activities (including homebuyer assistance)	HOME	$1,465,072.73
Metro-Wide Down Payment Assistance Program	HOME	$217,659.00
Affordable Housing Development Program	HOME	$1,459,772.56
Fair Housing Activities (including Analysis of Impediments)	CDBG	$82,618.85
Housing Counseling	CDBG	$91,829.01
TOTAL		**$5,857,179.66**

CPD FORMULA GRANTS
FUNDS APPLIED TO ECONOMIC DEVELOPMENT GOALS

Program	Funding Source	Amount Expended in 2014
Microenterprise Development Program	CDBG	$402,433.63
"COOL" Program	CDBG	$433,357.13
Portland NRSA Economic Development	CDBG	$200,000.00
TOTAL		**$1,035,790.76**

CPD FORMULA GRANTS
FUNDS APPLIED TO COMMUNITY DEVELOPMENT GOALS

Program	Funding Source	Amount Expended in 2014
HOPE VI	CDBG	$2,735,304.71
Code Enforcement	CDBG	$924,585.33
Family Economic Success Program	CDBG	$308,231.57
Community Centers	CDBG	$223,237.30
Nonprofit Public Facilities Improvements	CDBG	$408,279.40
Out of School Time	CDBG	$23,630.70
Vacant Properties Demolition	CDBG	$616,028.62
ADA Access Improvements	CDBG	$6,665.80
Parks	CDBG	$18,326.36
Park Springs Infrastructure	CDBG	$935,782.84
Relocation	CDBG	$2,000.00
Richmont Terrace Acquisition/Clearance	CDBG	$121,988.53
TOTAL		**$6,324,061.16**

CPD FORMULA GRANTS
FUNDS APPLIED TO HOMELESSNESS GOALS

Program	Funding Source	Amount Expended in 2014
HOME TBRA	HOME	$496,195.42
Emergency Shelter	ESG	$426,539.13
Prevention	ESG	$83,027.00
Street Outreach	ESG	$97,899.91
Rapid Rehousing	ESG	$268,587.46
Homeless Services	CDBG	$1,128,782.83
TOTAL		**$2,501,031.75**

CPD FORMULA GRANTS
FUNDS APPLIED TO SPECIAL NEEDS/HOPWA GOALS

Program	Funding Source	Amount Expended in 2014
HOPWA STRMU	HOPWA	$131,968.07
HOPWA TBRA	HOPWA	$159,771.80
HOPWA Supportive Services	HOPWA	$329,485.04
Ramp and Barrier Removal	CDBG	$170,955.40
TOTAL		**$792,180.31**

Affirmatively Furthering Fair Housing

Louisville Metro Government continues to Affirmatively Further Fair Housing (AFFH). Access to housing, via rental or homeownership, is fundamental to meeting the essential needs of individuals and families. Concerns about fair housing go beyond discrimination in the rental and sales markets, however; these concerns include almost all facets of housing transactions, such as financing, insurance coverage, and other issues related to occupancy. Fair housing is also correlated to the development of affordable housing throughout the community to ensure that individuals have a choice in where they live. In support of AFFH, Develop Louisville's Office of Housing & Community Development works in tandem with another department of Louisville Metro Government, the Louisville Metro Human Relations Commission (http://louisvilleky.gov/government/human-relations-commission), a HUD-certified Fair Housing Assistance Program (FHAP) agency.

Analysis of Impediments to Fair Housing/Assessment of Fair Housing

Central to Louisville Metro Government's commitment to AFFH, the Human Relations Commission used 2012-2013 HUD-FHAP Partnership Grant funds to help generate the 2013 publication of *Making Louisville Home for Us All: A 20 Year Action Plan for Fair Housing* ("Plan," http://tinyurl.com/qjfnz5n). The Plan, prepared by the University of Louisville's Anne Braden Institute for Social Justice Research (http://anne-braden.org) and the Metropolitan Housing Coalition (http://www.metropolitanhousing.org), examines the history of housing policies and practices in Louisville Metro; recommends action steps that can reverse harmful effects from past housing discrimination and segregation; and to AFFH, all over the next twenty (20) years. This extensive Plan has been shown by HUD to be a model best practice for AFFH, such as in *Evidence Matters* Spring-Summer 2014 edition (http://tinyurl.com/lt6t3f3). Louisville Metro Government hired multiple employees during the 2014-2015 fiscal year to specifically implement the Plan, which has brought together data and initiatives among public, private, and non-profit housing sectors to fulfill the missions of the Plan.

For the Human Relations Commission's 2013-14 HUD-FHAP Partnership Grant award, which carried over into the 2014-2015 fiscal year, the Human Relations Commission, Housing & Community Development, and the Louisville Metro Housing Authority (http://www.lmha1.org) worked together to select subcontractors to best allocate a portion of the funding in a Request for Proposals (RFP); all those who responded to the RFP, including a local HUD-certified Fair Housing Initiatives Program agency, the Lexington Fair Housing Council (http://lexingtonfairhousing.com), were selected for assignments to supplement the Human Relations Commission's work in AFFH under the bulk of the Partnership Grant terms. The collaborative effect with multiple Louisville Metro Government associated agencies, as well as with the varied subcontractors, allows for a multi-pronged approach to research and implement AFFH. Partnership Fund subcontractor final deliverables are forthcoming, which will include the results of community surveys on fair housing; housing discrimination testing in Louisville Metro; and publications on fair housing rights and responsibilities for the general public.

Louisville Metro Government submitted an updated Analysis of Impediments to Fair Housing Choice, prepared by Metropolitan Housing Coalition, with its 2015-2019 Consolidated Plan and 2015 Action Plan. These documents were submitted to HUD on May 15, 2015 and subsequently approved on July 22, 2015.

Housing & Community Development and Human Relations Commission staff also continue to review and plan AFFH in coordination with HUD's now-Final Rule on the Assessment of Fair

Housing (http://www.huduser.org/portal/affht_pt.html#final-rule), which replaces the Analysis of Impediments obligation for entities receiving federal funding. Housing & Community Development and Human Relations Commission staff have studied the Final Rule and will fully comply with and implement the Assessment of Fair Housing, providing applicable reporting on such when requested by HUD.

The Affordable Housing Trust Fund

Louisville Metro Government's Affordable Housing Trust Fund (http://tinyurl.com/ox73vjd) was created by Louisville Metro Council in 2008 as the way for Louisville Metro Government to invest additional local public funds to address the affordable housing shortage. The Affordable Housing Trust Fund seeks to provide for working families whose wages are not enough to live in Louisville Metro; for people on fixed incomes, including seniors and people with serious disabilities; for young families starting out; and for military veterans. The Affordable Housing Trust Fund facilitates the development and rehabilitation of decent, affordable housing by making grants and loans, providing technical support, and enabling builders and developers to construct affordable housing with less financial risk.

The Affordable Housing Trust Fund's current priorities include:

- Making existing homes in Louisville Metro affordable and sustainable through energy-efficient rehabilitation of housing and the inclusion of green building principles;
- Developing affordable rental housing for the lowest-income families;
- Creating additional affordable homes near places of employment and in neighborhoods that need workforce housing;
- Increasing homeownership opportunities through home buyer education, down payment assistance, reducing the cost of construction or rehab of single family homes, and assistance connecting homeowners to low-interest, no-interest, or forgivable partial loans;
- Preventing Louisville residents from losing existing otherwise-affordable homes through foreclosure prevention, supportive housing services, and accessible rehabilitation;
- Promoting housing choice communitywide in Louisville Metro

Recently, the Affordable Housing Trust Fund's HOMEBuyer program allocated $963,500 to Habitat for Humanity of Metro Louisville, River City Housing, and the Housing Partnership Inc. to provide 29 safe and affordable homes to low-income first-time homebuyers in Louisville Metro.

The Affordable Housing Trust Fund in 2012 noted that "minority households, who have lower rates of home ownership already, are at increased risk of foreclosure," taking into account race and national origin in its study of Louisville Metro.

The Louisville Metro Human Relations Commission

As a FHAP agency, the Human Relations Commission enforces protections under local ordinance and, where applicable, the substantially-equivalent federal Fair Housing Act, as amended, based on race, color, religion, national origin, sex, familial status, disability, sexual orientation, and gender identity in coordinated efforts with HUD. The Human Relations Commission has continuously worked to reduce barriers to fair housing in Louisville Metro since the 1960s. Human Relations Commission staff has continued to

participate in, and be certified by, HUD's National Fair Housing Training Academy. Human Relations Commission staff regularly participates in HUD-FHAP conference calls, annual HUD Policy Conferences, and in National Fair Housing Alliance webinars to discuss partnership in fair housing enforcement, education & outreach, and enforcement strategy.

The Human Relations Commission has continued to promote public education and outreach campaign initiatives on fair housing, including conducting trainings for public and private entities; hosting fair housing complaint informational initiatives; and disseminating detailed fair housing brochures and magnets in nine different languages spoken in Louisville Metro. The goal has been to increase public awareness of fair housing laws and to educate the public about how to effectively fight discriminatory practices in housing. To help accomplish this goal, the Human Relations Commission expanded its existing outreach and education campaign; and the Human Relations Commission continued incorporating fair housing testing results, funded significantly by Community Development Block Grant (CDBG) funds, into housing discrimination complaint investigations for bona fide Complainants.

Human Relations Commission staff has continued to host conferences and forums; to participate in Fair Housing Coalition meetings facilitated by the Metropolitan Housing Coalition; to work Louisville Metro Housing Authority public housing tenant association fairs; and to participate in various protected class fair housing forums in order to actively promote fair housing in Louisville Metro. Human Relations Commission staff also attends regular protected-class advocacy meetings to discuss fair housing with its members, and staff actively seeks to link fair housing to employment, transportation, and other associated-issue industries in Louisville Metro.

For National Fair Housing Month in April 2015, the Human Relations Commission co-sponsored, planned, and presented on fair housing with the University of Louisville's Kent School of Social Work at the "Fair Housing Today" conference. The plenary session opening remarks were made by HUD Louisville Program Office Director Christopher D. Taylor, and the conference offered continuing education credit for social workers through U of L, as well as Continuing Education Course credit through the Kentucky Real Estate Commission. Human Relations Commission staff presented specifically on AFFH for federally-subsidized housing providers at Kentucky Housing Corporation's 2015 Affordable Housing Conference in Lexington, KY. Human Relations Commission staff participated in a Fair Housing Coalition public forum on "Housing Policies and their Impacts on Families," and spoke on fair housing in Spanish for local *La Poderosa* Spanish-language radio.

From July 1, 2014 – June 30, 2015, the Human Relations Commission closed a number of housing discrimination complaint cases for its fiscal year, with a breakdown of protected class by basis as follows:

- Race - 10
- Color - 0
- Religion - 1
- National Origin - 2
- Sex - 4
- Familial Status - 16
- Disability - 21
- Sexual Orientation - 0
- Gender Identity - 0
- Retaliation - 1

During this period, there were two housing discrimination complaints with a determination of Probable Cause that unlawful discrimination had likely occurred by a Respondent, thereby resulting in charges of discrimination filed by the Human Relations Commission with the assistance of the Jefferson County Attorney's Office; and, an additional four Probable Cause complaints from previous years were involved in continued legal proceedings. There were 14 Housing Conciliation Agreements, or negotiated settlements, to resolve complaints during the fiscal year. Additionally, one complaint was withdrawn by a Complainant after an outside resolution was reached with the Respondent to resolve the complaint.

The Human Relations Commission continued to actively seek affirmative relief for all applicable housing discrimination complaint cases resolved through conciliation agreements, and in adjudication of such cases in Jefferson District Court or in administrative hearings.

The Human Relations Commission continued its housing testing program, conducting 500 rental tests from July 1, 2014 – June 30, 2015. The testing program used Louisville Metro employees specifically hired by Louisville Metro Government to test for housing discrimination levels in Louisville Metro. Testing has been used for years to generate Human Relations Commission agency complaints against housing providers identified to have engaged in likely unlawful business practices, but has also been used as supplemental investigation for complaints filed by the general public. Funds from the testing program currently come in part from CDBG funding allocated by HUD, which Louisville Metro Government uses in order to comply with AFFH requirements.

The Human Relations Commission is also the focal point of Louisville Metro Government's Disability-Accessibility Complaint Portal (http://tinyurl.com/pxhbgod). The Portal was designed so that all disability-inaccessibility in Louisville Metro, including those involving and affecting dwellings such as housing and some public accommodations discrimination complaints, can be reported and streamlined to applicable responding agencies. The Human Relations Commission was chosen by multiple Louisville Metro Government departments as the best Louisville Metro Government associated-agency for complaint vetting, drafting discrimination complaints under its purview as applicable, and otherwise referring inquiries not under its jurisdiction for appropriate response.

Finally, and possibly most notably towards AFFH, the Human Relations Commission filed 20 Enforcement Board Commissioner complaints for the 2014-2015 period. In these Commissioner complaints filed without bona fide Complainants from the general public, the Human Relations Commission affirmatively addressed likely housing discrimination in Louisville Metro on an unprecedented level by shattering the record of any previous fiscal year Commissioner complaints filed. Affirmative relief was obtained for the general public in 13 of the housing discrimination complaints in 2014-2015, another record for the Human Relations Commission in a fiscal year.

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education **(IDIS: 27387)** and housing counseling services **(IDIS: 27388)** to renters, prospective renters and first-time homebuyers. During Program Year 2014, 2,185 unduplicated clients were served in the following ways: 69 persons became mortgage-ready, 8 households were referred to landlords for rental housing, 42 persons obtained homeownership, 165 persons avoided foreclosure, 1,518 persons received financial education, and 1,376 persons received fair housing education.

The Legal Aid Society, Inc. affirmatively furthers fair housing through two programs, the Foreclosure Counseling and Outreach Education program and the Tenant Assistance

Program. The Tenant Assistance Program provides legal assistance and supportive services to low-income tenants facing eviction. In Program Year 2014, the Tenant Assistance Program served 764 unduplicated clients, 161 of whom were provided with extended legal representation that prevented or defended an eviction.

The Legal Aid Society Foreclosure Counseling and Outreach program consists of two distinct activities: 1) educating homeowners who are threatened with foreclosure about the foreclosure process and providing them with legal advice regarding their options or the alternatives to foreclosure; and 2) educating individuals and service providers with preventative information that will help a family avoid or resolve a threat to the family's economic stability.

Legal Aid held Foreclosure Clinics every Tuesday and Thursday for local residents to learn about the foreclosure process and have the opportunity to ask an attorney questions to resolve an issue. During Program Year 2014, 80 Jefferson County residents attended the clinics. Recently, Legal Aid found that foreclosure needs are declining and landlord/tenant needs are on the rise. To provide services needed in the community, Legal Aid began holding Foreclosure Clinics on Tuesdays and Landlord/Tenant Clinics on Thursdays.

Legal Aid also held office hours at the 810 Barret Ave Department of Community Services (LMCS) office and assisted 61 LMCS clients with issues ranging from government benefits, family law, consumer law, bankruptcy, tax law, foreclosure, and eviction issues.

In addition to office hours, Legal Aid held educational workshops and presentations to LMCS staff and LMCS client populations across the county serving an estimated 732 Jefferson County residents. Presentations were held at locations such as the Healing Place, LMCS offices, Sheppard Square,, Portland Neighborhood Center, Portland Library, Shawnee Library, Southwest Government Center, Jewish Family Vocation Center, Americana, Elderserve, VOA, Cedar Center, Brown Mackie, KY Refugee Ministries, St. John's, Shively Area Ministries, Newburg Community Center, CARE presentations at Liberty High School, Kentuckiana Works, and the Home of the Innocents. Topics covered ranged from housing, consumer, family, government benefits, taxes, and expungement law.

Leveraging Resources

In Program Year 2014, Louisville Metro Government continued to identify and obtain additional funding to carry out the goals and objectives outlined in its 2010-2014 Consolidated Plan.

Louisville Metro Government and its entitlement subrecipients were successful in obtaining and/or allocating other public and private resources including private donations, fund-raising contributions, general fund allocations, Kentucky Housing Corporation (KHC) funds, Louisville Landbank lots, and competitive grant sources.

The sources of other public and private resources identified above provide for an increase in services in many of the programs administered by Louisville Metro Government. Some examples include the following:

- Local funds from Louisville Metro's External Agency Fund were used to support community ministries and non-profit organizations.
- CDBG funds were committed as leverage for a competitive grant, the Lead-Based Paint Hazard Control Grant, to help satisfy matching requirements.
- Private donations, fund-raising contributions, and competitive grants were leveraged with federal funds to provide even more resources to HOPWA and ESG programs.
- Non-profits leveraged HOME funds with KHC funds and Federal Home Loan Bank funds for rehabilitation projects.

Federal funds such as those awarded to Louisville Metro through the Lead-Based Paint Hazard Control Grant program and Department of Energy Weatherization/LIHEAP provide supplemental resources for home rehabilitation programs, which allows more entitlement funds to be directed toward other housing activities such as the development of new affordable units.

Local public funds are used to provide match for ESG and HOME funds where applicable. Each ESG subrecipient is required to provide matching funds equal to its individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using cash contributions, other agency grants, donated supplies to the agency, and so forth. Please refer to ESG match table in the Homelessness section of this report for details on the source of subrecipient match.

Managing the Process

Beginning in Program Year 2014, Develop Louisville is the lead agency for the preparation and implementation of the Consolidated Plan and subsequent annual Action Plans. Develop Louisville was created during a departmental reorganization effective July 1, 2014, which split the former Department of Community Services and Revitalization into Develop Louisville's Office of Housing and Community Development and the Department of Community Services.

Comprehensive planning requirements command that preparation of the five-year Consolidated Plan and annual Action Plan include compliance with the jurisdiction's Citizen Participation Plan. Louisville Metro Government's Citizen Participation Plan requires public hearings be held to allow for representatives of non-profit agencies, organizations, groups, citizens, and interested parties to contribute to the identification of needs and distribution of resources to address those needs. In addition, the Consolidated Plan and annual Action Plan are required to be made available for public comment prior to final submission to HUD.

The Citizen Participation Plan also requires an amendment to these plans be prepared, including adherence to public hearing and public comment guidelines should there be significant changes in funding or programs.

Louisville Metro Government has followed these guidelines and will continue to follow these guidelines to ensure compliance with program and comprehensive planning requirements. Develop Louisville serves as the lead agency for planning purposes and administers many CDBG and HOME programs. Develop Louisville and the Department of Community Services provide oversight of all programs administered by external sub-recipients and internal sub-grantees.

During Program Year 2014, Develop Louisville was responsible for the development of the 2015-2019 Consolidated Plan and 2015 Action Plan. These documents were submitted to HUD for approval on May 15, 2015 and were subsequently approved on July 22, 2015.

Citizen Participation

The draft Program Year 2014 CAPER was made available for review and public comment from September 9, 2015 to September 24, 2015. A legal ad was published in the Courier-Journal on September 9, 2015 and copies were made available in all branches of the Louisville Free Public Library, at eight Neighborhood Place locations, at the Office of Housing and Community Development (444 S. 5th Street, 5th Floor) and the Department of Community Services (810 Barret Avenue, Suite 240), and on the Office of Housing and Community Development website. Louisville Metro Government received no public comments.

Formula Grant Resources and Distribution of Funds

The following tables provide a summary of resources and distribution of funds for each formula grant. In addition, the following maps provide an overview of the geographic distribution of expenditures for several of Louisville Metro's entitlement programs.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
COMMUNITY DEVELOPMENT BLOCK GRANT

Resources	2014 Action Plan Projection	2014 Actual Resources
Formula Grant	10,401,455	10,401,455
Program Income	400,000	580,574
Carryforward/Reallocated Funds from Prior Year Activities	97,748	114,354
Total Resources	**$ 10,899,203**	**$ 11,096,383**

Distribution of Resources	2014 Action Plan Commitments	Amount Expended in 2014 *
HOUSING		
Residential Programs Delivery	763,400	647,163
Urban Homesteading	200,000	-
Ramp and Barrier Removal	175,000	170,955
Residential Repair Program	650,000	685,412
Rental Rehabilitation	-	380,228
Total - Housing	**$ 1,788,400**	**$ 1,883,758**
RELOCATION		
Relocation	10,000	2,000
Total Relocation	**$ 10,000**	**$ 2,000**
Neighborhood Revitalization Strategy Area		
Shawnee NRSA	200,000	440,594
Portland NRSA **	-	386,831
Total - NRSA	**$ 200,000**	**$ 827,425**
Acquisition		
Acquisition	300,000	121,989
Total - Acquisition	**$ 300,000**	**$ 121,989**
PUBLIC FACILITIES AND IMPROVEMENTS		
Community Center Improvements	800,000	223,237
ADA Access Improvements	50,000	6,666
Cedar Street Site Improvements	150,000	-
Other Public Facilities and Infrastructure	605,000	-
Center for Women and Families	300,000	-
Neighborhood Streetscape Improvement Projects	500,000	-
Park Improvements	490,000	18,326
Smoketown HOPE VI **	-	2,735,305
Eligible Non-Profit Public Facilities Improvements **	-	408,279
COOL Projects **	-	433,357
Park Springs Infrastructure **	-	935,783
Total Public Facilities and Improvements	**$ 2,895,000**	**$ 4,760,954**
Clearance		
Vacant Properties Demolition	650,000	616,029
Total - Clearance	**$ 650,000**	**$ 616,029**

(CDBG summary continued on following page)

Public Service				
Homeless Services		1,176,900		1,128,783
Homeownership Counseling		80,100		91,829
Out of School Time (OOST) Enrichment		19,300		23,631
Family Economic Success Program		343,900		308,232
Total - Public Service	**$**	**1,620,200**	**$**	**1,552,474**
Code Enforcement				
Code Enforcement		975,000		924,585
Total - Code Enforcement	**$**	**975,000**	**$**	**924,585**
Economic Development				
CSR Economic Development Activities		432,900		402,434
Portland NRSA - Economic Development Loans **		-		200,000
Total - Economic Development	**$**	**432,900**	**$**	**602,434**
Administration and Planning				
Urban Design/Landmarks		115,000		119,868
CSR Department Services		1,299,800		1,071,382
Human Relations - Fair Housing		70,000		45,658
Urban League - Fair Housing		23,000		25,961
Fair Housing Impediments		30,000		11,000
Coalition for the Homeless		80,000		80,000
Indirect Cost		410,000		410,000
Total - Administration and Planning	**$**	**2,027,800**	**$**	**1,763,868**
Revision for Ineligible Expenses (PY2005) * **		-		(400,000)
Total Distribution of CDBG Resources		**10,899,300**		**12,655,515**

* Amounts expended in Program Year 2014 represent total funds drawn in Program Year 2014 regardless of year in which project/activity was funded.

** Prior Year Activity

*** In PY2014, Louisville Metro Government expended $400,000 in General Funds on CDBG-eligible activities to adjust for ineligible expenditures in a previous Program Year.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS

HOME INVESTMENT PARTNERSHIPS

Resources	2014 Action Plan Projection	2014 Actual Resources
Formula Grant	2,541,514	2,541,514
Program Income	300,000	485,174
Carryforward/Reallocated Funds from Prior Year Activities	(108,105)	417,844
Total Resources	**$ 2,733,409**	**$ 3,444,532**

Distribution of Resources	2014 Action Plan Commitments	Amount Expended in 2014 *
Community Housing Development Organization (CHDO) **	381,227	1,465,073
Affordable Housing Development Program	1,668,073	1,459,773
Tenant Based Rental Assistance	400,000	496,195
HOME Administration	284,100	183,234
Homebuyer Assistance (metrowide DPA Program) ***	-	217,659
Total Distribution of HOME Resources	**$ 2,733,400**	**$ 3,821,934**

* Amounts expended in Program Year 2014 represent total funds drawn in Program Year 2014 regardless of year in which project/activity was funded.

** Incudes dowpayment assistance provided to CHDO homebuyers

*** Prior Year Activity

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
EMERGENCY SOLUTIONS GRANTS

Resources	2014 Action Plan Projection	2014 Actual Resources
Formula Grant	848,884	848,884
Carryforward/Reallocated Funds from Prior Year Activities	158,878	131,752
Total Resources	**$ 1,007,762**	**$ 980,636**

Distribution of Resources	2014 Action Plan Commitments	Amount Expended in 2014 *
Emergency Shelter	360,300	426,539
Prevention	61,600	83,027
Street Outreach	94,100	97,900
Rapid Re-Housing	269,300	268,587
ESG Administration (7.5%)	63,600	48,953
Total Distribution of ESG Resources	**$ 848,900**	**$ 925,007**

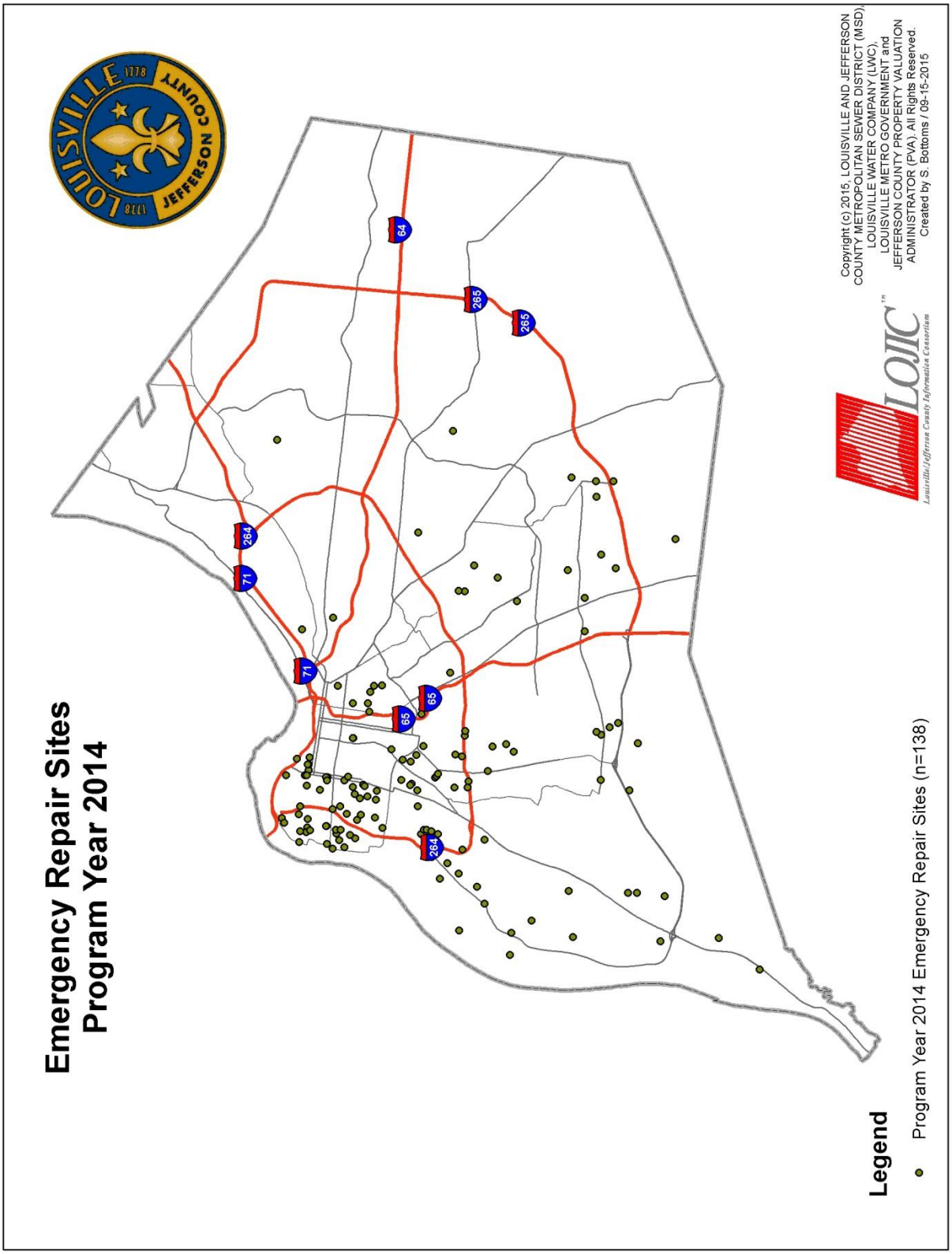
* Amounts expended in Program Year 2014 represent total funds drawn in Program Year 2014 regardless of year in which project/activity was funded.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

Resources	2014 Action Plan Projection	2014 Actual Resources
Formula Grant	572,269	572,269
Carryforward/Reallocated Funds from Prior Year Activities	100,088	113,250
Total Resources	**$ 672,357**	**$ 685,519**

Distribution of Resources	2014 Action Plan Commitments	Amount Expended in 2014 *
Housing Assistance and Supportive Services	555,300	-
Tenant Based Rental Assistance (TBRA)	-	159,772
Short-term Rent, Mortgage, Utility Assistance (STRMU)	-	131,968
Supportive Services	-	329,485
HOPWA Sponsor Administration	-	29,187
HOPWA Grantee Administration	17,100	46,261
Total Distribution of HOPWA Resources	**$ 572,400**	**$ 696,673**

* Amounts expended in Program Year 2014 represent total funds drawn in Program Year 2014 regardless of year in which project/activity was funded.


Emergency Repair Sites
Program Year 2014
LOUISVILLE
JEFFERSON COUNTY
1778
64
265
265
264
71
71
65
65
264
Legend
Program Year 2014 Emergency Repair Sites (n=138)
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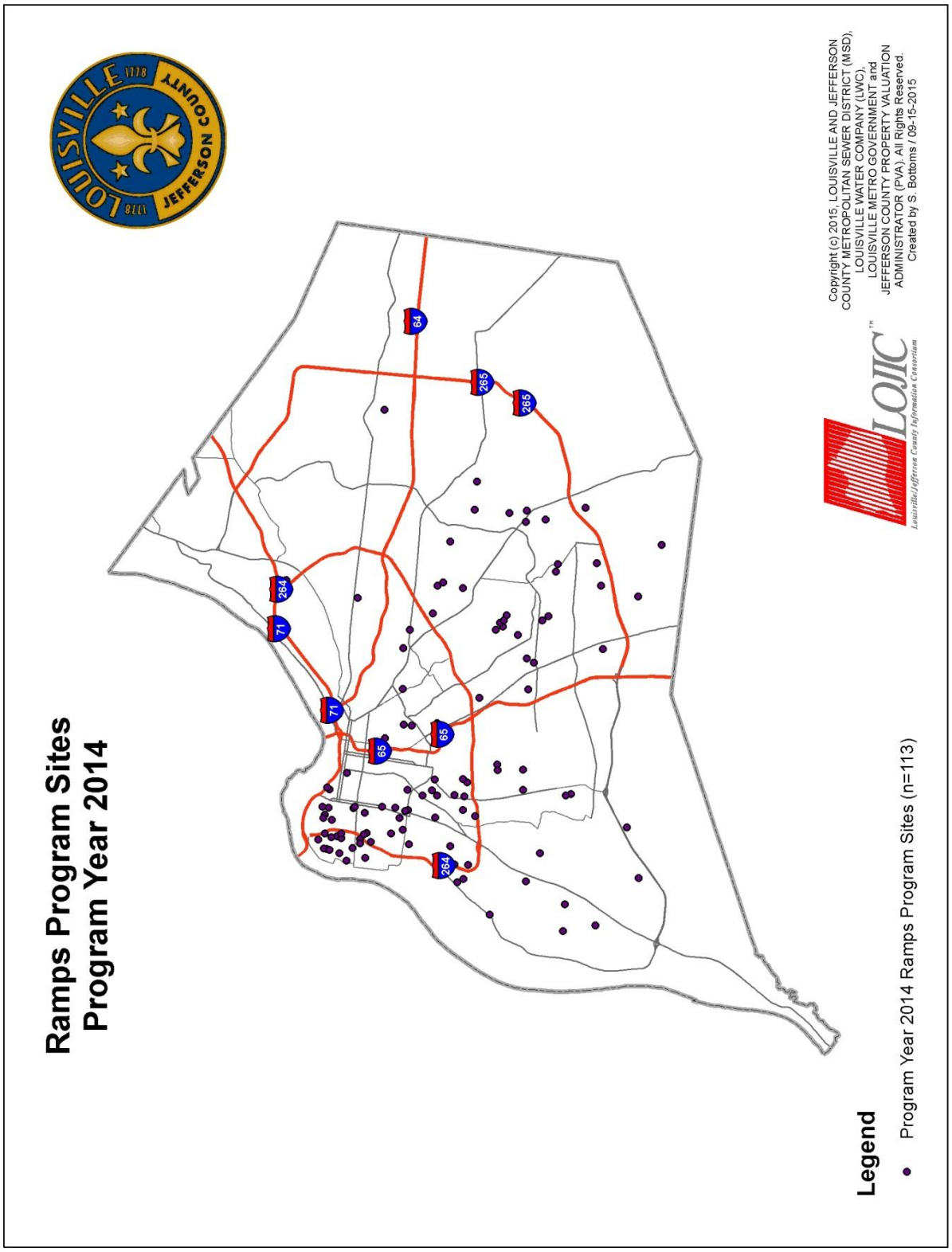
Ramps Program Sites
Program Year 2014
LOUISVILLE
JEFFERSON COUNTY
1778
64
265
265
264
71
71
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264
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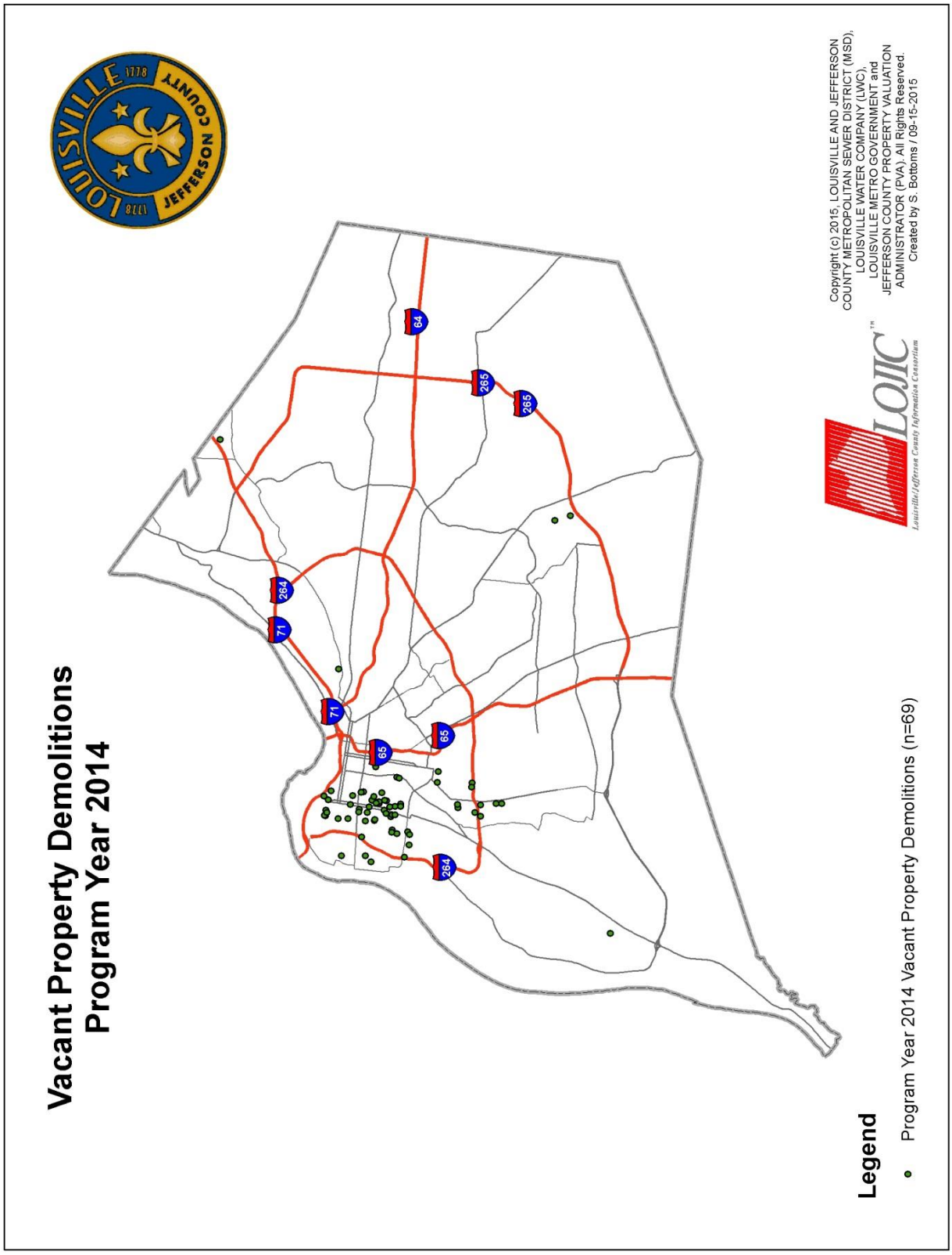
Program Year 2014 Ramps Program Sites (n=113)


Vacant Property Demolitions
Program Year 2014
64
265
265
264
71
71
65
65
264
Legend
Program Year 2014 Vacant Property Demolitions (n=69)
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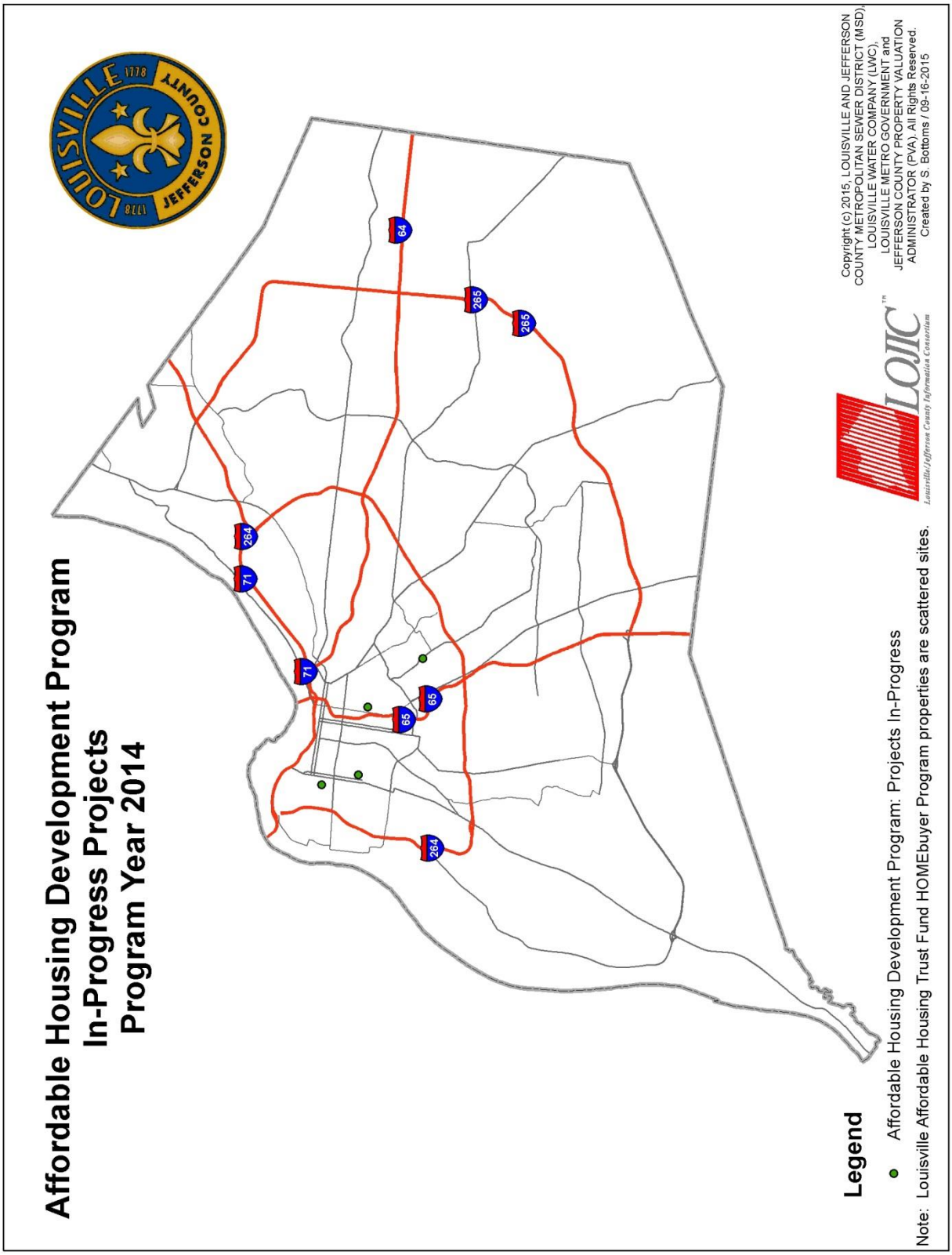
Affordable Housing Development Program
In-Progress Projects
Program Year 2014
264
71
71
65
65
264
64
265
265
Legend
Affordable Housing Development Program: Projects In-Progress
Note: Louisville Affordable Housing Trust Fund HOMEbuyer Program properties are scattered sites.
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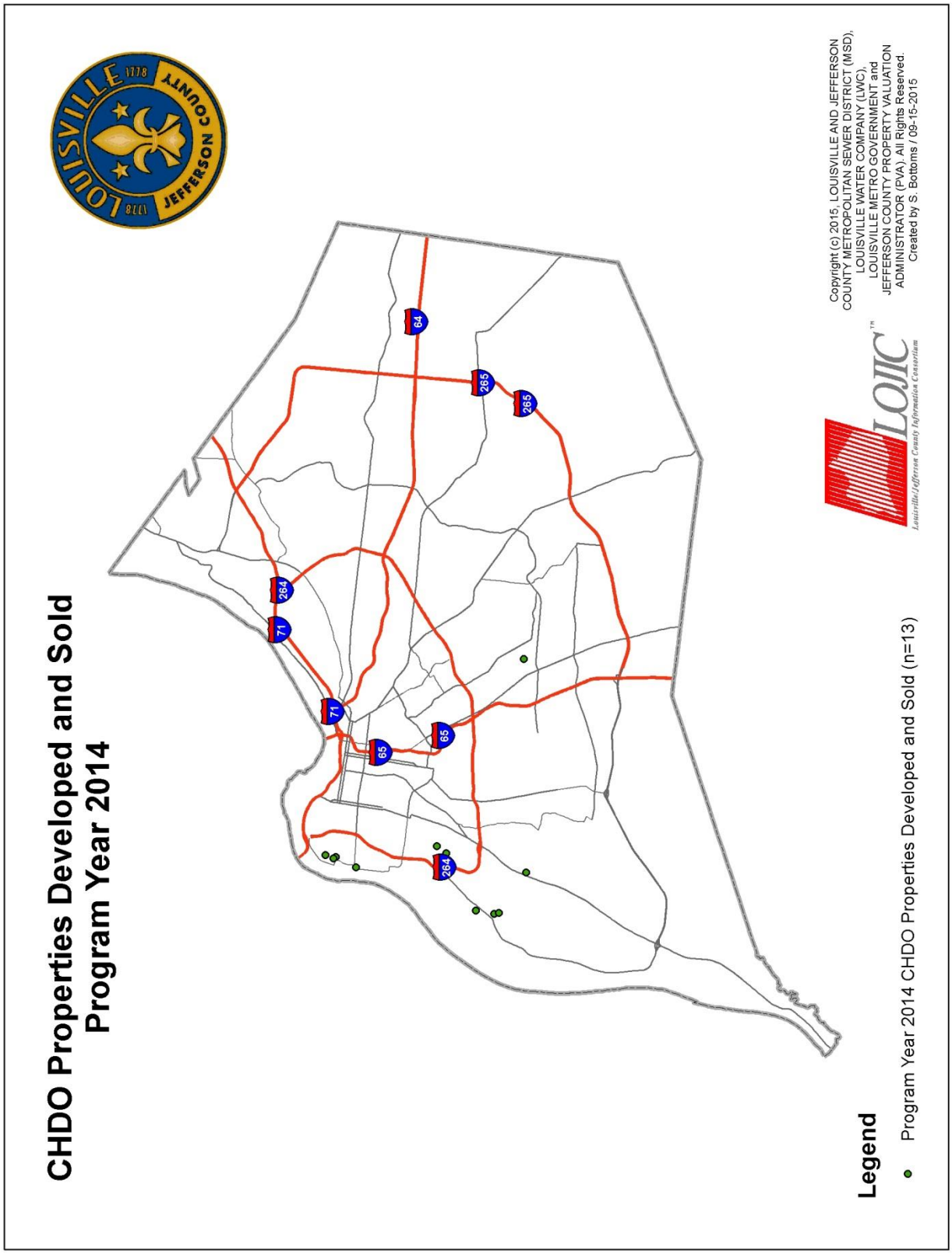
CHDO Properties Developed and Sold
Program Year 2014
Legend
Program Year 2014 CHDO Properties Developed and Sold (n=13)
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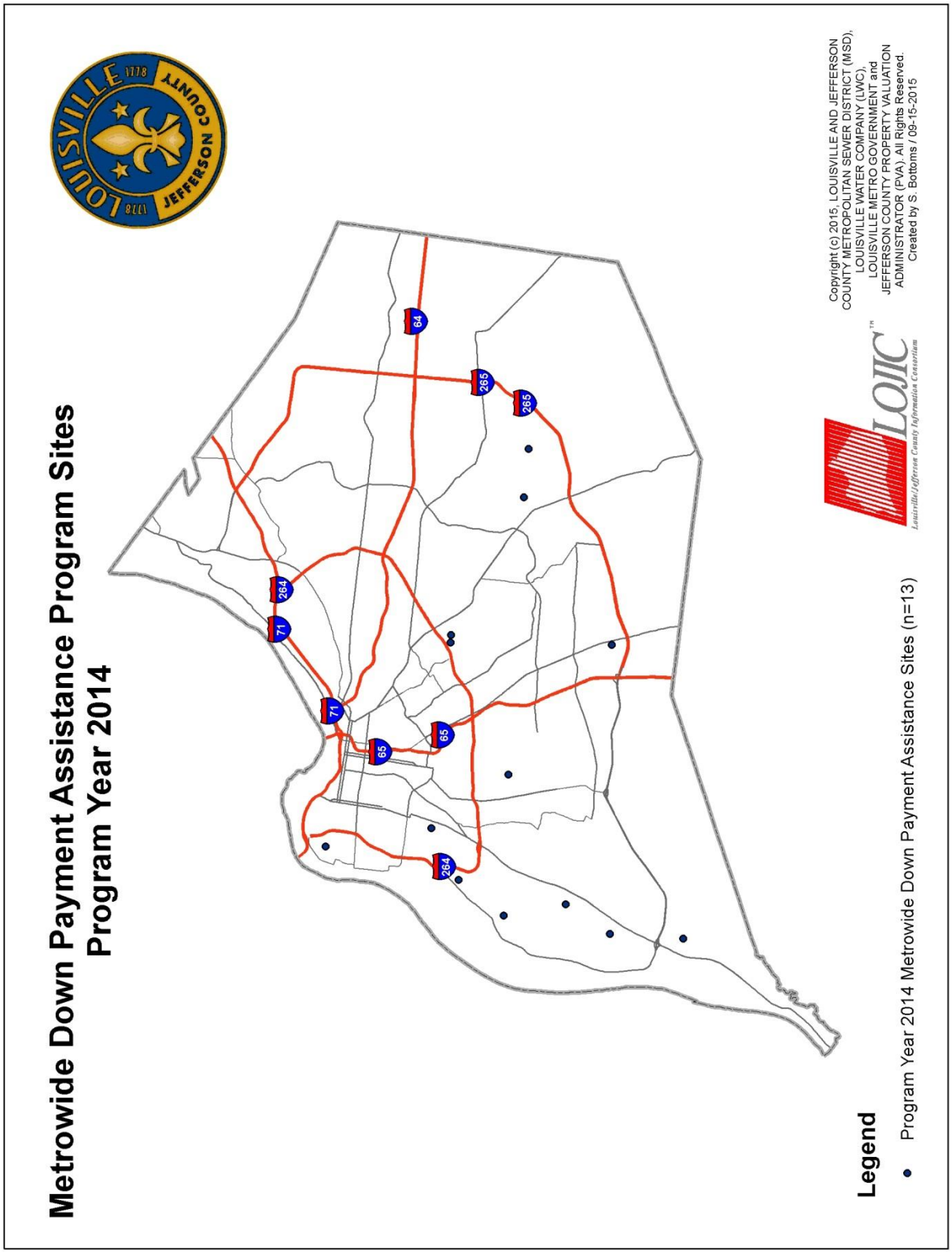
Metrowide Down Payment Assistance Program Sites
Program Year 2014
64
265
265
264
71
71
65
65
264
Legend
Program Year 2014 Metrowide Down Payment Assistance Sites (n=13)
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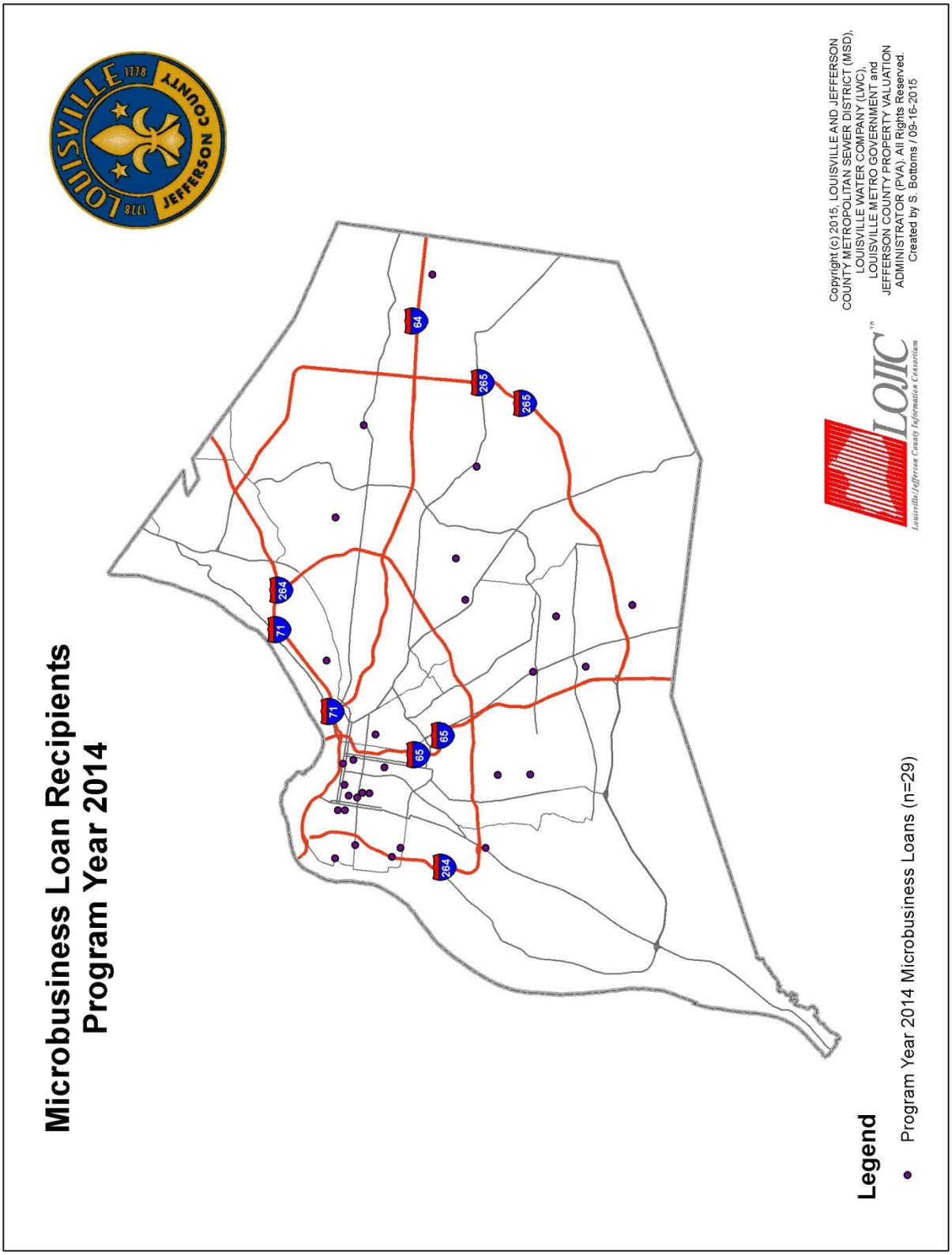
Microbusiness Loan Recipients
Program Year 2014
Legend
Program Year 2014 Microbusiness Loans (n=29)
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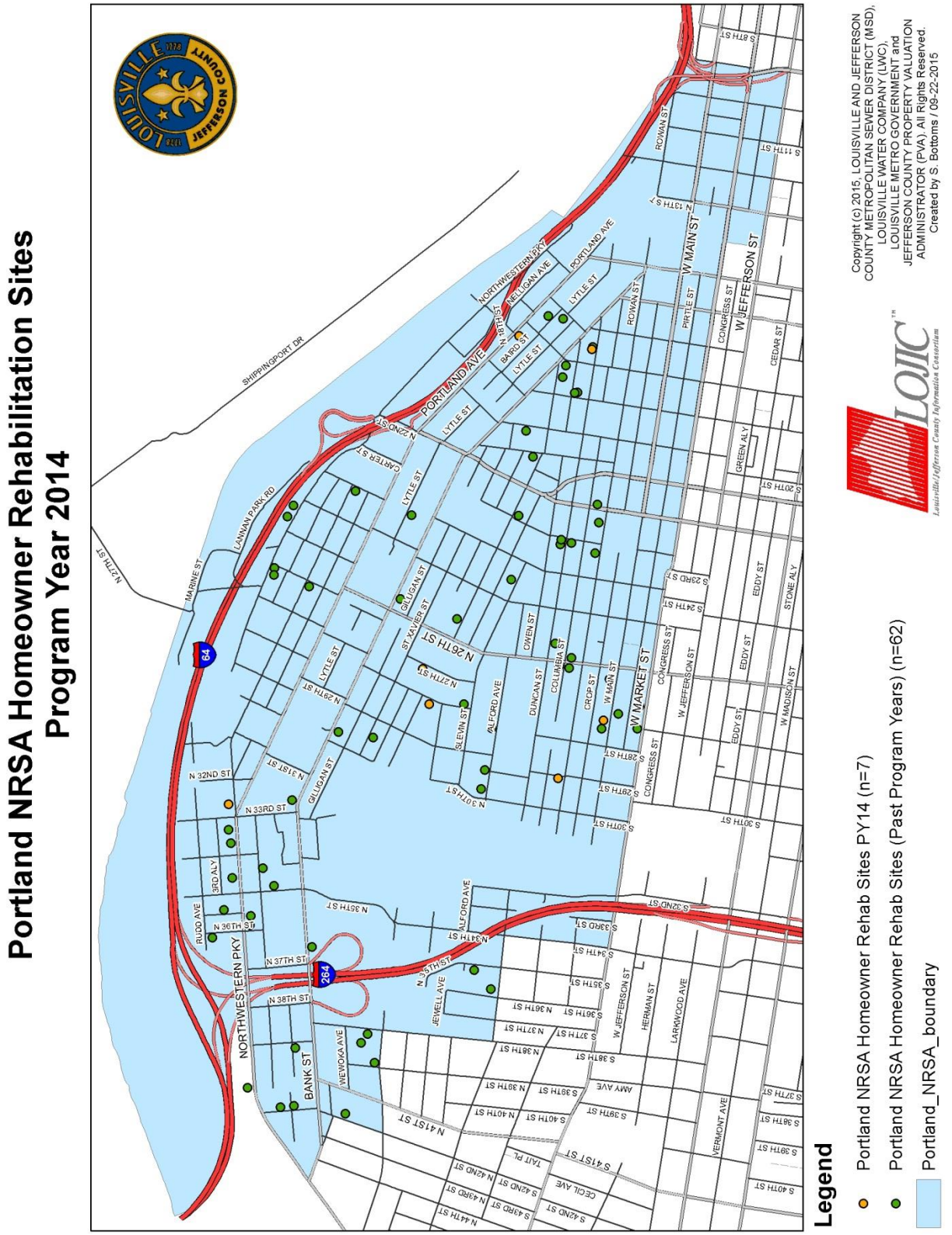
Portland NRSA Homeowner Rehabilitation Sites
Program Year 2014
Legend
Portland NRSA Homeowner Rehab Sites PY14 (n=7)
Portland NRSA Homeowner Rehab Sites (Past Program Years) (n=62)
Portland_NRSA_boundary
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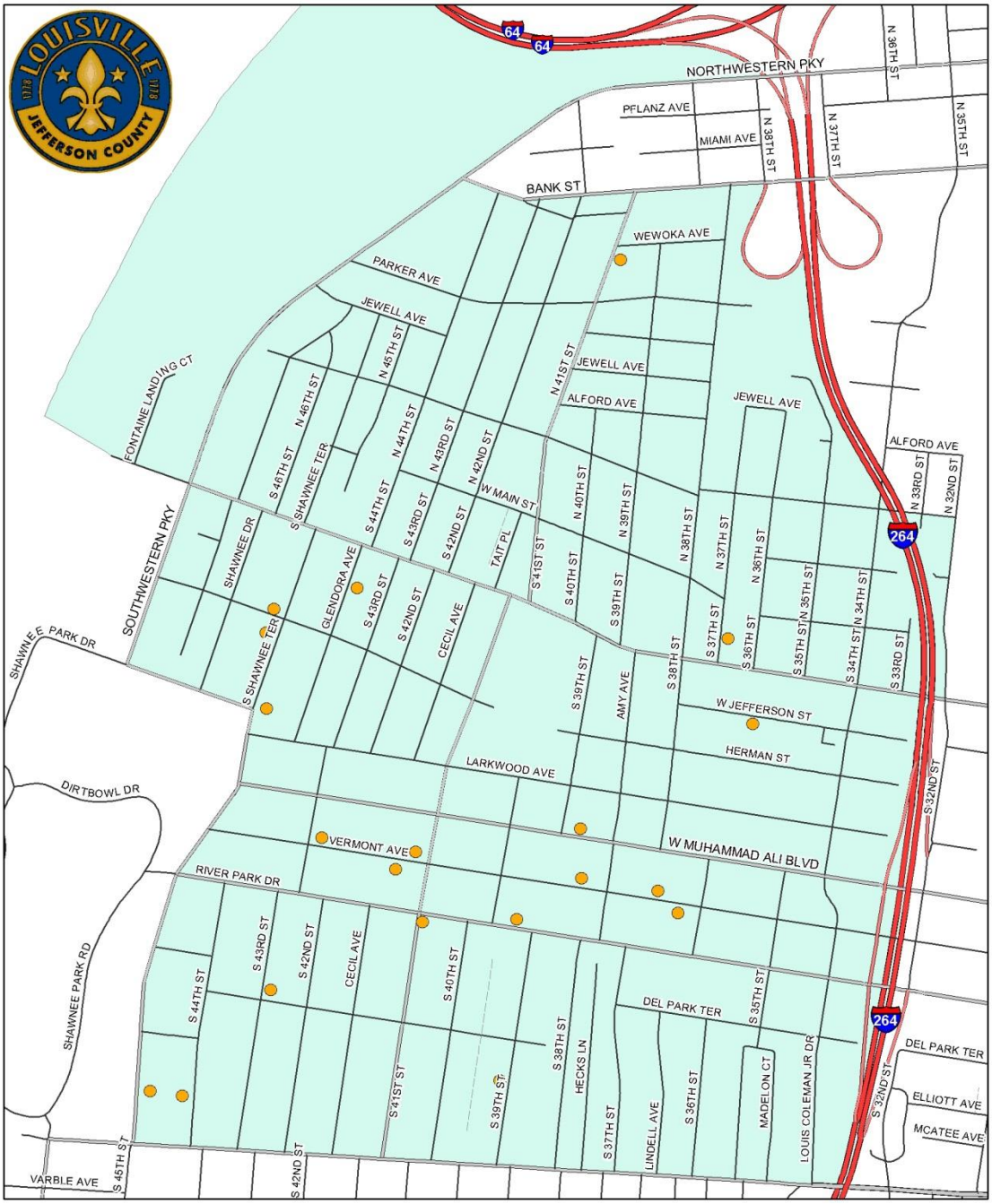
PORTLAND AVE
W MAIN ST
W JEFFERSON ST
W MARKET ST
NORTHWESTERN PKY
BANK ST
N 26TH ST
SHIPPINGPORT DR
LANNAN PARK RD
MARINE ST
N 27TH ST
64
264

Shawnee NRSA Homeowner Rehab Sites
Program Year 2014



Legend

- Program Year 2014 Shawnee NRSA Homeowner Rehab Sites (n=20)
- Shawnee NRSA Boundary

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Institutional Structure

During Program Year 2014, Develop Louisville served as the lead agency for preparing, administering, reporting, and monitoring Louisville Metro Government's five-year Consolidated Plan, annual Action Plan, and NRSA designations. Develop Louisville was created during a departmental reorganization that took effect July 1, 2014. As a result of this reorganization, the former Department of Community Services and Revitalization (LMCSR) was split into Develop Louisville's Office of Housing and Community Development and the Department of Community Services.

Develop Louisville is one of two agencies comprising Louisville Forward, which combines into one entity all the city agencies dedicated to business development and the built environment. Louisville Forward consists of two major departments:

- **Develop Louisville** serves as the city's real estate development arm and focuses on the full range of land development activities, including planning and design, vacant and abandoned properties initiatives, advanced planning, housing programs, permits and licensing, land acquisition, and development partnerships.
- **Economic Development** contains the full spectrum of business engagement and support to include retail, commercial, and industrial businesses ranging from small businesses to large enterprises.

As a result of this reorganization, the Department of Community Services (LMCS) was created. The mission of LMCS is to "improve the quality of life for all residents with an emphasis on poverty reduction and addressing the needs of vulnerable populations in the Louisville Metro community." LMCS houses all client-facing social services for Louisville Metro Government, and continues to manage the social service programs funded through HUD, including CDBG public services and micro-enterprise, ESG, and HOPWA.

Develop Louisville is the lead agency for administering HUD entitlement funds, as well as the CDBG and HOME Administrator for Louisville Metro. The Department of Community Services is the ESG and HOPWA Administrator for Louisville Metro. Despite the structural division of the former LMCSR department, there has been significant collaboration between the two newly created departments in developing the recently submitted Consolidated and Action plans, in preparing the CAPER, and in implementing entitlement programs.

In Program Year 2014, as in previous years, Metro awarded CDBG, ESG, and HOPWA funds to subrecipients through a competitive process. Distributing these funds on an annual basis allows Metro to reassess each nonprofit applicant and project regularly, in order to ensure that funding is used for maximum benefit of the community and remains in compliance with annual Action plan goals. All federally-funded subrecipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics, and delays or problems encountered in meeting their benchmarks. Metro program staff reviews reports to assess the progress of each activity, evaluate expenditure rates, and determine if the subrecipient is carrying out the activity as planned or whether technical assistance might be necessary.

LMCS awards additional funds to nonprofit social service providers through Metro's External Agency Fund. Many nonprofits also receive funding through the local Continuum of Care.

Many of Louisville's service agencies work collaboratively to address core issues surrounding poverty including financial security, education, job training, employment opportunities, safe and affordable housing, and access to medical and mental health services. As many service

providers struggle with dwindling funding, collaboration and collective impact models have and will likely continue to become increasingly important to meeting the needs of Louisville's low to moderate income residents. LMCS serves as the lead coordination agency for non-profit organization collaboration as it relates to local funding resources for homeless prevention, poverty reduction, and related services. The Department's extensive coordination and linkages with government and other social programs ensure the effective delivery and reduced risk of duplication of services. LMCS collaborates with more than 100 agencies and/or forums, including Metro departments, state agencies, service providers, faith-based organizations, and others.

LMCS is heavily involved in the Louisville Continuum of Care (CoC), which is coordinated through the Coalition for the Homeless. LMCS is represented on the CoC advisory board, at monthly CoC meetings, and holds monthly coordination meetings with the Coalition.

LMCS also houses the Louisville Metro Community Action Partnership (LMCAP). The Executive Director of Louisville Metro Housing Authority serves on the LMCAP board of directors as a permanent member due to the significant role LMHA plays in the lives of many LMCAP clients, which allows better coordination of efforts between LMCAP and LMHA. LMHA's position on the LMCAP board of directors also proves beneficial in relation to self-sufficiency programs. LMCS' goal is to be a "one-stop shop" for addressing multiple barriers to self-sufficiency assessing a family or individual's situation as a whole and linking them to departmental and community services and resources. LMHA has a self-sufficiency program which provides intensive case management services to residents living in public or subsidized housing to help them accomplish long term goals and develop job skills, parenting skills, and knowledge about income management and homeownership. LMCS leverages LMHA's position on the LMCAP board of directors as a resource for knowledge, experience, and best practices for self-sufficiency services. LMCS provides housing supports to agency clients engaged in case-managed programs as necessary to minimize this burden on households working towards self-sufficiency.

Finally, LMCS also operates eight Neighborhood Place sites. Neighborhood Place serves as a community-based "one stop shop," providing access to LMCS programming as well as to services from partner agencies such as the Kentucky Department for Community Based Services, Jefferson County Public Schools, Seven Counties Services, Louisville Metro's Department of Public Health and Wellness, and KentuckianaWorks.

During Program Year 2014, Develop Louisville worked with other Louisville Metro departments to carry out entitlement activities. These departments included Public Works, Codes and Regulations, Public Health and Wellness, Economic Development, Parks and Recreation, and the Human Relations Commission.

Monitoring

Louisville Metro Government carries out HUD-funded activities through Metro Departments and through subrecipients. Beginning in Program Year 2014, Develop Louisville (DL) and the Department of Community Services (CS) are charged with monitoring different aspects of HUD entitlement funding, as listed below. DL focuses on compliance with construction projects (CDBG and HOME), while CS focuses on ensuring compliance with service projects (CDBG Public Service, HOME TBRA, ESG, and HOPWA). Both Develop Louisville and the Department of Community Services coordinate monitoring consistently to ensure compliance with federal regulations.

Develop Louisville's Office of Housing and Community Development's Planning and Compliance Unit is responsible for:

- Coordinating Consolidated Plan activities with other Metro agencies;
- Ensuring programmatic and financial reporting requirements are met;
- Producing the Consolidated Plan and Annual Action Plans, including the facilitation of citizen participation;
- Preparing the Consolidated Annual Performance and Evaluation Report (CAPER);
- Managing the IDIS reporting system;
- Ensuring compliance with labor standards, environmental review, conflict of interest, Section 3, Fair Housing, and additional compliance requirements;
- Assuring adherence to affordability periods;
- Monitoring outside recipients for compliance with federal regulations.

The Department of Community Services Planning and Administration Unit is responsible for:

- Overseeing the annual allocation of CDBG public service, ESG, and HOPWA funds to subrecipients;
- Ensuring programmatic and financial reporting requirements are met;
- Monitoring outside recipients for compliance with federal regulations.

Risk assessments are performed annually for each subrecipient to identify the potential risk level for non-compliance prior to the start of the program year. Subrecipients found to be at low risk may receive a desk review rather than an on-site review. Those found to be at high risk receive a more comprehensive on-site review.

Checklists are used to ensure monitoring staff review programs in accordance to regulatory requirements specific to each funding source - CDBG, HOME, ESG or HOPWA. The checklists are tailored to accommodate for the type of review being provided, Desk or On-site reviews.

The steps for each type of review are as follows:

Desk Review

Examine both routine and special reports from program staff, housing owners/developers/sponsors, subrecipients, and subgrantees. This type of monitoring identifies potential problems by analyzing in-house documentation. Monitoring staff review the following to assess performance and look for indicators of performance or compliance deficiencies:

- Work Program and Budgets and/or written agreements (including loan agreements, if applicable)
- Monthly reports
- Draw-down requests and supporting documentation
- Applicable IDIS reports
- Correspondence between in-house staff and the funding recipient
- Reports from previous monitoring reviews
- Copies of audit reports
- Telephone Interviews

This information enables the monitoring staff to evaluate performance and identify any compliance issues.

Prepare and submit to the subgrantee a finalized report detailing any findings and concerns discovered. Subrecipient must submit corrective action steps to Metro within 30 days.

On-Site Review

Visit the program or project to gather specific information and observe programmatic and administrative elements. This is the most comprehensive monitoring as it gives the clearest picture of funding recipients and their projects. Steps in conducting an on-site monitoring are as follows:

- Perform a desk review
- Conduct the monitoring visit (including entrance and exit conferences)

Prepare and submit to the subgrantee or subrecipient a finalized report detailing any findings and concerns discovered (and any necessary follow-up).

Inspections

In addition to performing Desk and On-site reviews of subrecipients, projects funded with federal dollars are also inspected for compliance with local codes and rehabilitation standards.

HOME-funded projects are inspected for compliance throughout their affordability period. The intervals by which inspections are scheduled depend on the number of units within each project. As required by HOME, projects containing 1-4 units are inspected every 3 years, projects containing 5 to 25 units are inspected every 2 years and projects containing more than 25 units are inspected yearly.

For CDBG-funded projects, inspections are conducted as needed throughout the term of the agreement to ensure they meet local code requirements. Public Facilities projects are monitored to ensure use requirements at 24CFR 570.505 are met.

For TBRA-funded projects, inspections occur both prior to lease and annually, throughout the term of the rental assistance. The unit inspections also serve to verify compliance with required occupancy standards in relation to the size of each household.

For HOPWA-funded projects, units are inspected to ensure that they meet habitability standards in addition to local code requirements, as required by HOPWA regulations.

For ESG-funded renovation projects, inspections are performed to ensure the facility is in compliance with local habitability standards.

As with the desk review, this information enables the monitoring staff to assess performance and identify any compliance issues.

All federally-funded subrecipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics, and delays or problems encountered in meeting their benchmarks. Metro program staff review reports to assess the progress of each activity, evaluate expenditure rates, and determine if the subrecipient is carrying out the activity as planned or whether technical assistance might be necessary to assist the subrecipient and ensure compliance with regulations.

With the responsibility for maintaining the IDIS system, Develop Louisville's Planning and Compliance Unit regularly reviews the programmatic and financial information within the database to monitor the department's performance, including the timeliness of expenditures.

All monitoring activities are conducted as prescribed by the regulations appropriate to the respective funding source. As part of its commitment to continual improvement, Metro will regularly review and enhance its monitoring processes and procedures in accordance with grant and audit guidelines.

Monitoring Results

At the beginning of Program Year 2014 a risk assessment was conducted to establish the 2014-2015 monitoring schedule which consisted of both onsite and desk monitoring. A total of 28 monitoring reviews for subrecipient public service activities or programs were conducted. The activities and programs were funded by a variety of funding sources including CDBG, ESG, and HOPWA. Technical assistance was provided to sub-recipients and sub-grantees on an array of topics including procurement, program operations, and federal grant requirements.

During Program Year 2014 monitoring of subrecipient/public service programs, one finding and one concern were found with one CDBG program:

- Finding: area median income not noted in client files; the agency retained information via IDIS. In no instance did this agency serve income ineligible clients.
- Concern: client release of information authorization forms were signed without listing an agency/facility/name.

Technical assistance was provided to the program; both the finding and concern was resolved.

A total of 7 CDBG-funded public facilities/improvement projects carried out by subrecipient agencies or other Louisville Metro departments received desk reviews during Program Year 2014. These reviews resulted in no findings or concerns.

Develop Louisville monitored 24 HOME-funded rental development projects during Program Year 2014: 19 through desk reviews and five through on-site reviews. These reviews resulted in no findings or concerns. Additionally, Develop Louisville inspected 38 Rental Development projects during the Program Year (see Housing section for inspection list and results).

The tables below provide the schedule of subrecipient public service activities and programs, capital projects, and HOME-funded rental development projects that were monitored during Program Year 2014.

CDBG, HOPWA, & ESG FUNDED PUBLIC SERVICES SUBRECIPIENTS MONITORING SCHEDULE JULY 2014 - JUNE 2015

Program	Funding Source
September 2014	
AIDS Interfaith Ministries of Kentuckiana, Inc (AIM)	HOPWA
October 2014	
Louisville Urban League - Housing Counseling	CDBG
Wayside Christian Mission - Family Emergency Shelter	ESG
Wayside Christian Mission - Men's Emergency Shelter	ESG
GuardiaCare - Payee Program	CDBG
House of Ruth Supportive Services and Tenant Based Rental Assistance	HOPWA
House of Ruth - Glade House Emergency Services	ESG
Family and Children First, d.b.a Family and Children's Place - Family Stabilization and Financial Assistance	ESG
Family & Children First, d.b.a Family and Children's Place – Shelter Plus Care Case Management	CDBG
St. John Center - Emergency Day Shelter	ESG
St. John Center - Emergency Day Shelter	CDBG
December 2014	
Volunteers of America - Family Emergency Shelter	CDBG
January 2015	
YMCA Safe Place - Street Outreach	ESG
YMCA Shelter House and Family Mediation	CDBG
Father Maloney's Boys' Haven - Equine Employment Training Program	CDBG
Family Scholar House - At Risk Family Services	CDBG
KY Refugee Ministries - Refugee Housing	CDBG
February 2015	
Volunteers of America - STRMU/Supportive Services/Admin	HOPWA
March 2015	
Center for Women and Families - Economic Success Program	CDBG
Legal Aid - Supportive Services	HOPWA
Legal Aid - Tenant Assistance Program	CDBG
Legal Aid - Eviction Defense Program	ESG
Legal Aid - Housing Counseling	CDBG

May 2015	
St. Vincent de Paul - Case Management	CDBG
St. Vincent de Paul - Ozanam Inn	ESG
Hoosier Hills AIDS Coalition - STRMU/TBRA	HOPWA
Salvation Army - Family Emergency Shelter	CDBG
June 2015	
Family Health Center - Medical Center - Street Outreach	ESG
Family Health Center - Shelter Plus Care Case Management	CDBG

CDBG-FUNDED PUBLIC FACILITIES/IMPROVEMENTS
MONITORING SCHEDULE
JULY 2014 - JUNE 2015

Program	Monitoring Type
July 2014	
Family & Children's Place (Acquisition)	Desk Review
Southwest Community Ministries (Acquisition)	Desk Review
Down's Syndrome of Louisville (Acquisition)	Desk Review
Metro Parks - Algonquin Park Pavilion	Desk Review
Metro Parks - Fairdale Sprayground/Pool Upgrade	Desk Review
Metro Parks - LaPorte Park Sprayground	Desk Review
Metro Parks - Petersburg Tennis Courts	Desk Review

HOME-FUNDED RENTAL DEVELOPMENTS
MONITORING SCHEDULE
JULY 2014 - JUNE 2015

Program	Monitoring Type
July 2014	
Brandeis Partnership LTD, NDHC	Desk
August 2014	
Healing Place for Women	Desk
September 2014	
Louisville Scholar House	Desk
October 2014	
Brookstone Senior Apartments, Summit Properties, J. Scott Brian	Desk
Overlook Terrace	Site
November 2014	
Brook Street Apartments, Mark Wright	Desk
Day Spring Woods, Inc., A KY Corp	Site
December 2014	
Saint Denis Senior Apartments	Site
Parkland Scholar House	Desk
January 2015	
Woodsmill/Millwood	Desk
February 2015	
City View Apartments - Walnut	Desk
Saint John Gardens Apartments, NDHC	Desk
Henry Greene Apartments, Citizens Housing Group	Desk
March 2015	
Coventry Commons I	Desk
Coventry Commons II	Desk
April 2015	
Nichols Meadows	Desk
Saint Vincent DePaul	Desk
May 2015	
Shawnee Apartments, NDHC	Desk
Overlook Terrace	Site
June 2015	
Coventry Commons I, Marion Land Group, Jake Brown	Desk
Coventry Commons II, Marion Land Group, Jake Brown	Desk
Directions Apartments, NDHC	Desk
Family - Downtown Scholar House	Desk
Partridge Point, HPI	Site

Self-Evaluation

Development of the CAPER provides Louisville Metro Government the opportunity to determine whether the programs in place are achieving the goals in the Action Plan and the wider goals of the Consolidated Plan. Louisville Metro Government recognizes that the major factors contributing to neighborhood and community difficulties are barriers to affordable housing, lack of public services to preserve and develop the community's infrastructure, and the need for revitalization of deteriorating neighborhoods. Many of the programs/projects in place during Program Year 2014 have had a positive effect in helping to address the needs identified in the 2010-2014 Consolidated Plan – Housing, Economic Development, Community Development, Homelessness, and Special Needs/HOPWA.

Housing needs have been addressed through homeowner assistance programs, housing rehabilitation programs, the Affordable Housing Development Program, and new construction/substantial rehabilitation activities. These programs are all helping to expand the supply of affordable housing and to stabilize the existing housing stock. The Emergency Repair program exceeded its Action Plan goal in Program Year 2014 with 138 households served. The Ramps program exceeded its annual goal of 50 households served by providing ramp construction or barrier removal for 115 households. 13 HOME-funded CHDO rehabilitations were completed and sold to income-eligible buyers during Program Year 2014, and an additional 13 income-eligible households received down payment assistance through the metrowide Down Payment Assistance (DPA) program. Five HOME-funded Affordable Housing Development projects are underway, which are expected to provide a total of 140 housing units, including 59 HOME-funded units.

Economic development needs have been addressed through the provision of Microenterprise business loans and technical assistance, which generate job creation and/or retention which principally benefit low to moderate income persons. Louisville Metro's Microbusiness program activities in Program Year 2014 led to the creation of 16 full-time and 2 part-time jobs and the retention of 20 full-time jobs.

Community development needs have been addressed through a variety of programs aimed at increasing neighborhood stability and improving the quality of life for residents of the community. These programs include activities for public facilities and improvements, clearance, and public services. Program Year 2014 was a successful year for these types of projects, with 69 vacant or abandoned structures demolished and 26,194 housing inspections conducted. Additionally, improvements were completed at two Metro Parks Community Centers and two eligible nonprofit facilities received improvements.

The needs of the homeless population have been addressed through rental assistance, supportive services, and support to emergency and transitional shelters. Individual subrecipient accomplishments are summarized throughout the narrative and in the individual project tables and many organizations providing homeless services exceeded their individual goals for the Program Year. In Program Year 2014, 107 households received HOME or HOPWA TBRA, 144 households received HOPWA STRMU assistance, 8,012 homeless persons used CDBG supportive services, and 10 nonprofits received ESG assistance for street outreach, prevention, shelter operations, and/or essential services.

The needs of special needs populations, including those living with HIV/AIDS have been met by housing assistance and supportive services. 1,911 persons benefitted from HOPWA supportive services, and 175 households received HOPWA housing assistance through TBRA or STRMU programs. The Ramps program provided housing rehabilitation to increase

mobility for individuals with disabilities to 115 households, exceeding its annual goal of serving 50 households with at least one disabled family member in Program Year 2014.

HUD conducted an on-site monitoring visit of the Louisville Metro's CDBG, HOPWA, and Neighborhood Stabilization Program (NSP) programs from March 16 through April 2, 2015. As a result of this monitoring, HUD reported one concern and one finding, both of which have been addressed by Louisville Metro Government.

During Program Year 2014, a departmental reorganization was engineered at Louisville Metro Government. The former Department of Community Services and Revitalization was divided into two departments. The Department of Community Services focuses on providing social services throughout the community, including through subrecipient management. Community Services manages HOPWA and ESG funds along with other non-HUD grants. Develop Louisville was formed to efficiently address real estate development. Develop Louisville's Office of Housing and Community Development manages and oversees HOME and CDBG funds, and is the lead agency for development of the Consolidated Plan, Action Plan, and CAPER.

In summary, the number of goals met or exceeded as detailed in the narrative, along with the successful resolution of monitoring findings and concerns are indicative that Louisville Metro has made a significant impact towards addressing the needs identified in the 2010 to 2014 Consolidated Plan during Program Year 2014.

Lead-Based Paint Hazards

The goals of the Lead Safe Louisville (LSL) Project, funded by a federal HUD Lead-Based Paint Hazard Control Grant, KYLHB0551-13, during the period of July 1, 2014 - June 30, 2015, included the following benchmarks: perform 49 inspections/risk assessments; remediate 42 housing units. All training benchmarks for the grant were met during Program Year 2013.

During the period of July 1, 2014 through June 30, 2015, LSL project staff participated in 28 outreach events (reaching approximately 1,800 attendees) and held one lead class, in which eight people were trained in one lead safe discipline. During this period, 43 units received an inspection/risk assessment and 48 units were made lead safe.

In Program Year 2014, the Lead-Safe Louisville project was able perform lead abatement and interim controls on a total of 48 units for low to moderate income families, a total of $880,416.64 was spent on these projects. The Lead funds enabled Louisville Metro Government to provide 26 children under the age of 6 and two pregnant women lead safe homes and train lead abatement workers to help obtain and keep employment with local contractors.

HOUSING

Housing Needs

Housing needs were established as the highest priority in the 2010 – 2014 Consolidated Plan.

Goal 1 states that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. As this goal indicates, low and very-low income households continue to face significant challenges to obtaining acceptable housing and securing financing for housing. Numerous Louisville Metro Government programs are designed to address the needs of Goal 1.

During Program Year 2014, the following actions were taken to foster and maintain affordable housing:

- Made funding available for housing counseling through programs administered by Louisville Urban League and Legal Aid Society
- Made funding available to the Legal Aid Society of Louisville to provide foreclosure counseling and education services to homeowners in danger of losing their homes and legal advice and referrals to renters facing eviction
- Provided home modifications for disabled residents through the Ramp Construction program (administered by the Center for Accessible Living)
- Provided down payment assistance to households who purchased CHDO-developed homes
- Provided down payment assistance to households metro-wide earning less than 80% of Area Median Income
- Provided rehabilitation services to homeowners through the Emergency Repair program (administered by Develop Louisville)
- Provided area specific rehabilitation services to homeowners in the Portland Neighborhood Revitalization Strategy Area (administered by New Directions Housing Corporation)
- Provided area specific rehabilitation services to homeowners in the Shawnee Neighborhood Revitalization Strategy Area (administered by Develop Louisville)
- Provided energy efficiency improvements to homes of very low- and low-income families through the Weatherization program (administered by Develop Louisville)
- Provided funding to develop affordable, multi-family rental units for low-income households through the Affordable Housing Development Program
- Partnered with Community Housing Development Organizations (CHDOs) to develop affordable owner-occupied and lease-purchase housing

Specific Housing Objectives

Affordable Housing for Extremely Low Income, Low Income, and Moderate Income Renters

Actions for extremely low, low, and moderate-income renters are generally centered on subsidized affordable rental activities. These activities are the HOME-funded Tenant Based Rental Assistance (TBRA) Program and the Affordable Housing Development Program. The Affordable Housing Development Program is a gap-financing mechanism to develop affordable rental housing.

During Program Year 2014, HOME TBRA assisted 76 households. While no Affordable Housing Development Program projects were completed during Program Year 2014, several projects are in progress which will result in the creation of 59 HOME-assisted rental units during future Program Years.

Affordable Housing for Extremely Low, Low, and Moderate Income Owners

Actions for extremely low, low, and moderate income owners include assistance with homeownership and a variety of housing rehabilitation programs. During Program Year 2014, 13 purchasers of CHDO-rehabilitated homes received down payment assistance. An additional 13 homebuyers received down payment assistance through the metro-wide Down Payment Assistance (DPA) program.

During Program Year 2014, housing rehabilitation services were provided to 347 units. These services were funded through a variety of sources, including CDBG and HOME. Housing rehabilitation services were provided in the form of the following programs:

- Emergency Repair Program (CDBG) - 138 units
- Portland Neighborhood Revitalization Strategy Area Homeownership Rehabilitation (CDBG) - 7 units
- Weatherization (Department of Energy/LIHEAP and CDBG) - 6 units (note: includes both renter and owner-occupied households)
- Ramps Program (CDBG) - 115 units (note: includes both renter and owner-occupied households)
- Lead Safe Louisville (Lead Hazard Control Grant and CDBG) - 48 units (note: includes both renter and owner-occupied households)
- CHDO rehabilitation and sale of homeownership units (HOME) - 13 units
- Shawnee NRSA Homeownership Rehabilitation (CDBG) - 20 units

The following table provides information about the number of extremely low, low, and moderate income persons receiving assistance from renter and owner-occupied housing activities.

EXTREMELY LOW, LOW, & MODERATE INCOME RENTER AND OWNER-OCCUPIED HOUSING ACTIVITIES

Activity	Extremely Low Income (0-30 % AMI)	Low Income (31-50% AMI)	Moderate Income (51-80% AMI)	PY2014 Action Plan Goal	2014 Accomplishment
Affordable Housing Development Program *	0	0	0	33 per 2014 NOFA review	0 completed, 59 in progress
HOME TBRA	62	12	2	100	76
Weatherization	2	4	0	35	6
Ramps	107	7	1	50	115
Emergency Repair Program	99	19	12	120	138
CHDO Rehabilitation	0	3	10	18-20	13
Lead-Safe Louisville	37	5	6	100	48
Portland NRSA	1	4	1	75 **	7
Shawnee NRSA	12	3	3	7	20

Note: Weatherization, Ramps, and Lead Safe Louisville goals and accomplishments include both renter-occupied and owner- occupied households
* Income data is reported upon project completion. All Affordable Housing Development projects for Program Year 2014 are currently in progress.
** Annual goal for Portland NRSA owner-occupied rehabilitation program was not included in the Program Year 2014 Action Plan (program goals were specified in 2011 Portland NRSA plan approved by HUD)

Section 215 Housing Opportunities

Section 215 of the National Affordable Housing Act contains eligibility requirements for affordable housing as well as a definition, specifically pertaining to the HOME program. Sections 92.252 and 92.254 under Title 24 Code of Federal Regulations, Part 92 further explains rental and owner occupied HOME housing criteria necessary to qualify as Section 215 housing. The accomplishments for HOME funded programs/units that met the Section 215 definitions were 26 homeownership units. No rental projects were completed during Program Year 2014.

Worst Case Needs and Housing Needs of Persons with Disabilities

The housing programs administered by Louisville Metro Government are designed to meet the needs of all clients, including those in 'worst-case' scenarios and of persons with disabilities. HOME and CDBG funded housing activities provided assistance for 170 elderly households and 177 disabled households.

Public Housing Strategy

The Louisville Metro Housing Authority (LMHA) is responsible for addressing the needs of public housing within Louisville Metro/Jefferson County. The mission of LMHA is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. LMHA administers both the Public Housing program as well as the Section 8 Housing Choice Voucher (HCV) program. LMHA works in partnership with Louisville Metro Government in several areas in order to improve public housing and resident initiatives.

CDBG and HOME Funds to Support HOPE VI Projects

CDBG and HOME funds were used for infrastructure at the Liberty Green (formerly known as Clarksdale) HOPE VI Revitalization. HOPE VI is a federal program created in 1992 and charged with eradicating severely distressed public housing. LMHA has been awarded four competitive HOPE VI grants (one for Park DuValle, two for Clarksdale, and one for Sheppard Square). Sheppard Square is the agency's most recent HOPE VI grant. Awarded in May 2011, the $22 million in HUD funding has supported a $147 million revitalization of the Sheppard Square public housing development. Louisville Metro Government has contributed a total of $9,326,000 (including CDBG funds) toward the project as follows:

- Program Year 2012: $1,326,000 to fund demolition;
- Program Year 2013: $5,184,107 to fund additional demolition and infrastructure work for 4 street blocks; and
- Program Year 2014: $2,815,893 to fund infrastructure work for 3 additional street blocks.

Market Analysis / Affordable Housing Needs Assessment

Together with Louisville Metro Government through its Human Relations Commission, LMHA is sponsoring the creation of a housing market study for the Louisville Metro area. The work began in Program Year 2014 and will continue into Program Year 2015. The University of Louisville Anne Braden Institute (ABI) and Center for Environmental Policy and Management (CEPM), in collaboration with Metropolitan Housing Coalition, will produce a Market Analysis/Affordable Housing Needs Assessment that measures relevant data that accurately portrays the current housing market in Louisville Metro/Jefferson County, KY. The assessment will consist of a compilation and analysis of existing relevant quantitative findings, including age of homes, utility data, vacancy rates, home values, housing types and distribution of housing, as well as demand data such as demographics, current spatial distribution of low-income families and household by family size, age, race/ethnicity, and other census data. The assessment will also include focus groups of persons in protected classes (specifically low-income households who are African Americans, female single parent with own children, disabled persons, Hispanic/Latinos, and recent immigrants). With this information, the study team will be able to describe why people of protected classes live where they do; if families would prefer to live elsewhere; and what families would most want from their housing/place of housing. Policy recommendations based on the findings will be included in the final report.

Family Self-Sufficiency Program

LMHA offers a variety of family self-sufficiency opportunities to public housing residents and housing choice voucher participants. The Family Self-Sufficiency (FSS) program provides

case management and supportive services to residents. From July 2014 through June 2015, LMHA's FSS program served a total of 365 (unduplicated) households. In this same period, there were 38 program graduates who received a total of $284,082 in escrow.

Housing Choice Voucher Homeownership Program

LMHA administers an award-winning homeownership program, which allows participants to utilize their Housing Choice Voucher to pay a portion of a mortgage instead of rent. From July 2014 through June 2015, 16 clients purchased homes - 12 homebuyers from the Section 8 program and 4 homebuyers who were Public Housing residents. Average income of these buyers at closing was $22,281, which is below 50% of the area median family income. Average home sales price was $113,669, with an average interest rate of 3.531%. Six of the 16 buyers were elderly and/or disabled. Four of the 16 buyers utilized a total of $51,372 in HOME down payment assistance through Louisville Metro Government.

Ending Veteran Homelessness

In alignment with Mayor Fischer's commitment to achieve a functional end to veteran homelessness in Louisville by 2016, during Program Year 2014 LMHA adopted admissions preferences for homeless veterans in both its public housing and Housing Choice Voucher programs.

Public Housing Occupancy Rates

As of August 18, 2015, occupancy rates for LMHA public housing developments were as follows:

LOUISVILLE METRO HOUSING AUTHORITY
PUBLIC HOUSING OCCUPANCY AS OF AUGUST 18, 2015

Project Name	Project Number	Total Units	Occupancy Rate
Beecher Terrace	KY001000002	759	90.5%
Parkway Place	KY001000003	635	91.5%
Dosker Manor	KY001000012	684	94.4%
Saint Catherine Court	KY001000013	159	96.9%
Avenue Plaza, 550 Apartments	KY001000014	297	93.6%
Scattered Sites, Fegenbush	KY001000017	264	83.7%
Lourdes Hall, Will E. Seay Plaza	KY001000018	151	98.0%
Park DuValle Rental - Phase I (The Oaks)	KY001000027	59	100.0%
Park DuValle Rental - Phase II	KY001000030	92	100.0%
Park DuValle Rental - Phase III	KY001000031	78	93.6%
Park DuValle Rental - Phase IV	KY001000032	134	97.8%
New Scattered Sites - HOPE VI Replacement	KY001000034	419	89.0%
St. Francis Apartments	KY001000036	10	100.0%
Stephen Foster Senior Living	KY001000043	18	94.4%
Village Manor	KY001000046	10	90.0%
CH6 Scattered Sites	KY001000047	69	92.8%
Liberty Green Rental - Phase I	KY001000049	94	97.9%
Liberty Green Rental - Phase II	KY001000050	42	97.6%
Liberty Green Rental - Phase III	KY001000051	127	96.9%
Liberty Green Rental - Phase IV	KY001000052	48	95.8%
Downtown Scholar House	KY001000054	11	90.9%
Sheppard Square HOPE VI Replacement	KY001000056	9	88.9%
Newburg	KY105000017	4	100.0%
	Grand Total	**4,173**	**92.7%**

Barriers to Affordable Housing

Barriers to affordable housing in Louisville Metro include a lack of affordable housing units; old, energy-inefficient housing stock; and a lack of public knowledge about housing issues, tenants' rights, and homeowner rights and responsibilities. Additionally, the following regulatory barriers to affordable housing currently exist in Louisville Metro:

There is not an element in Louisville Metro's comprehensive plan, *Cornerstone 2020,* that specifically addresses housing. While there are five goals (K1 through K5) related to housing in the Community Form element, with seven underlying objectives, the goals and objectives are broad in nature and do not provide detailed direction on how to modify to the existing policies and regulations that affect affordable housing. Further, the plan does not provide any estimates of current and anticipated affordable housing needs.

In addition, to date, few incentives have been adopted into Louisville Metro's Land Development Code to address and encourage affordable housing. The most noteworthy existing incentive is the Alternative Development Incentives (ADI) program, which is voluntary and rarely used since its adoption in 2003. Another incentive is the Conservation Subdivision program, which encourages smaller single-family lots; however developers are not required to provide dedicated affordable housing as part of this program.

Finally, while a majority of the county is zoned residential and thus provides sufficient land zoned for housing by right, most of that residential zoning is single-family residential. Single-family residential lots are sometimes developed with affordable housing; however affordable housing is often more associated with attached housing and multi-family residential housing.

Actions Taken to Address Barriers to Affordable Housing

During Program Year 2014, Louisville Metro Government undertook a number of actions to directly and indirectly address barriers to affordable housing through a number of programs. The Affordable Housing Development and HOME TRBA programs work to ensure affordability and work to increase the supply of affordable rental and homeownership housing. Louisville Metro continued to work with two CHDOs to foster and develop affordable housing within the community for the benefit of extremely low, very low, and low income families earning 80% or less of the area's median family income. Additionally, Develop Louisville administered a home weatherization program (funded by Department of Energy/LIHEAP and supplemented by CDBG funding) intended to increase energy efficiency in Louisville's old housing stock, which has the effect of lowering utility bills and decreasing housing-related cost burden for low-income households.

Barriers regarding the lack of knowledge and understanding of housing issues and homeowner rights and responsibilities are addressed through various educational programs. The Fair Housing Enforcement program, administered by the Louisville Metro Human Relations Commission, educates individuals in the community through the annual Race & Relations Conference, billboard advertisements, housing discrimination brochures in various languages, and other community outreach activities. The Fair Housing Education program, administered by the Louisville Urban League, provides fair housing education to renters, prospective renters, and first-time homebuyers in both one-on-one sessions and group forums.

In May 2015, Mayor Greg Fischer proposed a locally-funded $12 million initiative called Louisville Creating Affordable Residences for Economic Success (Louisville CARES), which

will make available 1,500 affordable housing units over two years. The initiative includes a $10-11 million revolving loan pool that non-profit and for-profit developers can tap to help build multi-family housing across the city. In addition, the city will set aside $1 million to buy land for construction of housing near major employment centers, so that people can live near where they work. The loan pool will be administered by Develop Louisville's Office of Housing and Community Development, with support and strategic direction from the Affordable Housing Trust Fund. Loans will be available on a competitive basis with low interest rates.

In Program Year 2014, Louisville Metro initiated the process to create a new comprehensive plan that will replace Cornerstone 2020 and have a new horizon of 2020 through 2040. It is anticipated that this new plan will be adopted in 2018. In the new plan, policies regarding housing will be strengthened. Following the adoption of the comprehensive plan, Land Development Code amendments will be carried out to further those new goals and objectives.

Additionally, Louisville Metro is in the process of carrying out several ad hoc amendments to the Land Development Code that would incentivize fair and affordable housing. If adopted by Metro Council, these incentives will likely come into effect in late 2015.

Proposed amendments include the following:

- Alternative Development Incentives (ADI) – The existing regulations would remain optional; however they would be improved to encourage better utilization (i.e. removing site restrictions, simplifying the Diversity Housing Levels, etc.).
- Mixed Residential Development Incentive (MRDI) – A new optional incentive would be established, designed to encourage developments with a mixture of housing types, styles and pricing. This incentive would allow multi-family residential development to occur in certain single-family zoning districts without requiring a zoning change. A density bonus would also be offered in exchange for a commitment to provide multi-family units and affordable units.
- Affordable Housing Density Bonus (AHDB) – A new optional incentive would be established, in the form of a density bonus offered for commitment to provide affordable units within a development. This option could be applied to developments in zoning districts that allow residential development, single-family or multi-family, as a permitted use.
- Multi-family residential development to be allowed in the OR Office Residential District at a density of 12 units per acre.
- Attached housing to be allowed in the R-5A Multi-Family Residential District.

Additional actions to overcome the barriers identified above were undertaken by Louisville Urban League and Legal Aid Society, Inc. through counseling and education programs. These programs are also addressed in the General (Affirmatively Furthering Fair Housing) and Community Development (Public Services Objectives) sections of this report.

The Louisville Urban League provides fair housing education and housing counseling services to renters, prospective renters, and first-time homebuyers.

The Legal Aid Society, Inc. affirmatively furthers fair housing through two programs, the Foreclosure Counseling and Outreach Education program and the Tenant Assistance Program. The Foreclosure Counseling and Outreach Education program consists of two distinct activities: 1) educating homeowners who are threatened with foreclosure about the foreclosure process and providing them with legal advice regarding their options or the

alternatives to foreclosure; and 2) educating individuals and service providers with preventative information that will help a family avoid or resolve a threat to the family's economic stability. Legal Aid's Tenant Assistance Program provides legal assistance and supportive services to low-income tenants facing eviction.

Legal Aid held Foreclosure Clinics every Tuesday and Thursday for local residents to learn about the foreclosure process and have the opportunity to ask an attorney questions to resolve an issue. During Program Year 2014, 80 Jefferson County residents attended the clinics. Recently, Legal Aid found that foreclosure needs are declining and landlord/tenant needs are on the rise. To provide services needed in the community, Legal Aid began holding Foreclosure Clinics on Tuesdays and Landlord/Tenant Clinics on Thursdays.

Legal Aid also held office hours at the 810 Barret Ave Department of Community Services (LMCS) office and assisted 61 LMCS clients with issues ranging from government benefits, family law, consumer law, bankruptcy, tax law, foreclosure, and eviction issues.

In addition to office hours, Legal Aid held educational workshops and presentations to LMCS staff and LMCS client populations across the county serving an estimated 732 Jefferson County residents. Presentations were held at locations such as the Healing Place, LMCS offices, Sheppard Square,, Portland Neighborhood Center, Portland Library, Shawnee Library, Southwest Government Center, Jewish Family Vocation Center, Americana, Elderserve, VOA, Cedar Center, Brown Mackie, KY Refugee Ministries, St. John's, Shively Area Ministries, Newburg Community Center, CARE presentations at Liberty High School, Kentuckiana Works, and the Home of the Innocents. Topics covered ranged from housing, consumer, family, government benefits, taxes, and expungement law.

HOME/American Dream Downpayment Initiative (ADDI)

Note: Louisville Metro did not receive ADDI funds during Program Year 2014.

2014 Program Year Housing Objectives

The highest priority goal identified in the 2010 – 2014 Consolidated Plan was that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. Each objective established in the Consolidated Plan lists the HUD program objective to which it corresponds (Decent Housing (DH), Suitable Living Environment (SL), or Enhanced Economic Opportunities (EO)) and a HUD outcome indicator (Availability/Accessibility (1), Affordability (2), or Sustainability (3)). The activity line indicates which programs conducted the work necessary to achieve the objectives and outcomes. The funding source for these programs is also provided below.

The following housing objectives were identified in the Action Plan for Program Year 2014:

Objective 1.1: Increase the number of affordable homes [HUD DH-1]
Outcome 1.1: Develop 18-20 new units of affordable single family homeownership housing
Activities 1.1: Non-profit housing development (Community Housing Development Organizations - CHDOs)
Funding: HOME

Objective 1.1: Increase the number of affordable homes [HUD DH-1]
Outcome 1.1: 40 vacant West Louisville homes rehabilitated into homeownership units by individual homebuyers
Activities 1.1: Urban Homesteading Program (Shawnee and Portland Homeownership Incentive Program)
Funding: CDBG

Objective 1.2: Increase the number of affordable rental units [HUD DH-1]
Outcome 1.2: Develop 62 new units of affordable rental housing
Activities 1.2: Affordable Housing Development Program, Non-profit housing development (CHDOs)
Funding: HOME, CDBG, and Private Investments

Objective 1.3: Improve energy efficiency and conservation [HUD SL-3]
Outcome 1.3: Provide weatherization assistance to 35 households
Activities 1.3: Weatherization Program
Funding: CDBG and Weatherization Assistance for Low Income Persons (Department of Energy - DOE)

Objective 1.4: Assist low-to-moderate income households with rent [HUD DH-2]
Outcome 1.4: Serve 100 persons
Activities 1.4: Tenant Based Rental Assistance
Funding: HOME

Objective 1.6: Preserve and improve existing, affordable, owner-occupied housing [HUD DH-3]
Outcome 1.6: Provide assistance to 130 single family units
Activities 1.6: Emergency Repair Program

Funding: CDBG and Weatherization Assistance for Low Income Persons (DOE)

Objective 1.6: Preserve and improve existing, affordable, owner-occupied housing [HUD DH-3]
Outcome 1.6: Provide assistance to 23 single family units
Activities 1.6: Shawnee NRSA Program
Funding: CDBG

Objective 1.8: Address lead-based paint hazards [HUD SL-1]
Outcome 1.8: Remove or abate lead hazards in 100 units
Activities 1.8: Lead Safe Louisville Project
Funding: Lead-Based Paint Hazard Control Grant, CDBG, Private Investments

Objective 1.9: Support non-profit housing developers as a core objective in reaching affordable housing goals [HUD SL-1]
Outcome 1.9: Maintain an ongoing group of 2 CHDOs
Activities 1.9: Technical assistance and direct financial support to CHDOs
Funding: HOME

The following HOME-funded programs were included in the Program Year 2014 Action Plan addressing the aforementioned goals and objectives.

Community Housing Development Organization (CHDO) (IDIS: Various):

A Community Housing Development Organization (CHDO) is a private nonprofit, community-based service organization that has achieved a special designation as a developer of affordable housing. Louisville Metro Government, as the Participating Jurisdiction (PJ), must reserve no less than 15 percent of HOME funds for investment in housing to be developed, sponsored, or owned by community housing development organizations. The CHDO must be the developer, owner, and/or sponsor of the HOME-assisted housing in order to access the CHDO set-aside.

Louisville Metro Government supports CHDOs by providing the following:

- Access to operating support
- Access to training and technical assistance in housing development and management
- Access to construction financing
- Access to gap financing
- The opportunity to earn reasonable developer fees
- Access to retention of CHDO proceeds

Louisville Metro Government currently supports two CHDOs.

<u>River City Housing</u>

River City Housing Lease Purchase Program – 2013H-RCH-CHDO
This project consisted of 11 acquisition rehab lease purchase properties. All 11 properties have been acquired, rehabbed, and resold to eligible low-to-moderate income buyers. The total HOME CHDO set-aside agreement was worth $1,283,718. The agreement was signed on July 30, 2013, and the last house sold on April 28, 2015.

River City Housing HOMEstretch Lease Purchase Program – 2015H-RCH-CHDO
This project is the first of two lease purchase agreements to be signed. It consists of six acquisition rehab lease-purchase properties. One house has already been acquired,

rehabbed, and sold. The HOME agreement is worth $514,871 and was signed on May 6, 2015.

2014 Action Plan Goal: 12 units
Units sold During Program Year 2014: 9
Funds Expended in Program Year 2014: $895,388.88

REBOUND, Inc.

Shawnee Homeownership Option Program – 2013H-REB-CHDO
The project consists of six acquisition rehab lease purchase properties. Five of the properties have been rehabbed and sold to eligible buyers. The final property has been completed and is under contract for sale. The total HOME CHDO set-aside agreement was worth $1,118,016. The agreement was signed on July 31, 2013.

2014 Action Plan Goal: 6-8 units
Units Sold during Program Year 2014: 4
Funds Expended in Program Year 2014: $569,683.85

CHDO Down Payment Assistance (**IDIS: Various**) **-** 13 buyers of CHDO-developed homeownership units were provided with down payment assistance during Program Year 2014 totaling $201,704. Down payment assistance funds for CHDO-developed properties are included in the funds expended totals reported above.

CHDO-DEVELOPED PROPERTIES DEVELOPED AND SOLD
JULY 1, 2014 THROUGH JUNE 30, 2015

Address	Zip Code	Sale Price	Developer Subsidy	Homebuyer Assistance	Closing Date
4630 Idle Hour Drive	40216	$120,000	$94,500	$21,882	7/11/2014
3127 Wilkie Road	40216	$135,000	$94,500	$12,541	7/30/2014
3002 Bob White Court	40216	$106,000	$94,500	$10,890	7/31/2014
331 Glendora Avenue	40212	$83,000	$177,280	$11,515	10/30/2014
2315 Mary Catherine Dr.	40216	$112,000	$94,500	$14,900	11/19/2014
1340 Forest Drive	40219	$125,000	$94,500	$22,000	1/30/2015
4519 Varble Ave	40211	$105,000	$150,350	$18,800	2/4/2015
327 Shawnee Terrace	40212	$92,000	$172,400	$21,566	3/24/2015
2302 Farnsley Road	40216	$128,000	$94,500	$8,410	3/27/2015
1700 Trent Avenue	40216	$119,000	$94,500	$21,400	4/6/2015
2521 Hampstead Drive	40216	$118,000	$94,500	$16,000	4/28/2015
105 S. 45th Street	40212	$90,000	$167,260	$6,000	5/28/2015
2222 Amboy Drive	40216	$115,000	$85,871	$15,800 *	6/19/2015

*Homebuyer assistance funds included in developer subsidy

Metro-Wide Down Payment Assistance (DPA) program (IDIS: various) - The metro-wide HOME Down Payment Assistance (DPA) program for homebuyers earning less than 80% of Area Median Income (AMI) was included in the Program Year 2013 Action Plan, however the launch of the program was delayed until Program Year 2014. The program is funded with reallocated HOME funds from prior years.

2013 Action Plan Goal: 35
Units Assisted during Program Year 2014: 13
Amount of funds expended during Program Year 2014: $217,659.00

Affordable Housing Development Program (**IDIS: Various**) - The Affordable Housing Development Program is designed to offer established developers gap financing opportunities for single-family and multifamily rental, homeownership, and lease-purchase projects to increase affordable housing within the local housing market. There are three objectives in providing gap financial assistance: 1) to ensure the project is adequately capitalized and that there are sufficient funds to allow the project to be developed in a responsible manner; 2) to ensure that operating projections are reasonable, to allow the project to have sufficient resources during the affordability period; and 3) to ensure that the funds provided by Louisville Metro are the minimum required to accomplish the first two objectives. Louisville Metro funding is normally utilized for construction related costs associated with rehabilitation and/or new construction activities.

The Program Year 2014 Notice of Funding Availability solicited development proposals for HOME-funded projects. The NOFA sought projects that would offer housing choices to a range of homebuyers and renters, by creating "quality of place" neighborhoods with a diverse blend of affordable housing types, supported by public facilities and other amenities. The 2014 NOFA provided opportunities to compete for both CHDO set-aside and general HOME dollars available to commit for projects. One new project was selected for funding as a result of the 2014 NOFA: House of Hope Kentucky, Inc. (demolition and new construction of three rental townhomes, $400,000). Nightingale Apartments (renovation of 65 units) received an additional $278,500 from the 2014 NOFA after receiving $500,000 as a result of the 2013 NOFA.

During Program Year 2014, Louisville Metro entered into a $1,000,000 HOME subrecipient agreement with the Louisville Affordable Housing Trust Fund. The project consists of the acquisition new construction/rehabilitation of up to 30 single family houses. HOME funds will be used as gap financing for developers and as down payment assistance for homebuyers. One house has been developed and sold to date, and six more are under development.

2014 Action Plan Goal: Determined by 2014 NOFA results
Units in Progress during Program Year 2014: 59 HOME Units, 140 total units
Funds Expended in Program Year 2014: $1,459,772.56

See the following table for more information on HOME-funded Affordable Housing Development projects.

AFFORDABLE HOUSING DEVELOPMENT PROGRAM
IN PROGRESS PROJECTS – HOME FUNDED

Project Name	Total Budget	HOME Funding	Non-HOME Funding	# Units	HOME Assisted Units	Status
House of Hope Kentucky, Inc.	$400,000	$400,000	$0	3	3	In progress
Nightingale Apartments *	$2,582,500	$778,500	$1,804,000	65	16	In progress
Oracle Louisville Historic Rising 2014	$10,002,788	$1,397,000	$8,605,788	40	8	In progress
Shelby Park VAP	$433,340	$342,850	$90,490	2	2	In progress
Louisville Affordable Housing Trust Fund HOMEbuyer Program	$1,000,000	$1,000,000	$0	30	30	In progress
TOTAL	$14,418,628	$3,918,350	$10,500,278	140	59	

* Project was originally funded at $500,000. HOME NOFA 2014 review added $278,500 in HOME funds

HOME Tenant Based Rental Assistance Program (**IDIS: 26092, 27243, 27342**) - The primary purpose of this program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing programs. Rental assistance through this HOME-funded program will be provided for a maximum of 2 years.

2014 Action Plan Goal: 100 households assisted
Households assisted during 2014: 76
Funds Expended in Program Year 2014: $496,195.42

For race/ethnicity data and types of households served by these programs, please see the tables on the following page.

HOME-FUNDED PROGRAMS
RACE/ETHNICITY DATA

	CHDO		Affordable Housing Development*		TBRA		Metro-Wide Down Payment Assistance	
Race	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**
White	1	0	0	0	20	0	5	0
Black/African American	12	0	0	0	56	0	8	0
Asian	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native	0	0	0	0	0	0	0	0
Native Hawaiian/ Other Pacific Islander	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native/White	0	0	0	0	0	0	0	0
Asian/White	0	0	0	0	0	0	0	0
Black/African American & White	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native & Black	0	0	0	0	0	0	0	0
Other Multi-Racial							0	0
TOTAL	**13**	**0**	**0**	**0**	**76**	**0**	**13**	**0**

*Race/ethnicity data is reported upon project completion. All Affordable Housing Development projects for Program Year 2014 are currently in progress.

HOME FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED

Type of Household	CHDO	Affordable Housing Development*	TBRA	Metro-Wide Down Payment Assistance
Disabled	0	0	1	0
Female Head of Household	11	0	46	11
Elderly	0	0	1	0

*Household type data is reported upon project completion. All Affordable Housing Development projects for Program Year 2014 are currently in progress.

Assessments

Develop Louisville completed 38 inspections of Rental Development projects during Program Year 2014. Twelve properties required reinspection and all passed upon reinspection. During the rental development property inspections, issues cited included:

- Roof leak evident at kitchen ceiling
- Lavatory faucet deteriorated
- Smoke detector battery missing
- Elevator must be serviced and invoice provided
- Clothes dryer exhaust deteriorated
- Toilet closet bolts loose at floor
- Fasten loose kitchen floor tile
- Repair leak damaged ceiling in bedroom
- Replace missing smoke detector

Inspections included building exteriors and all common areas in addition to housing units.

A summary of Program Year 2014 rental inspections is provided in the following table.

INSPECTIONS OF RENTAL HOUSING PROGRAM YEAR 2014
PROJECT INSPECTIONS

Project Name	Project Address	Units Inspected	Date of Inspection	Pass/Fail	Reinspection Date	Reinspection Pass/Fail
LDG 2/DF Investments	2305-2323 Rodman	4	8/14/2014	Pass		
Brandeis Partners LTD NDHC	925 S 26th St	5	8/28/2014	Pass		
Liberty Green Apartments Phase I	322 (101), 300 (201 & 204) Clay St; 610, 508 (202) E Jefferson St	5	8/26/2014	Pass		
Day Spring Woods	3417 Community Horizon Circle	6	10/9/2014	Pass		
Russell Apartments - NDHC	scattered site	37	1/23/2014	Pass		
Lou Metro Housing Authority Sheppard Sq Replacement	508 E Jefferson St; 517, 519, 521, 523 E Breckinridge St	5	10/12/2014	Fail	2/6/2015	Pass
Downtown Scholar House	900 S 1st; 110 W Breckenridge	5	12/18/2014	Pass		
Louisville Scholar House	401-409 Reg Smith Circle	5	12/18/2014	Pass		
Stoddard Johnston Scholar House	2301 Bradley Ave	5	12/18/2014	Pass		
Oracle Single Family Homes 2009	1529, 1533 Maple; 718, 722, 729, 730, 735, 739, 740 S 16th; 1508, 1510, 1514, 1520, 1522 (front and rear), 1610 Anderson	5	12/31/2014	Fail	1/6/2015	Pass
Oracle Single Family Homes 2010	1778, 1852, 2203, 2204, 2205, 2208, 2218, 2220, 2307, 2313 W Ormsby, 3626, 3835 River Park Dr; 1808, 1810 W Jefferson	5	12/31/2014	Fail	1/6/2015	Pass
Brook Street Apts.	1251 S Brook St	3	12/23/2014	Pass		
Woodsmill/Millwood	5026 Quail Hollow Rd, others	20	1/16/2015	Pass		
City View- Walnut Phase 1, Village West	1100 Place Blanc; 500-530, 532-550, 552-562, 564-580, 582-600 Village West; 900-910, 912-922 Place Bleu; 1000-1014, 1043-1061, 1003-1017, 1019-1041 Place Janue; 911-929 W Chestnut; 1024-1038, 1000-1022, 900-922 Muhammad Ali; 1000-1054 Place Vert; 900-910, 901-913 Place Rouge	16	4/23/2015	Fail	5/15/2015	Pass
Puritan Apartments	1244 S 4th St	5	4/21/2015	Fail	6/10/2015	Pass
Henry Greene Apts.	1005 W Jefferson St	25	4/20/2015	Fail	7/14/2015	Pass
Stephen Foster Senior Living Apts.	4020 Garland Ave	9	4/21/2015	Pass		
Rhema	4432 W Broadway	3	4/21/2015	Pass		
Directions Apartments	446-448 Amy Ave; 3714-3716, 4540 W Broadway; 3815, 3842 W Muhammad Ali; 539 Louis Coleman Jr Dr; 4404-4408 Del Park Terrace; 1378 S Floyd St; 402 S 38th; 630 S 44th; 4500 W Market St	11	4/28/2015	Pass		
Coventry Commons I	4517 Cane Run Bldg 3; 4519 Cane Run Bldg 4	6	4/24/2015	Pass		
Coventry Commons II	4510 Cane Run Bldgs 1-5; 4512 Cane Run Bldgs 6-9	5	4/24/2015	Fail	5/25/2015	Pass
St. Columba Apts	3514 W Market	15	4/27/2015	Pass		
St. Denis Senior Apts	4209 Cane Run Rd	3	4/21/2015	Pass		
Nichols Meadows	3700 Georgetown Place	8	4/21/2015	Pass		
St. Vincent de Paul Homes	416 E Kentucky; 419 E St. Catherine; 1041 S Preston	3	4/21/2015	Pass		

Partridge Point	3645, 3647-3651, 3656, 3657, 3659 Dena; 3648, 3651, 3653, 3662 Elderwood	5	4/21/2015	Fail	6/11/2015	Pass
St Cecilia Elderly Apts	2530 Slevin	5	4/21/2015	Fail	6/11/2015	Pass
Jackson Woods	1029 S Jackson St	6	4/22/2015	Pass		
Christ the King	700 South 44th St	5	4/21/2015	Fail	6/11/2015	Pass
Shawnee Apartments - NDHC	scattered site	35	5/13/2015	Fail	5/28/2015	Pass
Cornerstone Apartments (HOME)	236 E Kentucky	5	5/4/2015	Pass		
Healing Place for Women	1613 S 16th St	5	6/15/2015	Pass		
Old School (Heywood)	422 Heywood Ave	10	5/26/2015	Fail	5/28/2015	Pass
Overlook Terrace	8115 Glimmer Way	5	6/11/2015	Pass		
St. William Apts	1127, 1137, 1147, 1157 S 17th	4	6/12/2015	Pass		
H. Temple Spears	1515 Cypress St	10	5/26/2015	Pass		
Brookstone	2821 Biggin Hill Rd	3	6/17/2015	Pass		
Woodbourne House	2005 Douglass Blvd	2	5/13/2015	Pass		

Affirmative Marketing Actions

The HOME Program requires that affirmative marketing steps be taken by participating jurisdictions, as stated in 24 CFR 92.351, when using HOME funds for rental projects containing five or more HOME-assisted units. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, gender, religion, familial status, or disability.

Affirmative marketing actions are undertaken by the Louisville Metro Human Relations Commission and Louisville Urban League through fair housing education, outreach, and enforcement activities. Louisville Metro Government also works to institute affirmative marketing actions through the following:

- Use commercial media, including radio, television, and newspapers, to disseminate information
- Use Equal Housing Opportunity logotype or slogan in press releases and solicitations for owners
- Distribute and display fair housing poster
- Require developers to submit an affirmative marketing plan through completion of Affirmative Fair Housing Marketing AFHM Plan – Multifamily Housing (form HUD 935.2A)

Louisville Metro Government has Affirmative Action goals of 15% minority owned businesses and 5% women owned businesses. Contractors are required to make a good faith effort to reach those goals in construction-related projects by using minority, female, and handicapped owned businesses as suppliers and subcontractors.

The Louisville Metro Human Relations Commission also strives to meet this goal with an updated certification process. Ordinance No. 102, Series 2007 establishes the certification process for businesses owned, operated and controlled by minorities, females, persons with disabilities, and sets goals for Louisville Metro Government to promote the awarding of contracts to these certified businesses. The updated certification process features no application fee, reduced preparatory work, reasonable supporting documentation, increased confidentiality, and reciprocal certification with Metropolitan Sewer District, Kentuckiana Minority Business Council, and Kentucky Transportation Cabinet.

These changes have encouraged more businesses owned by minorities, females, and persons with disabilities to become certified, and are listed on the Louisville Metro Government certified business list. This list is accessible via the Louisville Metro Human Relations Commission website and is updated monthly.

HOMELESS

Homeless Needs

Actions Taken to Address the Needs of Homeless Persons

The needs of the homeless in Louisville Metro are primarily tracked through the Continuum of Care (CoC) provider network. The Louisville CoC is comprised of over 40 service provider agencies and is operated by the local Coalition for the Homeless which also serves as the HMIS Lead agency. The local CoC quantifies the annual needs and trends of the Louisville Metro homeless population.

In calendar year 2014, shelter bed capacity in Louisville was 1,182 emergency and transitional housing beds, a 2% increase from 2013. Data from the 2014 Homeless Census provided by the Coalition for the Homeless shows that 1,164 persons exited shelters to permanent housing in 2014. On the night of the 2015 Point in Time count, the Louisville CoC had more formerly homeless persons assisted through CoC-funded Permanent Supportive Housing (1,665 persons) than on the street or in emergency shelter. This is the first time in the history of the Louisville CoC that the number of formerly homeless in housing has exceeded the current number of homeless persons.

Unmet need is measured using the numbers from the annual Point in Time count and annual Homeless Census. The most recent annual Continuum of Care Point in Time count, conducted on January 28, 2015, reported a total point-in-time homeless population of 1,466 persons. Of those, 1,385 were sheltered and 81 were unsheltered on the street. 258 persons were identified as chronically homeless, 14 of whom were unsheltered during the count. The number of chronically homeless persons includes 5 chronically homeless families (13 persons).

The 2014 Homeless Census reported 7,380 persons as homeless at some point during the 2014 calendar year, with 7,086 being sheltered and 294 being unsheltered. 1,299 of these persons were in families, including 863 children. An additional 499 unaccompanied youth were served. Of the 7,380 persons served, 535 persons were identified as chronically homeless, 3,422 persons were disabled, 879 were victims of domestic violence, and 904 were veterans. When compared with the Homeless Census from 2013, these figures showed decreases in total homeless population (-14%), number of homeless families (-29%), and domestic violence victims (-33%). This census showed increases from 2013 in the number of unsheltered homeless persons (29%), people with disabilities (1%), veterans (1%), and children (including unaccompanied youth) (14%). The 2014 Homeless Census includes clients served in Supportive Services Only programs, emergency shelters, transitional shelters, and domestic violence shelters. These numbers did not include those persons living in CoC-funded permanent supportive housing during the 2014 calendar year.

Numbers from the Point in Time count and Homeless Census are summarized below.

Category	2015 Point in Time	2014 Census Count
Total homeless persons	1,466	7,380
Number of families	111	449
Number of Children (under 18)	198	1,362
Number of Persons (18-24)	97	N/A
Number of Persons (over 24)	1,161	N/A
Unaccompanied children	15	499
Veterans	201	904
Chronically homeless	258	535

Other initiatives designed to measure and address the needs of the homeless in Louisville Metro include the annual Project Homeless Connect event held in the fall of each year. Project Homeless Connect is a one-day service fair for Louisville's homeless. Services provided include free medical screens and health care, food, clothing, Kentucky State Identification cards, and linkages to benefits, housing, case management, and other services. Approximately 500 to 700 homeless individuals attend the event each year.

Louisville Metro, in partnership with the Coalition for the Homeless, produced a cost of homelessness study in 2008 and have created an updated version of the local Ten Year Plan to End Homelessness, entitled "*Reducing Homelessness: a Blueprint for the Future (Update) 2012-2015*." Both publications shed light on the local actions needed to address homelessness in Louisville/Jefferson County Metro.

Several other key initiatives and projects are underway to further address the needs of homeless persons in Louisville. The Coalition for the Homeless is currently leading an effort to enhance access to Medicaid billing for case management services for homeless persons (and formerly homeless persons). If Medicaid can be further utilized as an additional resource for supportive services and peer support, future partnership opportunities for additional CoC-funded Permanent Supportive Housing options could be made available. The Louisville CoC has struggled at times with finding available case management services; having Medicaid as a future resource could help solve this issue and thus lead to additional housing opportunities. Another recent local initiative has been spearheaded by Mayor Greg Fischer. In the fall of 2014, Mayor Fischer signed the Mayor's Pledge to End Veteran Homelessness. In early 2015, a multi-agency team was assembled to work on achieving this goal. Louisville seems to be on track to achieve this goal by the end of 2015. Starting on Sept. 1, 2015, a new locally-funded Rapid Re-Housing program will be initiated by Louisville Metro's Department of Community Services for homeless families with employment income. This new program will provide up to 12 months of ongoing rental and supportive service assistance to homeless families.

In addition to ESG and CDBG resources outlined further in this report, Louisville Metro has attempted to address the needs of homeless persons in Program Year 2014 through the HOME-funded Tenant Based Rental Assistance (TBRA) program. The primary purpose of the HOME TBRA program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing program. In Program Year 2014, HOME TBRA served 76 households consisting of 174 persons.

Actions Taken to Help Homeless Persons Transition to Permanent Housing and Independent Living

Louisville's implementation of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act will continue to stimulate the paradigm shift of moving Louisville's homeless from emergency and transitional housing into permanent housing. In the past, Louisville's homeless typically spent long durations in either emergency shelter or transitional housing prior to "graduating" to permanent housing. Louisville's Continuum of Care has moved to a "systems approach" for quickly re-housing the homeless. Implementation of many of these systems changes occurred in Program Year 2013.

The Coalition for the Homeless began implementation of a single point of entry for homeless shelter during Program Year 2013, which removes the need for homeless people to wait in line in inclement weather, wait for a lottery to determine if they have a safe place to stay, or wander from place to place to find a bed for the night. Anyone can contact 637-BEDS to make a shelter reservation any day of the year. Individuals and families who are not homeless but are seeking assistance are diverted to more appropriate community resources, such as Neighborhood Place and Metro United Way. This process ensures that shelter is reserved for those in greatest need, creates a way for institutions including hospitals and jails to prevent the release of homeless persons to the streets, and prevents people from becoming homeless in the first place by making referrals to homeless prevention services in the community. Because the system utilizes a scan card system, the length of time for check in has been greatly reduced at shelters and the data on homeless persons served is better than ever in the past.

Additionally, Louisville has a coordinated assessment and referral team called the Common Assessment Team, which assesses each homeless household in Louisville and then determines the most appropriate housing referral based on vulnerability and eligibility. The Common Assessment survey tool is incorporated from the national 100,000 Homes survey tool [the Vulnerability Index/Service Prioritization Decision Assistance Tool (VI-SPDAT)] and is used to prioritize those using Louisville's homeless system by various vulnerabilities. The Common Assessment Team maintains one community referral list for all HUD COC-funded permanent supportive housing options in Louisville. ESG Rapid Re-Housing and HOME TBRA program referrals have also been coordinated through the Common Assessment Team since May 2014. All referrals are made based on vulnerability ranking as determined by the VI-SPDAT.

The Common Assessment tool provides an in-depth assessment of the client's needs. Once referred to an appropriate housing program, case managers work with a client to set up an individual plan for that client, which includes a housing goal and an income goal. The plan includes referrals to mainstream resources, such as SSI/SSDI, food stamps, TANF, KTAP, or services for veterans.

In addition, ESG resources are continuing to provide Rapid Re-Housing services to Louisville's current street and emergency shelter population. As of August 2015, the ESG Rapid Re-Housing program serves 33 households consisting of 83 persons with 12 months of continuous rental assistance and supportive services. The Housing Choice Voucher Program (Section 8) administered by Louisville Metro Housing Authority also continues to partner with the CoC by providing housing placement preferences for homeless families when necessary and available. In Program Year 2014, the Louisville Metro Housing Authority partnered with the Louisville CoC to provide "move up" Housing Choice Vouchers for ten current CoC-funded Permanent Supportive Housing voucher recipients who have

stabilized and no longer need continuous supportive service interventions. These ten stable households are now able to move out of Permanent Supportive Housing, thus freeing up their previous spot for a new highly vulnerable/chronically homeless individual or household who could benefit from the on-going supportive services associated with this type of assistance. Louisville Metro also supported services to help persons exit homelessness with CDBG allocations during Program Year 2014, including an allocation of $36,600 to support the Economic Success Program at the Center for Women and Families (Louisville's domestic violence shelter) and an allocation of $55,000 for Family Scholar House to support case management for single parents (who may be homeless) working on a college degree.

Louisville's Shelter Plus Care program has continued to streamline operations over the past several Program Years, and is further enhanced by the Common Assessment referral system. Program policies and procedures have been implemented, resulting in strong collaboration and successful program compliance. A greater emphasis on financial empowerment via case management service provision has led to better income attainment for Shelter Plus Care program participants. When program participants gain additional income supports, Louisville Metro is able to serve more overall clients with the same amount of Shelter Plus Care resources. Approximately 90% of the available Shelter Plus Care subsidies are designated as tenant-based; where the qualifying program participant chooses their own housing unit anywhere in Jefferson County. In addition, Shelter Plus Care and the Department of Community Services' other Permanent Supportive Housing projects continue to show very strong housing stability performance measures. All seven of LMCS' PHS projects are operating above the 80% housing stability measure.

Federal Resources Obtained from Homeless SuperNOFA

The Louisville Continuum of Care continues to maximize CoC resource allocations on the annual CoC application. The Louisville CoC continues to score well on the annual CoC application, and no Tier 2 projects have experienced funding cuts on the last few community applications. The Louisville CoC was unable to apply for the "bonus" Permanent Supportive Housing funding opportunity in the fall 2014 NOFA due to a lack of available matching funds in the community. However all renewal projects were fully funded in the fall 2014 application.

Specific Homeless Prevention Elements

The sources of funds that have been and will continue to be applied to homeless prevention in the Louisville Metro area include HOME, HOPWA, and ESG entitlement funds and multiple other public and private grants administered by both public and private entities. HOME TBRA prevented 76 households from experiencing homelessness during the Program Year. Louisville Metro allocated $276,487 in Program Year 2014 HOPWA funds for TBRA and STRMU to prevent homelessness among one of the community's most vulnerable populations. HOPWA TBRA served 31 households and HOPWA STRMU served 144 households. ESG funds supported two prevention efforts in Program Year 2014: Legal Aid Society's Eviction Defense Program (which served 194 clients during the Program Year) and Family & Children's Place's Family Stabilization and Financial Assistance Program (which served 22 households during the Program Year).

Emergency Solutions Grants (ESG)

Outreach to Homeless Persons

ESG subrecipients providing street outreach work with service providers and other community networks to identify, support, and stabilize the unique needs of those who are living on the street. Street outreach teams collect basic information to add to HMIS. Homeless persons who want to engage further are screened through the coordinated assessment process. Appropriate referrals and resources are identified based on this assessment.

Those living on the streets may be engaged by one of several street outreach teams currently active within the Louisville Continuum of Care (CoC). The Seven Counties Mental Health Outreach team is funded through the Louisville CoC and is the primary street outreach team for homeless adults with mental health concerns in Louisville. YMCA Safe Place Services received ESG funding as well as funds from the U.S. Department of Health and Human Services. This team focuses on at-risk populations of 12 to 22 year olds, with primary education efforts to 12 to 17 year olds and street outreach and case management services to 18 to 22 year olds. YMCA performs street outreach 5 days/nights a week. Family Health Center's Medical Street Outreach team consists of a physician, medical assistant, and hospital liaison social worker. The team provides services in two area day shelters (Jefferson Street Baptist Center at Liberty and St. John Center), community kitchens, homeless campsites, and other areas where homeless individuals congregate. In Program Year 2014, Louisville Metro allocated $94,100 in ESG funds to support street outreach efforts through YMCA and Family Health Center.

Seven Counties Mental Health Outreach leads the annual Point in Time count each January and has also been instrumental with the Rx: Housing initiative (100,000 Homes campaign). Homeless street outreach is also performed in Louisville by the Wayside Christian Mission Samaritan Patrol, the River City Love Squad, and the Healing Place CAP Van outreach effort. These additional outreach teams do not receive Continuum of Care or ESG funding and operate on a more limited basis, but do perform consistent homeless street outreach efforts throughout the year.

Emergency Shelter and Transitional Housing Needs

ESG funding of $360,300 was awarded to qualifying emergency shelters in Louisville Metro in Program Year 2014. These funds were used primarily for staffing and operation needs at four emergency shelters in Louisville: Wayside Christian Mission, St. John Center, St. Vincent de Paul, and Volunteers of America. CDBG funds supported additional shelters, as discussed in the Community Development (Low/Moderate Income Limited Clientele Activities) section. ESG funding also supported the House of Ruth Glade House emergency shelter bed for adults with HIV/AIDS whose health status makes stays in community shelter medically unsafe or whose transgender identity makes shelter stays physically unsafe. Additionally, ESG funds supported Operation White Flag, coordinated by the Coalition for the Homeless. Operation White Flag provides emergency shelter for persons who would otherwise be turned away during severe weather. The Coalition, in collaboration with three local homeless agencies (St. Vincent de Paul, Salvation Army, and Wayside Christian Mission), provides basic public safety by working to prevent homeless persons from dying on the streets due to exposure. During White Flag days, participating shelters agree to serve homeless persons beyond their normal bed capacities.

To prevent the need for emergency shelter, Louisville Metro allocated $68,900 in Program Year 2014 ESG funds to support two prevention efforts: Legal Aid Society's Eviction Defense Program (which served 194 clients during the Program Year) and Family & Children's Place's Family Stabilization and Financial Assistance Program (which served 22 households during the Program Year).

Homeless Discharge Coordination

The Continuum of Care works to coordinate with state-run institutions to prevent individuals who are being discharged from becoming homeless. This effort includes the ESG-funded Family Stabilization and Financial Assistance Program operated by Family & Children's Place, described in this section. Additionally, through the Homeless Prevention Program funded by the Cabinet for Health and Family Services, Family & Children's Place provides case management to people aging out of Foster Care, leaving Central State Hospital, and leaving state prison. The program provides case management pre- and post-release to ensure that people are not discharged into homelessness.

Relationship of ESG Funds to Goals and Objectives

According to the *Louisville-Jefferson County Metro Government Consolidated Plan 2010-2014,* both chronic and transitional homeless housing programs would be funded by Metro Government during Program Years 2010-2014. The fourth overall goal listed in the Consolidated Plan was to "reduce the incidence of homelessness in the Louisville Metro Community." The Consolidated Plan listed seven objectives under this goal with related outcomes and activities. Five of these objectives were included in the Program Year 2014 Action Plan, however it should be noted that not all of these homelessness objectives relate directly to the expenditure of ESG funding.

The following homelessness objectives were identified in the Action Plan for Program Year 2014:

Objective 4.1:	Increase self-sufficiency services for persons who are homeless [HUD DH-3]
Outcome 4.1:	Serve 2,000 persons with self-sufficiency services
Activities 4.1:	Non-profit service providers
Funding:	CDBG funding distributed via committee process
Objective 4.2:	Increase supportive services for persons who are homeless, including health, mental health, substance abuse, domestic violence, child care, and transportation services [HUD DH-3]
Outcome 4.2:	Serve 1,500 persons with supportive services
Activities 4.2:	Non-profit service providers
Funding:	CDBG funding distributed via committee process
Objective 4.3:	Provide prevention services, including emergency assistance with rent, mortgage & utilities, landlord intervention services, and assistance to obtain copies of birth certificates, photo IDs and other necessary documents [HUD DH-3]
Outcome 4.3:	Assist 1,000 persons
Activities 4.3:	Non-profit service providers
Funding:	ESG funding distributed via committee process

Objective 4.4:	Support Emergency Shelters [HUD DH-3]
Outcome 4.4:	Serve 3,000 homeless persons
Activities 4.4:	Non-profit service providers
Funding:	ESG funding distributed via committee process
Objective 4.5:	Deliver Permanent Supportive Housing Services [HUD DH-1]
Outcome 4.5:	Serve 334 households through Shelter Plus Care and another 22 households through Permanent Supportive Housing, and 40 households through a Supportive Services Only program
Activities 4.5:	Louisville Metro Government and non-profit service providers
Funding:	Shelter Plus care, Permanent Supportive Housing, and Supportive Services Only program grants

In Program Year 2014, these objectives were addressed with ESG and CDBG funding. 6,699 persons were served through ESG shelter subrecipients. 236 persons were assisted through ESG-funded prevention services provided by Legal Aid Society's Eviction Defense Program and Family & Children's Place's Family Stabilization and Financial Assistance Program. CDBG funding supported homeless service providers and additional prevention services (these accomplishments are detailed in the Community Development - Low-to-Moderate Income Limited Clientele section of this report). Additionally, 424 persons were assisted through ESG-funded street outreach programs and 77 unduplicated persons (46 households) were assisted through ESG-funded rapid re-housing programs.

In addition to administering Louisville' Metro's ESG funds, the Department of Community Services is heavily involved in the Louisville Continuum of Care, which is coordinated through the Coalition for the Homeless. LMCS is represented on the CoC Advisory Board, at monthly CoC meetings, and holds monthly coordination meetings with the Coalition to ensure that CoC, ESG, and other funds are utilized to maximize services for Louisville's homeless persons.

Matching Resources

Metro Government requires each sub-grantee that receives ESG funds to be responsible for the required matching funds equal to its individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using agency cash contributions, other agency grants (other State, Federal, Private grants), donated supplies to the agency, value of the agency's shelter building, and so forth. The source of the ESG match is required on all fully executed contracts between Louisville Metro Government and the awarded homeless service agency. Matching funds are documented by Louisville Metro Department of Community Services.

Please see the ESG Subrecipient Match compilation table below for specific ESG Match quantities and categories.

EMERGENCY SOLUTIONS GRANT
SUBRECIPIENT MATCH
ESG CONTRACTS DATING JULY 2014 TO JUNE 2015

Agency	Service Type	Use of Funds	ESG Allocation	Match Amounts	Type	Total Agency Match
Coalition for the Homeless	Shelter	Operation White Flag	$32,100.00	$32,100.00	Cash	$32,100.00
Family and Children First / Family and Children's Place	RRH	Rapid Re-housing Payments	$54,747.52	$14,824.02	Cash	
	Prevention	Case Management	$26,900.00	$58,944.56	Cash	$73,768.58
Family Health Center	Street Outreach	Salaries, Supplies	$57,100.00	$57,100.00	Cash	
				$76,500.00	CDBG	$133,600.00
House of Ruth	Shelter	Salaries	$16,600.00	$6,851.00	Other HUD	
				$1,687.00	AIDS Walk Grant	
				$3,502.00	Ryan White Grant	
				$4,560.00	In-kind	$16,600.00
Legal Aid Society	Prevention	Salaries	$42,200.00	$42,200.00	Cash (file fees)	
				$19,400.00	CDBG	$61,600.00
Society of St Vincent de Paul	Shelter	Salaries	$70,600.00	$48,481.54	Cash	
				$6,450.00	CDBG	$54,931.54
St. John Center	Shelter	Salaries	$104,900.00	$146,457.20	CDBG	
				$28,357.00	Cash	$174,814.20
Volunteers of America	Shelter	Salaries	$100,000.00	$100,000.00	In-kind	$100,000.00
Wayside Christian Mission	Shelter	Utilities (family shelter)	$16,900.00	$51,066.19	Cash	
	Shelter	Utilities (men's shelter)	$19,200.00	$53,710.88	Cash	$104,777.07
YMCA of Greater Louisville	Street Outreach	Salaries	$37,000.00	$192,696.00	HHS Grant	$192,696.00

ESG Activity and Beneficiary Data

A summary of individual projects that expended ESG funds in Program Year 2014 are included below.

RAPID RE-HOUSING (IDIS: 27249, 27368, 26684, 26686)

- Louisville Metro Department of Community Services - $170,900 in Program Year 2014 ESG funding was allocated to provide up to twelve months of rental assistance to eligible homeless individuals and families who have limited housing barriers.

  Number of clients served: 77 (46 households)

- Family & Children's Place - $54,747.52 in PY14 ESG funding was allocated to provide housing stabilization case management to those clients in the Rapid Re-Housing Program operated by LMCS.

  Number of clients served: 77 (46 households)

Total ESG funds expended on rapid re-housing (7/1/14 to 6/30/15): $268,587.46

STREET OUTREACH (IDIS: 27247, 27367)

- YMCA of Greater Louisville - $37,000 in PY14 ESG funding was allocated to provide street outreach services for young people (ages 12-22). ESG funds paid for street outreach staff to reach out to young people on the street to educate and connect them to shelter and services, as well as provide them with survival aid items

  Number of clients served: 132

- Family Health Centers Medical Street Outreach - $57,100 in PY14 ESG funding was allocated to provide street outreach services for homeless adults. The outreach team consists of a physician, medical assistant, and hospital liaison social worker. The team provides services in two area day shelters (Jefferson Street Baptist Center at Liberty and St. John Center), community kitchens, homeless campsites, and other areas where homeless individuals congregate.

  Number of clients served: 292

Total ESG funds expended on street outreach (7/1/14 to 6/30/15): $97,899.91

PREVENTION (IDIS: 27246, 27366)

- The Legal Aid Society Eviction Defense Program - $42,000 in Program Year 2014 ESG funding was allocated to prevent eviction for those at risk of homelessness though legal services.

  Number of clients served: 194

- Family and Children's Place Family Stabilization and Financial Assistance Program - $26,900 in ESG funding was allocated to this program. The Program was initially developed to help persons exiting state-run institutions avoid homelessness and move into permanent housing or stabilize housing they had to vacate when they entered the institution. Due to lack of referrals, Family & Children's Place expanded the program to include other households facing eminent homelessness. This program has not been funded with ESG funds for Program Year 2015. Family and Children's Place provided short-term or medium-term rental assistance or payment of rental arrears and case management to 22 households in Program Year 2014.

  Number of clients served: 42 (22 households)

Total ESG funds expended on prevention (7/1/14 to 6/30/15): $83,027.00

SHELTER (IDIS: 27245, 27365)

- Coalition for the Homeless Operation White Flag - $32,100 in ESG funding was allocated to support Operation White Flag. Operation White Flag provides emergency shelter for persons who would otherwise be turned away during severe weather. The Coalition, in collaboration with three local homeless agencies (St. Vincent de Paul, Salvation Army and Wayside Christian Mission), provides basic public safety by working to prevent homeless persons from dying on the streets due to exposure.

  Number of clients served: 2,097

- House of Ruth Glade House Emergency Shelter - $16,600 was allocated to support its emergency bed for adults with HIV/AIDS whose health status makes stays in community shelter medically unsafe or whose transgender identity makes shelter stays physically unsafe.

  Number of clients served: 14

- St. Vincent de Paul (SVdP) Ozanam Inn - $70,600 in Program Year 2014 ESG funding was allocated to this 24-hour facility that provides emergency overnight shelter. Services provided include nightly shelter, beds and clean linens daily, showers, overnight storage for belongings, secured storage for medications, two daily meals at the Open Hand Kitchen located next to the emergency shelter, the use of washers and dryers, and a television lounge. SVdP also offers a substance abuse recovery program

  Number of clients served: 356

- St. John Center - $104,900 in Program Year 2014 funds were allocated to St. John Center to provide day shelter and supportive services for homeless men with the goal of reducing their length of time spent homeless. Services include: coordinated assessment for housing and establishing eligibility for various market-rate, subsidized, and supported housing programs; assisting clients with applications to Permanent Supportive Housing, Section 8, Single Room Occupancies, and Public Housing after conducting assessments and establishing eligibility for various housing options; providing case management to men moving into housing (assistance with apartment start up needs, life skills classes, and individualized case plans); assistance with healthcare, including Medicaid enrollment and VA Healthcare for the Homeless;

completion of food stamp eligibility applications; providing free office space to Legal Aid Society; providing budgeting classes, debt planning support, and information about predatory check cashing practices; providing Banking 101 classes; assisting men with job applications; and providing IDs to men who use them to access day labor and other work opportunities.

Number of clients served: 1,789

- Volunteers of America (VOA) Family Emergency Shelter (FES) - $100,000 in Program Year 2014 ESG funding was allocated for FES. FES serves whole families including two parent families and single fathers.

  Number of clients served: 272 (86 households)

- Wayside Christian Mission Men's Emergency Shelter **-** $19,200 in ESG funds were allocated to pay for operational costs, specifically utility expenses. The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied adult males.

  Number of clients served: 1,789

- Wayside Christian Mission Family Emergency Shelter – $16,900 in ESG funds were allocated to pay for utility expenses. The Family Emergency Shelter provided subsistence and goal-oriented services to homeless families with children.

  Number of clients served: 382 (123 households)

Total ESG funds expended on shelter (7/1/14 to 6/30/15): $426,539.13

ESG Projects/Program Outcome Summaries

Street Outreach

Family Health Centers - Phoenix Street Outreach
The Phoenix Street Outreach team served 292 clients during the Program Year. 18 exited to permanent destinations, with five going to a rental without ongoing subsidy, two moving into a rental with ongoing subsidy, 10 entering Permanent Supportive Housing for Homeless Persons, and one living with friends. 264 clients served exited to a temporary shelter, with 186 going to emergency shelter, 15 using transitional housing for homeless persons, and three staying with friends or family on a temporary basis. 60 persons returned to places unfit for human habitation, and one entered a psychiatric facility.

YMCA Street Outreach
YMCA Street Outreach personnel educated 2,166 young adults ages 12-22 while canvassing Louisville streets. Of the 2,166 young adults, 1,417 were between the ages of 12 and 17 and 749 were between the ages of 18 and 22. While on the streets during this Program Year, YMCA distributed 553 survival aid items to 383 young adults and referred 132 young adults to a community resource. Of the young adults that Street Outreach staff connected with while on the streets, only 92 followed through with seeking services through YMCA's youth development center. YMCA Street Outreach workers reported a continued increase in the number of young adults staying in area encampments rather than shelters; however,

YMCA continues their formal agreement with Salvation Army to have 10 beds designated for young adults who receive case management from YMCA. Bed space for young adults at the Salvation Army is segregated from the older homeless population.

Rapid Re-Housing

Louisville Metro Government, working in partnership with Family & Children's Place as a provider of Rapid Re-Housing (RRH) Case Management, served 46 households. 76 of 77 persons in exiting households left for permanent housing destinations, with 96% of those going to rental housing with no subsidy. Two persons left for housing with ongoing subsidy, one person found permanent tenure with family, and one person moved to transitional housing for homeless persons. 38 participating adults reported retaining, gaining, or increasing income, while only four reported a reduction in income.

Homeless Prevention

Family & Children's Place - Family Stabilization and Financial Assistance
The Family Stabilization and Financial Assistance program helps people exiting state-run institutions avoid homelessness and move into permanent housing or stabilize housing they had to vacate when they entered the institution. In Program Year 2014, this program was also offered to families facing eminent homelessness. Family & Children's Place (FCP) provides short-term or medium-term rental assistance or payment of rental arrears. FCP pays financial assistance costs for an average of six months per household to ensure that the household is able to enter into safe and stable housing. All potential clients are assessed for eligibility, and housing is reviewed for habitability and rent reasonableness. Case Management services are centered on housing stability, including goal setting, financial empowerment, advocacy, and referral.

During Program Year 2014, FCP's Family Stabilization and Financial Assistance program served 22 households consisting of 22 adults and 20 children.

Legal Aid Society - Eviction Defense Program
In Program Year 2014, the Eviction Defense Program served 194 low-income households whose housing was threatened due to eviction. These clients' homes passed a housing inspection administered by Legal Aid staff. Louisville Metro Government ESG funds support eviction work for tenants facing evictions whose properties pass an inspection. However, Legal Aid also provides legal assistance to clients whose properties do not pass inspection in order to prevent said clients from becoming homeless. From July 1, 2014 to June 30, 2015, Legal Aid assisted 321 clients whose housing did not pass inspection. The goal for number of clients served by this program for the 2014-2015 year was 380. If ESG funds were permitted to be used to serve clients whose housing did not pass inspection, this program would have exceeded its goal by 135 households.

Of the 194 households served with ESG funding, 134 remained housed after six months of being served. Legal Aid anticipates that clients served towards the end of the Program Year will also meet this stability goal. Advice cases or brief service cases and settlement cases allowing clients time to move are not included in the 134, though Legal Aid staff believes this service allows for clients to find the time to secure alternative housing, keeping them off the streets and out of shelters.

Emergency Shelter

Coalition for the Homeless - Operation White Flag
Operation White Flag provides emergency shelter for persons who would otherwise be turned away during severe weather. The Coalition, in collaboration with three local homeless agencies (St. Vincent de Paul, Salvation Army, and Wayside Christian Mission), provides basic public safety to help prevent deaths on the streets or in camps due to exposure. The Coalition tracks White Flag stays, works to ensure that shelters are used and funds are distributed fairly, and addresses the additional needs of these emergency agencies such as sundry supplies, mats, and blankets. All funds are passed directly to the shelters for services. White Flag Days are initiated by the Single Point of Entry staff when the weather is to reach above 95 degrees with the heat index or below 35 degrees with the wind chill. During these days, participating shelters agree to serve persons above their normal bed capacity. Persons admitted beyond the shelter's capacity may receive a bed or may only get space in a chair for the evening. Each shelter enters White Flag clients served into HMIS daily. The Coalition for the Homeless runs a report on those served through the program quarterly and pays each shelter at a rate of $5 per White Flag client per day. The $5 rate per person is used to cover a portion of the costs to house these additional clients. The actual average cost per person at participating shelters was $22 per night. The costs include staff overtime to ensure safety and cleanliness at the buildings when numbers exceed normal limits; increased utilities, including water for showers and laundry, electricity, and gas; additional meals; additional sheets and towels; and additional sundry items including toilet paper, shampoo, soap, and cleaning products.

During Program Year 2014, Operation White Flag served 2,097 persons. White Flag clients served by shelters are not included in summaries of shelter activities below.

House of Ruth - Glade House Emergency Shelter
Glade House provides emergency shelter (one bed) and intensive case management that emphasizes financial empowerment and self-sufficiency for a vulnerable, underserved population: adults with HIV/AIDS whose health status makes stays in community shelter medically unsafe or whose transgender identity makes shelter stays physically unsafe. Two persons served this year were transgender. During the Program Year, House of Ruth's emergency bed served 14 unduplicated clients, with an average length of stay of 17.5 days. Of those who exited: five clients exited to Glade House transitional housing, one moved to permanent rental housing with no subsidy, one stayed with friends temporarily upon exit, two stayed with friends permanently upon exit, one entered emergency shelter, and two exited to a place not meant for human habitation. The status of one client who exited is unknown.

Society of St. Vincent de Paul - Ozanam Inn
St. Vincent de Paul (SVdP) Ozanam Inn is a 24-hour facility that provides overnight emergency shelter. The facility contains 42 beds for overnight emergency shelter, an additional 16 beds in a dorm-style setting for extended overnight stays, and White Flag overflow shelter as necessary.

In Program Year 2014, Ozanam Inn reported serving 356 clients: 80 served in the long-term dorm, 101 served by the recovery program, and 175 served in the emergency shelter.

The common assessment process and housing case management provided at SVdP resulted in many permanent housing placements amongst long-term dorm residents who exited: five went to rental housing with no subsidy, five moved to rental housing with ongoing subsidy,

nine entered Permanent Supportive Housing for homeless persons, five moved in with family on a permanent basis, three moved in with friends on a permanent basis, 12 went to Transitional Housing for Homeless Persons, two found temporary shelter with family, three found temporary shelter with friends, 13 went to emergency shelter, one exited to a non-psychiatric hospital, one exited to a hotel or motel, and 10 exited to places not fit for human habitation. The destinations of 11 clients are unknown.

Of emergency recovery clients, 11 went to rental housing with no ongoing subsidy, three entered Permanent Supportive Housing for homeless persons, 17 moved in with family on a permanent basis, 25 moved in with friends on a permanent basis, seven went to Transitional Housing for Homeless Persons, one found temporary shelter with family, five went to emergency shelter, two entered psychiatric facilities, two entered substance abuse or detox facilities, one exited to a non-psychiatric hospital, three went to jail or prison, and two exited to places not fit for human habitation. The destinations of 22 clients are unknown.

Of overnight emergency shelter clients, two went to rental housing with an ongoing subsidy, one entered Permanent Supportive Housing for homeless persons, one moved in with family on a permanent basis, five went to transitional housing for Homeless Persons, three found temporary shelter with family, two found temporary shelter with friends, 68 went to emergency shelter, one entered a substance abuse or detox facility, and 28 exited to places not fit for human habitation. The destinations of 27 clients are unknown.

St. John Center for Homeless Men - Day Shelter

The St. John Center provides day shelter and supportive services for homeless men aged 18 and over. St. John Center served 1,789 individuals during Program Year 2014.

The common assessment process and housing case management provided at St. John Center resulted in 65 clients receiving permanent housing placements. 42 of those permanently placed received PSH for Homeless Persons, four received VASH, 13 went to permanent rental with another ongoing subsidy, five moved to permanent rental without subsidy, and one moved with friends on a permanent basis. 1,724 went to temporary, institutional, or other destinations – two moved to transitional housing for homeless persons and 1,303 reporting sleeping in a place not meant for human habitation. Three clients exited to jail or prison, five are deceased, and the destinations of 411 are unknown.

Volunteers of America - Family Emergency Shelter

Upon entry to the Volunteers of America (VOA) Family Emergency Shelter (FES), each family is assigned a room of their own and the staff immediately works to stabilize the family by providing the basics of safety, food, and shelter. Individualized assessments are completed, assessing the family's status in regard to housing, employment, education, health, child care, and other factors in order to build on the family's strengths. Families are able to work with professional staff to set and obtain long term goals.

VOA FES served 86 households consisting of 101 adults and 171 children during Program Year 2014.

The common assessment process and housing case management provided for homeless families resulted in 120 individuals receiving permanent housing placement. 31 of those permanently placed households went to permanent rental with an ongoing subsidy other than PSH or VASH, 27 moved to permanent rental without subsidy, 45 moved in with family on a permanent basis, and 17 moved with friends on a permanent basis.

151 individuals went to temporary or other destinations: 16 went to emergency shelter, 70 went to transitional housing for homeless persons, two are staying with friends on a temporary basis, and 63 exited to unknown destinations. No families or individuals reported sleeping in a place not meant for human habitation after exiting.

Wayside Christian Mission Family Emergency Shelter (FES)
FES provides emergency shelter and ancillary assistance, such as food service, clothing, child care, and assistance with school enrollment and attendance for homeless families with school-age children. All clients staying in the shelters for at least a week receive goal-oriented case management to help them obtain appropriate housing. Clothing and other necessary items are available through Wayside's bargain centers.

FES served 123 households consisting of 127 adults and 255 children during Program Year 2014.

84 individuals received permanent housing placement. 17 of those permanently placed went permanent rental with an ongoing subsidy other than PSH or VASH, 21 moved to permanent rental without subsidy, 37 moved in with family on a permanent basis, and nine moved with friends on a permanent basis.

Wayside Christian Mission Men's Emergency Shelter (MES)
MES provides emergency shelter and ancillary assistance, such as food service, clothing, a budgeting/savings program, and assistance with job readiness/vocational advancement. All clients staying in the shelters for at least a week receive goal-oriented case management in order to help them obtain appropriate housing.

MES served 1,789 clients during Program Year 2014.

Only two clients were housed permanently after receiving PSH for homeless persons. The Continuum of Care Advisory Board and Coordinator worked with Wayside MES staff during Program Year 2014 to assist in continuing to implement the common assessment process to permanently and appropriately house MES clients. Given this continued collaboration, Program Year 2015 permanent destinations are expected to show a marked increase.

Ten clients were housed temporarily in emergency shelter upon exit and the destinations of 1,709 clients are unknown.

COMMUNITY DEVELOPMENT

Community Development

Assessment of Relationship of CDBG Funds to Goals and Objectives

Goal 3 of the 2010 - 2014 Consolidated Plan states: Louisville Metro fosters a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.

CDBG funds are used for many programs addressing this goal. Progress made toward increasing economic opportunity and expanding affordable housing goals is discussed in subsequent Community Development sections. Supporting capital improvements, continuing code enforcement, preventing deterioration of infrastructure, maintaining neighborhoods and providing public services to the community are objectives being addressed through many CDBG-funded programs administered by various departments of Louisville Metro Government, as discussed in this section.

In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities.

Public Improvement Objectives

Clearance

The goal of Louisville Metro Government is to ensure that dilapidated, unsafe, unsanitary, dangerous, or unfit structures in the Louisville Metro area are demolished. As with public facilities activities, the long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. Clearance relates to the following objective in the Program Year 2014 Action Plan.

Objective 3.3: Eliminate slum and blight in the community through clearance of vacant or abandoned properties [HUD SL-3]
Outcome 3.3: Clear 200 blighted properties
Activities 3.3: Demolition
Funding: CDBG

- **Vacant Properties Demolition** (**IDIS: 27225, 27345**) – This program, administered by Develop Louisville's Office of Vacant and Public Property Administration, oversees the demolition and stabilization of dilapidated structures, which have been ordered demolished. Some of the structures are those acquired by Louisville Metro through foreclosure or other means, but most are privately owned. This activity is performed Metro-wide.

2014 Action Plan Goal: 200 structures demolished
Actual Structures Demolished during 2014: 69
Funds Expended in Program Year 2014: $616,028.62

Public Facilities and Infrastructure

Louisville Metro is committed to improving vital public facilities, including community centers and parks, as well as eligible nonprofit public facilities. Public facilities improvements relate to the following objective in the Program Year 2014 Action Plan.

Objective 3.7: Provide improvements to public facilities [HUD SL-1]
Outcome 3.7: Improve additional public facilities
Activities 3.7: Improvements to community centers, eligible nonprofit public facilities, and neighborhood facilities and infrastructure
Funding: CDBG

- **Park Improvements (IDIS: 27475, 27482) -** Funds were expended in Program Year 2014 on park improvement projects, including design and public/stakeholder participation for master plans for Chickasaw, Shawnee, and Portland Wharf Parks; and installation of public Wi-Fi and new walking paths in Shelby Park.

  Funds Expended in Program Year 2014: $18,326.36

- **Americans with Disabilities Act Accessibility Accommodations (IDIS: 27434) –** Louisville Metro allocated $50,000 in Program Year 2014 CDBG funds to support improvements to sidewalk ramps and curb cuts at intersections where they are non-ADA compliant and installation of sidewalk ramps and curb cuts where they are nonexistent. This program is intended to improve accessibility for persons with disabilities in Louisville Metro.

  Funds Expended in Program Year 2014: $6,665.80

- **Boxelder Crossing/Richmont Terrace Acquisition (IDIS: 27441) -** Louisville Metro allocated $300,000 in Program Year 2014 CDBG funds for acquisition of blighted properties near the Boxelder Crossing affordable housing development supported by Program Year 2009 Louisville Metro CDBG funds and Commonwealth of Kentucky NSP-1 funds. This activity is intended to eliminate several vacant and blighted multifamily structures that pose an urgent threat to public health and safety. The most visible and manageable threats are targeted for acquisition, and if necessary are demolished.

  Funds Expended in Program Year 2014: $121,988.53

- **Smoketown HOPE VI (IDIS: 26976) -** In May 2011, Louisville Metro Housing Authority was awarded a $22 million grant for the $147 million revitalization of the Sheppard Square public housing development. The Sheppard Square HOPE VI project is an integral part of the revitalization of the Smoketown neighborhood. Louisville Metro is committed to the success of this project and allocated CDBG funds for clearance and infrastructure improvements. LMHA was awarded $6,924,355 for clearance and infrastructure improvements in Program Years 2012 and 2013. Activities supported include: earthwork and grading; pavement and concrete, utilities, storm drains, water hook-ups, and alley reconstruction. Residents began moving into Sheppard Square in Program Year 2013.

  Estimated Number of Households Benefitting: 310
  Funds Expended in Program Year 2014: $2,735,304.71

- **Community Center Improvements (IDIS: 27223, 27487) -** Louisville Metro allocated $250,000 in Program Year 2013 CDBG funds to Metro Parks Community Centers to enhance access to technology and internet resources in low and moderate income neighborhoods and increase youth programming. Work began on this project in Program Year 2013 in four Community Centers - Beechmont, California, Portland and Southwick. Work on Beechmont and California was completed in PY 2013, and work on Portland and Southwick was completed during PY 2014. Additionally, Louisville Metro allocated $700,000 in Program Year 2014 CDBG funds to replace roofs at Beechmont, California, Portland, and Shawnee Community Centers; complete planning and design for a new gymnasium at Newburg Community Center; and have Wi-Fi installed at Shelby Park Community Center. These improvements are underway.

  2014 Action Plan Goal: 3-4 community centers improved
  Number of Community Centers Improved: 2
  Funds Expended in Program Year 2014: $ 223,237.30

- **"COOL" Program (IDIS: 26052, 26053) -** In Program Year 2014, $433,357.13 in CDBG funding was expended for "COOL" (Corridors of Economic Opportunity in Louisville) Programs from past Program Year allocations. These public improvement programs, administered by the Louisville Metro Department of Economic Development implement design strategies to revitalize commercial corridors. In Program Year 2014, the COOL Program supported preliminary engineering and design work to support intersection and corridor improvements between Industry Road and Central Avenue along Fourth Street (the University Corridor), and also funded design work for streetscape improvements in the Oak Street corridor in Old Louisville.

  Funds Expended in Program Year 2014: $433,357.13

- **Louisville Central Community Center – Family Strengthening Center (IDIS: 27320) -** Louisville Metro Government allocated $500,000 in Program Year 2013 to partially support the rehabilitation of a facility for the purpose of helping advance self-reliance and competencies among disadvantaged families and children in the community. The Family Strengthening Center will consist of approximately 10,000 square feet of rehabilitated existing space that will include a commercial kitchen, updated restrooms, sprinkler system, new lighting, enhanced HVAC system, activity rooms, and special event facilities for the purpose of enhancing educational achievement, community engagement, workforce development skills, and job creation in the Russell neighborhood.

  Funds Expended in Program Year 2014: $378,279.40

- **Portland Museum, Inc. (IDIS: 27336) –** Louisville Metro allocated $30,000 in Program Year 2013 CDBG funds to replace damaged roofing on the museum's 1960s roof, install missing downspouts, and install low-profile chimney caps. Ongoing damage of the museum's historic plaster will be resolved by capping the chimneys. The Portland Museum is located in the Portland Neighborhood Revitalization Strategy Area (NRSA). The museum is an educational resource that collects, preserves, exhibits, interprets, and enhances the culture and heritage of Portland with objectives to: serve and celebrate local art and humanities, bring art and artists into the community, nurture the creative spirit and talents in the community, and use art and heritage as a vital tool for community development.

Funds Expended in Program Year 2014: $30,000.00

- **Park Springs Infrastructure (IDIS: 27453)** – Louisville Metro allocated $2,000,000 in Program Years 2010/2011 CDBG funds for infrastructure improvements for the Park Springs mixed-income housing project, in which 60% of units will be affordable for households at or below 80% of AMI.

  Funds Expended in Program Year 2014: $935,782.84

- **Shawnee Library Expansion (IDIS: 25433 - Slow Moving Project) -** In Program Year 2012, $33,493.36 of a $1,400,000 Program Year 2010 allocation of supported the completion of the expansion and renovation of the Shawnee Branch of the Louisville Free Public Library in the Chickasaw neighborhood. The improvements to the 1938 building constructed by the Works Progress Administration included a 3,000 square foot addition to provide space for both the children and teen departments along with room for more computer centers. Louisville Metro anticipates final completion of this project in Program Year 2015. There have been delays in completing this project due to issues with the rehabilitation as performed by the original contractor. After an internal delay to determine the best course of action, a new contractor was brought on board to complete the project.

  Funds Expended in Program Year 2014: $0

- **Center for Women and Families (Slow Moving Project) –** Louisville Metro allocated $300,000 in Program Year 2014 CDBG funds to support the rehabilitation of the Center for Women and Families' Joan E. Thomas (JET) campus. The JET campus houses residential and nonresidential programs for victims of intimate partner abuse and sexual violence. Funds are supporting renovation due to extensive water damage, as well as the addition of a new roof. This project is underway, with construction expected to be completed during Program Year 2015.

  Funds Expended in Program Year 2014: $0

- **Cedar Street Site Improvements (Slow Moving Project)** – Louisville Metro allocated $150,000 in Program Year 2014 CDBG funds for infrastructure improvements at the Cedar Street Development site, including sidewalk replacement and streetscape improvements. The Cedar Street Development is an ongoing project of the Urban Renewal Commission, consisting of 43 building sites for single-family dwellings, intended to spur new, market-rate housing construction and reinvestment in the Russell neighborhood. This project is currently in the design phase, with construction expected to begin in the second quarter of Program Year 2015.

  Funds Expended in Program Year 2014: $0

- **Other Public Facilities and Infrastructure (Slow Moving Project)** – Louisville Metro allocated $605,000 in Program Year 2014 CDBG funds for sidewalk and street improvements in low and moderate income neighborhoods. This project was in the design phase during Program Year 2014. Areas to be served have been identified and construction is expected to begin during the second quarter of Program Year 2015.

  Funds Expended in Program Year 2014: $0

- **Neighborhood Streetscape Improvements (Slow Moving Project)** – Louisville Metro allocated $500,000 in Program Year 2014 CDBG funds for streetscape improvements along three corridors: Parkland (Dumesnil Street), Broadway, and Oak Street. These projects were under design in Program Year 2014, with construction expected to begin in Program Year 2015.

  Funds Expended in Program Year 2014: $0

Code Enforcement

The goal of Louisville Metro Government is to eliminate slum and blight in the community. The long-term outcome of these efforts is to promote health and safety. In Program Year 2014, $975,000 in CDBG funding was allocated for code enforcement activities. Code Enforcement activities directly relate to Objective 3.4 in the Program Year 2014 Action Plan.

Objective 3.4:	Eliminate slum and blight in the community through property code enforcement [HUD SL-3]
Outcome 3.4:	In coordination with other Consolidated Plan activities and where other public and private investment occurs, inspect 30,000 properties to improve conditions in low-to-moderate income census tracts
Activities 3.4:	Code Enforcement Program
Funding:	CDBG

- **Code Enforcement Program** (**IDIS: 27231, 27357**) - This program, administered by the Louisville Metro Government Department of Codes and Regulations, provides for the inspection of owner-occupied and rental units to correct conditions that may negatively affect the health and safety of the occupants. This program also provides zoning and sign inspection/control to prevent and eliminate blight. Louisville Metro Government conducts its code enforcement activities in concert with other revitalization efforts, including ongoing emergency repair, Lead Hazard Control grant activities, weatherization, and street and sidewalk replacements, across the CDBG-eligible census tracts. The Department of Codes and Regulations and Develop Louisville share information about clients with code violations and rehabilitation programs available to assist them. These efforts work to arrest the decline of low and moderate income neighborhoods.

  2014 Action Plan Goal: 30,000 inspections
  Program Year 2014 Accomplishment: 26,194 inspections
  Funds Expended in Program Year 2014: $924,585.33

<u>Public Service Objectives</u>

The goal of Louisville Metro Government is to provide a range of services to the public. The long-term outcome of these efforts is to improve the quality of life and promote the well-being of the community. Jurisdictions are allowed to allocate up to 15% of CDBG funds to public service activities. In Program Year 2014, $1,620,200.00 in CDBG funds were allocated for the provision of public service activities. These programs relate to Objective 5.1 in the 2010 – 2014 Consolidated Plan. This objective was originally classified as Special Need/HOPWA, but relates to Public Service activities. The following objectives were included in the Program Year 2014 Action Plan:

Objective 3.5: Provide housing counseling services to preserve homeownership, find a safe and affordable rental, or to purchase a home [HUD DH-3]
Outcome 3.5: Serve 1,620 households
Activities 3.5: Louisville Urban League and Legal Aid Society
Funding: CDBG

Objective 4.1: Increase self-sufficiency services for persons who are homeless [HUD DH-3]
Outcome 4.1: Serve 2,000 people with self-sufficiency services
Activities 4.1: Non-profit service providers
Funding: CDBG funding distributed via committee process

Objective 5.1: Connect vulnerable populations to services. This includes low-income families or individuals, persons at risk of homelessness, youth, abused or neglected children, families needing child care assistance, persons who are elderly, domestic violence victims, persons with mental health and/or substance abuse issues, or other qualifying populations. [HUD SL-2]
Outcome 5.1: Develop and implement client case management service plans, provide 1,520 referrals to in-house programs or external service providers, provide job training, increase youth services
Activities 5.1: Family Economic Success Program, Out of School Time Initiatives, Homeless Services
Funding: CDBG, CSBG

Public service activities designed to address Objective 4.1 provide support to many of Louisville's homeless services agencies, and are detailed later in this section under the heading Low to Moderate Income Limited Clientele Activities. The amount expended during Program Year 2014 on homeless services programs is $1,014,229.

The following programs which were included in the Program Year 2014 Action Plan address the aforementioned objectives.

- **Family Economic Success Program** (**IDIS: 27230, 27355**) - This program is designed to meet the goal of purposefully working with families to move from the current practice of only addressing crises to raising awareness and building a foundation of assets by utilizing a team of "Family Economic Success (FES) Connectors" base at Metro's Neighborhood Place sites. The goal of the FES Program is to effectively coordinate public and private resources to help families build financial assets by: connecting families to job-readiness opportunities and skills to help them succeed at work; promoting financial education; expanding access to homeownership counseling or foreclosure intervention services; connecting families to mainstream financial services through the Bank On Louisville initiative where local banks and credit unions have become partners in offering free or low-cost products that encourage low-income residents to save; linking families to free tax preparation assistance and the Federal Earned Income Tax Credit through a partnership with the Louisville Asset Building Coalition; identification and utilization of community based resources that will provide additional financial supports to the household; and linking "bottom-tiered AMI" families to education and support groups that promote household stability.

2014 Action Plan Goal: 375 individuals served
Program Year 2014 Accomplishment: 2,519 persons served (1,785 households)
Funds Expended in Program Year 2014: $308,231.57

- **Out of School Time Enrichment (IDIS: 27226, 27352) -** In Program Year 2014, Louisville Metro allocated $19,300 in CDBG funds to Metro Parks to support Out of School Time recreational and educational programs for youth.

  2014 Action Plan Goal: 100 individuals assisted
  Program Year 2014 Accomplishment: 586 individuals assisted
  Funds Expended in Program Year 2014: $23,630.70

- **Legal Aid Foreclosure Counseling and Education (IDIS: 27227, 27353) –** The foreclosure counseling and education program provides training, information, and education to providers, tenants, and homeowners that enable them to better understand how the foreclosure process works and how it threatens their housing. This program uses the law to protect the rights of renters and homeowners, helping them avoid homelessness and reducing the impact of foreclosures on neighborhoods.

  2014 Action Plan Goal: 540 individuals assisted
  Program Year 2014 Accomplishment: 873 individuals assisted
  Funds Expended in Program Year 2014: $26,410.20

- **Louisville Urban League Homeownership Counseling Program (IDIS: 27388) -** Louisville Urban League provides comprehensive, HUD-approved housing counseling and education to ensure that families receive and maintain affordable housing. Counselors are nationally certified and receive additional training annually to remain current on industry trends. Counseling is provided for the following services: rental counseling and education; mortgage default and foreclosure prevention; and post-purchase education.

  2014 Action Plan Goal: 1,080 individuals assisted
  Program Year 2014 Accomplishment: 2,586 individuals assisted
  Funds Expended in Program Year 2014: $55,702.00

An additional $9,716.81 in Program Year 2013 CDBG funds were expended during Program Year 2014 to close out the HPI Homeownership Counseling program **(IDIS: 27229)**, which assisted 165 persons in Program Year 2013.

Administration and Planning

The goal of Louisville Metro Government is to ensure that the structure is in place to oversee and administer all federally funded programs. As such, Program Year 2014 planning and administration activities included allocations for indirect costs, fair housing activities (as discussed in prior sections) including funds to update Metro's Analysis of Impediments to Fair Housing Choice, support to non-profit agencies, urban design/landmark planning, and Louisville Metro program administration. Jurisdictions are allowed to allocate up to 20% of CDBG funds to planning and administration activities. In Program Year 2014, $2,027,800.00 in CDBG funds were allocated for planning and administration activities. $1,763,868 in CDBG funds (13.5% of total CDBG funds drawn) were drawn during the Program Year for the provision of these activities.

CDBG-Funded Affordable Housing Objectives

As already iterated in the Housing section, expanding the supply of safe, decent, sanitary and affordable housing is the highest priority goal identified in the 2010 – 2014 Consolidated Plan. Increasing the number of affordable housing units and stabilizing the existing housing stock are two objectives being addressed through the following CDBG funded programs. CDBG funded housing programs directly relate to the following objectives listed in the Program Year 2014 Action Plan.

Objective 1.3: Improve energy efficiency and conservation [HUD SL-3]
Outcome 1.3: Provide weatherization improvements to 35 households
Activities 1.3: Weatherization Program
Funding: CDBG, Weatherization Assistance for Low Income Persons (U.S. Department of Energy)

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]
Outcome 1.6: Provide assistance to 130 single family units
Activities 1.6: Emergency Repair Program
Funding: CDBG, Weatherization Assistance for Low Income Persons (U.S. Department of Energy)

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]
Outcome 1.6: Provide assistance to 23 single family units
Activities 1.6: Shawnee NRSA Program
Funding: CDBG

Objective 1.8: Address lead-based paint hazards [HUD SL-1]
Outcome 1.8: Remove or abate lead hazards in 100 units
Activities 1.8: Lead Safe Louisville Program
Funding: Lead-Based Paint Hazard Control Grant, CDBG

The following are programs addressing the aforementioned goals and objectives in Program Year 2014.

- **Metro-Wide Emergency Repairs** (**IDIS: 27218, 27343, 27349**) - This program provides assistance to homeowners in need of repairs relating to HVAC, electrical, plumbing, or exterior repairs. Applicants must be a homeowner, income-eligible, and the occupant of the property where the assistance is being requested. Only homeowners that have owned and lived in their dwelling for one year or more are eligible for assistance under this program.

  2014 Action Plan Goal: 120
  Program Year 2014 Accomplishment: 138 households assisted
  Funds Expended in Program Year 2014: $650,637.98

- **Metro-Wide Weatherization Supplement** (**IDIS: 27219**) - CDBG funds were utilized to supplement $116,419.61 in Low-Income Home Energy Assistance Program (LIHEAP) and US Department of Energy funds provided by the Kentucky Cabinet for Health and Family Services via Kentucky Housing Corporation for the Weatherization Assistance

Program. This program provides assistance to homeowners in need of insulation, weather-stripping, and repair and/or replacement of energy systems in the form of a grant. These repairs/replacements include, but are not limited to: furnace, water heaters, duct work, and carbon dioxide and smoke detectors.

2014 Action Plan Goal: 35 households assisted
Program Year 2014 Accomplishment: 6 households assisted
Funds Expended in Program Year 2014: $34,774.00

- **Metro-Wide Ramp Construction Program** (**IDIS: 27220, 27351)** – This program, administered by The Center for Accessible Living, assists eligible individuals with disabilities through the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence. For Program Year 2014, $175,000 was allocated to the program. Funds were drawn from Program Year 2013 and 2014 allocations.

  2014 Action Plan Goal: 50 households assisted
  Program Year 2014 Accomplishment: 115 households assisted
  Funds Expended in Program Year 2014: $170,955.40

- **Portland Neighborhood Revitalization Strategy Area (NRSA) Homeowner Rehabilitation** (**IDIS: 26562) -** The Portland NRSA program is intended to enhance and restore the historical appearance of owner-occupied housing stock by rehabilitating the facades. Other repairs that improve the livability and quality of the home, such as repairs to the roof, HVAC, electrical, and plumbing are also eligible under the program, but are not the focus of the scope of work. New Directions Housing Corporation administers this program for Louisville Metro Government. Households up to 120% of Area Median Income may take advantage of the program. Implementation began in Program Year 2012. At the close of Program Year 2014, the program had served a total of 68 households. Note: Goals for the Portland NRSA Homeownership Rehabilitation program were not included in the 2014 Action Plan (program initiated in prior years and goals listed in table were specified in 2011 Portland NRSA plan approved by HUD).

  2011 NRSA Plan Goal: 75
  Program Year 2014 Accomplishment: 7 households assisted
  Funds Expended in Program Year 2014: $386,830.78

- **Shawnee Neighborhood Revitalization Strategy Area (NRSA) Homeowner Rehabilitation** (**IDIS: 27222) –** Louisville Metro intends to accomplish Shawnee neighborhood improvement through homeowner rehabilitation that will improve the livability and quality of homes through various CDBG-eligible home repairs. An average of $15,000 is available per qualified household with a maximum of $24,999 per household. Develop Louisville's Office of Housing and Community Development administers the Shawnee NRSA rehab program with a focus on exterior repairs and correcting health and safety code violations. Improvements may include repair or replacement of windows, front and back entry doors, concrete, roofs, paint, vinyl siding, stairs, shutters, security windows and doors, and gutters. Where needed, lead safe work is done and code violations are addressed. Metro has taken a targeted approach to the NRSA homeowner rehabilitation program by prioritizing major street corridors as investment areas. Based on the clusters of demand, the following streets have been targeted: Glendora Avenue, Shawnee Terrace, Vermont Avenue, River Park Drive, Southwestern and Northwestern Parkways, West Muhammad Ali Boulevard, and Broadway.

2014 Action Plan Goal: 7 households assisted
NSRA 5-Year Rehabilitation Goal: 115 households assisted
Program Year 2014 Accomplishment: 20 households assisted
Funds Expended in Program Year 2014: $440,594.49

- **Shawnee and Portland Homeownership Incentive Program (formerly Urban Homesteading)** (**Slow Moving Project) –** In Program Year 2014, Louisville Metro allocated $200,000 in CDBG funding to this program, which provides up to $24,999 to incentivize the purchase of vacant or abandoned homes in the Shawnee and Portland NRSAs. This program is necessary because appraisals of homes in the West End are not high enough for homebuyers to obtain a mortgage that can cover acquisition and rehabilitation costs. This program was under development for the first half of Program Year 2014. Though the development phase took longer than anticipated, the implementation phase has begun and five clients are in process.

  2014 Action Plan Goal: 15 homes rehabilitated
  Funds Expended in Program Year 2014: $0

- **Shagbark Bowdre - Rehabilitation (IDIS: 27310) -** Louisville Metro provided a rehabilitation loan for the 12 unit Shagbark Bowdre Apartments located in two adjacent structures in the Richmont Terrace neighborhood. The rehabilitation of these properties provides quality affordable housing for low income residents and contributes to the revitalization of a neighborhood where Louisville Metro has invested significant resources in the Boxelder Crossings NSP redevelopment project.

  Rental Units Rehabilitated: 12
  Funds Expended in Program Year 2014: $164,861.82

- **Jones Rehabilitation and Repair Project (IDIS: 27341) -** $140,000 in CDBG funds were allocated for exterior rehabilitation of 10 two bedroom apartments located at 3404 Shagbark Rd.

  Rental Units Rehabilitated: 10
  Funds Expended in Program Year 2014: $140,000.00

- **Shawnee Gardens (IDIS: 25947)** - Louisville Metro Government allocated $510,000 in Program Year 2010 CDBG Residential Rehabilitation funds to support the rehabilitation of the Shawnee Gardens Apartments, located on S. 42nd Street. Louisville Metro has allocated Lead Hazard Control Grant funds to encapsulate the lead-based paint as well as replace and paint windows. The Lead project was completed before CDBG funds were infused into the project. Project completion is expected during Program Year 2015.

  Rental Units to be Rehabilitated: 43
  Funds Expended in Program Year 2014: $75,366.00

For race/ethnicity data and types of households served, please see tables on the following page.

CDBG FUNDED PROGRAMS
RACE/ETHNICITY DATA

	Emergency Repair Program		Weatherization Supplement		Ramps Program		Portland NRSA		Rental Rehabilitation		Shawnee NRSA	
Race	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**	**# of Race**	**# of Hispanic**
White	59	0	2	0	60	0	6	0	0	0	0	0
Black/African American	72	0	4	0	50	0	1	0	20	0	20	0
Asian	0	0	0	0	3	0	0	0	0	0	0	0
American Indian/ Alaskan Native	0	0	0	0	2	0	0	0	0	0	0	0
Native Hawaiian/ Other Pacific Islander	0	0	0	0	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native/White	0	0	0	0	0	0	0	0	0	0	0	0
Asian/White	0	0	0	0	0	0	0	0	0	0	0	0
Black/African American & White	5	0	0	0	0	0	0	0	2	0	0	0
American Indian /Alaskan Native & Black	2	0	0	0	0	0	0	0	0	0	0	0
Other Multi-Racial	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL	**138**	**0**	**6**	**0**	**115**	**0**	**7**	**0**	**22**	**0**	**20**	**0**

CDBG FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED

Type of Household	Emergency Repair Program	Weatherization Supplement	Ramp Construction Program	Portland NRSA	Rental Rehabilitation	Shawnee NRSA
Disabled	49	0	115	3	0	10
Female Head of Household	89	4	79	4	7	17
Elderly	63	1	83	6	7	10

Affordable Housing for Extremely Low, Low, and Moderate- Income Owners

Please see the Housing section (Specific Housing Objectives) for information on the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate income persons.

Changes in Program Objectives

Louisville Metro did not submit any formal amendments to the Program Year 2014 Action Plan, however the amount of CDBG funding allocated to Community Centers was adjusted from $1,000,000 to $800,000 after the Action Plan submission. This change did not constitute a substantial amendment per Louisville Metro's approved Citizen Participation Plan.

Assessment of Efforts in Carrying Out Planned Actions

All resources mentioned in the Consolidated Plan or 2014 Action Plan were received and allocated accordingly.

No employee of Louisville Metro Government hindered the implementation of the Consolidated Plan by willful action or inaction.

All CDBG funds were allocated to programs/projects that met CDBG National Objectives.

Anti-displacement and Relocation

During Program Year 2014, $10,000 in CDBG funding was allocated for relocation. Louisville Metro complies with Uniform Relocation Act and Section 104(d) requirements as applicable. During Program Year 2014, Louisville Metro expended $2,000 for the optional relocation of a household affected by code violations at its residence.

Low to Moderate-Income Job Activities

Economic Development was the second highest priority in Metro's 2010 – 2014 Consolidated Plan. During Program Year 2014, Louisville Metro undertook the following economic development activities: METCO/agribusiness loans and a Microenterprise development/loan program.

These activities directly relate to Goal 2 of the 2010 – 2014 Consolidated Plan: Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base. Five objectives related to economic development were outlined in the Consolidated Plan. The Program Year 2014 Action Plan included the following objectives:

Objective 2.1:	Increase the number of jobs in the community [HUD EO-1]
Outcome 2.1:	Invest in projects that create or retain 25 jobs
Activities 2.1:	Micro-enterprise development loan fund
Funding:	CDBG
Objective 2.4:	Provide technical assistance to encourage the development of micro-enterprises [HUD EO-1]
Outcome 2.4:	Provide technical assistance to 225 persons and 30 potential micro-enterprises
Activities 2.4:	LMCS Micro-business
Funding:	CDBG
Objective 2.5:	Increase the number of micro-enterprises [HUD EO-2]
Outcome 2.5:	Provide loans to 25 new or expanding micro-enterprises
Activities 2.5:	Micro-enterprise loan program administered by LMCS Micro-business
Funding:	CDBG

The activities undertaken during Program Year 2014 which relate to the aforementioned objectives include the Microenterprise Development program and a Portland NRSA business loan.

- **Microenterprise Development Program (IDIS: Various) –** In Program Year 2014, Louisville Metro continued offering Microenterprise development and loan services. Metro's Microbusiness Program (administered in Program Year 2014 by the Department of Community Services) was created to help sustain and develop micro-enterprises in Metro Louisville owned by low-to-moderate income residents. The goal of the program is to provide support to citizens interested in opening a micro-enterprise, and to those who need assistance sustaining or expanding their micro-enterprise.

  LMCS has two program tracks in which to apply for Microbusiness assistance. The Spark loan track offers loans ranging from $500 to $4,999 at 0% interest for individuals with a business idea or who have been in business for less than a year. Spark loan applicants are required to have completed a business development program. The Ignite loan track offers loans up to $15,000 at 4% interest for businesses which have been in existence for at least one year. Revolving loans can be paid back over up to six years. New or existing businesses located in Louisville/Jefferson County owned by Louisville Metro

residents that employ 5 or fewer employees are eligible for participation, provided the owner's household income falls at or below 80% of the AMI.

In Program Year 2014, 135 individuals received training or technical assistance on how to develop, sustain, or expand their microenterprise. 21 people participated in "Business Basics for Starting Your Own Business - Power Up Program," which is an 8-week training program. 29 microenterprises received loans totaling $247,500. 14 loan recipients were Ignite existing businesses, 14 recipients were Spark start-up businesses, and 1 micro-mini loan was awarded.

The Microenterprise Loan Program resulted in the creation of 16 full-time jobs and 2 part-time jobs, and the retention of 20 full-time jobs made available to low and moderate-income persons. The tables on the following pages provide a breakout of loan-based job creation or retention activity in Program Year 2014.

2014 Action Plan Goal: 30 businesses served
Program Year 2014 Accomplishment: 29 businesses served
Funds Expended in Program Year 2014: $403,433.63

Economic Development: Microenterprise Jobs CREATED - Program Year 2014

Micro-Enterprise Businesses (IDIS activity number)	Total FTE Jobs Created		Total FTE Jobs Made Available to Low/Mod Individuals	Full Time Jobs	Part Time Jobs		Total FTE Jobs Held by Low/Mod Individuals	Full Time Jobs	Part Time Jobs
Bee's Beauty and Barber Supply (27496)	1		1	1			1	1	
Jeanette Martinez dba The Buzz Fitness (27494)	1		1	1			1	1	
B&D Food Services (27492)	1		1	1			1	1	
Mimy Deng dba World Lounge (27490)	1		1	1			1	1	
The Nailtique (27485)	1		1	1			1	1	
Tristaca Loves Cooking, LLC (27464)	1		1	1			1	1	
Good NewsOne, LLC (27451)	1		1	1			1	1	
S. Lynn O'Neil Attorney at Law, LLC (27449)	1		1	1			1	1	
Kymberli Shoe Designs (27448)	1		1	1			1	1	
Emma Lee's Salon and Spa, LLC (27447)	1		1	1			1	1	
Tax Incorporated (27443)	1		1	1			1	1	
Angela Flemons dba Chosen Enterprise Group, LLC (27442)	1		1	1			1	1	
Coco's Cakes, LLC (27425)	1		1	1			1	1	
Mor's International Grocery, LLC (27420)	1		1		2		1		2
Myheart2yourhands (27417)	1		1	1			1	1	
Mr. Tidy Janitorial Services, LLC (27413)	1		1	1			1	1	
Coco's Cakes, LLC #2 (27459)	1		1	1			1	1	
TOTALS	**17**		**17**	**16**	**2**		**17**	**16**	**2**

Note: All loans originated during Program Year 2014, but many were not drawn until the start of 2015.

Economic Development: Microenterprise Jobs RETAINED - Program Year 2014

Micro-Enterprise Businesses (IDIS activity number)	Total FTE Jobs Retained		Total FTE Jobs Made Available to Low/Mod Indiv	FT Jobs	PT Jobs		Total FTE Jobs Held by Low/Mod Indiv	FT Jobs	PT Jobs
Devastating Doo's Beauty Salon, LLC (27495)	1		1	1			1	1	
All Phases Beauty Salon (27493)	1		1	1			1	1	
JJ Family Auto Sales (27491)	2		2	2			2	2	
Smiling Faces Child Development Center, LLC (27489)	5		5	5			5	5	
Diddybug, LLC dba Genesis Beauty Supply (27488)	2		2	2			2	2	
Tanisha's Mobile Bookkeeping Service, LLC (27486)	1		1	1			1	1	
Paradise Island Tea, LLC (27450)	1		1	1			1	1	
Always Images Family Photography (27446)	1		1	1			1	1	
Empire Tires 3, LLC (27430)	1		1	1			1	1	
Rebirth Designs by Fhonia (27426)	1		1	1			1	1	
Coco's Cakes, LLC (27425)	1		1	1			1	1	
Mor's International Grocery, LLC (27420)	1		1	1			1	1	
Psyche Comfort Products, LLC (27419)	1		1	1			1	1	
Primary Cosmetics, LLC (27418)	1		1	1			1	1	
TOTALS	**20**		**20**	**20**	**0**		**20**	**20**	**0**

Note: All loans originated during Program Year 2014, but many were not drawn until the start of 2015,

- **Portland Neighborhood Revitalization Strategy Area Economic Development (IDIS: 27394) -** The Portland NRSA program includes funds allocated for neighborhood economic development activities administered by the Louisville Metro Department of Economic Development. These activities consist of two loan programs under the auspices of Economic Development and the Metropolitan Business Development Corporation (METCO).

  Louisville Agribusiness Loan Program
  Portland NRSA funds are allocated to serve as match for the Louisville Agribusiness Loan program supported with $250,000 provided by the Kentucky Agricultural Finance Corporation to administer the "Louisville Agribusiness Loan Program." This loan program provides low-interest capital to agribusinesses that process or add value to food which is grown or raised by Kentucky farmers. To be eligible, businesses should open in the Portland neighborhood, the first LIFE (Locally Integrated Food Economy) Zone created by Mayor Fischer. Applicants must demonstrate that the major ingredients in their product come from Kentucky farmers and that they will create jobs in the Portland area. This is a negotiable, low-interest revolving loan.

  METCO Business Loans Targeted to Portland
  Portland NRSA Funds are allocated to target METCO loans to businesses located or that will be located in the Portland neighborhood. Economic Development/METCO offers three types of loans under this program. The Business Loan provides up to 25% of project costs up to $100,000, in gap financing, to small businesses. The Small and Disadvantaged Business Loan provides up to 50% of project costs, or $100,000, in gap financing to ethnic, minority, disadvantaged or women-owned businesses at market rates. The Facade Loan is available to commercial property owners to revitalize neighborhood commercial corridors in Louisville. Facade loans are awarded for exterior property improvements, including window and door replacements, storefronts, masonry repair, siding, painting, carpentry, signage, and landscaping. Often, a professional architectural rendering is offered to a potential borrower to identify necessary building improvements.

  In Program Year 2014, funds from both programs described above were combined to award a Portland NRSA loan to Kentucky Peerless Distilling Co., LLC for $225,000 to develop a distillery business to locate within the Portland NRSA. Loan funds will be utilized towards the purchase of equipment and assistance to this business will result in the creation of 15 full-time equivalent jobs in the Portland neighborhood.

  Full-Time Jobs to be Created: 15
  Funds Expended in Program Year 2014: $200,000

Low-to-Moderate Income Limited Clientele Activities - Homeless Services

In Program Year 2014, 20 CDBG Homeless Services projects were funded at 16 agencies with CDBG Public Service funds. Each of the projects met the National Objective of benefit to Low/Mod Persons. In particular, each project met the National Objective subcategory of Low/Mod Limited Clientele. As each of the projects provided case management or other supportive services for the homeless, at least 51% of beneficiaries served by these projects were considered low-to-moderate income. The clients served are primarily residing in homeless shelters or in homeless transitional housing. Despite the presumed low/mod income status, nearly 100% of the recipient agencies maintained income documentation on the clients served through CDBG Public Service funds. These programs directly relate to Objective 4.1 in the Program Year 2014 Action Plan.

Objective 4.1:	Increase self-sufficiency services for persons who are homeless [HUD DH-3]
Outcome 4.1:	Serve 2,000 people with self-sufficiency services
Activities 4.1:	Non-profit service providers
Funding:	CDBG funding distributed via committee process

CDBG Homeless Services Projects funded in Program Year 2014 were:

- **Bridgehaven - Steps to Recovery Project** (**IDIS: 27378**) – $8,900 in Program Year 2014 CDBG Public Service funds were allocated to Bridgehaven to provide clients with mental illness or co-occurring substance abuse disorders in supportive housing with a case manager, in an attempt to maintain housing. Bridgehaven provides psychiatric rehabilitation services to these clients, including assessments, therapy, skill building, and socialization. CDBG funds paid for case management and personnel services.

  Persons Served in 2014: 10
  Funds Expended in Program Year 2014: $8,900

- **Center for Women and Families - Economic Success Project** (**IDIS: 27293, 27403**) **-** $36,600 in Program Year 2014 CDBG Public Services funds were allocated for the Economic Success Project which is designed to help clients residing in emergency shelter to identify and address financial barriers that challenge their abilities to establish safe and stable housing for themselves and their children. Poor credit, as well as rental and employment records caused by a history of abuse present significant challenges for a person struggling to escape an abusive relationship and establish independence. CDBG funds make it possible for the Center to employ a full-time ESP Counselor/Case Manager who provides case management for clients who reside in its emergency shelter.

  Number of Households Assisted: 37
  Funds Expended in Program Year 2014: $25,884.85

- **Center for Women and Families - Crisis Response Program** (**IDIS: 27294, 27404**) **-** Louisville Metro allocated $43,400 in Program Year 2014 CDBG Public Service funds to support the Crisis Response Program. Crisis Intervention Advocates working at the front line provide the necessary support for victims to

take the critical and difficult first steps to establish safety by leaving an abusive relationship. The program provides immediate intervention for victims in crisis and connects them to further services so they can pursue their individualized path to self-sufficiency. Crisis calls, information/referral services, walk-in support, legal and hospital advocacy, and 24-hour on-site support are all essential to helping victims heal so that they and their families can begin to lead healthy, productive, and violence-free lives.

Number of Persons Assisted: 373
Funds Expended in Program Year 2014: $43,608.02

- **The Coalition for the Homeless - HMIS Grant Match and CoC Coordination (IDIS: 27361) -** Louisville Metro allocated $80,000 in Program Year 2014 CDBG Administration and Planning funds to serve as grant match for HUD CoC Homeless Management Information System (HMIS) grants.

  Funds Expended in Program Year 2014: $79,999.96

- **Family and Children's Place - Shelter Plus Care Case Management Project** (**IDIS: 27436**) – $322,000 in Program Year 2014 CDBG Public Service funds were allocated to the Shelter Plus Care Case Management Project, which helped individuals and families exit the homeless shelter system and assist them in achieving housing stability and economic self-sufficiency. The focus of this initiative is to provide case management, enabling disabled individuals and their families to access secure, stable housing. CDBG funds paid for personnel services and direct operating costs.

  Number of Clients Served: 139
  Funds Expended in Program Year 2014: $306,723.81

- **Family Health Centers - Phoenix Health Center** (**IDIS: 27255, 27396**) – $76,500 in Program Year 2014 CDBG Public Service funds were used to provide an array of services to homeless individuals including primary health care, outreach, and case management. CDBG funds paid for personnel services.

  Number of Clients Served: 62
  Funds Expended in Program Year 2014: $73,765.78

- **Family Health Centers - Shelter Plus Care Case Management** (**IDIS: 27267, 27395**) - $35,000 in Program Year 2014 CDBG Public Service funds supported one Shelter Plus Care Case Manager, who helped help homeless individuals obtain housing, employment, medical care, and other needed services.

  Number of Clients Served: 62
  Funds Expended in Program Year 2014: $47,149.43

- **Family Scholar House - At-Risk Family Services Project** (**IDIS: 27386**) - $55,000 in Program Year 2014 CDBG Public Service funds were allocated to the At-Risk Family Services Project, which provided academic advising and case management services to single parents who are working on either their college

degree or working towards entering college. Clients may have also received assistance in obtaining food, medical care, childcare, or other basic needs, as well as emergency financial assistance and supportive services. CDBG funds paid for personnel services. 57 clients obtained degrees during the program year and 7 purchased their first home.

Number of Clients Served: 2,783
Funds Expended in Program Year 2014: $50,500.00

- **Father Maloney's Boys' Haven - Therapeutic Vocational Training Project** (**IDIS: 27273, 27461**) - $29,000 in Program Year 2014 CDBG Public Service funds were allocated to the Training Project, which provides therapeutic and employment opportunities for young men and women who have experienced physical, mental, or sexual abuse and are aging-out of foster care or are homeless. A four-level curriculum allows students to work at their own pace while completing basic education requirements and focus on independent living skills. CDBG funds paid for personnel services.

  Number of Clients Served: 31
  Funds Expended in Program Year 2014: $31,352.84

- **GuardiaCare - Payee Program** (**IDIS: 27385**) - $41,100 in Program Year 2014 CDBG Public Service funds were allocated to the Payee Program, which helped individuals who were homeless or at-risk for homelessness (primarily due to chronic substance use and/or severe mental illness) find permanent housing solutions. The Payee Program combines representative payee services and limited case management services to address the client's basic human needs of food, shelter, and clothing. CDBG funds paid for personnel services.

  Number of Clients Served: 196
  Funds Expended in Program Year 2014: $43,568.48

- **Jefferson Street Baptist Community at Liberty - Hospitality Program** (**IDIS: 27296; 27416**) **-** $19,000 in Program Year 2014 CDBG Public Service funds were allocated to provide a safe haven during the day for homeless men and women, many of whom sleep in places not fit for human habitation and only access services at this day shelter. CDBG funds paid for personnel.

  Number of Clients Served: 702
  Funds Expended in Program Year 2014: $26,669.42

- **Legal Aid - Tenant's Assistance Program (IDIS: 27277, 27397) -** The Tenant Assistance Program consists of four main activities: individual counseling, problem resolution, referral, and legal assessment for clients on housing issues; community educational trainings to target populations; distribution of educational materials on housing issues; and advocacy on those issues affecting the low-income community in need of affordable and safe housing. The program prevents conditions from escalating that could result in homelessness. Tenants who otherwise might not know of their rights and options may face eviction and loss of housing.

Number of Clients Served: 764
Funds Expended in Program Year 2014: $24,269.52

- **Kentucky Refugee Ministries - Refugee Bridge to Housing Program** (**IDIS: 27257; 27374**) - $39,200 in Program Year 2014 CDBG Public Service funds were allocated to the Bridge to Housing Program, which provided case management activities to support the housing security of refugees in Metro Louisville, all of whom qualified as low-to-moderate income under the HUD CDBG criteria. CDBG funds paid for personnel services and direct operating costs.

  Number of Clients Served: 522
  Funds Expended in Program Year 2014: $35,420.40

- **St. John's Center - Emergency Day Shelter and Social Services Project** (**IDIS: 27260; 27375**) - $118,100 in Program Year 2014 CDBG Public Service funds were allocated to the Emergency Day Shelter and Social Services Project, which provided an emergency day shelter for men. This program sheltered homeless men from outdoor elements; helped meet basic needs; and allowed clients to gain knowledge and skills regarding mental health, substance abuse, income, and housing in order to become more self-sufficient. The day shelter is open seven days a week with an average of 175 clients served daily. CDBG funds paid for personnel services.

  Number of Clients Served: 1,789
  Funds Expended in Program Year 2014: $121,346.42

- **Salvation Army - Family Emergency Shelter** (**IDIS: 27275, 27414)** - $43,400 in Program Year CDBG Public Services funding supported the provision of six emergency beds for single parent families within a transitional housing program. Salvation Army's main service goal is to move clients into permanent housing before they reach the day limit at the shelter.

  Number of clients served: 27 heads of household
  Funds Expended in Program Year 2014: $28,411.39

- **Society of St. Vincent de Paul - Substance Abuse Case Management - Family Emergency Shelter** (**IDIS: 27258, 27384)** - $25,800 in Program Year CDBG Public Services funding supported case management for the homeless and formerly homeless with substance use history.

  Number of clients served: 76
  Funds Expended in Program Year 2014: $19,336.72

- **Volunteers of America - Family Emergency Shelter** (**IDIS: 27261, 27445**) - $35,900 in Program Year 2014 CDBG Public Service funds supported provision of emergency shelter to homeless families. Services included meals, access to laundry and shower facilities, quality children's programming, and case management. CDBG funds supported partial salaries for the Director of Social Services and the program manager.

  Number of Clients Served: 268

Funds Expended in Program Year 2014: $33,310.64

- **Wellspring - D.J. Block and F.M. Gaines Crisis Stabilization Units** (**IDIS: 27393**) - $8,600 in Program Year 2014 CDBG Public Service funds supported the Block and Gains Crisis Stabilization Units, which assist persons who are in a psychiatric crisis achieve stabilization through the provision of clinically effective and compassionate services provided in supportive, home-like, community-based residences. CDBG funds paid for personnel services.

  Number of Clients Served: 447
  Funds Expended in Program Year 2014: $8,518.92

- **Wellspring - Journey Supportive Housing** (**IDIS: 27391) -** $21,000 in Program Year 2014 CDBG Public Services funds provided personnel services to support a permanent supportive housing program that serves single, previously homeless women with a dual diagnosis.

  Number of Clients Served: 22
  Funds Expended in Program Year 2014: $19,194.26

- **Wellspring - Murray-Baxter Permanent Supportive Housing** (**IDIS: 27392) -** $7,300 in CDBG Public Services funds provided personnel services to support a permanent supportive housing program that serves families and dealing with severe mental illness.

  Number of Clients Served: 14
  Funds Expended in Program Year 2014: $3,859.19

- **YMCA - Shelter House and Mediation Services** (**IDIS: 27415**) - $40,900 in Program Year 2014 CDBG Public Service funds supported the Shelter House and Mediation Services project, which provided emergency shelter and family unification/mediation services at a 24-hour emergency shelter for teens aged 12 to 17. The YMCA assisted the stabilization of teens in crisis and their families by assessing their strengths & needs; developing a plan of care to build the skills needed to improve their relationships; and supporting them through crisis based mediation services, aftercare follow-ups, and community-based referrals to maintain a healthy and safe home environment. CDBG funds paid for case management salaries.

  Number of Clients Served: 452
  Funds Expended in Program Year 2014: $38,370.64

Program Income

The following tables provide the amount of CDBG and HOME program income received in Program Year 2014 and identify where the program income was applied.

CDBG PROGRAM INCOME
SOURCES OF PROGRAM INCOME

Source	Amount Received in Program Year 2014
Rehab Loan Repayments	$12,168.00
Inspections, Permits, & Licenses Fee Collection	$37,703.04
CDBG Repayment	$475,680.20
CDBG Home Loans	$2,000.00
CDBG Revolving Loan Fund	$30,566.68
TOTAL	**$558,117.92**

CDBG PROGRAM INCOME APPLIED

Activity	Amount Applied in Program Year 2014
Housing & Administration	$482.80
Property Maintenance (PY14)	$228,935.69
CDBG Indirect Cost	$298,132.75
Micro-Enterprise/New Business Development Loans	$30,566.68
Property Maintenance Prior Year *	$48,588.88
TOTAL	**$606,706.80**

*Prior year program income revealed during monitoring applied in PY14.

HOME PROGRAM INCOME
SOURCES OF PROGRAM INCOME

Source	Amount Received in Program Year 2014
Loan Principal and Interest	$396,515.84
TOTAL	**$396,515.84**

HOME PROGRAM INCOME APPLIED

Activity	Amount Applied in Program Year 2014
HOME Tenant-Based Rental Assistance Program	$183,670.94
Nightingale HPI	$9,534.00
Homebuyer Assistance	$2,710.94
New Directions Shelby Park VA	$200,599.96
TOTAL	**$396,515.84**

Prior Period Adjustments

There were no prior period adjustments during Program Year 2013.

Loans and Other Receivables

Information for loans and other receivables as of the end of Program Year 2014, June 30, 2015, is as follows:

- Louisville Metro has a total of 381 forgivable loans. Of the 381, 293 are HOME-funded and 88 are CDBG-funded. The principal balance of the HOME-funded forgivable loans is $9,789,300.71 and the principal balance of the CDBG-funded forgivable loans is $4,866,409.81. These loans are for the Home Repair Programs, Affordable Housing Development Program, Downpayment Assistance Program, Park DuValle Downpayment Incentive Program, and Microenterprise program. These loans will be forgiven upon compliance with affordability periods, of which the range and terms vary depending on the program.

- Louisville Metro has a total of 155 repayable loans. Of the 155, 49 are HOME-funded and 106 are CDBG funded. The principal balance of the HOME-funded loans is $15,032,126.21 and the principal balance of the CDBG-funded loans is $8,015,525.03. These loans vary in terms, interest rates, and payment dates.

Lump Sum Agreements

No lump sum agreements were entered into during Program Year 2014.

Housing Rehabilitation

Housing rehabilitation activities have been discussed in this section (under the heading CDBG-Funded Affordable Housing Objectives) and in the Housing section of this report. Information has been provided regarding the types of program, CDBG and other funding, and the number of units completed for each program.

Neighborhood Revitalization Strategies

Louisville Metro has two current Neighborhood Revitalization Strategy Areas (NRSAs), Portland and Shawnee. Activities related to NRSAs are discussed in this section (under the headings CDBG-Funded Affordable Housing Objectives and Low to Moderate Income Job Activities).

Antipoverty Strategy

Effective July 1, 2014, a departmental reorganization created the Department of Community Services, which now houses all client-facing social services for Louisville Metro. The mission of the Department of Community Services is to "improve the quality of life for all residents with an emphasis on poverty reduction and addressing the needs of vulnerable populations in the Louisville Metro Community." The new departmental structure has led to a renewed focus on developing holistic services that address the complex causes and conditions of poverty for individuals and families. In July 2013, the former Community Services and Revitalization department piloted a Central Intake System which provided an initial assessment of client needs for all department services when seeking assistance. This allowed each client to not only receive assistance for their emergent need but provided an opportunity to determine the root cause of crisis or additional household needs to stabilize the home.

LMCS maintains a comprehensive slate of anti-poverty programs meant to address a continuum of needs from crisis to self-sufficiency. LMCS operates the following:

- College Scholarship — provides scholarships to low-income college students to remove educational barriers to self-sufficiency.
- Financial Empowerment Services — provides financial education classes, trains frontline staff, and coordinates financial empowerment initiatives and events for Louisville Metro.
- Financial Assistance — provides financial assistance for housing and utility payments coupled with case management services to households with an employment history.
- Foster Grandparent Program — offers seniors with limited income the opportunity to serve as mentors to children with "exceptional or special needs." Foster Grandparents lend their time, skill, and life experiences to assist children in reaching their potential academically, behaviorally, and developmentally. In return for volunteering, Foster Grandparents receive a small tax-free stipend.
- Homelessness and Housing Services — using multiple Federal HUD grants, provides the homeless and disabled with on-going Permanent Supportive Housing. Program participants receive on-going supportive service assistance as a stipulation of CS's continued rental assistance provision. Case managers work with participants to set annual service and financial goals, which can lead to self-sufficiency.
- Job Development and Workforce Training — provides scholarships to low-income job seekers to obtain specialized skills (such as CDL, welding, barbering, etc.) needed for living-wage employment.
- Low Income Home Energy Assistance Program (LIHEAP) — provides financial assistance to prevent utility disconnection during winter months for low-income residents.
- Microbusiness Program — provides low and moderate income business owners supports with starting and growing a small business, including training, technical assistance, and low-interest loans.
- Preschool Scholarship — provides low-income families scholarships to send preschool-aged children to an accredited preschool to increase kindergarten readiness.

- Senior Nutrition — Operates congregate meal sites providing lunch, educational, and recreational activities throughout the city. Senior Nutrition also administers the Meals on Wheels program, providing nutritious meals to home-bound seniors throughout the city.
- Supportive Services — provides clients enrolled in all case-managed department programs assistance with removing common barriers to self-sufficiency goals, including childcare, transportation, housing, and expungement financial assistance.

To some extent, entitlement funds support the Homeless and Housing Services, Microbusiness, and Supportive Services programs described above.

Microbusiness activities created new job opportunities or facilitated job retention for low-to-moderate income persons. The microenterprise loan and assistance program gave low-to-moderate income individuals the opportunity to achieve self-sufficiency through the development of their own business. As discussed in the Community Development section of this report, this program resulted in the creation of 16 full-time jobs and two part-time jobs and the retention of 20 full-time jobs.

CDBG Public Service activities such as the Family Economic Success program helped connect low-to-moderate income families to the tools they need to maintain self-sufficiency in the future.

Additionally, housing programs such as Emergency Repair and Weatherization provided low-to-moderate households with assistance that allowed them to use more of their limited resources for essential expenses. Particularly, Weatherization assistance that improves residential energy efficiency can make a measurable difference in the monthly disposable income of households assisted.

NON-HOMELESS SPECIAL NEEDS

Non-Homeless Special Needs

Non-homeless special needs individuals, including the elderly and disabled, are served by a number of CDBG and HOME funded programs. However, there is only one specific program that serves exclusively non-homeless special needs individuals - the Ramp program administered by the Center for Accessible Living. The Ramp program corresponds to Objective 5.3 in the 2010 – 2014 Consolidated Plan. The Program Year 2014 Action Plan included the following objective.

Objective 5.3:	Increase facilities and services for persons with disabilities [HUD SL-1]
Outcome 5.3:	Serve a minimum of 50 households
Activities 5.3:	Ramp/Accessibility Program administered by the Center for Accessible Living
Funding:	CDBG

Metro-Wide Ramp Construction Program (**IDIS: 27220, 27351)** – This program, administered by The Center for Accessible Living, assists eligible individuals with disabilities through the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence. For Program Year 2014, $175,000 was allocated to the program. Funds were drawn from Program Year 2013 and 2014 allocations.

2014 Action Plan Goal: 50 households assisted
Households assisted during 2014: 115
Funds Expended in Program Year 2014: $170,955.40

HOPWA funded programs to address non-homeless special needs are discussed in detail in following section.

Specific HOPWA Objectives

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville Metro Department of Community Services.

For Program Year 2014, Louisville Metro Government received $572,259 in HOPWA funding and funded five local project sponsors: AIDS Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth, Legal Aid Society, and Volunteers of America. These organizations represent the HIV/AIDS service providers for Bullitt, Henry, Jefferson, Meade, Nelson, Oldham, Shelby, Spencer, and Trimble Counties of Kentucky and Clark, Floyd, Harrison, Scott, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services. These services assist those who may become homeless or lack employment or housing options due to extensive medical care and treatment.

Several HOPWA project sponsors receive additional funding through Continuum of Care, ESG, or CDBG to help leverage the provisions of agency services and resources. In addition, most participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources, including the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and private donations. Many of the HOPWA project sponsors are also represented on the Kentuckiana AIDS Alliance (KAA) Board of Directors. KAA facilitates monthly planning meetings among HIV/AIDS service providers in the Louisville Metro area and is responsible for securing funding for numerous agencies through the Louisville AIDS Walk. Louisville Metro's HOPWA program manager participates in KAA's monthly meetings.

Project oversight and monitoring was conducted in Program Year 2014 by the Department of Community Services. The HOPWA program manager is responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In Program Year 2014, all five HOPWA project sponsors were monitored. There were no concerns or findings.

For Program Year 2014, potential HOPWA subrecipients submitted proposals directly to the Louisville Metro Department of Community Services, where the proposals were reviewed and recommended for funding. Funding recommendations are submitted to Louisville Metro Council for review and approval as part of the city's larger budget process. Once the budget is passed in full, HOPWA subawards are made.

Once approved in the signed budget ordinance, sub-grantees are asked to submit a Work Program and Budget detailing proposed activities, goals, and expenditures for the program year. After the Work Program and Budget is approved by LMCS staff, a

signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program year 2013 were funded again for Program Year 2014.

According to the 2014 HIV/AIDS Surveillance Report compiled by the Kentucky Cabinet for Health and Family Services, a cumulative total of 4,153 cases of HIV have been diagnosed in Jefferson County. Of those, an estimated 2,669 persons are currently living with HIV/AIDS. When these numbers are expanded to include the entire KIPDA region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble Counties), the number rises to 4,530 diagnosed HIV cases with 2,905 presumed living. Therefore, the KIPDA region comprises 49% of all diagnosed HIV cases in Kentucky. According to the same report, African Americans comprised only 8% of the state's population in 2012, but accounted for 38% of new HIV cases diagnosed that year. Hispanics accounted for 5% of newly diagnosed HIV cases in 2012, yet comprised only 3% of the state's population.

Addressing the special needs of Louisville Metro residents and providing housing and supportive services to persons with HIV/AIDS and their families, was ranked as the fifth overall goal in the 2010 – 2014 Consolidated Plan. Three specific HOPWA objectives were established for this goal in the Consolidated Plan. The objectives and outcomes established for Program Year 2014 were as follows.

Objective 6.1: Provide tenant-based rental assistance to persons with AIDS [HUD DH-2]
Outcome 6.1: Provides 24 households with TBRA
Activities 6.1: Non-profit service providers
Funding: HOPWA funding distributed to subrecipients

Objective 6.2: Provide supportive services to persons with AIDS [HUD DH-3]
Outcome 6.2: Provide 940 individuals with HIV/AIDS with supportive services
Activities 6.2: Non-profit service providers
Funding: HOPWA funding distributed to subrecipients

Objective 6.3: Provide short-term rent, mortgage and utility payments [HUD DH-2]
Outcome 6.3: Provide 160 households with STRMU assistance
Activities 6.3: Non-profit service providers
Funding: HOPWA funding distributed to subrecipients

All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 31 clients received TBRA support from two Louisville Metro HOPWA subrecipients (House of Ruth served 24 clients; Hoosier Hills served 7 clients). Of these 31 clients, 29 are continuing with TBRA. One client exited House of Ruth TBRA during the Program Year and one Hoosier Hills client exited TBRA upon receiving a Housing Choice Voucher in Program Year 2014. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 144 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills served 16 clients; Volunteers of America served 128 clients). Of the 144 clients, 143 are likely to maintain current housing arrangements with additional support. 16 clients are housed temporarily stable, with reduced risk of homelessness, and 128 are in stable housing.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 532 clients; VOA served 128; House of Ruth served 1,154 total clients; and Legal Aid Society, Inc. served 97 clients.

Project Accomplishment Overview

AIDS Interfaith Ministries of Kentuckiana (AIM) (**IDIS: Supportive Services - 27276, 27408, 27424)**
Aaron Guldenschuh-Gatten, Executive Director

AIM is an HIV service organization, 501 (C)(3) non- profit and the smallest of the HOPWA funded agencies. AIM supports the HOPWA program by providing supportive services and core services including emergency housing, medical/dental assistance, transportation, case management and nutritional support. HOPWA funds AIM for salaries of the Executive Director and Program Specialist who manage and provide a food pantry (the only food pantry in Louisville specifically for HIV positive individuals); emergency hotel vouchers; the AIM medical assistance fund; and life skills educational workshops promoting self-sufficiency, self-awareness, and self-advocacy. These services reduce barriers to care, increase access to quality medical/dental care and nutrition, and provide emergency housing to those who otherwise cannot access traditional shelters. During Program Year 2014 AIM moved their central office into House of Ruth's Shelby Park campus in order to facilitate closer collaboration on mutual cases, decrease barriers to accessing supportive services, and to identify new individuals who may need services from AIM.

AIM continued to work with partner agencies to identify households in need of assistance from the AIM program. AIM reported the following achievements for Program Year 2014:

- 1,206 unduplicated individuals accessed the AIM food pantry, including access to high protein supplements to combat HIV-related wasting;
- 666 unduplicated individuals accessed the AIM food pantry personal care and household supplies program, aiding individuals who offset household costs by getting toilet paper, laundry detergent, cleaning supplies, and mops, sponges, rags, and other cleaning tools.
- 31 unduplicated households accessed emergency housing (funded by the Louisville AIDS Walk)
- 201 unduplicated individuals received increased access to medical and oral healthcare. 28 individuals were provided transportation to the University of Louisville Miller Oral Health Clinic in Elizabethtown, Kentucky (a 45-60 minute drive) who would not otherwise be able to access oral health care. Three individuals were provided medical co-pays for wheelchair, walker, and shower

bench (provided after surgery). 190 unduplicated individuals were provided non-HIV medication copays on a near monthly basis.
- 89 unduplicated individuals were provided a monthly warm meal in a community building environment at the AIM "Buddy Dinner."

Funds Expended in Program Year 2014: $51,747.93
Number of Clients Served: 532 individuals served

Hoosier Hills AIDS Coalition (**IDIS: TBRA - 27270, 27407; STRMU 27406)**
Dorothy Waterhouse, Treasurer

Hoosier Hills provides activities and assistance to benefit low and moderate income persons and their families who are HIV positive and who strive to achieve or maintain a stable housing situation. They do this through HIV Care Coordination (case management) services and direct assistance designed to help clients avoid the sense of hopelessness and prevent clients from returning to high risk activities and inadequate or insecure housing situations. HOPWA funds TBRA and STRMU assistance, as well as some program sponsor administration.

This program was able to keep seven clients on long-term assistance to prevent homelessness. One TBRA client exited the program during Program Year 2014, having secured permanent housing. Care coordinators have helped clients develop housing plans to help with stability. Sixteen clients received STRMU assistance.

Funds Expended in Program Year 2014: $28,410.33
Number of Clients Served: TBRA - 7; STRMU - 16

House of Ruth/Glade House (**IDIS: TBRA - 27271, 27421, 27440; Supportive Services - 27272, 27422, 27439**)
Lisa Sutton, Executive Director

House of Ruth is a community-based organization caring for families and individuals infected or affected by HIV/AIDS. The agency offers a holistic, client-centered approach for housing and advocacy programs. This includes life skills, children's programs, and other supportive services to help strengthen lives. The hub of the Housing Program at House of Ruth is the 17 single-family, scattered site units the agency owns and operates, along with Glade House, a 12 room/person transitional housing facility for HIV-positive adults which includes one emergency shelter bed.

HOPWA provides House of Ruth with salary support for the Client Services Manager, 2 Housing Case Managers, 2 Glade House Case Managers, the Housing Program Manager, Director of Clinical Services, Executive Director, Director of Property Management, Volunteer/Communications Manager, Client Intake Coordinator, and Finance Director. Services provided include case management, emergency food, transportation assistance, rental/utility assistance, children's activities, social events, referrals to other community providers, crisis counseling, medical advocacy, and life skills education. Finally, HOPWA funds are also expended on tenant-based rental assistance.

In Program Year 2014, House of Ruth served 1,154 individuals and families living with HIV, 99% of whom met the federal income guidelines for qualifying for HOPWA support services and TBRA services. The 1% of clients who live above income guidelines remained eligible for other programs and services supported by House of Ruth general operating funds, private grant funds, or other in-kind donations including toiletries, household items, and back-to-school and holiday assistance for families with children.

In Program Year 2014, House of Ruth services and programs funded by HOPWA Support Services dollars included: individualized holistic case management to over 700 individuals and families; intensive outpatient drug and alcohol addiction assessment and treatment to over 90 individuals; life skills case management to over 44 individuals needing weekly assistance in activities of daily living, including medication management and basic resources management, in order to develop the skills needed to obtain permanent housing of their choice and successfully live with HIV; and transportation assistance (in the form of TARC tickets) to over 300 individuals and families. In total, HOPWA Support Services have helped over 1,000 individuals and families remove potentially life-threatening barriers to successful permanent housing and HIV management.

Also in Program Year 2014, House of Ruth provided TBRA consistently for 24 unduplicated households, moved 6 new families into the program, and exited 1 family to private dwellings. Thanks in part to bonus TBRA funds this operating year, House of Ruth was able to serve more families new to HOPWA than in any past funding year. Specifically, over half of those new families with children were homeless at entry, demonstrating extreme unmet need for housing and HIV stabilization in the community.

Funds Expended in Program Year 2014: $325,996.68
Number of Clients Served: Supportive Services – 1,154; TBRA – 24

Legal Aid Society (**IDIS: Supportive Services - 27278, 27405, 27423**)
Jeffrey Been, Executive Director

The HIV/AIDS Legal Project is the area's only non-profit legal provider helping clients with civil legal problems that threaten the client's basic human needs. They fight on their clients' behalf when their health, safety, and stability are unjustly threatened. Last year, Legal Aid represented 97 clients whose incomes were at or below 125 percent of the federal poverty guidelines.

As established by their board of directors, Legal Aid accepts cases that fall within the following five priority areas: 1) Support for families; 2) Preserving the home; 3) Maintaining economic stability; 4) Safety, Stability, and Health; 5) Populations with Special Vulnerabilities. Legal Aid's targeted client populations include victims of domestic violence, the homeless, families at risk of becoming homeless, children forced to move from school to school because of instability at home, children in the juvenile court and educational systems in need of help to stay in school, people living with HIV/AIDS and other life threatening medical conditions, community groups that serve the interests of the poor, people moving from welfare to work, and the elderly.

The HIV/AIDS Legal Project assists eligible clients with a variety of legal needs including obtaining and remaining in safe, affordable housing, securing disability income for clients, securing access to government benefits, addressing consumer and medical debt issues, drafting life-planning documents, assisting with family law and support issues, assistance with maintaining health care, and advocacy against discrimination. 97 clients were served by the HIV/AIDS Legal Project in Program Year 2014.

Funds Expended in Program Year 2014: $58,398.56
Number of Clients Served: 97

Volunteers of America (**IDIS: STRMU - 27282, 27410; Supportive Services – 27283, 27409, 27412**)
Susan Reece, Senior Director of Clinical Services

Volunteers of America sought to prevent homelessness for individuals and families living with HIV/AIDS in seven counties in the Louisville, KY HOPWA service area, through the provision of short-term rent, mortgage, and utility assistance (STRMU) and associated supportive services. Those receiving HOPWA STRMU assistance through VOA also received a myriad of supportive services, including financial/budget planning, enhancement of job search, marketing and skills, and housing stability/homeless prevention planning.

The HOPWA program worked to collaborate with the Kentucky Care Coordinator Program (KCCP), funded by a Ryan White grant. The Care Coordinator Program has been providing medical case management since 1998. In the 16 years of service, they have been instrumental in linking clients to the necessary resources to maintain stability. As clients approached the program for assistance, the medical case managers assisted the clients in preparing a budget and a housing plan. The housing plan was prepared through a joint effort between the client and case manager working to meet the client where they are and to address barriers they face. The housing plans measured outcomes and goals that were evaluated on an ongoing basis. VOA was able to meet clients anywhere in the seven counties served, based on the client's needs.

Volunteers of America experienced a transition in which KCCP was discontinued. As the result, one Case Manager completed all the interviews and assessments as of January 1, 2015. Technical Assistance was provided and staff was able to organize and spend the money down to assist persons in need of financial assistance. STRMU dollars were provided for rent, mortgage, and utility assistance. Of the 128 persons served, only 5 returned for assistance. Resources and a housing plan were established**.**

Volunteers of America served 128 clients with STRMU and supportive services during Program Year 2014. VOA engaged landlords and utility companies to advocate for financial support which prevents persons with HIV from experiencing homelessness. Housing Plans supported the need to maintain the budget and eliminate unnecessary expenses to continue stable housing.

Funds Expended in Program Year 2014: $156,671.41
Number of Clients Served: STRMU – 128; Supportive Services - 128

Barriers and Trends Overview

Several barriers have been identified by HOPWA project sponsors, including lack of affordable housing, discrimination, lack of supportive services, multiple diagnoses, poor credit history, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive status. There is a feeling of shame and isolation that occurs as clients withdraw from society due to the fear of judgment and criticism from their peers, churches, families, and society at large.

The greatest challenges reported by most sponsors are issues with obtaining and maintaining affordable housing. Housing availability continues to be a barrier to serving more clients as HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited income or lack of income continues to be an issue. Bad credit history, criminal history, and housing availability are all factors that contribute to the lack of housing opportunities and eligibility for clients. Many client households, whether unstably housed, inadequately housed, or homeless, did not have the resources to move (such as a deposit, good credit, access to moving equipment, first month's rent, or income eligibility). House of Ruth maintains a waiting list for affordable housing units for people with HIV/AIDS which currently includes over 260 unduplicated households.

Many clients have multiple diagnoses, making day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues (such as HIV, hepatitis, kidney dialysis, etc.) all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues; lack of education and training for adequate employment; and the long, arduous process of seeking and being granted social security disability insurance.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed by agencies due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, and other destinations is an ongoing challenge.

Concern remains how to continue to serve an increased number of TBRA families without further funds for increased case management staff and rental assistance. Flat HOPWA funding indicates that no additional housing will be available in the future. HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

Communities are documenting an increase of drug use, especially heroin, leaving many people homeless. The recent epidemic of HIV in Scott County, IN occurred as a result of heroin use. Needle exchange programs in Kentucky and Indiana as well as increased community awareness (both of HIV and of the increase in heroin use) have occurred as a result of the Scott County epidemic. In addition, Hoosier Hills AIDS Coalition employs 4 case managers and a substance abuse counselor to combat the increase of drug use in their community.

Many emergency housing programs prioritize individuals who are in need of substance abuse support as well as housing. Often this results in individuals for whom alcohol abuse or drug abuse is not an issue being left with few resources for housing. Additionally, many homeless shelters in Louisville are operated by faith-based organizations, and many HIV positive individuals report issues including discrimination due to gender identity or sexual orientation, medications being confiscated, and HIV status being freely disclosed to other residents.

Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	**Permanent Housing Facilities:** Received Operating Subsidies/Leased units	
2b.	**Transitional/Short-term Facilities:** Received Operating Subsidies	
3a.	**Permanent Housing Facilities:** Capital Development Projects placed in service during the operating year	
3b.	**Transitional/Short-term Facilities:** Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	**Adjustment for duplication (subtract)**	1
6.	**TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)**	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees)** are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2))This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of $300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability. .

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. *See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable**.**

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of $25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

***Note**: Please see the definition section for distinctions between project sponsor and subrecipient.*

***Note**: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

1. Grantee Information

HUD Grant Number KYH14-F001		**Operating Year for this report** ***From (mm/dd/yy) 07/01/14 To (mm/dd/yy) 06/30/15***		
Grantee Name Louisville/Jefferson County Metro Government				
Business Address	527 W. Jefferson Street			
City, County, State, Zip	Louisville	Jefferson	KY	40202
Employer Identification Number (EIN) or Tax Identification Number (TIN)	320049006			
DUN & Bradstreet Number (DUNs):	073135584	**Central Contractor Registration (CCR):** **Is the grantee's CCR status currently active?** **X Yes** ☐ **No** **If yes, provide CCR Number:** N/A - HOPWA program does not use contractors		
Congressional District of Grantee's Business Address	KY-3			
***Congressional District of Primary Service Area(s)**	KY-3			
***City(ies) <u>and</u> County(ies) of Primary Service Area(s)**	**Cities:** Louisville	**Counties:** Jefferson		
Organization's Website Address www.louisvilleky.gov	**Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?** X Yes ☐ No **If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.**			

*** Service delivery area information only needed for program activities being directly carried out by the grantee.**

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name AIDS Interfaith Ministries of Kentuckiana, Inc. (AIM)		**Parent Company Name, *if applicable*** N/A		
Name and Title of Contact at Project Sponsor Agency	Aaron R. Guldenschuh-Gatten, M.A.R. Executive Director			
Email Address	AIMofKY@gmail.com			
Business Address	607 E. St. Catherine St.			
City, County, State, Zip,	Louisville, Jefferson County, KY, 40203			
Phone Number *(with area code)*	502-794-2682 Executive Director	502-224-1917 AIM Office		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-1345576	**Fax Number (with area code)** 502-638-4524		
DUN & Bradstreet Number (DUNs):	785679064			
Congressional District of Project Sponsor's Business Address	KY-3			
Congressional District(s) of Primary Service Area(s)	KY-3			
City(ies) and County(ies) of Primary Service Area(s)	**Cities:** Louisville	**Counties:** Jefferson		
Total HOPWA contract amount for this Organization for the operating year	$63,500			
Organization's Website Address	www.AIMKYonline.org			
Is the sponsor a nonprofit organization? X Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐		**Does your organization maintain a waiting list?** ☐ Yes X No **If yes, explain in the narrative section how this list is administered.**		

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name Hoosier Hills AIDS Coalition		**Parent Company Name, *if applicable*** N/A	
Name and Title of Contact at Project Sponsor Agency	Dorothy Waterhouse, Treasurer		
Email Address	dwaterhouse@cchdaids.win.net		
Business Address	1301 Akers Avenue		
City, County, State, Zip,	Jeffersonville, Clark County, IN 47130		
Phone Number *(with area code)*	812-288-2706		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	35-1987523	**Fax Number (with area code)** 812-288-1474	
DUN & Bradstreet Number (DUNs):	005376940		
Congressional District of Project Sponsor's Business Address	IN-9		
Congressional District(s) of Primary Service Area(s)	IN-9		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	**Cities:** Jeffersonville New Albany Salem Corydon Scottsburg	**Counties:** Clark Floyd Washington Harrison Scott	
Total HOPWA contract amount for this Organization for the operating year	$38,600		
Organization's Website Address	N/A		

Is the sponsor a nonprofit organization? X Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐	**Does your organization maintain a waiting list?** ☐ Yes X No **If yes, explain in the narrative section how this list is administered.**

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name House of Ruth, Inc.		**Parent Company Name, *if applicable*** N/A	
Name and Title of Contact at Project Sponsor Agency	Lisa Sutton, Executive Director		
Email Address	lsutton@houseofruth.net		
Business Address	607 E. St. Catherine Street		
City, County, State, Zip,	Louisville, Jefferson County, KY 40203		
Phone Number *(with area code)*	502-587-5080 ext. 24		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-1231355	**Fax Number (with area code)** 502-587-5009	
DUN & Bradstreet Number (DUNs):	831087168		
Congressional District of Project Sponsor's Business Address	KY-3		
Congressional District(s) of Primary Service Area(s)	KY-3		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	**Cities:** Louisville	**Counties:** Jefferson	
Total HOPWA contract amount for this Organization for the operating year	$360,000		
Organization's Website Address	www.houseofruth.net		

Is the sponsor a nonprofit organization? X Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐	**Does your organization maintain a waiting list?** X Yes ☐ No **If yes, explain in the narrative section how this list is administered.** If eligible based on income, proof of disability, past participation in HOPWA, and verification that client is not participating in a housing subsidy program, the Housing Program Manager places clients on waiting list according to date of referral.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name Legal Aid Society, Inc.		**Parent Company Name, *if applicable*** N/A	
Name and Title of Contact at Project Sponsor Agency	Jeffrey A. Been, Executive Director		
Email Address	jbeen@laslou.org		
Business Address	416 W. Muhammad Ali Blvd., Suite 300		
City, County, State, Zip,	Louisville, Jefferson County, KY, 40202		
Phone Number *(with area code)*	502-614-3100		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-0537626	**Fax Number (with area code)** 502-614-3107	
DUN & Bradstreet Number (DUNs):	086757762		
Congressional District of Project Sponsor's Business Address	KY-3		
Congressional District(s) of Primary Service Area(s)	KY-3		
City(ies) and County(ies) of Primary Service Area(s)	**Cities:** Louisville	**Counties:** Jefferson	
Total HOPWA contract amount for this Organization for the operating year	$62,378		
Organization's Website Address	www.laslou.org		

Is the sponsor a nonprofit organization? X Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐	**Does your organization maintain a waiting list?** ☐ Yes X No **If yes, explain in the narrative section how this list is administered.**

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name Volunteers of America, Kentucky		**Parent Company Name, *if applicable*** N/A	
Name and Title of Contact at Project Sponsor Agency	Susan L. Reece, Senior Director of Clinical Services		
Email Address	SusanR@voaky.org		
Business Address	1436 S. Shelby Street		
City, County, State, Zip,	Louisville, Jefferson County, KY 40217		
Phone Number *(with area code)*	502-635-4545		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-0480950	**Fax Number (with area code)** 502-636-0597	
DUN & Bradstreet Number (DUNs):	079657219		
Congressional District of Project Sponsor's Business Address	KY-3		
Congressional District(s) of Primary Service Area(s)	KY-3		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	**Cities:** Louisville	**Counties:** Jefferson	
Total HOPWA contract amount for this Organization for the operating year	$104,000		
Organization's Website Address	www.voaky.org		
Is the sponsor a nonprofit organization? X Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐		**Does your organization maintain a waiting list?** ☐ Yes X No **If yes, explain in the narrative section how this list is administered.**	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of $25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Subrecipient Name N/A			**Parent Company Name, *if applicable***	
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			**Fax Number (include area code)**	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) and County (ies) of Primary Service Area(s)	**Cities:**		**Counties:**	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

***Note**: Please see the definition of a subrecipient for more information.*

***Note:** Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

***Note**: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name N/A		**Parent Company Name, *if applicable***		
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)		**Fax Number (include area code)**		
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	**Cities:**	**Counties:**		
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. ***Note**: Text fields are expandable.*

HOPWA entitlement funding in the Louisville, KY-IN MSA was administered by Louisville/Jefferson County Metro Government Department of Community Services (LMCS) during Program Year 2014 (July 1, 2014 – June 30, 2015). For Program Year 2014, Louisville Metro Government received $572,259 in HOPWA funding and funded five local project sponsors: AIM Interfaith Ministries of Kentuckiana; Hoosier Hills AIDS Coalition; House of Ruth, Inc.; Legal Aid Society, Inc.; and Volunteers of America Kentuckiana. These organizations represent the HIV/AIDS service providers for Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, Scott, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance (TBRA), short-term utility, rent and mortgage assistance (STRMU), and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services. These services assist those who may become homeless or lack employment or housing options due to extensive medical care and treatment.

Project sponsors, contact names, and activities conducted are as follows:

AIDS Interfaith Ministries of Kentuckiana, Inc. (Supportive Services, Sponsor Administration)
Aaron Guldenschuh-Gatten, Executive Director
AIM is an HIV service organization, 501 (C)(3) non- profit and the smallest of the HOPWA funded agencies. AIM supports the HOPWA program by providing supportive services and core services including emergency housing, medical/dental assistance, transportation, case management and nutritional support. HOPWA funds AIM for salaries of the Executive Director and Program Specialist who manage and provide a food pantry (the only food pantry in Louisville specifically for HIV positive individuals); emergency hotel vouchers; the AIM medical assistance fund; and life skills educational workshops promoting self-sufficiency, self-awareness, and self-advocacy. These services reduce barriers to care, increase access to quality medical/dental care and nutrition, and provide emergency housing to those who otherwise cannot access traditional shelters. During fiscal year 2015 AIM moved their central office into House of Ruth's Shelby Park campus in order to facilitate closer collaboration on mutual cases, decrease barriers to accessing supportive services, and to identify new individuals who may need services from AIM.

Hoosier Hills AIDS Coalition, Inc. (STRMU, TBRA, Sponsor Administration)
Dorothy Waterhouse, Program Director, Treasurer
Hoosier Hills provides activities and assistance to benefit low and moderate income persons and their families who are HIV positive and who strive to achieve or maintain a stable housing situation. They do this through HIV Care Coordination (case management) services and direct assistance designed to help clients avoid the sense of hopelessness and prevent clients from returning to high risk activities and inadequate or insecure housing situations. HOPWA funds TBRA and STRMU assistance, as well as some program sponsor administration.

House of Ruth, Inc. (Supportive Services, TBRA, Sponsor Administration)
Lisa Sutton, Executive Director
House of Ruth is a community-based organization caring for families and individuals infected or affected by HIV/AIDS. The agency offers a holistic, client-centered approach for housing and advocacy programs. This includes life skills, children's programs, and other supportive services to help strengthen lives. The hub of the Housing Program at House of Ruth is the 17 single-family, scattered site units the agency owns and operates, along with Glade House, a 12 room/person transitional housing facility for HIV-positive adults which includes one emergency shelter bed.

HOPWA provides House of Ruth with salary support for the Client Services Manager, 2 Housing Case Managers, 2 Glade House Case Managers, the Housing Program Manager, Director of Clinical Services, Executive Director, Director of Property Management, Volunteer/Communications Manager, Client Intake Coordinator, and Finance Director. Services provided include case management, emergency food, transportation assistance, rental/utility assistance, children's activities, social events, referrals to other community providers, crisis counseling, medical advocacy, and life skills education. Finally, HOPWA funds are also expended on tenant-based rental assistance.

Legal Aid Society, Inc. (Supportive Services, Sponsor Administration)
Jeff Staton, Project Manager
The HIV/AIDS Legal Project is the area's only non-profit legal provider helping clients with civil legal problems that threaten the client's basic human needs. They fight on their clients' behalf when their health, safety, and stability are unjustly threatened. Last year, Legal Aid represented 97 clients whose incomes were at or below 125 percent of the federal poverty guidelines.

As established by their board of directors, Legal Aid accepts cases that fall within the following five priority areas: 1) Support for families; 2) Preserving the home; 3) Maintaining economic stability; 4) Safety, Stability, and Health; 5) Populations with Special Vulnerabilities. Legal Aid's targeted client populations include victims of domestic violence, the homeless, families at risk of becoming homeless, children forced to move from school to school because of instability at home, children in the juvenile court and educational systems in need of help to stay in school, people living with HIV/AIDS and other life threatening medical conditions, community groups that serve the interests of the poor, people moving from welfare to work, and the elderly.

Volunteers of America of Kentuckiana, Inc. (STRMU, Supportive Services, Sponsor Administration)
Susan Reece, Program Manager
The HOPWA program worked to collaborate with the Kentucky Care Coordinator Program (KCCP), funded by a Ryan White grant. The Care Coordinator Program has been providing medical case management since 1998. In the 16 years of service, they have been instrumental in linking clients to the necessary resources to maintain stability. As clients approached the program for assistance, the medical case managers assisted the clients in preparing a budget and a housing plan. The housing plan was prepared through a joint effort between the client and case manager working to meet the client where they are and to address barriers they face. The housing plans measured outcomes and goals that were evaluated on an ongoing basis. VOA was able to meet clients anywhere in the seven counties served, based on the client's needs.

Volunteers of America experienced a transition in which KCCP was discontinued. As the result, one Case Manager completed all the interviews and assessments as of January 1, 2015. Technical Assistance was provided and staff was able to organize and spend the money down to assist persons in need of financial assistance. STRMU dollars were provided for rent, mortgage, and utility assistance. Of the 128 persons served, only 5 returned for assistance. Resources and a housing plan were established**.**

b. Annual Performance under the Action Plan
Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

With the exception of set-asides for administrative costs, all HOPWA funding is distributed to local sub-recipients. In the spring of 2014, HOPWA sub-recipients submitted proposals directly to the Louisville Metro Community Services and Revitalization's Compliance and Administration division, where the proposals were reviewed and recommended for funding. NOTE: As a result of a departmental reorganization effective July 1, 2014, HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services (LMCS) in Program Year 2014.

All proposed sub-grantees must be approved in the Louisville/Jefferson County Metro Government budget passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance, sub-grantees are asked to submit a Work Program and Budget detailing proposed activities, goals and expenditures for the Program Year. After the Work Program and Budget is approved by LMCS staff, a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. Due to the generally consistent nature of the HOPWA funding, the five project sponsors that received funding for Program Year 2013 were funded again for Program Year 2014.

Project oversight is conducted by the HOPWA Program Manager. Agency/program monitoring is conducted by the Sub-recipient Management and Monitoring Unit. The HOPWA Program Manager is also responsible for reviewing all requests for payment

submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In Program Year 2014, all HOPWA project sponsors were monitored. There were no concerns or findings.

In addition to providing services to Louisville/Jefferson County, project sponsors also serve the counties of Bullitt, Oldham, Meade, Shelby, Henry, Spencer in Kentucky and Clark, Floyd, Harrison, Scott and Washington counties in Indiana.

The HOPWA program provides support to persons with HIV/AIDS to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. In Program Year 2014, Hoosier Hills and Volunteers of America supported 144 clients with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 31 households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to 1,783 households with HOPWA funding.

Individual outputs are as follows:

A total of 31 clients received TBRA support from two Louisville Metro HOPWA sub-recipients (House of Ruth served a total of 24 clients. Hoosier Hills served 7 clients.) Of these 31 clients, 29 are continuing with TBRA. During the Program Year, one client exited House of Ruth TBRA and one client exited Hoosier Hills TBRA. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 144 clients received STRMU support from two Louisville Metro HOPWA sub recipients (Hoosier Hills served 16 clients and Volunteers of America of Kentucky served 128 clients). Of the 144 clients, 143 are likely to maintain current housing arrangements with additional support. 16 clients are housed temporarily stable, with reduced risk of homelessness, 128 are in stable housing.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA sub-recipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 532 clients; House of Ruth served 1,154 clients; and Legal Aid Society, Inc. served 97 clients.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

HOPWA Sponsors reported the following significant outcomes:

AIDS Interfaith Ministries: AIM continued to work with partner agencies to identify households in need of assistance from the AIM program. AIM reported the following achievements for Program Year 2014:

- 1,206 unduplicated individuals accessed the AIM food pantry, including access to high protein supplements to combat HIV-related wasting;
- 666 unduplicated individuals accessed the AIM food pantry personal care and household supplies program, aiding individuals who offset household costs by getting toilet paper, laundry detergent, cleaning supplies, and mops, sponges, rags, and other cleaning tools.
- 31 unduplicated households accessed emergency housing (funded by the Louisville AIDS Walk)
- 201 unduplicated individuals received increased access to medical and oral healthcare. 28 individuals were provided transportation to the University of Louisville Miller Oral Health Clinic in Elizabethtown, Kentucky (a 45-60 minute drive) who would not otherwise be able to access oral health care. Three individuals were provided medical co-pays for wheelchair, walker, and shower bench (provided after surgery). 190 unduplicated individuals were provided non-HIV medication copays on a near monthly basis.
- 89 unduplicated individuals were provided a monthly warm meal in a community building environment at the AIM "Buddy Dinner".

Hoosier Hills: This program was able to keep seven clients on long-term assistance to prevent homelessness. One TBRA client exited the program during Program Year 2014, having secured permanent housing. Care coordinators have helped clients develop housing plans to help with stability. Sixteen clients received STRMU assistance.

House of Ruth: In Program Year 2014, House of Ruth served 1,154 individuals and families living with HIV, 99% of whom met the federal income guidelines for qualifying for HOPWA support services and TBRA services. The 1% of clients who live above income guidelines remained eligible for other programs and services supported by House of Ruth general operating funds, private grant funds, or other in-kind donations including toiletries, household items, and back-to-school and holiday assistance for families with children.

In Program Year 2014, House of Ruth services and programs funded by HOPWA Support Services dollars included: individualized holistic case management to over 700 individuals and families; intensive outpatient drug and alcohol addiction assessment and treatment to over 90 individuals; life skills case management to over 44 individuals needing weekly assistance in activities of daily living, including medication management and basic resources management, in order to develop the skills needed to obtain permanent housing of their choice and successfully live with HIV; and transportation assistance (in the form of TARC tickets) to over 300 individuals and families. In total, HOPWA Support Services have helped over 1,000 individuals and families remove potentially life-threatening barriers to successful permanent housing and HIV management.

Also in Program Year 2014, House of Ruth provided TBRA consistently for 24 unduplicated households, moved 6 new families into the program, and exited 1 family to private dwellings. Thanks in part to bonus TBRA funds this operating year, House of Ruth was able to serve more families new to HOPWA than in any past funding year. Specifically, over half of those new families with children were homeless at entry, demonstrating extreme unmet need for housing and HIV stabilization in the community.

Legal Aid: The HIV/AIDS Legal Project assists eligible clients with a variety of legal needs including obtaining and remaining in safe, affordable housing, securing disability income for clients, securing access to government benefits, addressing consumer and medical debt issues, drafting life-planning documents, assisting with family law and support issues, assistance with maintaining health care, and advocacy against discrimination. 97 clients were served by the HIV/AIDS Legal Project in Program Year 2014.

Volunteers of America: Volunteers of America served 128 clients with STRMU and supportive services during Program Year 2014. VOA engaged landlords and utility companies to advocate for financial support which prevents persons with HIV from experiencing homelessness. Housing Plans supported the need to maintain the budget and eliminate unnecessary expenses to continue stable housing.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Most project sponsors participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources. These include the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and private donations. Many of the HOPWA project sponsors are also represented on the Kentuckiana AIDS Alliance Board of Directors. The Kentuckiana AIDS Alliance facilitates monthly planning meetings among HIV/AIDS service providers in Louisville Metro and is responsible for securing funding for numerous agencies through the Louisville AIDS Walk. Louisville Metro's HOPWA program manager participates in the monthly meeting of the Kentuckiana AIDS Alliance.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Community Services, through its Federal Sub-recipient Management and Monitoring Unit provides quarterly meetings with the HOPWA subrecipients. These meetings offer technical assistance, exchanges of ideas, and best practices. Local HUD Field Office staff typically attends. Additionally, this unit regularly monitors each agency receiving HOPWA funds to ensure adherence to federal and local regulations, as well as provide technical assistance as needed. Sub-recipients submit quarterly reports tracking the number of clients served and other pertinent information for future funding distribution processes.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

☐ HOPWA/HUD Regulations	☐ Planning	☐ Housing Availability	X Rent Determination and Fair Market Rents
X Discrimination/Confidentiality	☐ Multiple Diagnoses	X Eligibility	☐ Technical Assistance or Training
☐ Supportive Services	X Credit History	X Rental History	X Criminal Justice History
X Housing Affordability	X Geography/Rural Access	☐ Other, please explain further	

Several barriers have been identified by HOPWA project sponsors, including lack of affordable housing, discrimination, lack of supportive services, multiple diagnoses, poor credit history, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive status. There is a feeling of shame and isolation that occurs as clients withdraw from society due to the fear of judgment and criticism from their peers, churches, families, and society at large.

The greatest challenges reported by most sponsors are issues with obtaining and maintaining affordable housing. Housing availability continues to be a barrier to serving more clients as HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited income or lack of income continues to be an issue. Bad credit history, criminal history, and housing availability are all factors that contribute to the lack of housing opportunities and eligibility for clients. Many client households, whether unstably housed, inadequately housed, or homeless, did not have the resources to move (such as a deposit, good credit, access to moving equipment, first month's rent, or income eligibility). House of Ruth maintains a waiting list for affordable housing units for people with HIV/AIDS which currently includes over 260 unduplicated households.

Many clients have multiple diagnoses, making day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues (such as HIV, hepatitis, kidney dialysis, etc.) all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues; lack of education and training for adequate employment; and the long, arduous process of seeking and being granted social security disability insurance.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed by agencies due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, and other destinations is an ongoing challenge.

Finally, concern remains how to continue to serve an increased number of TBRA families without further funds for increased case management staff and rental assistance. Flat HOPWA funding indicates that no additional housing will be available in the future. HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Communities are documenting an increase of drug use, especially heroin, leaving many people homeless. The recent epidemic of HIV in Scott County, IN occurred as a result of heroin use. Needle exchange programs in Kentucky and Indiana as well as increased community awareness (both of HIV and of the increase in heroin use) have occurred as a result of the Scott County epidemic. In addition, Hoosier Hills AIDS Coalition employs 4 case managers and a substance abuse counselor to combat the increase of drug use in their community.

Many emergency housing programs prioritize individuals who are in need of substance abuse support as well as housing. Often this results in individuals for whom alcohol abuse or drug abuse is not an issue being left with few resources for housing. Additionally, many homeless shelters in Louisville are operated by faith-based organizations, and many HIV positive individuals

report issues including discrimination due to gender identity or sexual orientation, medications being confiscated, and HIV status being freely disclosed to other residents.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The draft HOPWA CAPER is available for public review annually along with Louisville Metro's CAPER. Finalized HOPWA CAPERs are available on the Office of Housing and Community Development website. Interested citizens or organizations may request copies of the CAPER from Develop Louisville or the Department of Community Services.

The most recent HIV/AIDS surveillance data for Louisville and the Kentucky counties of the HOPWA EMSA is available from the Kentucky Cabinet for Health and Family Services, Department of Public Health. HIV/AIDS surveillance data for the Indiana counties of the HOPWA EMSA is available from the Indiana State Department of Health.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.
Note: *Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.
Note: *In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	320
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: a. Tenant-Based Rental Assistance (TBRA) b. Short-Term Rent, Mortgage and Utility payments (STRMU) • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	320

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X **= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives**
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
X = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
x = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

***Note**: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	$56,421.00		☐Housing Subsidy Assistance ☐Other Support
Ryan White-Other	$147,500.00	Case management, Emerging Communities Grant	☐Housing Subsidy Assistance X Other Support
Housing Choice Voucher Program			☐Housing Subsidy Assistance ☐Other Support
Low Income Housing Tax Credit			☐Housing Subsidy Assistance ☐Other Support
HOME			☐Housing Subsidy Assistance ☐Other Support
Shelter Plus Care			☐Housing Subsidy Assistance ☐Other Support
Emergency Solutions Grant			☐Housing Subsidy Assistance ☐Other Support
Other Public: STOP Project A and B	$188,798.00		☐Housing Subsidy Assistance X Other Support
Other Public: State HOPWA	$53,594.00		☐Housing Subsidy Assistance X Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Private Funding			
Grants	$95,000.00	Louisville AIDS Walk, DEFA	☐Housing Subsidy Assistance X Other Support
In-kind Resources	$56,928.02	Dare to Care Food Bank, volunteers, food, supplies, travel, case management	☐Housing Subsidy Assistance X Other Support
Other Private:	$201,123.00	Fundraising donations to House of Ruth	☐Housing Subsidy Assistance X Other Support
Other Private: Metro United Way	$91,194.00	Metro United Way	☐Housing Subsidy Assistance X Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	$15,721.00		☐Housing Subsidy Assistance X Other Support
Resident Rent Payments by Client to Private Landlord	$13,844.00		
TOTAL (Sum of all Rows)	**$920,123.02**		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: *Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

	Program Income and Resident Rent Payments Collected	Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	n/a
2.	Resident Rent Payments made directly to HOPWA Program	n/a
3.	**Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)**	n/a

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

	Program Income and Resident Rent Payment Expended on HOPWA programs	Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	n/a
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	n/a
3.	**Total Program Income Expended (Sum of Rows 1 and 2)**	n/a

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: *The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	**[1] Output: Households**				**[2] Output: Funding**	
		HOPWA Assistance		**Leveraged Households**		**HOPWA Funds**	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	PY 2014 Budget	Actual *
	HOPWA Housing Subsidy Assistance	**[1] Output: Households**				**[2] Output: Funding**	
1.	Tenant-Based Rental Assistance	24	31	6	7	179,066.67	159,771.80
2a.	**Permanent Housing Facilities:** Received Operating Subsidies/Leased units (Households Served)						
2b.	**Transitional/Short-term Facilities:** Received Operating Subsidies/Leased units (Households Served) (Households Served)	50	24			20,000.00	17,305.73
3a.	**Permanent Housing Facilities**: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	**Transitional/Short-term Facilities:** Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	160	144	15	16	97,420.33	131,968.07
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	**Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)**	234	199	21	23	296,487.00	309,045.60
	Housing Development (Construction and Stewardship of facility based housing)	**[1] Output: Housing Units**				**[2] Output: Funding**	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	**Total Housing Developed (Sum of Rows 8 & 9)**						
	Supportive Services	**[1] Output Households**				**[2] Output: Funding**	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	650	1,282			215,091.04	219,338.55
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	100	629			98,730.00	92,840.76
12.	Adjustment for duplication (subtract)						
13.	**Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)**	750	1,911			313,821.04	312,179.31
	Housing Information Services	**[1] Output Households**				**[2] Output: Funding**	
14.	Housing Information Services						
15.	**Total Housing Information Services**						

	Grant Administration and Other Activities	[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					12,933.91	12,933.91
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					17,069.00	16,252.98
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					45,182.00	46,260.91
20.	**Total Grant Administration and Other Activities (Sum of Rows 16 – 19)**					75,184.91	75,447.80

	Total Expended					[2] Outputs: HOPWA Funds Expended	
						PY 2014 Budget	Actual *
21.	**Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)**					685,492.95	696,672.71

* Actual funding outputs are based on the funds drawn in Program Year 2014, regardless of which year the funds were budgeted.

2. Listing of Supportive Services –Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.
Data check: *Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

	Supportive Services	[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	90	30,692.89
3.	Case management	1377	153,188.22
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	65	13,918.00
8.	Legal services	97	58,398.56
9.	Life skills management (outside of case management)	44	21,103.64
10.	Meals/nutritional services	431	15,082.00
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	318	19,806.00
14.	Other Activity (if approved in grant agreement).	0	0
15.	**Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)**	2422	
16.	**Adjustment for Duplication (subtract)**	-511	
17.	**TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)**	1,911	312,189.31

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary
In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received

assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: *The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.*

Data Check: *The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.*

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	**Total Short-term mortgage, rent and/or utility (STRMU) assistance**	144	134,813.58 **
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	6	4,009.67
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	3	5,458.29
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	63	56,532.83
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	30	52,308.57
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	42	16,504.22
g.	Direct program delivery costs (e.g., program operations staff time)		

** Total reported in Table 3, Row a, Column 2 does not match total reported in Table 1, Row 4, Column f. Data in Table 1 is calculated using information from IDIS draws occurring from July 1, 2014 to June 30, 2015. Data in Table 3 is calculated using information from subrecipient end-of-year reports. Subrecipients typically report expenditures during the Program Year rather than actual IDIS draws during the Program Year.

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

***Data Check**: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].*
***Note**: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	31	29	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing	1	*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy	1	
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/Unknown		
			9 Death		*Life Event*
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/Unknown		
			9 Death		*Life Event*

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/unknown		
			9 Death		*Life Event*

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].
In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.
Information in Column [3] provides a description of housing outcomes; therefore, data is not required.
At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: *The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.*
Data Check*: The sum of Column [2] should equal the number of households reported in Column [1].*

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
144	**Maintain Private Housing <u>without</u> subsidy** (*e.g. Assistance provided/completed and client is stable, not likely to seek additional support*)	128	*Stable/Permanent Housing (PH)*
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution (*e.g. residential and long-term care*)		
	Likely that additional STRMU is needed to maintain current housing arrangements	16	*Temporarily Stable, with Reduced Risk of Homelessness*
	Transitional Facilities/Short-term (e.g. *temporary or transitional arrangement*)		
	Temporary/Non-Permanent Housing arrangement (*e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days*)		
	Emergency Shelter/street		*Unstable Arrangements*
	Jail/Prison		
	Disconnected		
	Death		*Life Event*

1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).	10
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).	9

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: *These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

Total Number of Households	
1. **For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	175
b. Case Management	868
c. Adjustment for duplication (subtraction)	
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	1043
2. **For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	**629**
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	**629**

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: *For information on types and sources of income and medical insurance/assistance, refer to Charts below.*

Categories of Services Accessed	**[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**Outcome Indicator**
1. Has a housing plan for maintaining or establishing stable on-going housing	175	561	*Support for Stable Housing*
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	175	532	*Access to Support*
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	175	532	*Access to Health Care*
4. Accessed and maintained medical insurance/assistance	174	70	*Access to Health Care*
5. Successfully accessed or maintained qualification for sources of income	174	595	*Sources of Income*

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- MEDICAID Health Insurance Program, or use local program name
- MEDICARE Health Insurance Program, or use local program name
- Veterans Affairs Medical Services
- AIDS Drug Assistance Program (ADAP)
- State Children's Health Insurance Program (SCHIP), or use local program name
- Ryan White-funded Medical or Dental Assistance

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- Earned Income
- Veteran's Pension
- Unemployment Insurance
- Pension from Former Job
- Supplemental Security Income (SSI)
- Child Support
- Social Security Disability Income (SSDI)
- Alimony or other Spousal Support
- Veteran's Disability Payment
- Retirement Income from Social Security
- Worker's Compensation
- General Assistance (GA), or use local program name
- Private Disability Insurance
- Temporary Assistance for Needy Families (TANF)
- Other Income Sources

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.
Note: *This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*
Note: *Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	5	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	**Stable Housing** (# of households remaining in program plus 3+4+5+6)	**Temporary Housing** (2)	**Unstable Arrangements** (1+7+8)	**Life Event** (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	**Stable/Permanent Housing**	**Temporarily Stable, with Reduced Risk of Homelessness**	**Unstable Arrangements**	**Life Events**
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation
3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing
2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements
1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
7 = Jail /prison.
8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event
9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.
Note: *See definition of Stewardship Units.*

1. General information

HUD Grant Number(s)	Operating Year for this report *From (mm/dd/yy) To (mm/dd/yy)* ☐ Final Yr ☐ Yr 1; ☐ Yr 2; ☐ Yr 3; ☐ Yr 4; ☐ Yr 5; ☐ Yr 6; ☐ Yr 7; ☐ Yr 8; ☐ Yr 9; ☐ Yr 10;
Grantee Name	Date Facility Began Operations *(mm/dd/yy)*

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	**Number of Stewardship Units Developed with HOPWA funds**	**Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year**
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	☐ *Yes, protect information; do not list* ☐ *Not confidential; information can be made available to the public*
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.	
Name & Title of Authorized Official of the organization that continues to operate the facility:	**Signature & Date (mm/dd/yy)**
Name & Title of Contact at Grantee Agency *(person who can answer questions about the report and program)*	**Contact Phone (with area code)**

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: *Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	199

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

	Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	Continuing to receive HOPWA support from the prior operating year	34
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	23
5.	**Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)**	23
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	3
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	2
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	132
13.	House you own	4
14.	Staying or living in someone else's (family and friends) room, apartment, or house	1
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	**TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)**	199

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	24

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: *See definition of HOPWA Eligible Individual*

Note: *See definition of Transgender.*

Note: *See definition of Beneficiaries.*

Data Check: *The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.*

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance Individuals and Families Served with HOPWA Housing Subsidy Assistance	**Total Number**
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	199
2. Number of ALL other persons **diagnosed** as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	8
3. Number of ALL other persons **NOT diagnosed** as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	106
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	313

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	**B.**	**C.**	**D.**	**E.**
		Male	**Female**	**Transgender M to F**	**Transgender F to M**	**TOTAL (Sum of Columns A-D)**
1.	Under 18					
2.	18 to 30 years	11	2	2		15
3.	31 to 50 years	83	41	1		125
4.	51 years and Older	32	27			59
5.	**Subtotal (Sum of Rows 1-4)**	126	70	3		199
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	**B.**	**C.**	**D.**	**E.**
		Male	**Female**	**Transgender M to F**	**Transgender F to M**	**TOTAL (Sum of Columns A-D)**
6.	Under 18	25	40			65
7.	18 to 30 years	22	3			25
8.	31 to 50 years	7	8			15
9.	51 years and Older	7	2			9
10.	**Subtotal (Sum of Rows 6-9)**	61	53			114
11.	**TOTAL (Sum of Rows 5 & 10)**	187	123	3	0	313

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian	1		1	
3.	Black/African American	110		78	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	83	4	32	4
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White	3		2	
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	2		1	
11.	Column Totals (Sum of Rows 1-10)	199	4	114	4
Data Check: *Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.*					

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check*: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).*

Note: *Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.*

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	127
2.	31-50% of area median income (very low)	53
3.	51-80% of area median income (low)	19
4.	**Total (Sum of Rows 1-3)**	**199**

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: *If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year *(if applicable)*	Non-HOPWA funds Expended *(if applicable)*	Name of Facility:
☐ New construction	$	$	**Type of Facility [Check only one box.]** ☐ Permanent housing ☐ Short-term Shelter or Transitional housing ☐ Supportive services only facility
☐ Rehabilitation	$	$	
☐ Acquisition	$	$	
☐ Operating	$	$	

a.	Purchase/lease of property:	Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:	Date started: Date Completed:
c.	Operation dates:	Date residents began to occupy: ☐ Not yet occupied
d.	Date supportive services began:	Date started: ☐ Not yet providing services
e.	Number of units in the facility:	HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?	☐ Yes ☐ No *If yes, number of participants on the list at the end of operating year*
g.	What is the address of the facility (if different from business address)?	
h.	Is the address of the project site confidential?	☐ *Yes, protect information; do not publish list* ☐ *No, can be made available to the public*

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: *The number units may not equal the total number of households served.*

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

☐ Permanent Supportive Housing Facility/Units

☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility **Specify:**						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) **Specify:**		
e.	**Adjustment to eliminate duplication (subtract)**		
f.	**TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)**		

THE COURIER-JOURNAL – A GANNET

STATE OF KENTUCKY

County of Jefferson

Affidavit of Publication

NOTICE OF PUBLIC COMMENT PERIOD FOR THE DRAFT CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT FOR PROGRAM YEAR 2014

The purpose of this notice is to give citizens, public agencies, and other interested parties the opportunity to comment on the draft Program Year 2014 (7/1/14-6/30/15) Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER is Louisville Metro Government's evaluation of the implementation of its Action Plan for the expenditures of CDBG, HOME, ESG, and HOPWA funds. In accordance with Louisville Metro Government's approved Citizen Participation Plan, the Draft CAPER will be available for public review and comment for a period of not less than fifteen days.

The draft report will be available online at www.louisvilleky.gov/government/housing-community-development on Wednesday, September 9, 2015. There will be a public comment period, which will end on Thursday, September 24, 2015. Citizens may submit written comments on the draft CAPER via email to sandra.bottoms@louisvilleky.gov or written comments may be sent to the Develop Louisville Office of Housing and Community Development, ATTN: Sandra Bottoms, 444 S. 5th Street, 5th Floor, Louisville, KY 40202.

Hard copies of the draft 2014 CAPER will be available for review at all branches of the Louisville Free Public Library and at each Neighborhood Place site. Copies are available at the Develop Louisville Office of Housing and Community Development located at 444 S. 5th Street, 5th Floor and at the Department of Community Services, 810 Barrett Avenue, Suite 240.

For further information or to ensure reasonable accommodations are made for persons with disabilities or persons who are Limited English Proficient, please call Sandra Bottoms at 502-574-8298.

I, *Christine Manning*, of **COURIER-JOURNAL,** Inbound Special Services Representative, general circulation printed and published at 525 West Broadway, Louisville, Kentucky, do solemnly swear that from my own personal knowledge, and reference to the files of said publication, the advertisement of:

Title: **Notice of Public Comment**

Run Date(s): 9/9/2015 NEWSPAPER PRINT

Run Date(s): 9/9 - 9/15/2015 ONLINE

Christine Manning, Inbound Special Services Representative

Signature of person making proof

Subscribed and sworn to before me this 9th day of September 2015

Janice C. Richardson, Notary Public

JANICE C. RICHARDSON
MY COMM. EXPIRES
NOTARY
PUBLIC
JUNE 14, 2018
STATE AT LARGE, KENTUCKY

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	LOUISVILLE - JEFFERSON COUNTY METRO GOVT
Organizational DUNS Number	073135584
EIN/TIN Number	320049006
Indentify the Field Office	LOUISVILLE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Louisville/Jefferson County CoC

ESG Contact Name

Prefix	Mr
First Name	James
Middle Name	0
Last Name	Mims
Suffix	0
Title	Director, Develop Louisville

ESG Contact Address

Street Address 1	444 S. Fifth
Street Address 2	0
City	Louisville
State	KY
ZIP Code	40204-
Phone Number	5025742824
Extension	0
Fax Number	0
Email Address	James.Mims@louisivlleky.gov

ESG Secondary Contact

Prefix	0
First Name	Laura
Last Name	Grabowski
Suffix	0
Title	Assistant Director; Research, Planning and Complia
Phone Number	5025747308
Extension	0

OMB Control No: 2506-0117 (exp. 07/31/2015)

Email Address	laura.grabowski@louisvilleky.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2014
Program Year End Date	06/30/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: LOUISVILLE - JEFFERSON COUNTY METRO GOVT
City: Louisville
State: KY
Zip Code: 40202, 2814
DUNS Number: 073135584
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: The Coalition for the Homeless, Inc.
City: Louisville
State: KY
Zip Code: 40203, 4100
DUNS Number: 611118307
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 32100

** Additional ESG subrecipients are listed in the table below:

OMB Control No: 2506-0117 (exp. 07/31/2015)

Agency	Service Type	Address	DUNS	Victim Services Provider	Org Type	ESG Subgrant
Coalition for the Homeless	Shelter	Louisville, KY 40203	968716688	No	Other Non-Profit Organization	$32,100.00
Family and Children First / Family and Children's Place	RRH	Louisville, KY 40203	926929852	No	Other Non-Profit Organization	$54,747.52
	Prevention	Louisville, KY 40203	926929852	No	Other Non-Profit Organization	$26,900.00
Family Health Center	Street Outreach	Louisville, KY 40203	150967367	No	Other Non-Profit Organization	$57,100.00
House of Ruth	Shelter	Louisville, KY 40203	831087168	No	Other Non-Profit Organization	$16,600.00
Legal Aid Society	Prevention	Louisville, KY 40202	086757762	No	Other Non-Profit Organization	$42,200.00
Society of St Vincent de Paul	Shelter	Louisville, KY 40203	927622548	No	Other Non-Profit Organization	$70,600.00
St. John Center	Shelter	Louisville, KY 40202	603666207	No	Other Non-Profit Organization	$104,900.00
Volunteers of America	Shelter	Louisville, KY 40217	610480950	No	Other Non-Profit Organization	$100,000.00
Wayside Christian Mission	Shelter	Louisville, KY 40257	064849284	No	Other Non-Profit Organization	$16,900.00
YMCA of Greater Louisville	Street Outreach	Louisville, KY 40202	059562710	No	Other Non-Profit Organization	$37,000.00

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CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	22
Children	20
Don't Know/Refused/Other	0
Missing Information	194
Total	**236**

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	52
Children	28
Don't Know/Refused/Other	0
Missing Information	0
Total	**80**

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	3,911
Children	442
Don't Know/Refused/Other	5
Missing Information	2,341
Total	**6,699**

Table 3 – Shelter Information

OMB Control No: 2506-0117 (exp. 07/31/2015)

4d. Street Outreach

Number of Persons in Households	Total
Adults	293
Children	0
Don't Know/Refused/Other	0
Missing Information	131
Total	**424**

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	3,778
Children	398
Don't Know/Refused/Other	0
Missing Information	276
Total	**4,452**

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	3,459
Female	805
Transgender	4
Don't Know/Refused/Other	184
Missing Information	0
Total	**4,452**

Table 6 – Gender Information

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6. Age—Complete for All Activities

	Total
Under 18	398
18-24	281
25 and over	3,497
Don't Know/Refused/Other	25
Missing Information	251
Total	**4,452**

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	500	2	4	554
Victims of Domestic Violence	512	2	12	460
Elderly	256	2	1	262
HIV/AIDS	64	1	0	77
Chronically Homeless	1,505	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	1,283	6	10	1,395
Chronic Substance Abuse	2,313	0	19	2,828
Other Disability	1,431	6	12	1,647
Total (Unduplicated if possible)	7,864	19	58	7,223

Table 8 – Special Population Served

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CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	198,651
Total Number of bed-nights provided	191,899
Capacity Utilization	96.60%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Street Outreach

Family Health Centers Phoenix Street Outreach - The Phoenix Street Outreach team served 292 clients. 18 exited to permanent destinations (5 to a rental without ongoing subsidy, 2 to a rental with ongoing subsidy, 10 entering PSH, and 1 living with friends). 264 exited to temporary shelter (186 to emergency shelter, 15 to transitional housing for homeless persons, 3 staying with friends or family on a temporary basis). 60 returned to places unfit for human habitation. 1 entered a psychiatric facility.

YMCA Street Outreach - YMCA Street Outreach educated 2,166 young adults ages 12-22 while canvassing streets. Of these, 1,417 were ages 12-17 and 749 were ages 18-22. YMCA distributed 553 survival aid items to 383 young adults and referred 132 young adults to a community resource. Of the young adults Street Outreach staff connected with, 92 followed through with seeking services through YMCA's youth development center.

Rapid Re-Housing

Louisville Metro, working with Family & Children's Place as a provider of RRH Case Management, served 46 households. 76 of 77 persons in exiting households left for permanent housing, with 96% of those going to rental housing with no subsidy. 2 left for housing with ongoing subsidy, 1 found permanent tenure with family, and 1 moved to transitional housing. 38 reported retaining, gaining, or increasing income, while only 4 reported a reduction in income.

Homeless Prevention

Family & Children's Place - Family Stabilization and Financial Assistance - The program helps people exiting state-run institutions avoid homelessness and move into permanent housing or to stabilize housing they had to vacate when they entered the institution. In PY14, this program was also offered to

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families facing eminent homelessness. During PY14, the program served 22 households consisting of 22 adults and 20 children.

Legal Aid Society Eviction Defense Program - In PY14, the program served 194 low-income households whose housing was threatened due to eviction. 134 households remained housed after 6 months. Legal Aid anticipates that clients served towards the end of PY14 will also meet this stability goal.

Emergency Shelter - due to IDIS space limitations, please see CAPER narrative (Homeless - Emergency Solutions Grant section) for detailed project outcomes for ESG-funded Shelter projects.

Coalition for the Homeless Operation White Flag - served 2,097 persons. White Flag clients served by shelters are not included in summaries of shelter activities below.

House of Ruth - Glade House Emergency Shelter - served 14 unduplicated clients, with an average length of stay of 17.5 days

Society of St. Vincent de Paul Ozanam Inn - served 356 clients: 80 in the long-term dorm, 101 by the recovery program, and 175 in the emergency shelter

St. John Center for Homeless Men Day Shelter - served 1,789 individuals

Volunteers of America Family Emergency Shelter - served 86 households consisting of 101 adults and 171 children

Wayside Christian Mission Family Emergency Shelter - served 123 households consisting of 127 adults and 255 children

Wayside Christian Mission Men's Emergency Shelter - served 1,789 clients

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CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2012	**2013**	**2014**
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	40,550	144,273	83,027
Subtotal Homelessness Prevention	**40,550**	**144,273**	**83,027**

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2012	**2013**	**2014**
Expenditures for Rental Assistance	164,755	226,967	213,839
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	58,830	53,861	54,748
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	**223,585**	**280,828**	**268,587**

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2012	**2013**	**2014**
Essential Services	167,355	198,933	170,763
Operations	300,288	120,274	255,776
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

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Subtotal	**467,643**	**319,207**	**426,539**

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	**2013**	**2014**
HMIS	35,807	121,155	0
Administration	78,321	64,196	48,953
Street Outreach	22,634	79,574	97,900

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014
2,602,671	845,906	929,659	827,106

Table 14 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds	41,796	261,542	255,658
Other Federal Funds	0	114,823	192,696
State Government	10,000	0	0
Local Government	0	0	0
Private Funds	713,019	297,500	391,973
Other	103,864	146,045	104,560
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	**868,679**	**819,910**	**944,887**

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014
5,236,147	1,714,585	1,749,569	1,771,993

Table 16 - Total Amount of Funds Expended on ESG Activities

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