



**Louisville/Jefferson County Metro Government
Consolidated Annual Performance
and Evaluation Report**

CAPER

Program Year 2011



September 28, 2012

**Prepared by Louisville Metro Department of Community Services and
Revitalization**

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Louisville/Jefferson County Metro Government

Greg Fischer
Mayor

Louisville Metro Council Members 2011-2012

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Second Program Year CAPER

The CPMP Second Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

General Questions

Assessment of Program Year Goals and Objectives

Louisville Metro Government, through the Louisville Metro Department of Community Services and Revitalization (LMCSR), receives annual grants on a formula basis to carry out a wide range of activities geared toward housing rehabilitation and development, public improvements, economic development, public services, maintaining and improving neighborhoods, and homeless support. The five-year strategic plan, referred to as the Consolidated Plan, is a jurisdiction's comprehensive planning document and application for funding under the following Community Planning and Development formula grant programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). In 2010, Louisville Metro Government submitted the 2010 – 2014 Louisville/Jefferson County Metro Government Five-Year Consolidated Plan (Consolidated Plan).

In addition to submitting a five-year strategic plan to the U.S. Department of Housing and Urban Development (HUD), Louisville Metro Government is also required to submit an Annual Action Plan which describes the resources available, the programs/projects to be funded and the proposed accomplishments for the program year. This document covers activities laid out in the final amended Action Plan for program year 2011, which covered July 1, 2011 to June 30, 2012.

Allocations for the 2011 program year in the final amended Action Plan included: expected CDBG funds of \$10,777,000, and CDBG program income of \$700,000; expected HOME funds of \$3,542,000 and HOME program income of \$300,000; expected ESG funds of \$817,595; and expected HOPWA funds of \$553,800. Actual entitlement funds received were \$10,777,157 in CDBG funds, \$3,541,431 in HOME funds, \$553,834 in ESG funds, and \$576,934 in HOPWA funds. Actual program income received totaled \$588,872 in CDBG and \$569,920 in HOME.

In addition, Louisville Metro continued to expend funds received through the American Reinvestment and Recovery Act of 2009 (ARRA)/stimulus funds during program year 2011: Homelessness Prevention and Rapid Re-housing Program (HPRP). HPRP funds were received in the amount of \$4,870,830 with the express purpose of focusing on activities that provide financial assistance or services to prevent individuals or families from becoming homeless or to help those experiencing homelessness be quickly re-housed and stabilized. Louisville Metro used the HPRP funds to provide direct client assistance and supportive services for those facing or experiencing homelessness.

Louisville Metro Government is required to provide to the public and HUD an assessment of its accomplishments utilizing the funds at the end of each program year. This annual assessment is known as the Consolidated Annual Performance and Evaluation Report (CAPER). This CAPER provides results and accomplishments for the July 1, 2011 to June 30, 2012 time period.

The CAPER not only contains narrative statements, but also includes required financial reports produced through the Integrated Disbursement and Information System (IDIS). IDIS is a comprehensive database that tracks accomplishments and expenditures for each program/project. The following IDIS reports are included as attachments: Summary of Consolidated Plan Projects for Report Year 2011; Program Year 2011 Summary of Accomplishments; and CDBG Financial Summary for Program Year 2011.

The Consolidated Plan identified five priority need categories and their respective goals as follows:

- **Goal 1: Housing** – Louisville Metro residents have a range of choices for safe, decent, secure and affordable housing.
- **Goal 2: Economic Development** – Energize the regional economy and stabilize neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.
- **Goal 3: Community Development** – Foster a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.
- **Goal 4: Homelessness** – Reduce the incidence of homelessness in the Louisville Metro community.
- **Goal 5: Special Needs/HOPWA** – Address the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families.

The 2011 Action Plan included programs/projects to address each of these goals. Progress was made during program year 2011 toward the goal of expanding safe, decent, secure, and affordable housing through many CDBG and HOME funded programs geared toward homeownership assistance, education and counseling, housing rehabilitation and housing development. Through these various programs, 36 persons received downpayment assistance, 4,446 persons received education and counseling services, 518 homes were rehabilitated, and 6 Rental Development projects were completed which resulted in the development of 27 HOME-funded housing units. During program year 2011, approximately 24% of expected CDBG funds (including program income) and 81% of expected HOME funds received (including program income) were allocated to programs focusing on affordable housing.

Progress was made during program year 2011 toward the goal of energizing the regional economy and stabilizing neighborhoods through the METCO Loan Program, Microenterprise Development program, and employment-specific job training program. The METCO loan program resulted in the creation of 14 fulltime jobs for low-to-moderate income individuals. The Microenterprise Development program resulted in 10 full-time and 2 part-time jobs created and 12 full-time jobs retained for low-to-moderate income individuals. During program year 2011, approximately 5% of expected CDBG funds were allocated towards economic development programs.

Progress was made during program year 2011 toward the goal of fostering a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community through the provision of services and improvements that will increase the health and safety of the community. These occurred through many CDBG-funded programs geared toward public improvements, public services, and maintaining and improving neighborhoods. In program year 2011, 96,877 square yards of streets were paved, 32 blighted structures were demolished, 2,412 individuals received skills necessary to move toward self-sufficiency through the Family Economic Success program, 531 individuals were connected to essential services via the CAP Community Outreach program, and 20,745 housing inspections were conducted. During program year 2011, approximately 38% of CDBG funds were allocated to programs focused on public services and public improvements.

Progress was made during program year 2011 toward the goal of reducing the incidence of homelessness in the Louisville Metro community by providing case management, support services and transitional housing and providing services to the chronic homeless through CDBG, HOME, ESG, HOPWA and HPRP funded programs. These programs were geared toward tenant based rental assistance (TBRA), short-

term rent, mortgage and utility assistance (STRMU), direct client assistance through HPRP, and supportive services to shelters assisting in renovation, prevention, operations and essential services.

Through these activities in program year 2011, 70 persons received HOME tenant based rental assistance, 23 persons received HOPWA TBRA, 212 persons received HOPWA STRMU assistance, homeless individuals received 21,072 units of service via CDBG supportive services, and 12 shelters received ESG assistance for prevention, operations, essential services and/or renovations. During program year 2011, approximately 10% of expected HOME funds, 9% of CDBG funds, 95% of ESG funds, and 25% of HOPWA funds were allocated to programs focused on homelessness (excluding allocations for administration).

Progress was made during program year 2011 toward the goal of addressing the special needs of Louisville Metro residents, including providing housing and supportive services to persons with AIDS and their families through the various HOPWA funded programs and through partnership with the AIDS Services Center Coalition, Inc. During program year 2011, a total of approximately 1,119 HIV/AIDS Special Needs persons were served and 97% of HOPWA funds were allocated to these programs (excluding allocations for administration).

LMCSR has continued to review its programs and incorporated changes as needed throughout the first year of the 2010 – 2014 Consolidated Plan. The Self Evaluation section includes discussion more specific to program year 2011.

Breakdown of CPD Formula Grant Funds

The following tables provide a breakdown of the grant funds spent on grant activities for the goals identified in the Consolidated Plan.

CPD FORMULA GRANTS
FUNDS APPLIED TO HOUSING GOALS

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2011</u>
Residential Programs Delivery	CDBG	536,047
Metro-Wide Emergency Repairs	CDBG	1,068,573
Metro Weatherization Supplement	CDBG	380,403
Metro-Wide Ramp Construction Program	CDBG	183,034
Residential Repair and Rehabilitation	CDBG	117,883
Metro-Wide Roof Program	CDBG	351,835
Investor Program	CDBG	459,240
Portland NRSA	CDBG	28,899
Homeownership Counseling	CDBG	133,747
Fair Housing Activities	CDBG	102,718
Rental Development Program	HOME	1,854,901
New Construction/CHDO	HOME	792,052
CHDO Operating Support	HOME	35,716
Homebuyer Assistance	HOME	505,483
Homeowner Rehabilitation	HOME	47,376
TOTAL		\$ 6,597,907

CPD FORMULA GRANTS
FUNDS APPLIED TO ECONOMIC DEVELOPMENT GOALS

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2011</u>
Business Loan Program (METCO)	CDBG	247,000
CAP Micro-Enterprise Assistance	CDBG	211,639
Micro-Enterprise Loans	CDBG	100,000
TOTAL		\$ 558,639

CPD FORMULA GRANTS
FUNDS APPLIED TO COMMUNITY DEVELOPMENT GOALS

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2011</u>
Sidewalk Improvement Plan	CDBG	81,956
Street Improvement Program	CDBG	815,017
Eligible Library Public Facilities Improvements	CDBG	757,190
Metro Park Improvements	CDBG	590,462
Eligible Non-Profits Public Facilities Improvements	CDBG	660,000
Street Tree Program	CDBG	38,502
Vacant Properties Demolition	CDBG	472,693
Neighborhood Stabilization Demolition	CDBG	308,832
Vacant Lot Program	CDBG	100,676
Family Economic Success	CDBG	316,056
Community Outreach CAP	CDBG	139,699
Code Enforcement	CDBG	793,245
TOTAL		\$ 5,074,328

CPD FORMULA GRANTS
FUNDS APPLIED TO HOMELESSNESS GOALS

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2011</u>
Homeless Services	CDBG	1,203,491
HOME TBRA	HOME	378,624
HOPWA TBRA	HOPWA	142,677
Essential Services	ESG	53,639
Prevention	ESG	69,145
Operations	ESG	79,979
Shelter	ESG	294,906
Renovations	ESG	-
TOTAL		\$ 2,222,461

CPD FORMULA GRANTS
FUNDS APPLIED TO SPECIAL NEEDS POPULATION GOALS

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2011</u>
STRMU	HOPWA	101,805
Supportive Services	HOPWA	316,334
TOTAL		\$ 418,139

Affirmatively Furthering Fair Housing

The Louisville Metro Department of Community Services & Revitalization continues to make an ongoing effort to affirmatively further fair housing. Access to housing, via rental or homeownership, is fundamental to meeting the essential needs of individuals and families. The issue of fair housing goes beyond discrimination in the rental and sales housing markets; it includes all facets of housing transactions, such as in financing, insurance coverage, and other issues related to occupancy. Fair housing is also correlated to the development of affordable housing throughout the community to ensure that individuals have a choice in where they can live.

In support of these principles, the Metropolitan Housing Coalition coordinated the research, analysis, and publication of *Analysis of Impediments to Fair Housing Choice in Louisville Metro, KY* for LMCSR. This document was released on March 15, 2010 and information was gathered from a number of primary sources. Monthly meetings were held with the Metropolitan Housing Coalition's Fair Housing Coalition, which included input from the Louisville Metro Human Relations Commission, to provide information for the report. In addition, representatives of the following agencies provided valuable information for the report: the Americana Community Center; the Center for Accessible Living; the Coalition for the Homeless; the Louisville Metro Development Authority; the Greater Louisville Association of Realtors; Habitat for Humanity of Metro Louisville; the Homebuilders Association of Louisville; the Kentucky Alliance Against Racist & Political Oppression; the Kentucky Commission on Human Rights; the Legal Aid Society of Louisville; the Lexington (KY) Fair Housing Council, a private, non-profit agency funded under the United States Department of Housing & Urban Development's (HUD's) Fair Housing Initiatives Program; the Louisville Apartment Association; the Louisville Metro Housing Authority; Louisville MetroCall; the Louisville Urban League; the Metropolitan Housing Coalition; the Non-Profit Housing Alliance; and Louisville Metro Planning & Design. The information collected in this study identifies areas to be addressed to affirmatively further fair housing. The following statements were impediments identified within Louisville Metro Government:

1. "[A] lack of support for planning and zoning statutes which would promote and support developer incentives as a means to guarantee the development of a minimum percentage of desirable affordable housing and special-needs-population housing (meeting the requirements of the American Disabilities Act) units (both rental and sale) per housing complex and/or subdivision in all neighborhoods and communities throughout the Louisville Metro jurisdiction."
2. "The fact that the majority of existing affordable housing and housing for special-needs populations (both rental and sale) is very segregated by race, ethnicity, and income and is located solely in specifically designated neighborhoods and communities throughout the Louisville Metro jurisdiction."
3. "The lack of innovative housing finance mechanisms to support the development, and subsequent rental or sale of desirable affordable housing units and special-needs-population housing units in all neighborhoods and communities throughout the Louisville Metro jurisdiction."

As cited in the report, Louisville Metro Government has attempted to address some of these issues.

Implementation of some recommendations made in the report *2006 Comprehensive Housing Strategy for Louisville Metro* has occurred. Federal stimulus money from the Neighborhood Stabilization Program has been used not only in very low-income neighborhoods but also in low-income neighborhoods, and the Louisville Metro Housing Authority has intentionally acquired units for public housing throughout its jurisdiction as HOPE VI replacement units.

The Louisville Metro Human Relations Commission has continuously worked to reduce barriers to fair housing in Louisville Metro. The Commission has continued to promote public education and outreach campaign initiatives on fair housing, including conducting training seminars and hosting fair housing complaint intake initiatives. Previous campaigns disseminated information through the use of posters, brochures, billboard advertisements, and print and broadcast media in fulfillment of past Community

Development Block Grants. Staff has presented information on fair housing throughout Louisville Metro, including training housing providers and educating the Spanish-speaking public on local Spanish-language radio. The goal has been to increase public awareness of fair housing laws and to educate the public about how to effectively fight discriminatory practices in housing. To help accomplish this goal, the Commission expanded its existing outreach and education campaign; and, the Commission continued incorporating housing testing results into housing discrimination complaint investigations for bona fide complainants from Community Development Block Grant (CDBG) funds.

The Louisville Metro Human Relations Commission's Executive Director participates in periodic meetings with representatives of the Metropolitan Housing Coalition, the Louisville Metro Housing Authority, and the Louisville Metro Department of Inspections, Permits & Licenses to actively analyze impediments to fair housing choice in Louisville Metro. The working group develops strategies and action plans to carry out reductions of barriers to fair housing.

On January 24, 2012, the Louisville Metro Human Relations Commission hosted its sixteenth annual Race & Relations Conference with a morning keynote address from Louisville Metro Mayor Greg Fisher. The Metropolitan Housing Coalition's Executive Director, Ms. Cathy Hinko, gave a presentation shortly thereafter called "Environmental Racism." Conference workshops included topics such as Equal Employment Opportunity Commission (EEOC) and United States Department of Housing & Urban Development (HUD) Legal Updates, Disability Rights, and Cultural Diversity, among others.

For National Fair Housing Month in April 2012, Louisville Metro Human Relations Commission staff spoke on fair housing laws on local *La Poderosa* Spanish-language radio. Commission staff and other fair housing advocates were panelists in a Commission-sponsored special presentation on Louisville MetroTV to discuss "Getting Along with a Neighbor," which was broadcast in heavy rotation on Louisville Metro Government's television network and is currently available online 24 hours a day. Commission staff partnered with fair housing and related agencies to conduct fair housing awareness initiatives, including "Fair Housing: Forum on Land Development Codes and Zoning," and a statewide landlord fair housing training at the Woodford County Planning Commission in Versailles, Kentucky. Commission staff also partnered with the Lexington Fair Housing Council and the Fairness Campaign to host a free seminar called "Fair Housing & the LGBT Community."

In June 2012, Louisville Metro Human Relations Commission staff again partnered with the Lexington Fair Housing Council to give a fair housing presentation for persons in the Burundian community in Louisville Metro. The event was so successful that Commission and Council staff were asked to plan follow-up presentations for the community, with anticipated dates forthcoming as part of a HUD grant to conduct fair housing education and outreach.

From July 1, 2011 – June 30, 2012, the Louisville Metro Human Relations Commission closed a number of housing discrimination complaint cases for its fiscal year, with a breakdown of protected class by basis as follows:

- Race 35
- Color 1
- National Origin 4
- Sex 9
- Disability 22
- Familial Status 12
- Sexual Orientation 1
- Retaliation 1

During this period, there were five complaints with a determination of Probable Cause that unlawful discrimination had likely occurred by a Respondent, resulting in charges of discrimination filed by the Commission with the assistance of the Jefferson County Attorney's Office; an additional six Probable Cause complaints from previous years were involved in continued legal proceedings. There were also six conciliation agreements, or settlements, to resolve complaints during the fiscal year. Additionally, one complaint was withdrawn by a Complainant after a resolution was reached with the Respondent to resolve the complaint.

The Louisville Metro Human Relations Commission continued its housing testing program, conducting 201 rental tests from July 1, 2011 – June 30, 2012. The testing program used Louisville Metro employees specifically hired to test for housing discrimination levels in Louisville Metro. Testing has been used to generate Commission discrimination complaints against those housing providers discovered to have engaged in unlawful business practices, and it has also been used as supplemental investigation into complaints filed by the general public. Funds from the testing program come from CDBG funds allocated by HUD to Louisville/Jefferson County Metro Government in order to comply with requirements to affirmatively further fair housing.

Additional actions to overcome the barriers identified above were also undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs.

Housing Partnership, Inc. affirmatively furthers fair housing by providing homeownership counseling and outreach to homeowners including those at risk of foreclosure (**IDIS: 25321, 23714, 23327**). During the 2011 program year, clients were served in the following ways:

- 170 clients received education and/or attended group workshops on homebuyer education (89 attended homebuyer education and 90 attended budget and credit classes)
- 512 clients received pre-purchase counseling
- 1191 clients received assistance with preventing mortgage delinquency
- 12 received post-purchase, non-default counseling

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education and counseling services to renters, prospective renters and first-time homebuyers (**IDIS: 25383, 24408, 23713**). During the 2011 program year, approximately 2,219 unduplicated clients were served in the following ways:

- 1,356 clients were educated on Fair Housing Law;
- 39 clients received budget and credit counseling;
- 309 clients received rental counseling;
- 454 clients received homeownership counseling;
- 913 clients received mortgage default/foreclosure counseling;
- 18 clients received post-purchase counseling;
- 1,076 clients received financial literacy education.

The Legal Aid Society, Inc. affirmatively furthers fair housing through The Legal Aid Society's Foreclosure Defense Program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments (**IDIS: 24404, 25380**). Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the 2011 program year, 253 low-income homeowners facing foreclosure attended a Foreclosure Defense Clinic and Legal Aid spoke with an additional 89 individuals about foreclosure and the foreclosure process. The weekly Clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

Leveraging Resources

In program year 2011 Louisville Metro Government continued to identify and obtain additional funding.

Louisville Metro Government and its entitlement subrecipients were successful in obtaining and/or allocating “other” public and private resources including private donations, fund-raising contributions, general fund allocations, low-income housing tax credits, Kentucky Housing Corporation (KHC) funds, Louisville Landbank Lots, and competitive grant sources.

The sources of “other” public and private resources identified above help to provide for an increase in services in many of the programs administered by LMCSR. Some examples include the following:

- General fund allocations were used to support community ministries and non-profit organizations.
- CDBG funds were committed as leverage for a competitive grant, the Lead-Based Paint Hazard Control Grant, to help satisfy matching requirements.
- Louisville Landbank Lots were relayed to developers for projects.
- Private donations, fund-raising contributions and competitive grants were leveraged with federal funds to provide even more resources to HOPWA programs.
- Non-profits leveraged HOME funds with KHC funds and Federal Home Loan Bank funds for rehabilitation projects.

The ESG Program requires a 100% match. Please refer to ESG match table in the Homelessness section for details on the source of this match.

Managing the Process

Louisville Metro Government has directed LMCSR to serve as the lead agency in the preparation and implementation of the Consolidated Plan and subsequent annual Action Plans. Comprehensive planning requirements command that preparation of the five-year Consolidated Plan and annual Action Plan include compliance with the jurisdiction’s Citizen Participation Plan. Louisville Metro Government’s Citizen Participation Plan requires public hearings be held to allow for representatives of non-profit agencies, organizations, groups, citizens and interested parties to contribute to the identification of needs and distribution of resources to address those needs. In addition, the Consolidated Plan and annual Action Plan are required to be made available for public comment prior to final submission to HUD.

The Citizen Participation Plan also requires an amendment to these plans be prepared, including adherence to public hearing and public comment guidelines should there be significant changes in funding or programs.

Louisville Metro Government has followed these guidelines and will continue to follow these guidelines to ensure compliance with program and comprehensive planning requirements. LMCSR continues to serve as the lead agency for planning purposes and administers many programs. In addition, LMCSR provides oversight of all programs administered by external sub-recipients and internal sub-grantees.

Citizen Participation

The 2011 CAPER was made available for review and public comment from September 11, 2011 to September 28, 2011. An ad was published in the Courier-Journal on September 11, 2011 and copies were made available in all branches of the Louisville Free Public Library, at eight Neighborhood Place locations, and on the LMCSR website – www.louisvilleky.gov/csr.

A copy of the legal advertisement is included as an attachment to this report.

No comments were received during the public comment period.

Jurisdiction

The following summaries and maps provide a summary of resources and distribution of funds for each formula grant and the geographic distribution of expenditures for several LMCSR programs.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
COMMUNITY DEVELOPMENT BLOCK GRANT**

<u>Resources</u>	<u>2011 Action Plan Projection</u>	<u>2011 Actual Resources</u>
Formula Grant	10,777,000	10,777,157
Program Income	700,000	588,871
Total Resources	\$ 11,477,000	\$ 11,366,028
<u>Distribution of Resources</u>	<u>2011 Action Plan Commitments</u>	<u>Amount Expended in 2011*</u>
Acquisition		
Activities Funded in Years Prior to 2011**	-	360
Total - Acquisition	\$ -	\$ 360
Housing		
Residential Programs Delivery	726,000	536,047
Metro-Wide Emergency Repairs	1,523,000	1,068,573
Metro Weatherization Supplement	272,400	380,403
Metro-Wide Ramp Construction Program	210,000	183,034
Residential Repair and Rehabilitation**	-	117,883
Metro-Wide Roof Program**	-	351,835
Investor Program**	-	459,240
Total - Housing	\$ 2,731,400	\$ 3,097,015
Public Improvements		
Sheppard Square HOPE VI Assistance	1,326,000	-
Lake Louisville Project	1,000,000	-
Sidewalk Improvement Plan**	-	81,956
Street Improvement Plan**	-	815,017
Eligible Library Public Facilities Improvements**	-	757,190
Metro Park Improvements**	-	590,462
Eligible Non-Profit Public Facilities Improvements**	-	660,000
Street Tree Program**	-	38,502
Total - Public Improvements	\$ 2,326,000	\$ 2,943,127
Neighborhood Revitalization Strategy Area		
Portland NRSA**	-	28,899
Total NRSA	\$ -	\$ 28,899
Clearance		
Vacant Properties Demolition	490,100	472,693
Neighborhood Stabilization Demolition**	-	308,832
Total - Clearance	\$ 490,100	\$ 781,525
Disposition		
Vacant Lot Program**	-	100,676
Total - Disposition	\$ -	\$ 100,676
Public Service		
Homeless Services	1,130,000	1,203,491
Homeownership Counseling	105,000	133,747
Family Economic Success	317,000	316,056
Community Outreach CAP	168,000	139,699
Total - Public Service	\$ 1,720,000	\$ 1,792,993
Relocation		
Relocation	7,500	-
Total - Relocation	\$ 7,500	\$ -
Code Enforcement		
Code Enforcement	975,000	793,245
Total - Code Enforcement	\$ 975,000	\$ 793,245
Economic Development		
Business Loan Program (METCO)	250,000	247,000
CAP Micro-Enterprise Assistance	232,000	211,639
Micro-Enterprise/New Business Development Loans	100,000	100,000
"COOL" Program	350,000	-
Total - Economic Development	\$ 932,000	\$ 558,639
Administration and Planning		
Urban Design/Landmarks	155,000	147,097
Housing Department Services	1,260,300	1,254,494
Human Relations - Fair Housing	70,000	74,391
Urban League - Fair Housing	25,000	28,327
HMIS Grant Match	12,500	11,558
Continuum of Care	72,200	83,973
Indirect Cost	700,000	794,200
Total - Administration and Planning	\$ 2,295,000	\$ 2,394,039
Total Distribution of CDBG Resources	\$ 11,477,000	\$ 12,490,518

*Amounts expended in Program Year 2011 represent total funds drawn in Program Year 2011 regardless of year in which project/activity was funded.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
HOME INVESTMENT PARTNERSHIPS

<u>Resources</u>	<u>2011 Action Plan</u> <u>Projection</u>	<u>2011 Actual</u> <u>Resources</u>
Formula Grant	3,542,000	3,541,431
Program Income	300,000	569,920
Total Resources	\$ 3,842,000	\$ 4,111,351

<u>Distribution of Resources</u>	<u>2011 Action Plan</u> <u>Commitments</u>	<u>Amount Expended</u> <u>in 2011*</u>
Rental Development Program	1,600,000	1,854,901
New Construction/CHDO	535,000	792,052
CHDO Operating Support	-	35,716
Homebuyer Assistance	380,000	505,483
Tenant Based Rental Assistance	947,000	378,624
HOME Administration	380,000	299,760
Activities Funded in Years Prior to 2011**	-	47,376
Total Distribution of HOME Resources	\$ 3,842,000	\$ 3,913,912

*Amounts expended in Program Year 2011 represent total funds drawn in Program Year 2011 regardless of year in which project/activity was funded.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
EMERGENCY SOLUTIONS GRANT (former EMERGENCY SHELTER GRANT)

<u>Resources</u>	<u>2011 Action Plan Projection</u>	<u>2011 Actual Resources</u>
Formula Grant	817,595	817,595
Total Resources	\$ 817,595	\$ 817,595

<u>Distribution of Resources</u>	<u>2011 Action Plan Commitments</u>	<u>Amount Expended in 2011*</u>
Homeless Assistance - Operations	250,433	79,979
Homeless Assistance - Essential Services	181,465	53,639
Homelessness Prevention	65,200	69,145
HMIS	120,000	-
Rapid Re-housing - Tenant Based Rental Assistance	152,259	-
Shelter	-	294,906
ESG Administration (5%)	48,238	20,927
Total Distribution of ESG Resources	\$ 817,595	\$ 518,597

*Amounts expended in Program Year 2011 represent total funds drawn in Program Year 2011 regardless of year in which project/activity was funded.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS**

<u>Resources</u>	<u>2011 Action Plan Projection</u>	<u>2011 Actual Resources</u>
Formula Grant	553,800	553,834
Total Resources	\$ 553,800	\$ 553,834

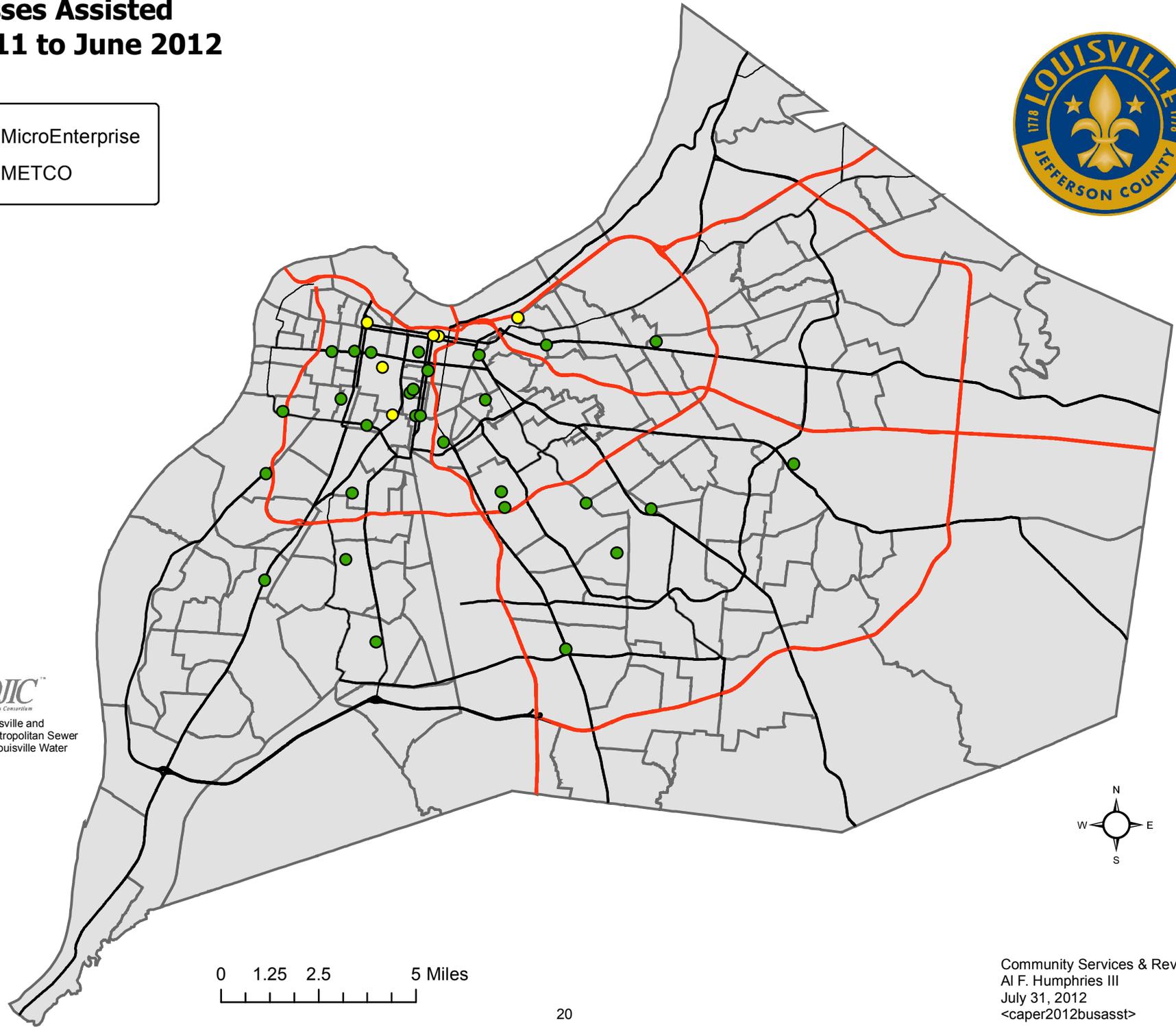
<u>Distribution of Resources</u>	<u>2011 Action Plan Commitments</u>	<u>Amount Expended in 2011*</u>
Housing Assistance and Supportive Services	537,100	-
Tenant Based Rental Assistance	-	142,677
Short-term Rent, Mortgage, Utility Assistance	-	101,805
Supportive Services	-	316,334
HOPWA Administration (3%)	16,700	16,118
Total Distribution of HOPWA Resources	\$ 553,800	\$ 576,934

*Amounts expended in Program Year 2011 represent total funds drawn in Program Year 2011 regardless of year in which project/activity was funded.

Businesses Assisted July 2011 to June 2012



- MicroEnterprise
- METCO



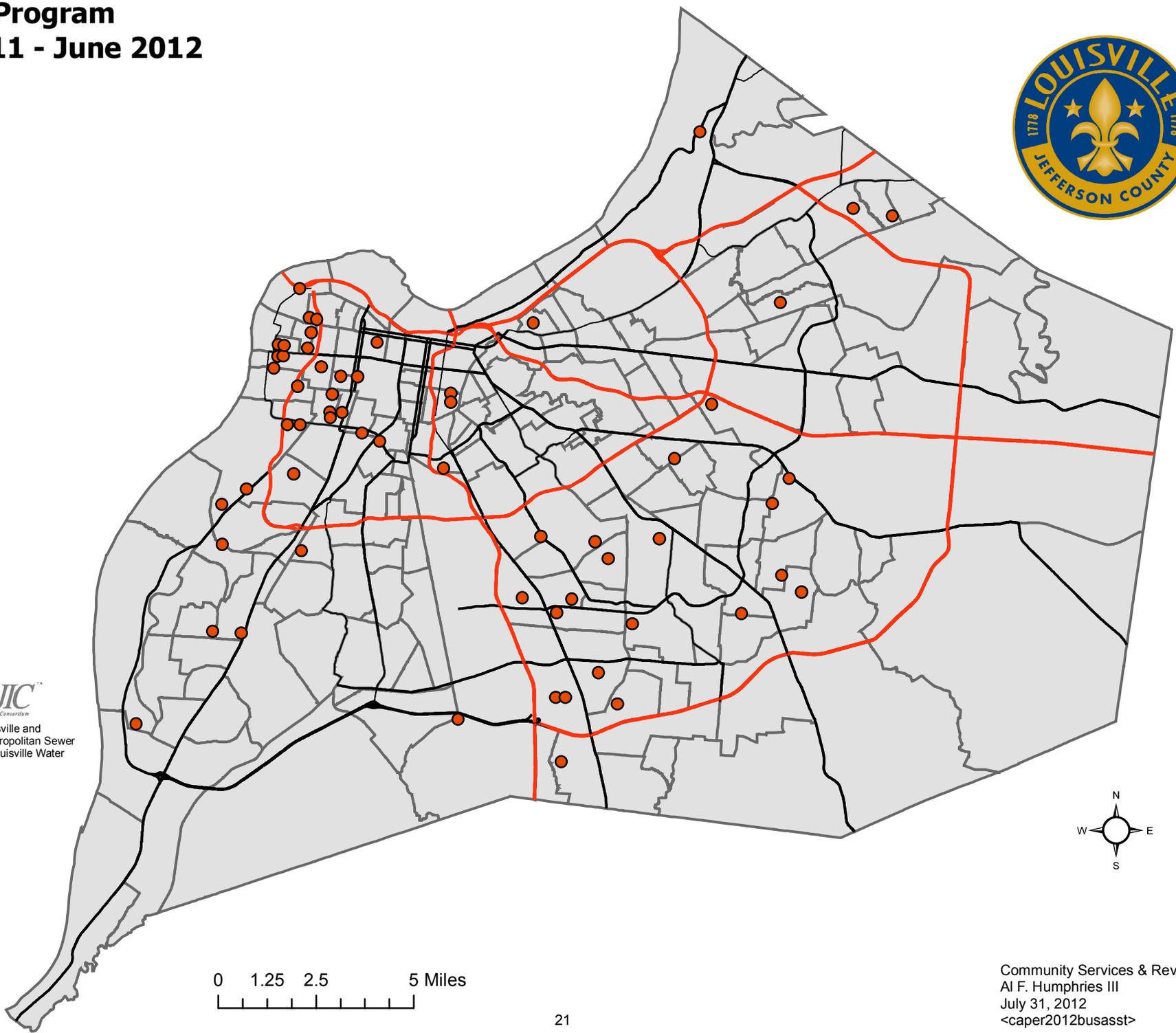
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Community Services & Revitalization
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July 31, 2012
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Ramps Program July 2011 - June 2012

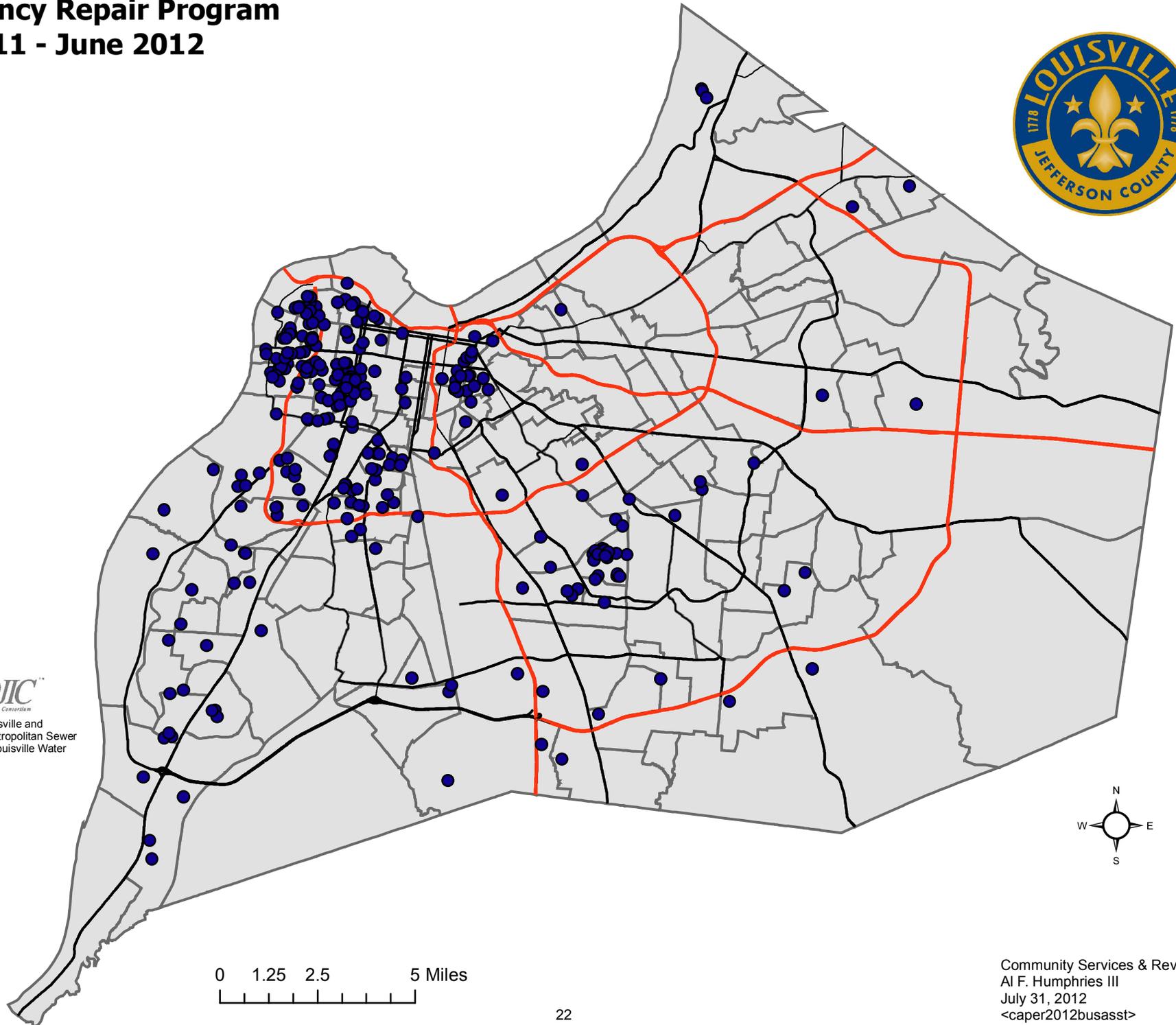


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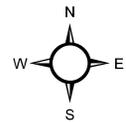


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Emergency Repair Program July 2011 - June 2012



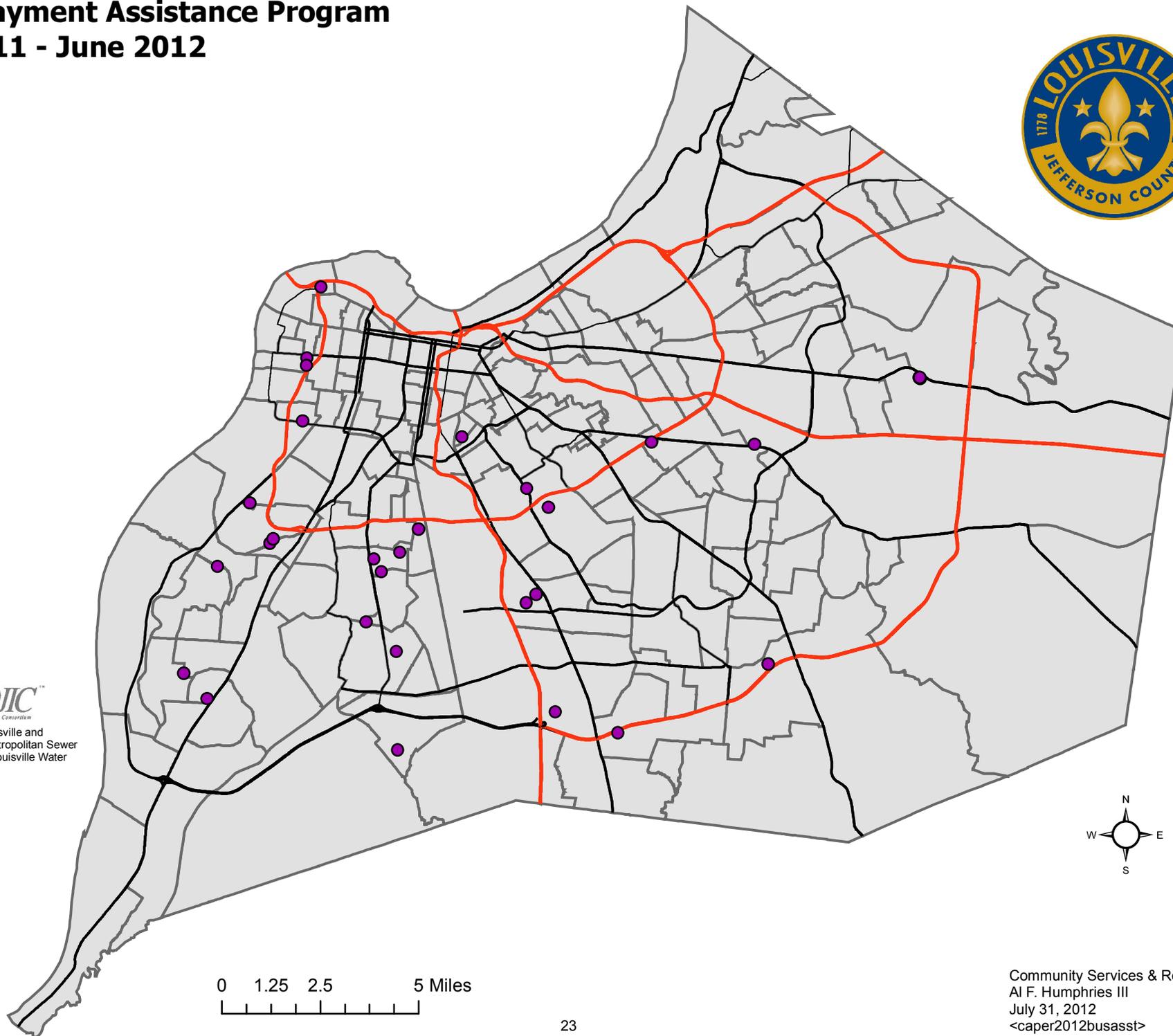
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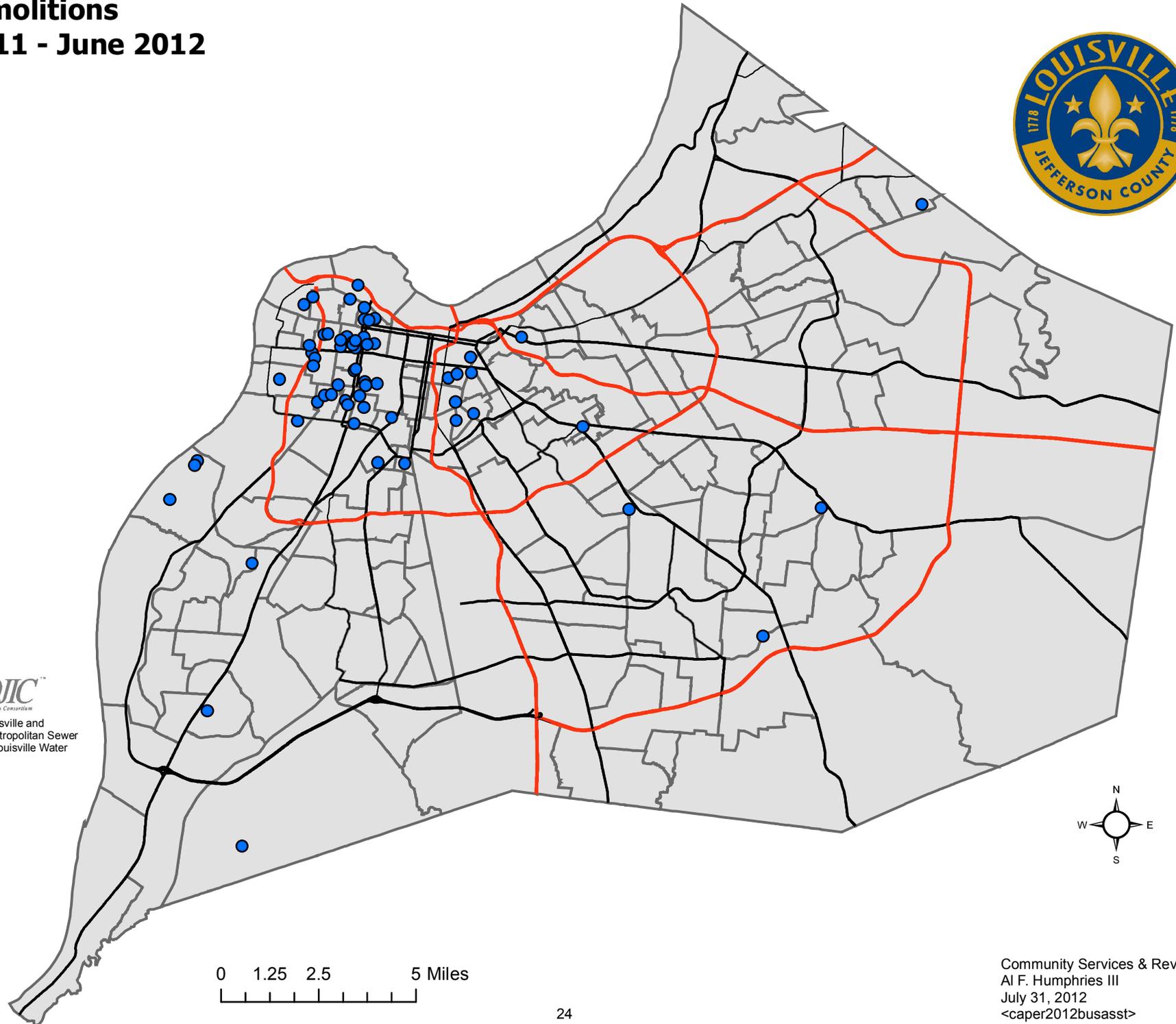
Downpayment Assistance Program July 2011 - June 2012



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IPL Demolitions July 2011 - June 2012



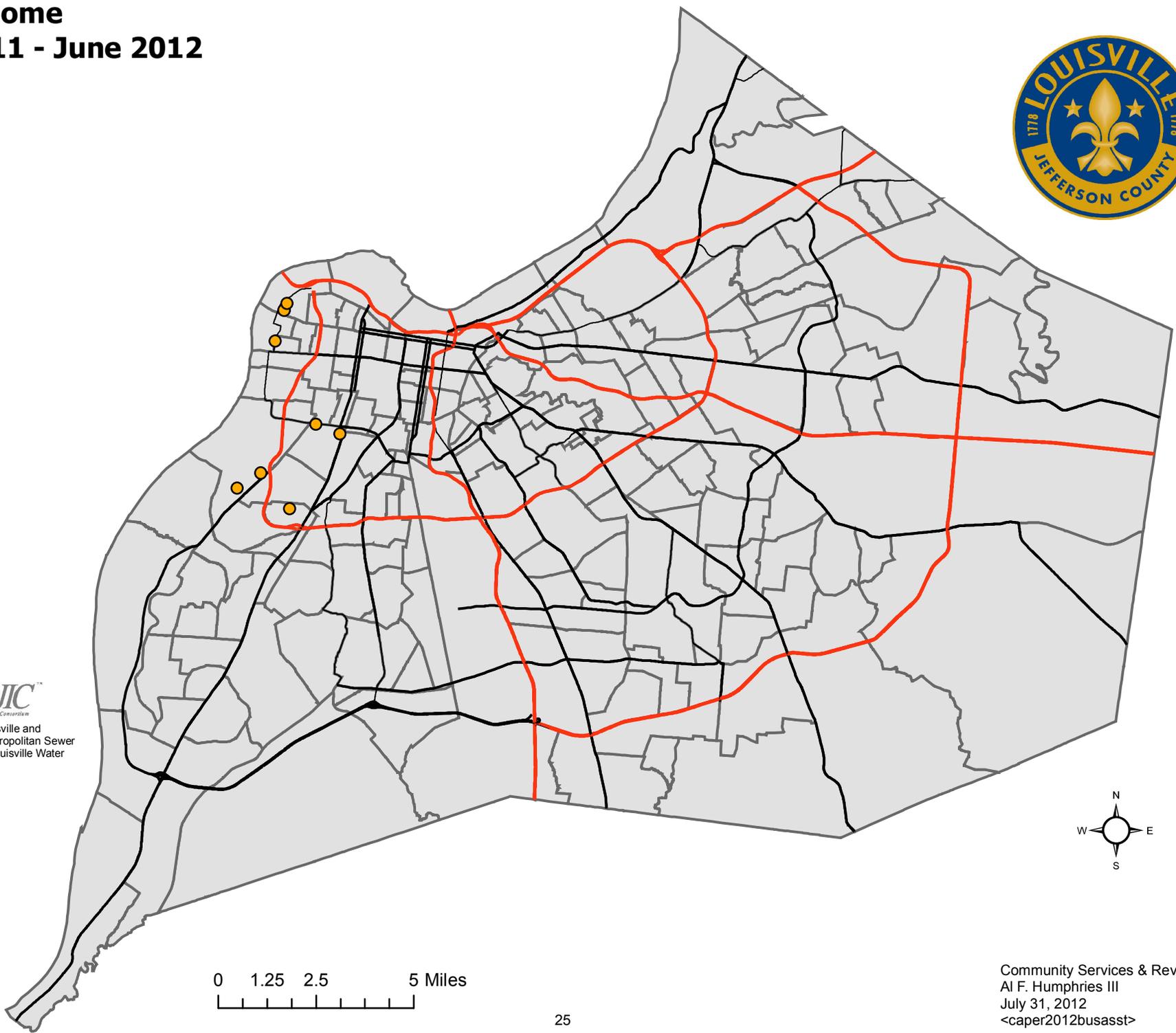
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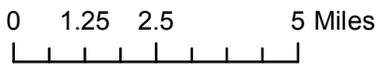
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CHDO Home

July 2011 - June 2012

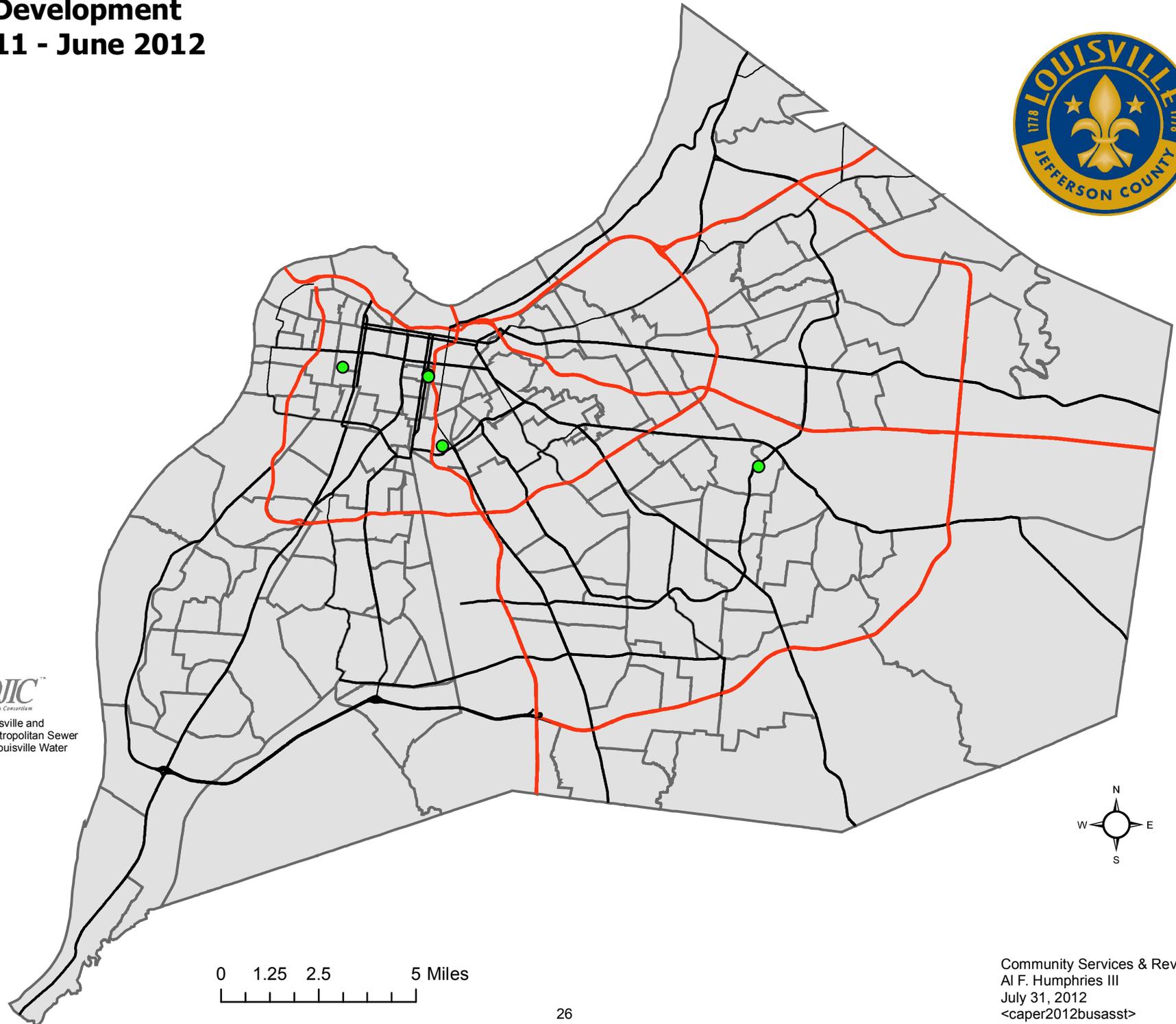


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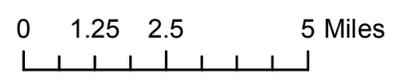
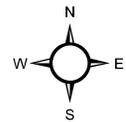


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Rental Development July 2011 - June 2012



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Institutional Structure

During program year 2010 a new departmental structure was developed within the Department of Housing and Family Services to better coordinate activities within divisions and meet the needs of the clients the department services. As of July 1, 2011 the department was reorganized as the Department of Community Services and Revitalization. There are two main divisions, Community Services and Community Revitalization. Community Services administers all client based programs including home repair services, community action services, self-sufficiency services and services administered through Neighborhood Place and provides outreach and advocacy for special populations in the community (i.e. youth, women, aging and disabled). Community Revitalization manages all land use and development activities, including coordinating and supporting the efforts of the Landbank Authority, Urban Renewal Commission and Vacant Property Review Commission.

The Compliance and Administration unit, previously Grants Planning, Compliance, and Monitoring, performs all sub-recipient administration including regulatory underwriting, execution of sub-recipient service agreements, IDIS administration and sub-recipient monitoring. In addition, this unit oversees regulatory compliance (i.e. Environmental Review, Davis-Bacon, Section 3, etc.) and performs all planning and reporting activities. The Compliance and Administration unit has also absorbed some administrative functions previously part of the department's business office, which is now being centralized under the Office of Management and Budget.

LMCSR continues to strengthen its relationship with other Metro departments to carry-out multiple entitlement-funded activities. These agencies include Public Works and Assets, Codes and Regulations, the Economic Development Department, Public Health and Wellness Department, and the Human Relations Commission. In addition, LMCSR works directly with over 85 non-profit agencies, historically providing them with support through an External Agency Fund (funded with general fund dollars), to provide housing and human services to the community. Many of these agencies are also involved in Continuum of Care (CoC) or entitlement funded activities.

Monitoring

As part of its responsibilities for the monitoring of all sub-recipients, the Compliance and Administration Unit performs risk assessments for each sub-recipient to identify the potential risk level for noncompliance prior to the start of the program year based on prior monitoring results or, in rare cases, based on information which raises concerns reported from other agencies or external sources. Subrecipients found to be at low risk receive desk reviews (a low risk assessment cannot reduce minimum on-site monitoring standards). Those found to be at high risk receive a more comprehensive on-site review or the already established minimum on-site reviews may be conducted more frequently. A monitoring schedule is established annually.

To ensure compliance, the Compliance Unit uses checklists which have been developed to ensure monitoring staff review programs in accordance to regulatory requirements specific to each funding source - CDBG, HOME, ESG or HOPWA. The checklists are also specialized to accommodate for the type of review being provided, Desk or On-site reviews. LMCSR is dedicated to continued improvement of financial and programmatic oversight of sub-recipients.

During Program Year 2011, the monitoring tasks remained centralized within the Compliance and Administration Unit of LMCSR. During program year 2011, the Compliance unit continued reviewing and approving pay requests from entitlement subrecipients which increases the opportunity for early intervention in problem projects.

Desk Review:

- Examine both routine and special reports from program staff, housing owners/developers/sponsors, sub-recipients, and sub-grantees. This type of monitoring identifies potential problems by analyzing in-house documentation. Monitoring staff review the following to assess performance and look for indicators of performance or compliance deficiencies:
 - Work Program and Budgets and/or written agreements
 - Including loan agreements, if applicable
 - Monthly reports
 - Occupancy reports
 - Including tenant eligibility
 - Financial documents
 - Including audit reports
 - Draw-down requests and supporting documentation
 - Applicable IDIS reports
 - Correspondence between in-house staff and the funding recipient
 - Including telephone interviews
 - Reports from previous monitoring reviews
- Review and enhance on-site monitoring schedule based on desk review results if necessary.
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered.

This information enables the monitoring staff to evaluate performance and identify any compliance issues.

On-Site Review:

- Visit the program or project to gather specific information and observe programmatic and administrative elements. Steps in conducting an on-site monitoring are as follows:
 - Perform a desk review
 - Conduct the monitoring visit
 - Entrance interview
 - Review a sample (10-20% depending on funding source) of program and project files for compliance with program regulations and eligibility
 - Compare file information with any reports received from sub-recipient
 - Perform physical inspection (if applicable)
 - Exit interview
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered (and any necessary follow-up).

Inspections:

In addition to performing Desk and On-site reviews of sub-recipients, projects funded with federal dollars are also inspected for compliance with local codes and rehabilitation standards.

HOME-funded projects are inspected for compliance with local code requirements throughout their affordability period. The intervals by which we schedule inspections depend on the number of units within each project which coincides with the on-site monitoring schedule. As required by HOME regulations, projects are inspected (and monitored on-site) based on the total units (not just HOME units) threshold as follows: projects containing 1-4 units are inspected every 3 years, projects containing 5 to 25 units are inspected every 2 years and projects containing more than 25 units are inspected annually. A minimum of 10-20% of units are inspected (preferably the same units as the file reviews) including one unit in each building housing a HOME unit.

For CDBG-funded projects, inspections are conducted as needed throughout the term of the loan to ensure they meet local code requirements.

For TBRA-funded projects, inspections occur both prior to lease and annually, throughout the term of the rental assistance. The unit inspections also serve to verify compliance with required occupancy standards in relation to the size of each household.

For HOPWA-funded projects, units are inspected to ensure that they meet habitability standards in addition to local code requirements, as required by HOPWA regulations.

For ESG-funded renovation projects, inspections are performed to ensure the facility is in compliance with local habitability standards.

As with the desk review, this information enables the monitoring staff to assess performance and identify any compliance issues.

All federally-funded sub-recipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics and delays or problems encountered in meeting their benchmarks. LMCSR program staff reviews monthly reports to assess the progress of each activity, evaluate expenditure rates and determine if the sub-recipient is carrying out the activity as planned or whether technical assistance might be necessary to assist the sub-recipient and ensure compliance with regulations.

LMCSR staff, along with the other Metro agencies receiving Consolidated Plan funding, are also required to prepare and submit regular performance reports to Compliance and Administration. With the responsibility for maintaining the IDIS system for performance measures, Compliance and Administration regularly reviews the programmatic and financial information within the database to monitor the department's performance, including the timeliness of expenditures.

All monitoring activities are conducted as prescribed by the regulations appropriate to the respective funding source. As part of its commitment to continual improvement, LMCSR will regularly review and enhance its monitoring processes and procedures in accordance with grant and audit guidelines.

Monitoring Results and Improvements

At the beginning of program year 2011 a risk assessment was conducted to establish the 2011-2012 monitoring schedule which consisted of both onsite and desk monitoring. A total of 46 monitoring reviews were conducted – 3 of Rental Development programs and 43 monitoring reviews of sub-recipient activities or programs. The activities and programs were funded by a variety of funding sources including CDBG, CDBG-R, ESG, HOME, HOPWA, NSP, Shelter Plus Care, and HPRP. Technical assistance was also provided to sub-recipients and sub-grantees on an array of topics including procurement, program operations and federal grant requirements.

During program year 2011, one of the programs reviewed received a finding, an improvement in compliance over program year 2010. The finding was issued for non-compliance to 24 CFR 576.56(b) which requires a formerly homeless or homeless person to participate in program operations or a policy-making function. The program has submitted a corrective action plan to implement this policy in their program.

The table on the following pages provides the schedule of sub-recipient activities and programs that were monitored in program year 2011, July 2011 to June 2012.

**MONITORING SCHEDULE
JULY 2011 - JUNE 2012**

JULY 2011	
<i>Program</i>	<i>Category</i>
Jackson Woods Apartments	CDBG
AUGUST 2011	
<i>Program</i>	<i>Category</i>
NSP - 1	NSP
NSP - 1 State	NSP
SEPTEMBER 2011	
<i>Program</i>	<i>Category</i>
Louisville Metro Economic Development - METCO Loan Program	CDBG
Coalition for the Homeless - White Flag, QAS, Education	CDBG
Bellewood - Transitional Housing	ESG
Hoosier Hills	HOPWA
OCTOBER 2011	
<i>Program</i>	<i>Category</i>
Lake Louisville	CDBG
Bridgehaven	CDBG
NOVEMBER 2011	
<i>Program</i>	<i>Category</i>
Jeff Street Baptist at Liberty - Hospitality Program	CDBG
Family and Children's Place - Housing Stabilization Case Mgmt	HPRP
Legal Aid - Residents in Crisis	HPRP
Legal Aid - Homeownership Counseling	CDBG
Legal Aid - HOPWA	HOPWA
Legal Aid - Foreclosure Counseling and Education	CDBG
DECEMBER 2011	
<i>Program</i>	<i>Category</i>
New Directions - Transitional Services	ESG
New Directions - Roof Repair	CDBG
YMCA - Safe Place Street Outreach Services	CDBG
YMCA - Safe Place Shelter House and Family Mediations	CDBG

Jurisdiction

JANUARY 2012	
<i>Program</i>	<i>Category</i>
CDBG-R Grocery Store Construction	CDBG-R
Choices - Norma's House Transitional Housing	ESG
Goodwill Industries - Renovation	CDBG
St. John Center - Emergency Day Shelter	CDBG
St. John Center - Residential Recovery Program	CDBG
St. Vincent de Paul - Ozanam Inn Emergency	ESG
LMHA	NSP
Louisville Urban League/REBOUND	NSP
NDHC	NSP
River City Housing	NSP
Habitat for Humanity	NSP
YouthBuild	NSP
FEBRUARY 2012	
<i>Program</i>	<i>Category</i>
AIDS Interfaith Ministries - AIM Care Team	HOPWA
Father Maloney's Boys' Haven - Equine Employment Training	CDBG
GuardiaCare Services - Payee Program	CDBG
Shelter Plus Care - SPC TBRA	SPC
Shelter Plus Care - SPC V	SPC
Volunteers of America - VOA HOPWA	HOPWA
MARCH 2012	
<i>Program</i>	<i>Category</i>
Algonquin	CDBG
APRIL 2012	
<i>Program</i>	<i>Category</i>
Housing Partnership, Inc. - Housing Counseling	CDBG
Louisville Urban League - Housing Counseling	CDBG
Southwest Community Ministries	CDBG
MAY 2012	
<i>Program</i>	<i>Category</i>
House of Ruth - House of Ruth HOPWA	HOPWA
Family Health Centers, Inc. - Phoenix Health Center	CDBG
Family Scholar House - At-Risk Family Services	CDBG
Center for Accessible Living - Ramps Program	CDBG
Portland NRSA Activities	CDBG
Family and Children's Place - Capital Project	CDBG
JUNE 2012	
<i>Program</i>	<i>Category</i>
Center for Women and Families	ESG
Goodwill	CDBG
CHDO - Neighborhood Institute	HOME
CHDO - REBOUND	HOME
CHDO - River City	HOME

Self-Evaluation

Development of the CAPER provides Louisville Metro Government the opportunity to determine whether the programs in place are achieving the goals in the Action Plan and the wider goals of the Consolidated Plan. Louisville Metro Government recognizes that the major factors contributing to neighborhood and community difficulties are barriers to affordable housing, lack of public services to preserve and develop the community's infrastructure and the need for revitalization of deteriorating neighborhoods. Many of the programs/projects in place during program year 2011 have had a positive effect in helping to address the needs identified in the Consolidated Plan – Housing, Economic Development, Community Development, Homelessness, and Special Needs/HOPWA.

Housing needs have been addressed through homeowner assistance programs, housing rehabilitation programs, the Rental Development Program, and new construction activity. These programs are all helping to expand the supply of affordable housing and to stabilize the existing housing stock. The Ramp and Emergency Repair program came close to meeting their goals for Program Year 2011. Some activities, such as CHDO, operate in a somewhat cyclical manner and anticipate a successful year in 2012 based on the current production schedule. Even with the slowed rental housing market, two main CDBG-funded Rental Development projects were completed during Program Year 2011. Overall, LMCSR expects housing accomplishments to balance out through the 5-year Consolidated Plan timeframe and for the development goals established to be met.

Economic development needs have been addressed through the provision of METCO and Microenterprise business loans which generate job creation and/or retention which principally benefit low to moderate income persons. While the METCO program fell short of its goal, additional projects are in the pipeline to help meet that goal. The 2nd year of the Microenterprise Loan program was considered a success.

Community development needs have been addressed through a variety of programs aimed at increasing neighborhood stability and improving the quality of life for residents of this community. These programs include activities for public facilities and improvements, clearance and public service. Program Year 2011 was a successful year for these types of projects with completion of a library renovation/expansion and two parks projects. In addition, three non-profits were aided with CDBG-funded acquisition or rehabilitation projects.

The needs of the homeless populations have been addressed through rental assistance, supportive services, and operations support to emergency and transitional shelters. These needs have also been addressed by homeless prevention activities funded by stimulus funding – Homelessness Prevention and Rapid Re-Housing Program (HPRP). Individual sub-recipient accomplishments are summarized throughout the narrative and in the individual project tables and with the exception of the HOME TBRA program, all categories of homeless services have exceeded their goals. The HOME TBRA program has revamped its policies and procedures to more efficiently serve the needs of the homeless community.

The needs of special needs populations, including those living with HIV/AIDS have been met by housing assistance and supportive services. HOPWA TBRA, STRMU, and supportive services all exceeded the goals set for the 2011 program year. The Ramps program provided housing rehabilitation to increase mobility of individuals with disabilities and exceeded its yearly goal of 60 units by 4 for a total of 63 ramps installed, even with decreased CDBG funding during Program Year 2011.

During the end of Program Year 2011, HUD monitored Louisville Metro's URA compliance. There were three findings that will be resolved during Program Year 2012. In addition, HUD monitored the CDBG, HOME, and NSP programs. A report is forthcoming.

The 2010 A-133 Audit contained 4 findings, including one material weakness, one significant deficiency, and two repeat findings from 2009. This is a significant decrease from prior years.

In summary, the number of goals met or exceeded and the successful resolution of audit and monitoring findings and concerns are indicative that Louisville Metro has made a significant impact towards addressing the needs identified in the 2010 to 2014 Consolidated Plan. Louisville Metro is committed to and plans to be on or above target for all goals and objectives of the Consolidated Plan.

Lead-based Paint

The goals of the Lead Safe Louisville (LSL) Project, funded by a federal HUD Lead-Based Paint Hazard Control Grant, KYLHB0445-09, period of performance January 1, 2010 - December 31, 2012, include the following benchmarks: perform 300 inspections/risk assessments; remediate 175 housing units; train 102 people in lead disciplines, including lead safe work practices and raise community awareness about lead hazards.

Raising community awareness was achieved through some of the following activities:

- Presentations to neighborhood associations;
- Participation in community events and health fairs, and;
- Distribution of informational fliers.

For the period of July 1, 2011 through June 30, 2012, LSL Project staff participated in 54 outreach events (5,444 attendees) and held 9 lead classes, in which 73 people were trained in 5 lead safe disciplines. During this same time period 139 units received an inspection/risk assessment and 133 units were made lead safe.

HOUSING

Housing Needs

Housing needs were established as the highest priority in the 2010 – 2014 Consolidated Plan. Goal 1 states that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. As this goal indicates, low and very-low income households continue to face significant challenges to obtaining acceptable housing and securing financing for housing. Numerous LMCSR programs are designed to address the needs of Goal 1.

During program year 2011, the following actions were taken to foster and maintain affordable housing:

- Made funding available to non-profit organizations to provide homebuyer education classes through the Counseling and Education program (administered through HPI) and the Homeownership Counseling program (administered through Louisville Urban League).
- Made funding available to the Legal Aid Society of Louisville to provide foreclosure counseling and education services to homeowners in danger of losing their homes.
- Funded a non-profit organization to provide home modifications for disabled residents through the Ramps Installation program (administered through Center for Accessible Living, Inc.).
- Provided down-payment assistance to first time homebuyers through the Downpayment Assistance program.
- Provided rehabilitation services to homeowners through the Residential Repair and Emergency Repair programs (administered through LMCSR)
- Provided area specific rehabilitation services to homeowners in the Smoketown and Shelby Park neighborhoods through the Smoketown/Shelby Park Home Repair program (administered through New Directions Housing Corporation).
- Provided energy efficiency improvements to homes of very low and low-income families through the Weatherization program (administered through LMCSR).
- Provided funding to develop affordable, multi-family rental units for low-income households through the Rental Development Program (administered through LMCSR).
- Partnered with Community Housing Development Organizations (CHDOs) to develop affordable owner-occupied housing.

Specific Housing Objectives

Affordable Housing for Extremely Low-Income, Low-Income, and Moderate-Income Renters

Actions for extremely low, low, and moderate-income renters are generally centered on subsidized affordable rental activities. These activities are the Tenant Based Rental Assistance (TBRA) Program and the Rental Development Program funded with HOME Investment Partnership dollars. The Rental Development Program is a gap-financing mechanism to develop affordable rental housing.

During program year 2011, TBRA has assisted 70 clients. Federal, state, and local resources were also utilized to facilitate the development of 27 HOME-assisted rental units for extremely low, low, and moderate income persons through the Rental Development Program.

A breakout of the number of extremely low, very low, and low-income persons receiving assistance from rental housing activities is provided on the following page.

**EXTREMELY LOW, LOW & MODERATE INCOME
RENTAL HOUSING ACTIVITIES**

Activity	0-30% Extremely Low Income	31-50% Very Low Income	51-80% Low Income	Goals	2011 Accomplishment
Rental Development Program	16	11		20	27
HOME Tenant Based Rental Assistance	64	5	1	130	70
Weatherization	53	32	116	250	201*
Ramps	15	0	0	60**	15

*Weatherization goals and accomplishments include both renter and owner occupied households.

**Goals for the Ramps Program include both renter and owner occupied households.

Affordable Housing for Extremely Low, Very Low-, Low, and Moderate Income Owners

Actions for extremely low, low, and moderate-income owners include assisting with homeownership assistance and a variety of housing rehabilitation programs. During program year 2011, 36 households received down payment assistance (including first time homebuyers) in Louisville Metro. Downpayment assistance is the main housing activity to assist low to moderate-income households in achieving homeownership.

During 2011, housing rehabilitation services were provided to 518 owner-occupied single family homes. These services were funded through a variety of sources, including CDBG and HOME. Housing rehabilitation services were provided in the form of the following programs:

- Emergency Repair Program (CDBG) – 277 units
- Metro-Wide Weatherization Supplement (CDBG) – 201 units
- Metro-Wide Roof Repair Program (CDBG) – 39 units
- Smoketown/Shelby Park Residential Repair – 2 units

A breakout of the number of extremely low, very low, and low income persons receiving assistance from owner occupied activities and 2011 Action Plan goals is provided on the following page.

**EXTREMELY LOW, LOW & MODERATE INCOME
OWNER OCCUPIED HOUSING ACTIVITIES**

Activity	0-30% Extremely Low Income	31-50% Very Low Income	51-80% Low Income	Goals	2011 Accomplishment
Downpayment Assistance	1	6	29	45	36
Emergency Repair Program	13	146	118	350	277
Weatherization Supplement	53	32	116	250	202**
New Directions Roof Repair Program	20	15	4	19	39
Smoketown/Shelby Park Home Repair	0	2	0	*	2
Ramps	39	7	2	60***	48

*Goals for the Smoketown Shelby Park Home Repair Program were not included in the 2011 Action Plan (program initiated in prior years).

**Weatherization goals and accomplishments include both renter and owner occupied households.

***Goals for the Ramps Program include both renter and owner occupied households.

Section 215 Housing Opportunities

Section 215 of the National Affordable Housing Act contains eligibility requirements for affordable housing as well as a definition, specifically pertaining to the HOME program. Sections 92.252 and 92.254 under Title 24 Code of Federal Regulations, Part 92 (24 CFR 92) further explains rental and owner occupied HOME housing criteria necessary to qualify as Section 215 housing. The accomplishments for HOME funded programs/units that met the Section 215 definitions were 27 rental housing units.

Worst Case Needs and Housing Needs of Persons with Disabilities

The programs administered through LMCSR are designed to meet the needs of all clients, including those in 'worst-case' scenarios and of persons with disabilities. These activities include HOME and CDBG housing rehabilitation programs (referenced in the above Housing section) and a Ramp Installation program administered by one of our subrecipients – Center for Accessible Living, Inc. CDBG funded housing rehabilitation programs (Emergency Repair, Ramp Construction) provided assistance for 164 elderly households and 214 disabled households.

Public Housing Strategy

The Louisville Metro Housing Authority (LMHA) is responsible for addressing the needs of public housing within Louisville Metro/Jefferson County. The mission of LMHA is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. LMHA administers both the public housing program as well as the Housing Choice Voucher (Section 8) program. LMHA works in partnership with LMCSR in several areas in order to improve public housing and resident initiatives.

For example, CDBG and HOME funds were used for infrastructure at the Liberty Green (fka. Clarksdale) HOPE VI Revitalization. HOPE VI is a federal program created in 1992 and charged with eradicating severely distressed public housing. Previously, LMHA has competitively been awarded three HOPE VI grants (one for Park DuValle and two for Clarksdale). In May of 2011, LMHA was awarded a \$22 million grant for the \$147 million revitalization of the Sheppard Square public housing development. For July 2011 through June 2012, LMHA was awarded \$1,326,000 in CDBG funds for Demolition of two phases. For July 2012 through June 2013, LMHA was awarded \$6,000,000 in CDBG funds for another phase of Demolition as well as for Infrastructure at the Sheppard HOPE VI.

LMHA also offers a variety of family self-sufficiency opportunities to public housing residents and housing choice voucher participants. The Family Self-Sufficiency (FSS) program provides case management and supportive services to residents. From July 2011 through June 2012, LMHA's FSS program served a total of 489 (unduplicated) households. In this same period, there were 60 program graduates who received a total of \$466,472.99 in escrow.

In addition, LMHA administers an award-winning homeownership program where participants utilize the Housing Choice Voucher to pay a portion of a mortgage instead of rent. From July 2011 through June 2012, 7 clients purchased homes – 5 homebuyers from the Section 8 program and two homebuyers who were public housing residents, one of which was a buyer in partnership with Habitat for Humanity of Metro Louisville. Average income of these buyers at closing was \$23,886 which is below 30% of the median family income. Average home sales price was just under \$105,300, with an average interest rate of 3.66%. Three of the 7 buyers are elderly, disabled or handicapped. Three of the 7 buyers utilized a total of \$55,000 in HOME down payment assistance through LMCSR.

Finally, Louisville Metro received nearly \$7 million from HUD for the Neighborhood Stabilization Program for the purpose of stabilizing communities across America hardest hit by foreclosures. In order to achieve the goals of the low-income set-aside, LMCSR has allocated \$2.1 million to the Louisville Metro Housing Authority Development Corporation (LMHA-DC) to develop 9 units of public housing. LMHA's NSP program will focus on targeted acquisition of vacant lots within and surrounding the Smoketown neighborhood. When development activities have been completed, the new homes will be added to the LMHA's public housing stock. The new public housing units will be occupied by families from LMHA's public housing waitlist who earn less than 50% area median income (AMI). LMHA anticipates the units will be ready for occupancy before the end of 2012.

Barriers to Affordable Housing

During program year 2011 LMCSR undertook a number of actions to both directly and indirectly reduce barriers to affordable housing through a number of internal programs. These efforts include:

1. Providing Homeownership Assistance
2. Supporting strategies to increase public awareness of housing issues
3. Providing education regarding homeownership rights and responsibilities
4. Undertaking actions to promote energy efficiency, thus reducing housing cost burden

The availability and cost of affordable housing continue to be formidable barriers to affordable housing. The Downpayment Assistance and HOME TBRA programs worked to lower mortgage payments to ensure affordability and work to increase the supply of affordable rental housing. Louisville Metro continues to work with a growing group of CHDOs to foster and develop affordable housing within the community for the benefit of extremely low, very low, and low income families earning 80% or less of AMI.

The barrier regarding the lack of knowledge and understanding of housing issues and homeowner rights and responsibilities was addressed through various educational programs. The Fair Housing Enforcement Program, administered by the Louisville Metro Human Relations Commission, educated individuals in the community through the annual Race Relations Conference, billboard advertisements, housing discrimination brochures in various languages and other community outreach activities. The Fair Housing Education Program, administered by the Louisville Urban League, provided fair housing education to renters, prospective renters, and first-time homebuyers in both one-on-one sessions and group forums.

Additional actions to overcome the barriers identified above were also be undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs. These programs were previously addressed in the Fair Housing Section, but are summarized below.

Housing Partnership, Inc. affirmatively furthers fair housing by providing homeownership counseling and outreach to homeowners including those at risk of foreclosure (**IDIS: 25321, 23714, 23327**). During the 2011 program year, clients were served in the following ways:

- 170 clients received education and/or attended group workshops on homebuyer education (89 attended homebuyer education and 90 attended budget and credit classes)
- 512 clients received pre-purchase counseling
- 1191 clients received assistance with preventing mortgage delinquency
- 12 received post-purchase, non-default counseling

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education and counseling services to renters, prospective renters and first-time homebuyers (**IDIS: 25383, 24408, 23713**). During the 2011 program year, approximately 2,219 unduplicated clients were served in the following ways:

- 1,356 clients were educated on Fair Housing Law;
- 39 clients received budget and credit counseling;
- 309 clients received rental counseling;
- 454 clients received homeownership counseling;
- 913 clients received mortgage default/foreclosure counseling;
- 18 clients received post-purchase counseling;
- 1,076 clients received financial literacy education.

The Legal Aid Society, Inc. reduced barriers to affordable housing through The Legal Aid Society's Foreclosure Defense Program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments. Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the 2011 program year, 253 low-income homeowners facing foreclosure attended a Foreclosure Defense Clinic and Legal Aid spoke with an additional 89 individuals about foreclosure and the foreclosure process. The weekly clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

Actions were taken to promote energy efficiency through the Metro-Wide Weatherization program. This program addresses high utility costs for low-income households, which in turn reduces their housing cost and increases disposable income to be used for other essential expenses. This program is further detailed in the Affordable Housing Goals Section.

HOME/ American Dream Down Payment Initiative (ADDI)

The highest priority goal identified in the 2010 – 2014 Consolidated Plan was that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. The following objectives relate to HOME funded programs in program year 2011:

Objective 1.1: Increase the number of affordable, owner-occupied homes [HUD DH-1]

Outcome 1.1: Develop 62 new units of affordable single family housing over five years

Activities 1.1: Non-profit housing development [CHDOS]

Funding sources: HOME

Objective 1.2: Increase the number of affordable rental units [HUD DH-1]

Outcome 1.2: Develop 133 new units of affordable rental housing over five years

Activities 1.2: Rental Development Program

Funding sources: HOME and Private Investments

Objective 1.4: Assist low- and extremely low-income households with rent [HUD DH-2]

Outcome 1.4: Serve 950 persons over five years

Activities 1.4: HOME Tenant-Based Rental Assistance

Funding sources: HOME

Objective 1.5: Promote and preserve homeownership for low-to-moderate income persons [HUD DH-2]

Outcome 1.5: Provide down payment assistance to 295 households over five years

Activities 1.5: Down Payment Assistance Program

Funding sources: CDBG and HOME

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]

Outcome 1.6: A total of 3,082 single family units will be rehabilitated or repaired over five years

Activities 1.6: CHDOs, Residential Repair, Emergency Repair, and Weatherization

Funding sources: CDBG and HOME

Objective 1.7: Preserve and improve existing, affordable rental housing stock [HUD DH-3]

Outcome 1.7: Repair or rehab 544 units of rental housing over five years.

Activities 1.7: Weatherization and Rental Development Program

Funding: CDBG, HOME, and Private Investments

Objective 1.9: Support non-profit housing developers as a core strategy in reaching affordable housing goals [HUD SL-1]

Outcome: 1.9: Maintain an ongoing group of five CHDOs

Activities 1.9: Technical assistance and direct financial support to CHDOs

Funding: HOME and Private Investments

The following programs were included in the 2011 Action Plan addressing the aforementioned goals and objectives.

Community Housing Development Organization (CHDO) (IDIS: Various): is a private nonprofit, community-based service organization that has achieved a special designation as a developer of affordable housing. Louisville Metro Government, as the Participating Jurisdiction (PJ), must reserve no less than 15 percent of HOME funds for investment in housing to be developed, sponsored, or owned by community housing development organizations. The CHDO must be the *developer, owner, and/or sponsor* of the HOME-assisted housing in order to access the CHDO set-aside.

Louisville Metro Government supports CHDOs by providing the following:

- Access to operating support
- Access to training and technical assistance in housing development and management
- Access to construction financing
- Access to gap financing
- The opportunity to earn reasonable developer fees
- Access to retention of CHDO proceeds

CHDO – River City Housing

Single Family New Construction & Rehabilitation – 2009H – RCH

The project consists of acquisition and development of thirteen (13) properties. The CHDO will build four (4) new single-family homes, and rehabilitate nine (9) existing single family homes. The contract in the amount of \$985,938.00 in HOME funds was signed on April 7, 2009. Market factors and costs reduced the development project from fourteen to thirteen homes. The final two (2) houses were sold in 2012, making twelve (12) houses developed and sold for homeownership. One of the thirteen (13) was converted to rental and is currently occupied. The total HOME CHDO set-aside expenditure to date is \$985,938.00. All funds have been expended.

Single Family Rehabilitation Project – 2010H - RCH

This project consists of the acquisition and development of nine (9) properties all of which will be rehabilitated existing single family homes. All nine (9) properties have been acquired. Two (2) houses have been developed and sold to eligible buyers, two (2) have been completed and are under contract for sale, with one scheduled to close in early August 2012. One (1) house is currently under construction, and four (4) other have passed environmental clearance and were recently acquired. The total HOME CHDO set-aside agreement is worth \$873,000.00. The agreement was signed on 12/1/10.

CHDO – REBOUND, Inc

Single Family New Construction and Rehabilitation – 2011 – REB

The project consists of the acquisition and development of four (4) single family homes. Currently, three (3) properties have been acquired, developed, and sold to eligible buyers. The fourth (4) and final property is under environmental review. The total HOME CHDO set-aside agreement is worth \$641,665.08. The agreement was signed on 3/4/11.

CHDO – Keeping It Real: The Neighborhood Institute, Inc

Single Family New Construction – 2011-KIR

The project consists of the acquisition, new construction, and sale of two (2) single family homes. KIR acquired, built, and sold their first house in January 2012. The CHDO is currently working with a buyer to help identify the lot on which to build the second (2) house under the contract. The total HOME CHDO set-aside agreement is worth \$321,996.00. The agreement was signed on 3/18/11. Keeping It Real is also receiving a \$50,000.00 grant to pay monthly operating costs. The Operating Agreement was signed on 6/24/12.

CHDO	Development Fees	Paid to City	Real Estate Sales Price	Homebuyer Assistance Provided by City	Number of Homes Sold
River City Housing	\$104,645.00	\$0.00	\$815,900.00	\$83,359.95	4
REBOUND	\$68,740.42	\$260,829.40	\$284,000.00	\$59,400.00	3
Keeping It Real	\$20,752.80	\$104,466.81	\$115,000.00	\$22,000.00	1
TOTAL	\$194,138.22	\$365,296.21	\$1,214,900.00	\$164,759.95	8

Address	Zip Code	Sale Price	Homebuyer Assistance	Closing Date	Female Headed	Race	% of AMI
103 S 46th Street	40212	\$85,000.00	\$18,859.95	8/8/2011	Yes	Black not Hispanic	70-80%
3713 Oboe Drive	40216	\$107,000.00	\$22,000.00	12/28/2011	Yes	Black not Hispanic	40-50%
1817 Allison Avenue	40210	\$87,000.00	\$19,400.00	12/22/2011	Yes	Black not Hispanic	50-60%
2107 Middle Lane	40216	\$115,000.00	\$22,000.00	1/13/2012	Yes	Black not Hispanic	50-60%
2714 Algonquin Pkwy	40211	\$90,000.00	\$18,000.00	1/31/2012	Yes	Black not Hispanic	30-50%
326 Shawnee Terrace	40212	\$107,000.00	\$22,000.00	3/9/2012	Yes	Black not Hispanic	60-70%
3316 Richelle Drive	40216	\$115,000.00	\$21,000.00	4/6/2012	Yes	Black not Hispanic	30-50%
633 Southwestern Pkwy	40212	\$109,900.00	\$21,500.00	6/8/2012	Yes	Black not Hispanic	50-60%

2011 Action Plan Goal: 12

Units Sold during Program Year 2011: 8

Amount of funds expended during Program Year 2011: \$811,559.85

- **Downpayment Assistance Program(IDIS: Various):** This program is designed to assist potential homebuyer(s) with down payment funding according to HUD federal guidelines in order to reduce the purchase price and make the home more affordable. Applicant must be income eligible and credit qualified. There shall be no unpaid collections on credit report of applicant or co-applicant and no un-discharged bankruptcies. Mortgage payments are not to be more than 30% of gross income. Total monthly expenses, including mortgage payment, may not exceed 43% of total debt ratio; however, exceptions may be made if client does not have any debt other than mortgage payment and satisfactory credit scores. The required monthly payment under both, the insured mortgage and the second mortgage or lien, plus other housing expenses and all recurring charges, cannot exceed the borrower's reasonable ability to pay the debt. Homeowners insurance and taxes must be escrowed into first mortgage payment amount. The source, amount, and repayment terms must be disclosed in the mortgage and the borrower must acknowledge in writing that he or she understands and agrees to the terms.

2011 Action Plan Goal: 45

Units Assisted during Program Year 2011: 36

Amount of funds expended during Program Year 2011: \$505,482.97

- **Rental Development Program(IDIS: Various):** The Rental Development Program (previously referred to as the Investor Program) is designed to offer established developers gap financing opportunities for multifamily projects to increase affordable housing within the local housing market. There are three objectives in providing gap financial assistance: 1) to ensure the project is adequately capitalized and that there are sufficient funds to allow the project to be developed in a responsible manner; 2) to ensure that operating projections are reasonable, to allow the project to have sufficient resources during the affordability period; and 3) to ensure that the funds provided by Louisville Metro are the minimum required to accomplish the first two objectives. Louisville Metro funding is normally utilized for construction related costs associated with rehabilitation and/or new construction activities. During program year 2011, a total of 27 HOME assisted units were certified as complete, 247 total units were developed. There is currently one project in development which, when completed, will provide an additional 9 HOME assisted units.

2011 Action Plan Goal: 20 HOME Units, 60 Total Rental Units

Units Assisted during Program Year 2011: 27

Amount of funds expended during Program Year 2011: \$1,854,901.40

For a breakdown of specific Rental Development projects please see table on the following page.

**RENTAL DEVELOPMENT PROGRAM
IN PROGRESS AND COMPLETED PROJECTS - HOME FUNDED**

Project Name	Total Budget	HOME Funding	Non-HOME Funding	Number of Units	HOME Assisted Units	HOME Assisted Units Completed	Status
Oracle 2009	\$10,132,217.00	\$900,000.00	\$9,232,217.00	64	6	6	Completed
Oracle 2010	\$8,717,152.00	\$1,200,000.00	\$7,517,152.00	50	8	8	Completed
Downtown Scholar House	\$9,688,836.00	\$1,288,947.00	\$8,399,889.00	54	7	7	Completed
Zoe Fields	\$1,491,000.00	\$375,000.00	\$1,116,000.00	11	3	3	Completed
Brookstone	\$7,750,852	\$150,000.00	\$7,600,852.00	56	3	3	Completed
Apartments of Hope	\$975,000.00	\$600,000.00	\$375,000.00	12	9	n/a	In progress
TOTAL	\$38,755,057.00	\$4,513,947.00	\$34,241,110.00	247	36	27	

- **Tenant Based Rental Assistance Program (IDIS: Various):** The primary purpose of this program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing programs. Rental assistance through this HOME funded program will be provided for a maximum of 2 years.

2011 Action Plan Goal: 130

Households assisted during 2011: 70

Amount of funds expended during Program Year 2011: \$380,357.35

The following project had activity in 2011, but was initiated in prior years.

- **Smoketown/Shelby Park Home Repair (IDIS: 23561):** This program is designed to provide assistance to eligible owner-occupied households in the Smoketown and Shelby park neighborhoods. New Directions, acting as the general contractor, will subcontract rehab services to various firms to provide electrical, plumbing, HVAC, roofs, windows, and other modifications.

Units Assisted during Program Year 2011: 2

Amount of funds expended during Program Year 2011: \$47,376.00

For race/ethnicity data and types of households served, please see the tables on the following pages.

**HOME FUNDED PROGRAMS
RACE/ETHNICITY DATA**

Race	CHDO		Downpayment Assistance		Rental Development Program		Smoketown/Shelby Park Home Repair		Tenant Based Rental Assistance	
	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic
White			16		3		1		13	
Black/African American			14		24		1		56	
Asian			5							
American Indian/Alaskan Native										
Native Hawaiian/Other Pacific Islander										
American Indian/Alaskan Native/White										
Asian/White										
Black/African American & White	8									
American Indian/Alaskan Native & White										
Other Multi-Racial			1						1	1
TOTAL	8	0	36	0	27	0	2	0	70	1

HOME FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED

Type of Household	CHDO	Downpayment Assistance	Rental Development Program	Smoketown/ Shelby Park Home Repair	Tenant Based Rental Assistance
Disabled	0	0	0	1	11
Female Head of Household	8	19	24	0	42
Elderly	0	1	24	1	0

Assessments

LMCRS completed inspections on twenty-one Rental Development projects during Program Year 2011. During the rental development property inspections, the summary of code violations found is as follows:

- Missing smoke detectors
- Electrical outlets need replacement
- Lack of bathroom exhaust
- Broken windows
- Clogged and falling gutters
- Obstructed tub drain lines
- Improperly installed door hardware
- Missing cabinet doors
- Faucet leaks
- Damaged ceiling surfaces and active roof leaks

INSPECTIONS OF RENTAL HOUSING 2011
PROJECT INSPECTIONS

PROJECT	# of units inspected	Date of Inspection	PASS/FAIL
Arcadia	5	6/22/2012	Fail
Clark Rentals	5	6/12/2012	Fail
Coventry Commons I	6	8/19/2011	Pass
Coventry Commons II	5	9/15/2011	Pass
Day Spring Woods	2	8/18/2011	Pass
Franklin School Apartments	5	9/20/2011	Pass
Healing Place for Women	8	5/30/2012	Pass
Holy Trinity Apartments	5	5/22/2012	Pass
House of Hope	5	5/11/2012	Fail
House of Hope	exterior	6/8/2012	Fail
House of Hope	exterior	6/20/2012	Pass
Judes Place Apartments	5	6/15/2012	Pass
LDG 2	5	6/25/2012	Fail
Liberty Green Apartments	10	12/15/2012	Pass
Murray Apartments	5	6/29/2012	Fail
Partridge Point Apartments	5	12/13/2011	Fail
Partridge Point Apartments	45	1/11/2012	Pass
Partridge Point Apartments	5	6/7/2012	Pass
Puritan Apartments	5	1/13/2012	Pass
St. Cecilia	5	5/24/2012	Pass
St. Columbia	5	1/12/2012	Pass
St. Denis Senior Apartments	5	5/24/2012	Pass
St. John Gardens Apartments	5	6/8/2012	Pass
St. Williams Apartments	exterior	10/17/2011	Pass
Stephen Foster Apartments	5	12/30/2011	Pass
Seven Oaks Apartments	5	1/3/2012	Pass

Affirmative Marketing Actions

The HOME Program requires that affirmative marketing steps be taken by participating jurisdictions, as stated in 24 CFR 92.351, when using HOME funds for rental projects containing five or more HOME-assisted units. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, gender, religion, familial status, or disability.

Affirmative marketing actions are undertaken by the Louisville Metro Human Relations Commission and Louisville Urban League through fair housing education, outreach, and enforcement activities. LMCSR also works to institute affirmative marketing actions through the following:

- Use commercial media, including radio, television, and newspapers, to disseminate information
- Use Equal Housing Opportunity logotype or slogan in press releases and solicitations for owners
- Distribute and display fair housing poster
- Require developers to submit an affirmative marketing plan through completion of Affirmative Fair Housing Marketing AFHM Plan – Multifamily Housing (form HUD 935.2A)

Louisville Metro Government has Affirmative Action goals of 15% minority owned businesses and 5% women owned businesses. Contractors are required to make a good faith effort to reach those goals in construction-related projects by using minority, female, and handicapped owned businesses as suppliers and subcontractors.

The Louisville Metro Human Relations Commission also strives to meet this goal with an updated certification process. Ordinance No. 102, Series 2007 establishes the certification process for businesses owned, operated and controlled by minorities, females, persons with disabilities, and sets goals for Louisville Metro Government to promote the awarding of contracts to these certified businesses. The updated certification process features no application fee, reduced preparatory work, reasonable supporting documentation, increased confidentiality, and reciprocal certification with Metropolitan Sewer District, Kentuckiana Minority Business Council, and Kentucky State Transportation Cabinet.

These changes have encouraged more businesses owned by minorities, females, and persons with disabilities to become certified, and are listed on the Louisville Metro Government certified business list. This list is located on the Louisville Metro Human Relations Commission website, at www.louisvilleky.gov/HumanRelations/ and is updated monthly.

HOMELESS
Homeless Needs

The needs of the Louisville Metro homeless are primarily tracked through the Continuum of Care (CoC) provider network. The CoC is comprised of over 40 service provider agencies and is a partnership between Louisville Metro Government and the local Coalition for the Homeless. The local CoC quantifies the annual need and trends of the Louisville Metro homeless population.

Unmet need is measured using the numbers from the annual Point in Time count, 2011 Homeless Census, and the Annual Homeless Assessment Report (AHAR). The most recent annual Continuum of Care Point in Time count, conducted on January 25, 2012, reported a total point-in-time homeless population of 1,532 persons. The 2011 Homeless Census reported 8,615 persons as homeless at some point during the 2011 calendar year. This is a 6% decrease from the census numbers counted in 2010. The 2011 Homeless Census showed a 14% decrease of chronically homeless persons, a 7% decrease in homeless veterans and a 16% increase of domestic violence victims. The 2011 Homeless Census includes clients served in Supportive Services Only programs, emergency shelters, transitional shelters, and domestic violence shelters. These numbers did not include those persons living in a CoC service agency permanent supportive housing during the 2011 calendar year. Numbers from the Point in Time count, AHAR, and Homeless Census are summarized below.

Category	2012 Point in Time	2011 AHAR Count	2011 Census Count
Total homeless clients	1,532	2,298	8,615
Single adults (men and women)	1,104	1,395	7,918
Adults and children in families	498	903	1,747
Number of households	1,197	n/a	509
Unaccompanied children	20	n/a	n/a
Veterans	223	n/a	820
Chronically homeless	187	n/a	1,419

Other initiatives designed to measure and address the needs of the homeless in Louisville Metro include the annual Project Homeless Connect event held in the fall of each year. Project Homeless Connect is a one-day service fair for Louisville's homeless population. Services provided include free medical screens and health care, food, clothing, KY State Identification cards, and linkages to benefits, housing, case management, and other services. Approximately 570 homeless individuals have accessed the event in the past year.

Louisville Metro Community Services and Revitalization Office on Homelessness and The Coalition for the Homeless have also produced a cost of homelessness study and have re-released an updated version of the local Ten Year Plan to End Homelessness, entitled "*Reducing Homelessness: a Blueprint for the Future(Update)*." Both publications shed light on the local actions needed to address homelessness in Louisville/Jefferson County Metro.

In addition to ESG and CDBG resources outlined further in this report, LMCSR has attempted to also address the needs of homeless persons in Program Year 2011 through the HOME funded Tenant Based Rental Assistance (TBRA) program. The primary purpose of the HOME TBRA program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing program. In program year 2010, HOME TBRA served 70 individuals/households.

Transitioning to Permanent Housing for Metro Louisville's Homeless

Louisville's implementation of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act will continue to stimulate the paradigm shift of moving Louisville's homeless into permanent housing. In years past, Louisville's homeless typically spent long durations in either emergency shelter or transitional housing prior to "graduating" to permanent housing. Louisville's Continuum of Care is now undergoing a fundamental change to move to a "systems approach" for quickly re-housing the homeless. Many of Louisville's transitional housing providers are currently undergoing self-assessments to potentially change focus to fit into the new "systems approach." In addition, new ESG resources are now available to provide Rapid Re-Housing services to Louisville's current street and emergency shelter population. Approximately 55 new housing placements (at one time) will be available through this injection of ESG monies. The Housing Choice Voucher Program (Section 8) has also "come to the table" recently by providing housing placement preferences for homeless families. Twenty (20) new Housing Choice Vouchers have been provided by the Louisville Metro Housing Authority to our local Continuum of Care agencies for housing placements during July and August of 2012.

Louisville's Shelter Plus Care program has continued to streamline operations over the past two program years. Better linkages to income supports for existing Shelter Plus Care clients (through the implementation of SOAR resources, etc.) has lead to increased client income, which means that Louisville Metro can serve more overall clients with Shelter Plus Care tenant-based subsidies. A new Shelter Plus Care TRA grant was also signed in December 2011. This new grant was awarded through the 2010 Continuum of Care NOFA for "bonus" projects. This new grant is currently serving 17 new households, with room to serve another 5 to 8 households. New Shelter Plus Care intakes are currently underway for this grant and the additional Louisville Tenant-Based Rental grant (also TRA).

Louisville's Homeless Prevention and Rapid Re-Housing program ended officially on July 9, 2012. This program was a large success, serving a total of 3,543 persons, comprised in 2,026 total households. The Rapid Re-Housing portion of Louisville's HPRP was deemed to be particularly successful at taking persons out of the homeless "system" and providing them with multiple months of rental assistance.

In 2012, Louisville Metro Community Services and Revitalization Office on Homelessness continues to work with the local Coalition for the Homeless and Continuum of Care to sustain a Housing Options database for permanent housing options. This website is primarily maintained by The Coalition for the Homeless and the Continuum of Care and provides a listing and contact information for all CoC-funded permanent supportive housing projects in Louisville. In addition, the Louisville Metro Office on Homelessness has provided multiple listings of reputable private landlords and properties that can be used as permanent housing options on the Housing Options website. The website and database can be accessed at: www.housingoptionslouisville.org.

New Federal Resources from Homeless SuperNOFA

The Louisville Continuum of Care has applied and received new "bonus" grants through the Continuum of Care Homeless SuperNOFA over the last several years. Specifically, several new permanent supportive housing collaborations have been granted in the past. Louisville/Jefferson County Metro Government applied for and was awarded a new Shelter Plus Care grant in the 2010 Homeless SuperNOFA process. This grant is currently serving 17 new households, with room to serve another 5 to 8 households. Through the 2011 SuperNOFA, the Louisville Continuum of Care has been awarded an additional \$629,293 in SHP dollars for 46 new scattered-site units. This new project is scheduled to serve 8 families and 38 singles. The sponsors for this project are the Home of the Innocents, Wellspring, and Phoenix Health Center (Family Health Centers).

Specific Homeless Prevention Elements

The sources of funds that have been and will continue to be applied to homeless prevention in the Louisville Metro area include HOME, HOPWA and ESG entitlement funds, HPRP stimulus funds, and multiple other public and private grants administered by both public and private entities. Louisville Metro's Continuum of Care grant application is projected to be awarded at approximately \$2 million dollars for the 2011 funding cycle. The Continuum of Care grants will be matched at a nearly one to one ratio by area service agencies contributing additional resources of support to address homeless prevention.

LMCSR spent approximately \$523,214.82 for TBRA through the HOME and HOPWA programs to prevent homelessness in program year 2011. The HOME TBRA Program alone contributed approximately \$380,537.35 to TBRA to prevent 70 households from becoming homeless.

Louisville Metro, like many communities nationally, has seen an increase in the number of individuals and families suffering from a lack of access to affordable housing and inadequate incomes to support the housing they do access. Many of these residents are at high risk of becoming homeless. In September 2009, the HPRP Program, previously cited, started in Louisville to assist in addressing homeless prevention. HPRP is a Federal ARRA/stimulus grant for approximately \$4.87 million. As of June 30, 2011, 2,766 individuals (within 1,498 total households) have received homeless prevention and/or rapid re-housing assistance through Louisville's HPRP.

Emergency Shelter Grants (ESG)

Emergency Shelter and Transitional Housing Needs

ESG funding of \$817,595 was awarded to qualifying emergency shelters in Louisville Metro in program year 2011. These funds were used primarily for staffing and operation needs at four primary emergency shelters in Louisville Metro: Wayside Christian Mission, Salvation Army, St. Vincent de Paul, and Volunteers of America. ESG funding totaling \$188,100 was awarded to five transitional housing organizations including Bellewood Presbyterian Home for Children, Choices, Inc., New Directions Housing Corporation, The Salvation Army, and Wellspring. Two organizations, the Center for Women and Families and Family and Children's Place received funding for Economic Success Activities and Case Management totaling \$101,000. A number of transitional housing facilities receive Continuum of Care (CoC) funding along with ESG funding.

Relationship of ESG Funds to Goals and Objectives

According to the "Louisville-Jefferson County Metro Government Consolidated Plan 2010-2014," both chronic and transitional homeless housing programs will be funded by Metro Government between the years 2010-2014. The fourth overall goal listed in the Consolidated Plan was to "reduce the incidence of homelessness in the Louisville Metro Community." Under this goal are seven objectives with related outcomes and activities. Four of these objectives relate to the expenditures of ESG funding.

Those four objectives are as follows:

Objective 4.2: Increase supportive services for persons who are homeless, including health, mental health, substance abuse, domestic violence, child care and transportation services [HUD DH-3].

Outcome 4.2: Serve 7,500 persons with supportive services over five years.

Activities 4.2: Non-profit service providers.

Funding: CDBG and ESG funding distributed via committee process.

Objective 4.3: Provide prevention services, including emergency assistance with rent, mortgage & utilities, landlord intervention services, and assistance to obtain copies of birth certificates, photo IDs and other necessary documents [HUD DH-3].

Outcome 4.3: Assist 5,000 persons over five years.

Activities 4.3: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objective 4.4: Support essential services and operations for Emergency Shelters and Transitional Housing [HUD DH-3].

Outcome 4.4: Serve 10,000 people through the support of operations and 5,000 people by means of essential services over five years.

Activities 4.4: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objective 4.6: Support the renovation, rehabilitation or conversion of buildings to use as emergency shelters or transitional housing [HUD DH-1].

Outcome 4.6: Assist 5 facilities over five years.

Activities 4.6: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objectives **4.2**, **4.3**, **4.4**, and **4.6** directly relate to uses of ESG funding. In program year 2011, Objective **4.2** was addressed by 1,719 individuals being served through ESG funded essential services. Objective **4.2** was also addressed through CDBG funding provided to Homeless Service providers. These accomplishments are detailed in the Low-to-Moderate Limited Clientele section. Objective **4.3** was addressed by Family and Children's Place providing homeless prevention services to 69 individuals. Homeless prevention services were also provided by CDBG funded subrecipients. Objective **4.4** was met by 1,719 individuals being served by essential services and 2,753 individuals being served as a result of operations funding. Objective **4.6** was not addressed with new funding in program year 2011. It was determined that at that time it would be most advantageous to focus limited ESG resources on operations, essential services, and prevention activities, particularly considering the current economic climate. With the implementation of the HEARTH Act and the expected increase in Emergency Solutions Grant funding, Louisville Metro anticipates funding shelter rehabilitation projects in the future.

Individual project accomplishments are detailed in a subsequent section.

Matching Resources

Metro Government requires each sub-grantee that receives ESG funds to be responsible for the required 100% matching funds equal to its individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using agency cash contributions, other agency grants (other State, Federal, Private grants), donated supplies to the agency, value of the agencies shelter building, and so forth. The source of the ESG match is required on all fully executed contracts between Louisville Metro Government and the awarded homeless service agency. Matching funds are documented by Louisville Metro Department of Community Services and Revitalization.

Please see the ESG Subrecipient Match compilation table for specific ESG Match quantities and categories.

**EMERGENCY SHELTER GRANT
SUBRECIPIENT MATCH
CONTRACTS DATING JULY 2011 TO JUNE 2012**

Subrecipient	Service Type	Project	Total Award	Use of Funds	Match
Bellewood Presbyterian Home for Children	Services, Operations	Transitional Housing	\$55,900.00	Salaries, Rent, Copier, Groceries, Repair and maintenance of units	\$122,737, including \$7,551 in in-kind volunteer contribution, \$8,000 in other in-kind donations, \$88,326 in other HUD funds, \$18,860 in cash match
Center for Women and Families	Services	Economic Success	\$35,800.00	Case Management Salaries	\$35,800 cash match
Choices, Inc.	Operations	Norma's House	\$35,000.00	Salaries, Utilities, Phone, Furnishings, Office Supplies, Postage	\$70,490 in HUD SHP funds and \$70,510 in cash match
Family & Children's Place	Prevention	Intensive Case Management	\$65,200.00	Salaries	\$35,200 in private funds
New Directions Housing	Operations	Transitional Services	\$20,800.00	Leased Apartment Units, Utilities	\$20,800 cash match (Metro United Way)
Salvation Army	Operations, Services	Center of Hope	\$16,300.00	Utilities	\$16,300 in private funds
Salvation Army	Operations	Transitional Housing	\$56,400.00	Case Management Salaries, Utilities, Phone, Office Supplies, Shelter Furnishings	\$35,397 cash match and \$73,319 in Metro United Way grant funds
Schizophrenia Foundation KY	Operations, Services	Journey House	\$20,000.00	Salaries, Office Supplies, Audit	\$20,800 cash match (Metro United Way)
Society of St Vincent de Paul	Operations	Ozanam Inn	\$60,700.00	Salaries and Utilities	\$50,730 Volunteer Time
Volunteers of America	Operations, Services	Family Emergency Shelter	\$82,500.00	Salaries, Utilities, Phone, Copier, Office Supplies, Maintenance, Insurance	\$209,000 Volunteer Time, \$27,433 Dare to Care food, \$3,460 in-kind space, \$75,412 cash match
Wayside Christian Mission	Operations	Family Emergency Shelter	\$21,900.00	Utilities, Salaries	\$85,041 in private funds
Wayside Christian Mission	Operations, Services	Men's Emergency Shelter	\$31,800.00	Utilities, Salaries	\$103,591 in private funds

Activity and Beneficiary Data

A summary of individual projects that expended funds in Program Year 2011 are included below.

Program Year 2011 Project Expenditures by Category:

- **Essential Services (IDIS: Various)** for the homeless: Bellewood, Center for Women and Families, Choices, Inc., Salvation Army Transitional Housing, Wellspring Journey House, Society of St. Vincent de Paul, and Wayside Christian Mission Men's Emergency Shelter.
- **Operations (IDIS: Various)** for the homeless: Bellewood, Choices, Inc., New Directions Housing Corporation, Salvation Army Center of Hope, Salvation Army Transitional Housing, Society of St. Vincent DePaul, Volunteers of America, Wayside Christian Mission Family Emergency Shelter, and Wellspring Journey House.
- **Prevention (IDIS: 26107)** for the homeless: Family and Children's Place.

ESSENTIAL SERVICES

- **Bellewood – Essential Services** – \$29,852 in ESG funding. Bellewood's Transitional Housing Program houses nine homeless young adults in transitional apartments. Program participants must be between the ages of 18 – 25, homeless, and have a diagnosed disability such as mental illness, physical disability, or substance abuse issue. The program provides nine scattered-site apartments and intensive case management to nine households a year. ESG funds were used to pay for operational costs and case management costs of the program. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 5
- **Center for Women and Families – Essential Services** – \$35,800 in ESG funding. Funding helps to provide case management focused on financial skills and literacy to victims of intimate partner abuse and sexual violence as they work to overcome financial burdens and pursue economic stability. ESG fund pay the salary of the program's case manager.
Number of Clients Served: 112
- **Choices, Inc. – Essential Services** – \$6,500 in ESG funding. Choices, Inc. Norma's House provided transitional and supportive services in a residential setting. ESG funds were used for operation costs at Norma's House, a 5 unit women's transitional shelter, including food, utilities, and maintenance supplies. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 42
- **Wayside Christian Mission: Men's Emergency Shelter – Essential Services** – \$25,000 in ESG funding. The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied, adult males. ESG funds were used to pay for case management salaries and utility expenses (for facility operations). Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 264
- **Wellspring – Essential Services** – \$16,100 in ESG funding. Journey House is a transitional program for homeless women with co-occurring diagnosis of severe and persistent mental illness. ESG funds were used to provide case management salaries and some operational costs. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 124

- **Salvation Army Transitional Housing – Essential Services** – \$29,554 in ESG funding. The Salvation Army Transitional Housing Program serves low-income, homeless male or female single parent families who are 18 years or older. ESG funds were used to pay for utility costs. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 545
- **Society of St. Vincent de Paul – Essential Services** – \$38,659 in ESG funding. ESG funds were used for utility expenses for Ozanam Inn, located at 1034 South Jackson Street. Ozanam Inn provides emergency and transitional shelter for homeless men. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 627

TOTAL FUNDS EXPENDED ON ESSENTIAL SERVICES IN PROGRAM YEAR 2011: \$53,639.30

OPERATIONS

- **Bellewood – Operations** – \$26,048 in ESG funding. Bellewood’s Transitional Housing Program houses nine homeless young adults in transitional apartments. Program participants must be between the ages of 18 – 25, homeless, and have a diagnosed disability such as mental illness, physical disability, substance abuse issue, etc. The program provides nine scattered-site apartments and intensive case management to nine households a year. ESG funds were used to pay for operational costs and case management costs of the program. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 5
- **Choices, Inc. – Operations** – \$28,500 in ESG funding. Choices, Inc. Norma’s House provided transitional and supportive services in a residential setting. ESG funds were used for operation costs at Norma’s House, a 5 unit women’s transitional shelter, including food, utilities, and maintenance supplies. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 42
- **New Directions Housing Corporation – Operations** – \$20,800 in ESG funding. New Directions Transitional Service Program served homeless, single-parent families in Louisville Metro. ESG funds were used to pay for case operations costs for the transitional units, including building insurance and utilities. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 50
- **Salvation Army: Center of Hope – Operations** – \$16,300 in ESG funding. The Center of Hope houses over 130 men, women and family members nightly. The primary goal of The Center of Hope program is to provide homeless people with an alternative to living on the streets and to foster goal setting toward increased employment, housing, and self sufficiency. ESG funds were used to pay for case management services and operational costs for the facility. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 545
- **Salvation Army: Transitional Housing – Operations** – \$26,846 in ESG funding. The Salvation Army Transitional Housing Program serves low-income, homeless male or female single parent families who are 18 years or older. ESG funds were used to pay for utility costs. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 545

- **Wellspring – Operations** – \$3,900 in ESG funding. Journey House is a transitional program for homeless women with co-occurring diagnosis of severe and persistent mental illness. ESG funds were used to provide case management salaries and some operational costs. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 124
- **Society of St. Vincent de Paul – Operations** – \$22,041 in ESG funding. ESG funds were used for utility expenses for Ozanam Inn, located at 1034 South Jackson Street. Ozanam Inn provides emergency and transitional shelter for homeless men. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 627
- **Volunteers of America – Operations** – \$82,500 in ESG funding. ESG funds provided partial funding for the Manager of the Volunteers of America Family Emergency Shelter for homeless families, located at 1321 South Preston Street. The Family Emergency Shelter serves families with emergency shelter and three meals per day to meet immediate basic needs. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 376
- **Wayside Christian Mission: Family Emergency Shelter – Operations** – \$21,900 in ESG funding. The Family Emergency Shelter provided subsistence and goal-oriented services to homeless, unaccompanied families with children. ESG funds were used to pay for utility expenses (for facility operations). Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 439

TOTAL FUNDS EXPENDED ON OPERATIONS IN PROGRAM YEAR 2011: \$79,979.07

PREVENTION

- **Family & Children’s Place – Prevention** – \$65,200 in ESG funding. The Homeless Prevention Service (HPS) Intensive Case Management Program provided crisis intervention and intensive/long-term case management and counseling for families at high risk of becoming homeless. ESG funds were used to support homelessness prevention case management services. Matching funds in the amount of the grant were provided by the service provider.
Number of Clients Served: 69

TOTAL FUNDS EXPENDED ON PREVENTION IN PROGRAM YEAR 2011: \$69,144.89

In addition, \$294,906.38 was spent on shelter for ESG subrecipients in program year 2011. These were previously categorized as essential services and operations in IDIS.

Homeless Discharge Coordination

Currently ESG Prevention funds are not being used to specifically serve those recently released from publicly funded institutions. Louisville’s CoC has been working toward developing a formal homeless discharge coordination policy for the past several years. Recently the focus has shifted toward the creation of a central intake. There is currently a Homeless Prevention Pilot Project through the State of Kentucky that is focused on homeless prevention upon release from KY State Corrections. HPPP staff assists participants in developing plans and connecting with housing and supportive services to ensure a successful transition. The Louisville Metro Criminal Justice Commission administers two re-entry projects, one in Newburg and another in Shawnee; and the Community Action Partnership (a Division of LMCSR), partners with Louisville Metro Corrections on another re-entry program called *Pathways in Action, Expansion*. This pilot project has had considerable success and is looking at future funding options.

In addition, LMCSR has signed a Memorandum of Understanding with Bellewood to provide Shelter Plus Care housing for disabled youth aging out of foster care. Area hospitals contact the Family Health Centers' Phoenix Health Center when they need to discharge a homeless patient who would benefit from a "healing bed." There are six such beds in the community. A member of the Health Center Outreach Team meets with persons who receive a "healing bed," assessing their needs and helping them access services, including housing. Hospitals refer homeless persons from outside the area to Travelers Aid to receive assistance if they are stranded in Louisville, so they may return to their home community. The local Continuum of Care, of which LMCSR is a member, is working to add additional elements to the discharge coordination process.

COMMUNITY DEVELOPMENT

Community Development

Assessment of Relationship of CDBG Funds to Goals and Objectives

CDBG funds are used for many programs addressing Goal 3 of the 2010 - 2014 Consolidated Plan: Louisville Metro fosters a suitable living environment by improving physical condition and quality of life in distressed neighborhoods and throughout the community. Progress made toward increasing economic opportunity and expanding affordable housing goals are discussed in subsequent Community Development sections. Supporting capital improvements, continuing code enforcement, preventing deterioration of infrastructure, maintaining neighborhoods and providing public services to the community are objectives being addressed through many CDBG funded programs administered by various departments of Louisville Metro Government.

(The CDBG programs discussed below are segmented to reflect the manner in which they were presented in the 2011 Action Plan. As noted above, housing rehabilitation, relocation and economic development programs are discussed in later sections.)

Clearance – The goal of Louisville Metro Government is to ensure that dilapidated, unsafe, unsanitary, dangerous or unfit structures in the Louisville Metro area are demolished. As with public facilities and improvements activities, the long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities. Clearance relates to Objective 3.3.

Objective 3.3: Eliminate slum and blight in the community through clearance of vacant or abandoned properties [HUD SL-3]

Outcome 3.3: Clear 850 properties over five years

Activities 3.3: Demolition

Funding: CDBG

In the 2011 program year, \$781,525.04 in CDBG funds paid for clearance activity.

The following programs address the aforementioned goals and objectives.

- **Vacant Properties Demolition (IDIS: 25366, 26043)** – \$490,100 in CDBG Funding. This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and Licenses Division, oversees the demolition and stabilization of dilapidated structures, which have been ordered demolished. Some of the structures are those acquired by Louisville Metro through foreclosure or other means, but most are privately owned. This activity is performed Metro wide.
2011 Action Plan Goal: 150 structures demolished
Actual Structures Demolished during 2011: 67
Amount Expended during 2011: \$472,693.41
- **Neighborhood Stabilization Demolition (IDIS: 24586, 26525)** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and Licenses Division, oversees the demolition of structures identified for focus in conjunction with the Neighborhood Stabilization Program activities. This project had activity in 2011, but was initiated in prior years.
Actual Structures Demolished during 2011: 36
Amount Expended during 2011: \$57,009.83

Code Enforcement – The goal of Louisville Metro Government is to eliminate slum and blight in the community. The long-term outcome of these efforts is to promote the health and safety of the community. In program year 2011, \$793,245.10 in CDBG funds paid for code enforcement activities. Code Enforcement activities directly relate to Objective 3.4 in the 2010 – 2014 Consolidated Plan.

Objective 3.4: Eliminate slum and blight in the community through property code enforcement [HUD SL-3]

Outcome 3.4: In coordination with other Consolidated Plan activities, inspect 150,000 properties over five years to improve conditions in low-to-moderate census tracts

Activities 3.4: Code Enforcement Program

Funding: CDBG

The following, included in the 2011 Action Plan, addressed the aforementioned goals and objectives.

- **Code Enforcement Program (IDIS: 25314, 26050)**– This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits, and Licenses Division, provides for the inspection of owner-occupied and rental units to correct conditions that may affect the health and safety of the occupants. This program also provides zoning and sign inspection/control to prevent and eliminate blight.
2011 Action Plan Goal: 20,000 Inspections
Actual Inspections during 2011: 20,745 Inspections
Amount Expended during 2011: \$793,245.10

Public Facilities and Improvements – The goal of Louisville Metro Government is to improve public facilities that exhibit determinable signs of physical deterioration. The long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities. Public Facilities and Improvements activities relate to Objective 3.7.

Objective 3.7: Provide Improvements to Public Facilities [HUD SL-1]

Outcome 3.7: Improve two facilities.

Activities 3.7: Nonprofit partners

Funding: CDBG

In 2011, \$2,943,126.91 in CDBG funds paid for the initiation or completion of several public improvement projects. The following are programs included in the 2011 Action Plan addressing the aforementioned goals and objectives.

- **Sheppard Square Hope VI Assistance** - In May of 2011, LMHA was awarded a \$22 million grant for the \$147 million revitalization of the Sheppard Square public housing development. For July 2011 through June 2012, LMHA was awarded \$1,326,000 in CDBG funds for Demolition of two phases. Due to a late notice of funding, no draws were made in program year 2011.
- **Lake Louisville/Park Springs** – (Slow moving project) This project was included in an amendment to the 2010 Action Plan; however, \$1 million in funding was taken from the 2011 program year award. CDBG funds will be used to facilitate installation of infrastructure improvements for the entrance and initial phase of this affordable housing project, which will result in a 224 unit mixed-income subdivision, with 60% of the units being for households with incomes at or below 80% of AMI. This project is still in planning and no draws were made in program year 2011.

- Metro-Wide Sidewalk Improvements (IDIS: 25717)** – This project replaced deteriorating and hazardous sidewalks, curbs, and steps in the public right-of-way. All work occurred in low-to-moderate census tracts. Work was completed in program year 2011.
Progress During 2011: 19,491 feet of sidewalk completed
Amount Expended during 2011: \$81,955.83
- Street Improvement Program (IDIS: 25469, 24298)** – The purpose of this program, administered by the Louisville Metro Government Department of Public Works and Assets, is to resurface and upgrade Metro streets in order to enhance rideability and reduce pollution. The activity was carried out in low-to-moderate income census tracts on a Metro-wide basis and is based upon a needs assessment provided by the Department of Public Works and Assets.
2011 Action Plan Goal: 216,000 square yards of pavement
Actual Square Yards Paved during 2010: Approximately 96,877 square yards of pavement
Amount Expended during 2011: \$815,017.29

The table below provides the locations where paving activity took place:

CDBG FUNDED PROGRAMS
STREET IMPROVEMENTS

Street Name	Census Track/Block Group	Square Yards
N. Bellaire Avenue from Frankfort Avenue to Dead End	74, 1 & 2	5079
Linden Lane from Field Avenue to Dead End	76.01, 1	1324
Hollywood Terrace from Dead End to Dead End	76.01,1	856
Armory Place from W. Chestnut Street to W. Liberty Street	49, 1 &3	6093
Chapel Street from W. Main Street to W. Market Street	30, 1	1099
South 20th Street from W. Main Street to W. Market Street	23, 2	1740
South 20th Street from W. Broadway to Anderson Street	27, 1	1424
Anderson Street from S. 15th Street to S. 17th Street	27, 1	2707
Anderson Street from S. 20th Street to W.J. Hodge Street	27, 1	725
Midway Avenue from Maple Street to Garland Avenue	18, 1	3380
N. Johnson Street from Franklin Street to Dead End	59, 1	788
South 7th Street from Algonquin Parkway to Davies Avenue	35, 1&2	11631
Holsclaw Hill Road from Mitchell Hill Road to 11504 Holsclaw Hill Road	12, 2&3	8843
Bubbling Over Drive from Camp Ground Road to Dead End	127.02, 1	2164
Camp Ground Road from Senn Road to Bubbling Over Drive	127.02, 1	2163
Lake Dreamland Road from Camp Ground Road to Dead End	127.02, 1	11349
North Keats Avenue from Frankfort Avenue to Dead End	74, 2	4512
Senn Road from Campground Road to Dead End	127.01, 3	2930
South 7th Street from Magnolia Avenue to Davies Avenue	27 & 35, 1	15725
Watson Lane from Dixie Highway to 7600 Watson Lane	121.04 - 1&2	12345

- Shawnee Library Expansion (IDIS: 25433)** – This project provided CDBG funds for the rehabilitation and expansion of the Shawnee Branch of the Louisville Free Public Library. The project renovated the interior of the 7,000 square foot Works Progress Administration (WPA)-era library and add 3,000 square feet of new library space by extending the building to the rear.
Amount Expended during 2011: \$757,190.10

- **Nonprofit Public Facilities: Habitat for Humanity (IDIS: 25468)** – This project provided \$250,000 in CDBG to assist Habitat for Humanity of Metro Louisville with phase two of the rehabilitation of a facility in the Portland neighborhood to be used as their administrative offices.
Amount Expended during 2011: \$250,000.00
- **Nonprofit Public Facilities: Southwest Community Ministries (IDIS: 25974)** – This project provided \$160,000 in CDBG for Southwest Community Ministries to acquire a facility to use as their headquarters.
Amount Expended during 2011: \$160,000.00
- **Nonprofit Public Facilities: Family and Children First, Inc. (IDIS: 25975)** – Acquisition of property for Family and Children First.
Amount Expended during 2011: \$250,000.00
- **Algonquin Park Improvements (IDIS: 26626, 24497)** – CDBG funds have been used to finance numerous qualified public facilities improvements at the Louisville Metro Algonquin Park. These improvements included the construction of an amphitheatre and pavilion. These improvements have qualified on a low-to-moderate area benefit basis.
Amount Expended during 2011: \$404,176.08
- **Fairdale Sprayground (IDIS: 24498)** – CDBG funds were allocated to a low-cost, low-maintenance sprayground in Fairdale.
Amount Expended during 2011: \$186,285.61
- **Street Tree Program (IDIS: 23709, 24491)** – CDBG funds were used to plant trees in low and moderate income census tracts. Final draws were completed during program year 2011.
Amount Expended during 2011: \$38,502

Public Service – The goal of Louisville Metro Government is to provide a range of services to the public. The long-term outcome of these efforts is to improve the quality of life and promote the wellbeing of the community. Jurisdictions are allowed to allocate up to 15% of CDBG funds to public service activities. In program year 2011, \$1,792,993 in CDBG funds paid for the provision of public service activity and was subject to the public service cap. These programs relate to Objective 5.1 in the 2010 – 2014 Consolidated Plan. This objective was originally classified as Special Need/HOPWA, but related to Public Service Activities.

Objective 5.1: Connect vulnerable populations to services and income supports [HUD SL-2]

Outcome 5.1: Provide 7,600 referrals over five years to in-house programs or external service providers

Activities 5.1: Community Action Partnership Coordinators, Human Services, and Neighborhood Place Staff

Funding: CDBG

The following are programs included in the 2011 Action Plan addressing the aforementioned objective.

- **Family Economic Success Program (IDIS: 25514)** – This program is designed to meet the goal of purposefully working with families to move from the current practice of only addressing crises to raising awareness and building a foundation of assets utilizing a team of “FES Connectors” working with each of the Neighborhood Place sites. Each will participate actively in the FES Network of community partners to ensure that information around resources and tools are disseminated effectively and will assist with working directly with families to assess their needs and move them toward the development of a better bottom line by helping to stack assets and incentives and bundle supports.

2011 Action Plan Goal: 250 Individuals Served
Program Year 2011 Accomplishment: 2,412 Individuals Served
Amount Expended in 2011: \$316,055.91

- **CAP Community Outreach (IDIS: 25535)** – This program administered by Public Service Coordinators assists income eligible residents of Louisville/Jefferson County by providing outreach, information and referral, education and training workshops and direct case management. Public Service Coordinators work with local community groups to assess community needs by providing creative services and programs that will inspire and motivate residents to establish or maintain self-sufficiency.
2011 Action Plan Goal: 500 Individuals Served
Program Year 2011 Accomplishment: 531 Individuals Served
Amount Expended in 2011: \$139,699.10

Other public service activities included in the 2011 Action Plan include programs administered by Louisville Urban League, Legal Aid Society, Inc. and HPI. Please refer to the 'Affirmatively Furthering Fair Housing' and the 'Barriers to Affordable Housing' sections for description of these programs and data on clients served during program year 2011. Amounts expended during 2011 for these programs totaled \$133,747.14. These programs directly related to Objective 3.5 in the 2010 – 2014 Consolidated Plan.

Objective 3.5: Provide homeownership/housing counseling services to preserve housing or to purchase a home [HUD DH-3]

Outcome 3.5: Serve 6,000 households over five years

Activities 3.5: Housing Partnership, Inc., Louisville Urban League, and Legal Aid Society

Funding: CDBG

Public service activities designed to provide support to many of Louisville's homeless support agencies are detailed in the low to moderate limited clientele activities section. Amounts expended during 2011 for these programs total \$1,203,490.95. Public Service programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

Objective 4.1: Increase self-sufficiency for persons who are homeless [HUD DH-3]

Outcome 4.1: Serve 10,000 people with self-sufficiency services over five years

Activities 4.1: Non-profit service providers

Funding: CDBG

Administration and Planning – The goal of Louisville Metro Government is to ensure that the structure is in place to oversee and administer all federally funded programs. As such, planning and administration activities include allocations for indirect costs, fair housing activities (as discussed in prior sections), support to non-profit agencies, urban design/landmark planning, and LMCSR program administration. Jurisdictions are allowed to allocate up to 20% of CDBG funds to planning and administration activities. In program year 2011, \$2,394,039.32 in CDBG funds, 19.19% paid for the provision of administration and planning activities.

Assessment of CDBG-funded Affordable Housing Goals

As already iterated in the Housing section, expanding the supply of safe, decent, sanitary and affordable housing is the highest priority goal identified in the Consolidated Plan. Increasing the number of affordable housing units and stabilizing the existing housing stock are two objectives being addressed through the following CDBG funded programs. CDBG funded housing programs directly relate to the following objectives listed under Goal 1 in the 2010 – 2014 Consolidated Plan.

Objective 1.3: Improve energy efficiency and conservation [HUD SL-3]

Outcome 1.3: Provide weatherization improvements to 1,350 properties over five years

Activities 1.3: Weatherization Program

Funding: CDBG, Weatherization Assistance for Low Income Persons [US Department of Energy (DOE)]

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]

Outcome 1.6: A total of 3,082 single family units will be rehabilitated or repaired over five years

Activities 1.6: CHDOs, Residential Repair, Emergency Repair, and Weatherization

Funding: CDBG, HOME, and DOE

Objective 1.7: Preserve and improve existing, affordable rental housing stock [HUD DH-3]

Outcome 1.7: Repair or rehab 544 units of rental housing over 5 years

Activities 1.7: Weatherization and Rental Development Program

Funding: DOE, CDBG, and HOME

The following are programs included in the 2011 Action Plan addressing the aforementioned goals and objectives in program year 2011.

- **Metro-Wide Emergency Repairs (IDIS: Various)** – This program provides assistance to homeowners in need of repairs relating to HVAC, electrical, or plumbing situations. Applicants must be a homeowner and the occupant of the property where the assistance is being requested. Only homeowners that have owned and lived in their dwelling for one year or more are eligible for assistance under this program.
2011 Action Plan Goal: 350
Households assisted during 2011: 277
Amount Expended during 2011: \$1,608,573.39
- **Metro-Wide Weatherization Supplement (IDIS: Various)** – This program, utilized to supplement funds provided by the State Cabinet for Families and Children, is designed to provide assistance to homeowners needing repair and/or replacement of energy systems in the form of a grant. These repairs/replacements include, but are not limited to: furnaces, water heater, duct work, and carbon dioxide and smoke detectors.
2011 Action Plan Goal: 250
Households assisted during 2011: 201
Amount Expended during 2011: \$380,403.00
- **Metro-Wide Ramp Construction Program (IDIS: 24477, 25353, 26042)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence.
2011 Action Plan Goal: 60
Households assisted during 2011: 63
Amount Expended during 2011: \$183,033.92
- **New Directions Roof Program (IDIS: 25581)** – This program, administered by New Directions Housing Corporation, provides CDBG eligible households with new or repaired roofs. This program was included under the Residential Repair and Rehabilitation line in the 2011 Amended Action Plan budget.
2011 Action Plan Goal: 19
Households assisted during 2011: 39
Amount Expended during 2011: \$351,834.81

- **Residential Repair and Rehab (Investor Program): Brandeis School (IDIS: 25800)** – This program will provided \$521,000 in CDBG for the rehabilitation of an affordable housing development by New Directions Housing Corporation.
Amount Expended during 2011: \$311,979.02
- **Residential Repair and Rehab (Investor Program): Jackson Woods Apartments (IDIS: 25090)** – This project provided \$840,000 in CDBG to assist with the rehabilitation of 60 units of affordable multi-family housing.
Amount Expended during 2011: \$147,261.10

For race/ethnicity data and types of households served, please see tables on the following pages.

CDBG FUNDED PROGRAMS
RACE/ETHNICITY DATA

Race	Emergency Repair Program		Weatherization Supplement		Ramp Construction Program		New Directions Roof Program	
	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic
White	94		72		25		15	
Black/African American	165		121		36		23	
Asian	1							
American Indian/Alaskan Native	2				1		1	
Native Hawaiian/Other Pacific Islander								
American Indian/Alaskan Native/White								
Asian/White								
Black/African American & White	9							
American Indian/Alaskan Native & Black								
Other Multi-Racial	6	1	8	5	1	1		
Total	277	1	201	5	63	1	39	0

**CDBG FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED**

Type of Household	Emergency Repair Program	Weatherization Supplement	Ramp Construction Program	New Directions Roof Program
Disabled	150	48	63	0
Female Head of Household	221	163	34	19
Elderly	124	85	40	22

Affordable Housing Extremely Low-Income, Low-Income, and Moderate- Income Owners

Please see the Housing Needs, Specific Housing Objectives section for a breakout of the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate income persons.

Changes in Program Objectives

LMCSR submitted three amendments to the 2011 Action Plan. The first amendment, submitted on August 22, 2011 contained the following changes:

- Reduced funding for:
 - Smoketown/Shelby Park NRSA
 - CAP Community Outreach
- Additional funding for:
 - Economic Development "COOL" Activities
 - Housing Programs Delivery

The second amendment, submitted on January 26, 2011 contained the following changes:

- Reallocation of \$1,326,000 in Community Development Block Grant funding previously designated for activities relating to the creation of a Neighborhood Revitalization Strategy Area in the Smoketown/Shelby Park Neighborhood. This funding was reallocated to various eligible activities that occurred in conjunction with the Sheppard Square HOPE VI project occurring in the Smoketown neighborhood.

The third amendment, submitted on May 15, 2012 contained the following changes:

- A second allocation of \$294,334 in Emergency Solutions Grant (ESG) funding used to centralize and improve the Homeless Management Information System (HMIS) data collection through Louisville's HMIS Lead (The Coalition for the Homeless) and to transition the Homeless Prevention Rapid Re-housing Program (HPRP), administered by the Louisville Metro Department of Community Services and Revitalization (LMCSR), into an ESG Rapid Re-Housing program.

Assessment of Efforts in Carrying Out Planned Actions

All resources mentioned in the Consolidated Plan or 2011 Amended Action Plan were received and allocated accordingly.

No employee of Louisville Metro Government hindered the implementation of the Consolidated Plan by willful action or inaction.

All CDBG funds were allocated to programs/projects that met CDBG National Objectives.

Louisville Metro Community Services and Revitalization provided 17 certifications of consistency in a fair and impartial manner and in compliance with the Consolidated Plan. The Certificates of Consistency table on the following page lists the certificates that were awarded during the past year.

CERTIFICATES OF CONSISTENCY 2011

Project Name	Date Issued
Family Self-Sufficiency ROSS Program	4/17/2012
Moving to Work Demonstration Plan	4/17/2012
Woodbourne House	3/27/2012
Housing Choice Voucher Family Self-Sufficiency Program	3/13/2012
Habitat for Humanity of Metro Louisville	8/22/2011
Portland NRSA Owner-Occupied Rehabilitation	4/3/2012
FHLB of Cincinnati	3/13/2012
Bessels Crossing	3/29/2012
Reserves at Fern Valley	9/27/2011
Frontgate Apartments	3/29/2012
Hillebrand House Apartments	9/27/2011
Taylor Boulevard Apartments	9/27/2011
West Louisville Scholar House	9/27/2011
York Towers	9/27/2011
Russell Neighborhood Redevelopment	9/27/2011
French Quarters IV	9/27/2011
Jacob Affordable Senior Housing	9/28/2011

Anti-displacement and Relocation

During program year 2011, \$7,500 was allocated for relocation, however, LMCSR did not draw relocation assistance funds in this program year. LMCSR complies with Uniform Relocation Act and Section 104(d) requirements as applicable.

Low to Moderate Job Activities

Providing residents of Louisville Metro with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base was the second highest priority in the 2010 – 2014 Consolidated Plan. In program year 2011, Louisville Metro undertook three economic development activities: The METCO Business Loan Program, a Microenterprise development and loan program, and an employment-specific job-training program.

These activities directly related to Goal 2 of the 2010 – 2014 Consolidated Plan: Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base. The five specific objectives addressed by this goal are as follows:

Objective 2.1: Increase the number of jobs in the community [HUD EO-1]

Outcome 2.1: Invest in projects that create or retain 340 jobs over 5 years

Activities 2.1: Metro Economic Development loans and technical assistance to businesses and CAP Micro-Enterprise Development

Funding: CDBG, Metro Economic Development, and Private Investments

Objective 2.2: Increase access to job training and placement services [HUD EO-1]

Outcome 2.2: Provide employment training and placement services and/or referrals to 2,000 people over five years.

Activities 2.2: Community Action Partnership Coordinators

Funding: CDBG

Objective 2.3: Provide loans to create new businesses or assist current businesses in expanding [HUD EO-2]

Outcome 2.3: Make strategic investments to assist in 40 businesses over five years.

Activities 2.3: Metro Economic Development

Funding: CDBG, Metro Economic Development, and Private Investments

Objective 2.4: Provide technical assistance to encourage the development of micro-enterprises [HUD EO-1]

Outcome 2.4: Provide technical assistance to 100 potential micro-enterprises over five years

Activities 2.4: Community Action Partnership Coordinators

Funding: CDBG

Objective 2.5: Increase the number of micro-enterprises [HUD EO-2]

Outcome 2.5: Provide loans to 50 new micro-enterprises and help establish 75 microenterprises over five years

Activities 2.5: Community Action Partnership Coordinators

Funding: CDBG

METCO Loan Program

The METCO Loan Program provides business loans to private, for-profit entities with the intent of stimulating business development and/or expansion and creating and/or retaining employment opportunities, which principally benefit low, very-low, and extremely low-income residents. These activities are administered by the Louisville Metro Department of Economic Development (Economic Development) through the METCO Loan Program. In program year 2011, \$250,000 was allocated in the Annual Action Plan and \$247,000.00 was expended on METCO Loan Program activity.

Economic Development ensures that first consideration is given to low/mod income persons through the terms included in the loan agreements, which specify that 51% of jobs created and/or retained must be filled by low and moderate income individuals. The loan is considered open until job creation conditions specified in the loan agreement have been met.

In addition, all employers are required to submit a completed Addendum to Employment Application Form for each employee and Economic Development verifies that these forms have been completed correctly.

During program year 2011, METCO had one loan certify jobs created. Schuler Machine and Tool Company, Inc. created 12 permanent, full-time jobs. Of these 14 full-time jobs, all were filled by low-to-moderate income individuals. All jobs were made available to low-to moderate income individuals. Four loans are currently considered open.

Microenterprise Development and Loan Services

In program year 2011 Louisville Metro continued offering Microenterprise development and loan services. This program, CAP Enterprise (CE), was created to help sustain and develop microenterprises in Metro Louisville owned by low-to-moderate income residents. The goal of the program is to provide support to citizens interested in opening a microenterprise, and to those who need assistance sustaining or expanding their microenterprise.

CE is dedicated to creating an atmosphere where low to moderate income entrepreneurs of Louisville Metro can pursue their business goals through increased access to training, capital, and community based resources.

Individuals who apply may be eligible for a forgivable loan up to \$10,000. CE has two program tracks in which to apply for a forgivable loan: the Spark program, or the Ignite program.

Spark Program

Individuals with a business idea, or who have been in business for less than one year could qualify for the Spark Business Development Program. The program requires individuals to complete a training application and submit proof of their household income eligibility. CE staff reviews all applications and assesses eligibility to evaluate their readiness to participate in the Spark course.

Spark graduates along with graduates from other business development programs are invited to submit a loan application. The loan application includes personal and business taxes for the previous year; proof of business registration with the city, county, and state; two professional letters of recommendation; proof of household size; photo ID; a one-to-two page explanation of their need for funding; and proof of household assets and liabilities. Applicants submit this application packet to CE staff for review. CE staff review each application through the use of a loan scoring rubric. Scores and recommendations are discussed by all members of CE staff and initial recommendations are made based on scores and discussion.

Recommended loan applicants are invited to sit in front of a loan selection committee (comprised of business experts and community partners). The loan committee interviews loan candidates and makes recommendations for the awards and the suggested award amounts. CE staff makes the final award decisions after receiving recommendations from loan committee.

The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and CE staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins.

Ignite Program

Businesses that have been in existence for a minimum of one year could qualify for the Ignite Program which awards forgivable loans (up to \$10,000) to existing businesses. This program includes the completion and submission of a detailed application and includes a business plan. Applicants are required to submit personal and business taxes for the previous year; proof of business registration with the city, county, and state; two professional letters of recommendation; proof of household size; photo ID; a one-to-two page explanation of their need of funding; and proof of household assets and liabilities.

Applications are reviewed by CE staff and are numerically scored based on a scoring rubric. Recommended loan applicants are invited to sit in front of a loan selection committee (comprised of business experts and community partners). The loan committee interviews loan candidates and makes recommendations for the awards and the suggested award amounts. CE staff makes the final award decisions after receiving recommendations from loan committee.

Applicants are then scored through a set rubric by CE staff. CE staff makes recommendations for loan awards and amounts. A loan committee (comprised of business experts and community partners) discusses applications and makes recommendations for awards. CE staff makes final awards and notifies all applicants of their status. The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and CE staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins. In program year 2011, after screening the applicants, 47 individuals were selected to enroll in the class.

This program year, 161 individuals received information on how to develop, sustain, or expand their microenterprise. Nine loans were given to Spark Graduates who completed the 10-week microenterprise development class and were eligible to apply for up to \$10,000. Seven loans were given to Ignite participants who applied directly for the loan by submitting a business plan, financial information, and proof of registration with city and state. These loans resulted in the creation of 10 full-time and two part-time jobs and 12 full-time jobs retained. In program year 2011, \$100,000.00 was expended on microenterprise loans. \$211,639.26 was expended on microenterprise assistance.

A table on the following page provides a breakout of loan-based job creation or retention activity in program year 2011.

**Economic Development
Microenterprise Jobs Created/Retained
Program Year 2011**

Jobs Created																	
	Soap Suds Laundry & Cleaners	Candle Bee Farms	LMH Accounting & Consulting	Tede Tot's Child Care	Extended Family Learning Center	Trimen Solutions	Senteur Exotics	Hooten Home Services	DiVashe Hand & Foot Care	God's Favor Beauty Salon	H2 Communications	Pretty Little Diva	PapHAI Razzi	Sweet-n-Low Scrubs & Uniforms	Your Personality in a Box	Cutting it Close Lawn Care	TOTALS
Total FTE Jobs Created			1	6					1		1		1		1	1	12
Total FTE Jobs Made Available to Low/Mod Individuals			1	6					1		1		1		1	1	12
Full Time Jobs				5					1		1		1		1	1	10
Part Time Jobs			1	1													2
Total FTE Jobs Held by Low/Mod Individuals			1	6					1		1		1		1	1	12
Full Time Jobs				5					1		1		1		1	1	10
Part Time Jobs			1	1													2
Job Classification (Jobs Held by Low/Mod Individuals)																	
Officials & Managers			1						1		1		1		1	1	6
Craft Workers (Skilled)																	0
Laborers (Unskilled)																	
Technicians																	
Services Workers				6													6

Jobs Retained																
Total FTE Jobs Retained	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Total FTE Jobs Made Available to Low/Mod Individuals	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Full Time Jobs	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Part Time Jobs																
Total FTE Jobs Held by Low/Mod Individuals	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Full Time Jobs	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Part Time Jobs																
Job Classification (Jobs Held by Low/Mod Individuals)																
Officials & Managers	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	12
Craft Workers (Skilled)																
Laborers (Unskilled)																
Technicians																
Service Workers																

"COOL" Program

In program year 2011, \$350,000 in CDBG was allocated for "COOL" (Corridors of Economic Opportunity in Louisville) Programs. These public improvement programs implement design strategies to revive commercial corridors. The COOL programs are administered by Louisville Metro Economic Development. This is considered a slow moving project and no funds were drawn for "COOL" during this program year.

Low to Moderate Limited Clientele Activities

In program year 2011 \$1.2 million in Community Development Block Grant (CDBG) funds were designated for homeless case management services. This funding was utilized to provide needed case management services within Louisville Metro/Jefferson County. The initial intent was for the program to help provide additional case management services for existing Shelter Plus Care vouchers from the Louisville Continuum of Care. In addition to funding Shelter Plus Care case management, service projects previously funded by ESG have been financed with this designation of CDBG public service funds. All grant recipients were awarded through the Homeless and HOPWA Grants Committee in the spring of 2011.

In the 2011 Program Year, 16 CDBG Homeless Services projects were funded with CDBG public service funds. Each of the 16 projects met the National Objective of benefit to Low/Mod Persons. In particular, each project met the National Objective subcategory of Low/Mod Limited Clientele. As each of the 16 projects provided case management or other supportive services for the homeless, at least 51% of beneficiaries served by these projects were considered low-to-moderate income. The clients served are mainly residing in homeless shelters or homeless transitional housing. Despite the presumed low/mod income status, nearly 100% of the recipient agencies maintained income documentation on the clients served through CDBG public service monies. These programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

Objective 4.1: Increase self-sufficiency for persons who are homeless [HUD DH-3]

Outcome 4.1: Serve 10,000 people with self-sufficiency services over five years

Activities 4.1: Non-profit service providers

Funding: CDBG

CDBG Homeless Services Projects funded in 2011 were:

- **Bridgehaven – Steps to Recovery Project (IDIS: 25992)** – \$20,700 in CDBG Public Service funds. Bridgehaven helps clients with mental illness or co-occurring substance abuse disorders in supportive housing with a case manager, in an attempt to maintain housing. Bridgehaven provides psychiatric rehabilitation services to these clients, including assessments, therapy, skill building, and socialization. CDBG funds paid for case management and personnel services.
Funds Expended in Program Year 2011: \$22,041.45
Number of Clients Served: 23
- **The Coalition for the Homeless – White Flag/QAS/Community Education (IDIS: 25993)** – \$99,000 in CDBG Public Service funds for three homeless service programs. The White Flag Program allows homeless individuals and families to take shelter during inclement weather (when winter temperatures/wind chill is 35 degrees or lower; summer temperatures/heat index is 95 degrees or higher), even if participating shelters are at or over capacity. The Quality Assurance Standards Program gauges the level at which a homeless shelter is able to serve its clients and potentially highlight areas for improvement, as well as provides training for front-line staff serving the homeless population. The Community Education Program increases awareness and understanding of poverty and homelessness in

the community and fosters community participation in prevention and solutions. CDBG funds paid for personnel services and direct operating costs.

Funds Expended in Program Year 2011: \$100,230.90

Number of Clients Served: 7,877

- **Family and Children’s Place – Shelter Plus Care Case Management Project (IDIS: 25994)** – \$362,900 in CDBG Public Service funds. The Shelter Plus Care Case Management Project helped individuals and families exit the homeless shelter system and assist them in achieving housing stability and economic self-sufficiency. The focus of this initiative is to provide case management enabling disabled individuals and their families to access secure, stable housing through. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2011: \$361,509.07
Number of Clients Served: 198
- **Family Health Centers – Phoenix Health Center Project (IDIS: 25995)** – \$92,200 in CDBG Public Service funds were used to provide an array of services to homeless individuals including primary health care, outreach, and case management. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$91,491.93
Number of Clients Served: 794
- **Family Scholar House – At-Risk Family Services Project (IDIS: 25996)** – \$30,200 in CDBG Public Service funds. The At-Risk Family Services Project provided academic advising and case management services to single parents who are working on either their college degree or towards entering into college. Clients may have also received assistance in obtaining food, medical care, childcare, or other basic needs, emergency financial assistance, and supportive services. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$28,207.72
Number of Clients Served: 1,720
- **Father Maloney’s Boys’ Haven – Equine Employment Training Project (IDIS: 25997)** – \$40,900 in CDBG Public Service funds. The Equine Employment Training Project provides therapeutic and employment opportunities for young men and women who have experienced physical, mental or sexual abuse and are aging-out of foster care or are homeless. A four level curriculum allows students to work at their own pace while completing basic education requirements and focus on independent living skills. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2011: \$40,732.28
Number of Clients Served: 17
- **GuardiaCare – Payee Program Project (IDIS: 25998)** – \$43,600 in CDBG Public Service funds. The Payee Program helped individuals who were homeless or at-risk for homelessness (primarily due to chronic substance abuse and/or severe mental illness) to find permanent housing solutions. The Payee Program combines representative payee services and limited case management services to address the client’s basic human needs of food, shelter and clothing. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$52,103.18
Number of Clients Served: 142

- **Jefferson Street Baptist Community at Liberty – Hospitality Program (IDIS: 25999)** – \$45,600 in CDBG Public Service funds to provide a safe haven during the day for homeless men and women. CDBG funds paid for personnel services and direct operating expenses.
Funds Expended in Program Year 2011: \$38,458.02
Number of Clients Served: 570
- **Kentucky Refugee Ministries – Refugee Housing Bridge Program (IDIS: 25600)** – \$27,500 in CDBG Public Service funds. The Refugee Housing Bridge Program provided case management activities to support the housing security of refugees in Metro Louisville, all of whom qualified as low-to-moderate income under the HUD CDBG criteria. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2011: \$38,952.93
Number of Clients Served: 390
- **Legal Aid Society – Tenant Counseling and Education Program (IDIS: 26001)** – \$34,100 in CDBG Public Service funds. The Tenant Counseling and Education Program served low income residents of Jefferson County by providing an assessment of issues that threaten housing. Clients received counseling and/or educational materials to develop an understanding of their legal rights and responsibilities to maintain or improve their housing. An additional 443 low income residents of Jefferson County were assigned to staff attorneys for extended service on issues related to housing following initial assessment and counseling. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$41,604.04
Number of Clients Served: 406
- **Wellspring – Ardery House Project (IDIS: 26004)** – \$7,300 in CDBG Public Service funds. The Ardery House Project assisted individuals who were leaving the hospital and/or experiencing homelessness achieve independent living. While all participants had a diagnosis of mental illness, more than half also have a co-occurring substance abuse disorder. Ardery House provided 13 men and women at a time with room, board, life-skills training, recreational activities, case management, and individual and group therapy. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$8,367.56
Number of Clients Served: 124
- **Wellspring – D.J. Block and F.M. Gains Crisis Stabilization Units Project (IDIS: 26005)** – \$11,300 in CDBG Public Service funds. The Block and Gains Crisis Stabilization Units assisted persons who are in a psychiatric crisis achieve stabilization through the provision of clinically effective and compassionate services provided in supportive, home-like, community-based residences. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$12,374.10
Number of Clients Served: 442
- **St. John’s Center – Emergency Day Shelter and Social Services Project (IDIS: 26002)** – \$217,400 in CDBG Public Service funds. The Emergency Day Shelter and Social Services Project provided an emergency day shelter for men. This program sheltered homeless men from outdoor elements; helped meet basic needs; allowed clients to gain knowledge and skills regarding mental health, substance abuse, income, and housing in order to become more self-sufficient. The day shelter is open seven days a week with an average of 180 clients served every day. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$89,689.03
Number of Clients Served: 2,142

- **St. John's Center – Residential Recovery Project (IDIS: 26003)** – \$19,800 in CDBG Public Service funds. The Residential Recovery Project provided intensive substance abuse treatment and recovery for seven homeless men at a time. While in transitional housing, clients learn recovery skills, seek a steady source of income, and prepare for permanent housing. CDBG funds paid for residential treatment services.
Funds Expended in Program Year 2011: \$189,312.72
Number of Clients Served: 18
- **YMCA – Shelter House and Mediation Services Project (IDIS: 26006)** – \$49,700 in CDBG Public Service funds. The Shelter House and Mediation Services Project provided emergency shelter and family unification/mediation services at a 24-hour emergency shelter for teens aged 12 to 17. The YMCA assisted the stabilization of teens in crisis and their families by assessing their strengths & needs; developing a plan of care to build the skills needed to improve their relationship; and supporting them through crisis based mediation services, aftercare follow-ups and community based referrals to maintain a healthy and safe home environment. CDBG funds paid for case management salaries.
Funds Expended in Program Year 2011: \$47,811.92
Number of Clients Served: 608
- **YMCA – Safe Place Services Street Outreach Project (IDIS: 26007)** – \$27,800 in CDBG Public Service funds. The Safe Place Services and Street Outreach Project provided qualified street based outreach, education, assessment, and case management services to at-risk homeless youth (12-17 years old.) The YMCA Safe Place Services Street Outreach team canvassed the community to provide street outreach, including distributing food, clothing, first aid, and personal hygiene products, providing case management services specific to this population, providing age appropriate emergency shelter and offering an expert independent living skills assessment and program. CDBG funds paid for personnel services.
Funds Expended in Program Year 2011: \$31,387.87
Number of Clients Served: 6,171

Program Income

The following tables provide the amount of program income received in program year 2011 and a breakout of where the program income was applied. Details on HOME program income are provided as well.

**CDBG PROGRAM INCOME
SOURCES OF PROGRAM INCOME**

Source	Amount Received in 2011
METCO Repayments	\$223,740.30
Rehab Loan Repayments	\$144,767.87
Inspections, Permits & Licenses Fee Collection	\$131,327.97
CDBG Repayment	\$89,035.21
TOTAL	\$588,871.35

**CDBG PROGRAM INCOME
PROGRAM INCOME APPLIED**

Activity	Amount Applied in 2011
CDBG Administration	\$195,933.73
CDBG Activity Delivery Cost	\$5,616.69
Neighborhood Revitalization Strategy Area – Portland	\$8,101.95
Roof Repair Program	\$82,036.15
Revitalization Administration CDBG	\$202,622.48
Residential Programs Delivery CDBG	\$11,044.71
Family and Children First	\$83,515.64
TOTAL	\$588,871.35

HOME PROGRAM INCOME
SOURCES OF PROGRAM INCOME

Source	Amount Received in 2011
Loans Principal and Interest	\$226,694.38
Operations Receipts	\$343,226.06
TOTAL	\$569,920.44

HOME PROGRAM INCOME
PROGRAM INCOME APPLIED

Activity	Amount Applied in 2011
Stoddard Johnson	\$137,231.75
Apartments of Hope	\$53,439.00
HOME TBRA	\$215,156.79
Homebuyer Assistance	\$64,500.00
Oracle Development II	\$1,000.00
Zoe Fields	\$57,778.24
Downtown Scholar House	\$1,000.00
Oracle Single Family Home Revitalization	\$1,000.00
TOTAL	\$531,105.78

Prior Period Adjustments

During program year 2011, reimbursement in the amount of \$638,063.44 was made to HUD for expenditures that were disallowed. All reimbursements were reported in program year 2011 and none of the reimbursements were to be made as multi-year payments. Expenditures for CDBG, HOME and HPRP were disallowed for the following reasons.

HOME funds in the amount of \$137,237.53 were reimbursed to HUD for repayment of excess revenue, funds drawn in error, duplicate draws, and one ineligible activity.

CDBG funds in the amount of \$500,825.91 were reimbursed to HUD after being deemed ineligible. Due to the fact that the KROC Center project did not move forward as planned, \$1.6 million was reimbursed to HUD. In addition, \$126,125.67 was reimbursed for repayment of excess revenue and duplicate draws.

Loans and Other Receivables

Information for loans and other receivables as of the end of program year 2011, June 30, 2012, is as follows:

- LMCRS has a total of 771 forgivable loans. Of the 771, 584 are HOME-funded and 187 are CDBG-funded. The principal balance of the HOME-funded loans is \$9,743,079, and the principal balance of the CDBG-funded loans is \$2,398,853. These loans are for the Home Repair Program, Rental Development Program (formerly referred to as Investor), Lead Program, Downpayment Assistance Program, and Park DuValle Downpayment Incentive Program. These loans will be forgiven upon compliance with affordability periods, of which the range and terms vary depending on the program (i.e. no forgiveness for the first five years, 20% forgiveness years six through ten).
- LMCRS has a total of 119 repayable loans. Of the 119, 71 are HOME-funded and 48 are CDBG funded. The principal balance of the HOME-funded loans is \$17,049,345 and the principal balance on the CDBG-funded loans is \$5,221,857. These loans are for the Rental Development Program (formerly referred to as Investor), Home Repair Program, and former MISCO program. These loans vary in terms, interest rates and payment dates.

Lump Sum Agreements

There were no lump sum agreements entered into during program year 2011.

Housing Rehabilitation

Housing rehabilitation activities have been discussed above and in the Housing section, information has been provided identifying the type of program and number of units completed for each program.

Antipoverty Strategy

In Program Year 2011 Louisville Metro Community Services and Revitalization undertook a number of activities designed to reduce the number of persons living below the poverty level.

Most directly, economic development activities created new job opportunities or opportunities for advancement for low-to-moderate income persons. The METCO loan program assisted a number of established businesses and resulted in the creation of fourteen full-time jobs for low-to-moderate income individuals. The microenterprise loan and assistance program gave low-to-moderate income individuals the opportunity to achieve self-sufficiency through the development of their own business. This program resulted in the creation of ten full-time and two part-time jobs created and twelve full-time jobs retained.

Jurisdiction

Public Service activities such as the Family Economic Success program and CAP Community Outreach helped give and connect low-to-moderate income families to the tools they need to maintain self-sufficiency in the future.

Indirectly, housing programs such as Emergency Repair and Weatherization provided low-to-moderate households with assistance that allowed them to use more of their limited resources for essential expenses. Particularly, Weatherization assistance that makes residences energy efficient can make a measurable difference in the disposable income of households assisted.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

Non-homeless special needs individuals, including the elderly and disabled, are served by a number of CDBG and HOME funded programs. However, there is only one specific program that serves exclusively non-homeless special needs individuals - the Ramp program. The Ramp program corresponds to Objective 5.3 in the 2010 – 2014 Consolidated Plan.

Objective 5.3: Increase facilities and services for persons with disabilities [HUD SL-1]

Outcome 5.3: Serve a minimum of 225 households over five years

Activities 5.3: Ramp/Accessibility Program

Funding: CDBG

- **Metro-Wide Ramp Construction Program (IDIS: 25353, 24155)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence.
2011 Action Plan Goal: 60
Households assisted during 2011: 63
Amount Expended during 2011: \$183,033.92

HOPWA funded programs to address non-homeless special needs are discussed in detail in following section.

Specific HOPWA Objectives

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization (previously Housing and Family Services).

For program year 2011, Louisville Metro Government received \$537,100 in HOPWA funding and funded five local project sponsors which are as follows: AIM Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth Inc., Legal Aid Society Inc., and Volunteers of America Kentuckiana. Service providers represent the HIV/AIDS persons of Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services, etc. These services assist those who may become homeless or have lack of employment or housing options related to extensive medical care and treatment.

Project oversight and monitoring is conducted by the LMCSR Compliance and Administration Unit. The HOPWA Program Manager is responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In program year 2011 all five HOPWA project sponsors were monitored by Compliance and Administration staff. In program year 2012 the HOPWA program manager took over monitoring duties. By combining functions staff are able to spot potential issues more efficiently and offer technical assistance for correction prior to finding of noncompliance. No HOPWA project sponsors had any findings in program year 2011.

The distribution of LMCSR HOPWA funding is coordinated through a funding application process. In program year 2011, potential subgrantees submitted an application for funding to the HOPWA and Homelessness grants committee chaired by the Coalition for the Homeless. The Homeless and HOPWA Grants Committee is comprised of various community stakeholders, including non-applying homeless and housing service agencies as well as representatives from Louisville Metro Government. HOPWA applicants submit proposals to the Homeless and HOPWA Grants Committee based on program need and capacity. All proposed subgrantees must be approved in the Louisville/Jefferson County Metro Government budget passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance subgrantees are asked to submit a Work Program and Budget detailing proposed activities, goals, and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program years 2009 and 2010 were again funded for program year 2011.

The City of Louisville has the highest incidence of HIV/AIDS in the state. According to the June 2011, HIV/AIDS Surveillance Report compiled by the Kentucky Cabinet for Health and Family Services, there were approximately 3,633 diagnosed HIV cases. Of those, 2,395 are presumed to be living. When these numbers are expanded to include the entire KIPDA region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble Counties), the number rises to 3,974 diagnosed HIV cases with 2,616 presumed living. Therefore, the KIPDA region comprises 49% of all diagnosed HIV cases in Kentucky. This report indicates that there has been a 71% increase in people living with AIDS since 2000. According to the Kentucky Department of Public Health, African Americans comprise 7.7% of the state's population but 38% of new HIV cases.

Addressing the special needs of Louisville Metro residents and providing housing and supportive services to persons with HIV/AIDS and their families, was ranked as the fifth overall goal in the 2010 – 2014 Consolidated Plan. Three specific HOPWA objectives were established for this goal:

Objective 6.1: Provide tenant-based rental assistance to persons with AIDS [HUD DH-2]

Outcome 6.1: Serve 225 people over five years

Activities 6.1: HOPWA

Funding: HOPWA

Objective 6.2: Provide supportive services to persons with AIDS [HUD DH-3]

Outcome 6.2: Serve 1,500 persons over five years

Activities 6.2: HOPWA

Funding: HOPWA

Objective 6.3: Provide short-term rent, mortgage and utility payments [HUD DH-2]

Outcome 6.3: Serve 555 people over five years

Activities 6.3: HOPWA

Funding: HOPWA

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. Hoosier Hills and Volunteers of America (SHAP) supported 212 households in the report period with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 23 households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to approximately 1,119 households with HOPWA funding.

All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 23 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 18 clients. Hoosier Hills served 5 clients with TBRA. Of these 23 clients, 22 are continuing with TBRA, and one has exited to private housing. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 212 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and Volunteers of America of Kentucky). Of the 212 clients, all are likely to maintain current housing arrangements with additional support. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 422 clients; House of Ruth served 488 total clients; and Legal Aid Society, Inc. served 209 clients.

Project Accomplishment Overview

- **AIDS InterFaith Ministries of Kentuckiana Inc. (IDIS: 26026)**

Janet Mann, Director of Program Development

AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development for HIV/AIDS persons within the Louisville Metro area. The program provides various volunteer efforts that will provide gap-filling practical, nutritional, emotional, and spiritual support to persons living with HIV/AIDS, all in an effort to support stabilized housing. Services provided help keep families together and reduce the risk of eviction, homelessness, and/or the necessity of extended nursing facility care due to the impact of HIV/AIDS. AIM provides services that promote dignity and respect for individuals as they struggle to cope and survive this life threatening illness. Specific supportive services include a food pantry/personal supplies, life skills educational workshops, medications assistance, retreats, etc.

Funds Expended in Program Year 2011: \$36,571.70

Number of Clients Served: Supportive Services – 422

- **Hoosier Hills AIDS Coalition, Inc. (IDIS: 26029, 26028)**

Dorothy Waterhouse, Program Director, Treasurer

Hoosier Hills provides assistance to benefit low/mod income persons and their families who are HIV/AIDS+ and who strive to achieve stable housing. Hoosier Hills supports the initiatives set forth in the Consolidated Plan through providing housing assistance to low to moderately low persons with HIV/AIDS. This program provides short-term rent, mortgage, and utility assistance and tenant-based rental assistance to HIV/AIDS persons to prevent homelessness.

The Southeast Indiana HIV/STD, which house the offices of Hoosier Hills AIDS Coalition, also serves as a primary care provider for Southern Indiana HIV/AIDS clients. Services include: HIV prevention and education, counseling and testing, care coordination, HIV/STD disease intervention, STD clinic, early HIV medical clinic (which is staffed by WINGS and the Special Populations Support Program (SPSP) program for substance abuse). These programs collaborate with Hoosier Hills in providing comprehensive HIV/AIDS treatment for clients.

Funds Expended in Program Year 2011: \$40,760.42

Number of Clients Served: TBRA – 5; STRMU – 29

- **House of Ruth, Inc./Glade House Housing Program (IDIS: 26032, 26031)**

Lisa Sutton, Executive Director

The objectives of the House of Ruth/Glade House programs are to provide tenant-based rental assistance, supportive services/case management for HIV/AIDS persons. The focus of the case management component of this project is to promote personal success and independent housing stabilization for HIV+ clients. Starting with program year 2009, House of Ruth inherited the long-standing HOPWA Tenant-Based Rental Assistance (TBRA) project from Louisville Metro Government. Approximately 30 households receive TBRA at any time during the program year through House of Ruth's TBRA program. House of Ruth, Inc. provides a holistic client-centered approach for housing and advocacy; including the provision of life skills training, children's programs, and a myriad of other support services.

Funds Expended in Program Year 2011: \$354,379.97

Number of Clients Served: Supportive Services – 488; TBRA – 18

- **Legal Aid Society, Inc. (IDIS: 26033)**

Jeff Staton, Staff Attorney

The HIV/AIDS Legal Project is the only program in Kentucky providing a comprehensive range of free legal services specifically for individuals living with HIV/AIDS, offering legal services in the areas of housing, income maintenance, life planning, access to health care, employment, consumer issues, disability benefits and family law. With this funding, the HIV/AIDS Legal Project of the Legal Aid Society continues to support its work assisting low-income clients to obtain and maintain stable housing.

Funds Expended in Program Year 2011: \$24,586.66

Number of Clients Served: Supportive Services – 209

- **Volunteers of America of Kentuckiana, Inc. (IDIS: 26036, 26035)**

Richard Coomer, Program Manager

The Supplemental Housing Assistance Program (SHAP) sought to prevent homelessness for individuals and families living with HIV/AIDS in Jefferson, Oldham, and Bullitt counties in the Louisville, KY region, through the provision of short-term rent, mortgage, and utility assistance (STRMU) and associated supportive services. All those receiving HOPWA STRMU assistance through VOA also received a myriad of supportive services (including financial/budget planning, enhancement of job search, marketing and skills, and housing stability/homeless prevention planning).

Funds Expended in Program Year 2011: \$104,517.33

Number of Clients Served: STRMU – 183

Barriers or Trends Overview

Several barriers have been identified by HOPWA project sponsors, including: discrimination, lack of supportive services, housing affordability, multiple diagnoses, poor credit history, housing availability, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive status. There is the feeling of shame and isolation that follows as clients withdraw from society due to the worry of judgment and criticism by their peers, churches, families, and society at large.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed within agencies, due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, etc., is an ongoing challenge.

Many clients have multiple diagnoses which make their day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues such as HIV, hepatitis, kidney dialysis, etc. all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues, lack of education and training for adequate employment, and the long, arduous process of seeking and being granted social security disability insurance.

The biggest challenge most HOPWA sponsors report is issues with obtaining and maintaining housing. HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited/lack of income continues to be an issue. Bad credit history, incriminating criminal justice history, and housing availability are all factors that play into the lack of housing opportunities and eligibility for clients. Legal Aid saw an increase for clients needing assistance with either subsidized or private housing issues this year. Clients are experiencing greater challenges from landlords who are not abiding by current landlord/tenant laws and ordinances. Housing availability continues to be a barrier to serving more clients. For instance, House of Ruth maintains a lengthy and ever-increasing waiting list for affordable housing units for people with HIV/AIDS. Plus, flat HOPWA funding indicates that no additional housing will be available in the future.

HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

OTHER NARRATIVE

- **Portland Neighborhood Revitalization Strategy Area (IDIS: 26562)** – The Portland Neighborhood has been identified by Louisville Metro as a Neighborhood Revitalization Strategy Area (NRSA). The Portland NRSA program’s main focus is to enhance and restore the historical appearance of owner-occupied housing stock by rehabilitating the facades. Other repairs that will improve the livability and quality of the home, such as repairs to the roof, HVAC, electrical, and plumbing are also eligible under the program, but will not be the focus of the scope of work. New Directions Housing Corporation will partner with Louisville Metro and other various neighborhood groups to solicit potential low to moderate income level households in need of assistance. Households up to 120% Area Median Income may also take advantage of the program. Program Year 2011 was the program development period, which included preparing the actual program guidelines and also processing applications from the waitlist of approximately 30 interested households. Another portion of the NRSA includes \$250,000 that has been allocated toward a loan fund dedicated to businesses in support of Kentucky agriculture. This program is in the development stage within Louisville Metro Economic Growth and Innovation and expects to award loans during Program Year 2012.

Funds Expended in Program Year 2011: \$28,899

Number of Clients Served: 4 agreements awarded



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

Final Released 1/12/12

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number KY-H11-F001		Operating Year for this report From (mm/dd/yy) 7/1/11 To (mm/dd/yy) 6/30/12		
Grantee Name Louisville/Jefferson County Metro Government				
Business Address		810 Barret Avenue, Suite 240		
City, County, State, Zip	Louisville	Jefferson	KY	40204
Employer Identification Number (EIN) or Tax Identification Number (TIN)		32-0049006		
DUN & Bradstreet Number (DUNs):		040429896	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:04042989 CAGE:SPBC6	
*Congressional District of Grantee's Business Address		KY-3		
*Congressional District of Primary Service Area(s)		KY-3		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville		Counties: Jefferson
Organization's Website Address www.louisvilleky.gov		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name AIDS Interfaith Ministries of Kentuckiana, Inc. (AIM)		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Janet R. Mann, Director of Program Development		
Email Address	aimvolunteers@aol.com		
Business Address	810 Barret Avenue., Suite 302		
City, County, State, Zip,	Louisville	Jefferson	KY 40204
Phone Number (with area code)	502-574-6086		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-1345576	Fax Number (with area code) N/A	
DUN & Bradstreet Number (DUNS):	785679064		
Congressional District of Project Sponsor's Business Address	KY-3		
Congressional District(s) of Primary Service Area(s)	KY-3		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Shepherdsville, Mt. Washington, Louisville, Crestwood, LaGrange, Shelbyville, Taylorsville, Bedford	Counties: Jefferson, Oldham, Henry, Spencer, Bullitt, Trimble, Shelby – Counties of the Greater Louisville Area	
Total HOPWA contract amount for this Organization for the operating year	\$35,100.00		
Organization's Website Address	www.AIMKYonline.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Hoosier Hills AIDS Coalition		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Dorothy Waterhouse, Treasurer	
Email Address		dwaterhouse@cchaids.win.net	
Business Address		1301 Akers Avenue	
City, County, State, Zip,		Jefferson Clark IN 47130	
Phone Number (with area code)		812-288-2706	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		35-1987523	
DUN & Bradstreet Number (DUNs):		005376940	
Congressional District of Project Sponsor's Business Address		IN-9	
Congressional District(s) of Primary Service Area(s)		IN-9	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Jeffersonville, New Albany, Salem, Corydon Counties: Clark, Floyd, Washington, Harrison	
Total HOPWA contract amount for this Organization for the operating year		\$40,000.00	
Organization's Website Address		N/A	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <u>Steering committee when an open spot becomes available on TBRA.</u>	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name House of Ruth, Inc.		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Lisa Sutton, Executive Director	
Email Address		lsutton@houseofruth.net	
Business Address		607 East St. Catherine Street	
City, County, State, Zip,		Louisville Jefferson KY 40203	
Phone Number (with area code)		502-587-5080	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		611231355	
DUN & Bradstreet Number (DUNs):		831087168	
Congressional District of Project Sponsor's Business Address		KY-3	
Congressional District(s) of Primary Service Area(s)		Ky-3	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year		\$334,000.00	
Organization's Website Address		www.houseofruth.net	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. If eligible based on income, proof of disability, past participation in HOPWA, and verification that client is not participating in a housing subsidy program, the Housing Program Manager places clients on wait list according to the date of referral.	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Legal Aid Society, Inc.		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Jeff Bean, Executive Director	
Email Address		jbeen@laslou.org	
Business Address		416 W. Muhammad Ali Blvd., Suite 300	
City, County, State, Zip,		Louisville Jefferson KY 40202	
Phone Number (with area code)		502-584-1254	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		61-0357626	
DUN & Bradstreet Number (DUNs):		086757762	
Congressional District of Project Sponsor's Business Address		KY-3	
Congressional District(s) of Primary Service Area(s)		KY-3	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year		\$30,000.00	
Organization's Website Address		www.laslou.org	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Volunteers of America, Kentucky		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Richard Coomer, Director HIV/AIDS Program		
Email Address	richardc@voaky.org		
Business Address	1436 South Shelby Street		
City, County, State, Zip,	Louisville	Jefferson	KY 40217
Phone Number (with area code)	502-635-4511		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	61-0480950	Fax Number (with area code) 502-636-0597	
DUN & Bradstreet Number (DUNs):	079657219		
Congressional District of Project Sponsor's Business Address	KY-3		
Congressional District(s) of Primary Service Area(s)	KY-3		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Louisville	Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year	\$98,000.00		
Organization's Website Address	www.voaky.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A	

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization. This HOPWA CAPER covers activities occurring in program year 2011, July 1, 2010 – June 30, 2011.

For program year 2011, Louisville Metro Government received \$537,100.00 in HOPWA funding and funded five local project sponsors which include AIM Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth Inc., Legal Aid Society Inc., and Volunteers of America Kentuckiana. These organizations represent the HIV/AIDS service providers for Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services. These services assist those who may become homeless or lack employment or housing options due to extensive medical care and treatment.

Project sponsors, contact name, and activities conducted are as follows:

AIDS Interfaith Ministries of Kentuckiana, Inc. (Supportive Services)

Janet Mann, Director of Program Development

AIM is comprised of clergy, laity, community professionals, and volunteers of all faith perspectives. AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development who manages and provides a Food Pantry inclusive of essential personal care items and household cleaning supplies; a monthly fellowship dinner; and life skills educational workshops promoting self-sufficiency, self-awareness, and self-advocacy. These services save clients monies that can then be used to pay rent, utilities, medicines, other monthly expenses and housing necessities, and most importantly, helps attain and maintain self-sufficiency.

Hoosier Hills AIDS Coalition, Inc. (STRMU, TBRA)

Dorothy Waterhouse, Program Director, Treasurer

Hoosier Hills provides activities and assistance to benefit low and moderate income persons and their families who are HIV positive and who strive to achieve or maintain a stable housing situation. They do this through HIV Care Coordination (case management) Services designed to help clients avoid the sense of hopelessness and prevent clients from returning to high risk activities and inadequate or insecure housing situations.

Through the Southeast Indiana HIV/STD Program's HIV Education/CTS services, HHAC provides educational presentations followed by HIV education-linked counseling and testing at the Williams (homeless) Shelter, and receive individual referrals from Haven House for HIV Counseling and Testing, as well as other sexually transmitted disease evaluation/testing/treatment.

House of Ruth, Inc. (Supportive Services, TBRA)

Lisa Sutton, Executive Director

The House of Ruth is a community-based organization caring for families and individuals infected or affected by HIV/AIDS. They offer a holistic, client-centered approach for housing and advocacy programs. This includes life skills, children's programs, and other supportive services to help strengthen lives. The hub of the Housing Program at House of Ruth is the 17 single-family, scattered site units the agency owns and operates, along with Glade House, a transitional housing facility for HIV-positive adults.

HOPWA provides House of Ruth with salary support for the Client Services Manager, two Housing Case Managers, the Housing Program Manager, Director of Programs, Executive Director, Director of Property Management, Children's Program Manager, Maintenance Worker, and Finance Director. These staff were able to provide 488 clients with housing subsidy assistance and supportive services during program year 2011. Supportive services included case management, emergency food, transportation assistance, rental/utility assistance, children's activities, social events, referrals to other community providers, crisis counseling, medical advocacy, and life skills education. Finally, HOPWA funds were also expended on tenant-based rental assistance. The goal was to serve 16 households and in actuality 18 were served.

Legal Aid Society, Inc. (Supportive Services)

Jeff Staton, Project Manager

The HIV/AIDS Legal Project is the area's only non-profit legal provider helping clients with civil legal problems that threaten their basic human needs. They fight on their clients' behalf when their health, safety, and stability are unjustly threatened. Last year, Legal Aid represented 3,946 clients whose incomes are at or below 125 percent of the federal poverty guideline.

As established by their board of directors, Legal Aid accepts cases that fall within the following five priority areas: 1) Support for families; 2) Preserving the home, 3) Maintaining economic stability; 4) Safety, Stability, and Health; 5) Populations with Special Vulnerabilities. Legal Aid's targeted client populations include victims of domestic violence, the homeless, families at risk of becoming homeless, children forced to move from school to school because of instability at home, children in the juvenile court and educational systems in need of help to stay in school, people living with HIV/AIDS and other life threatening medical conditions, community groups that serve the interests of the poor, people moving from welfare to work, and the elderly.

Volunteers of America of Kentuckiana, Inc. (STRMU)

Richard Coomer, Program Manager

The HOPWA program works to collaborate with the Care Coordinator Program with 12 case managers assisting over 1,100 clients. The Care Coordinator Program has been providing medical case management through the Ryan White Program since 1998. In the 12 years of service they have been instrumental in linking clients to the necessary resources to maintain stability. In Program Year 2011, the Care Coordinator Program of Volunteers of America was able to offer assistance with rental and mortgage payments for 183 individuals. As clients approached the program for assistance, the medical case managers assisted the clients in preparing a budget and a housing plan. The housing plan was in joint efforts with the client and case manager working to meet the client where they are and to address barriers they face. The housing plans measured outcomes and goals that were evaluated on an ongoing basis. VOA was able to meet clients anywhere in the seven counties served, based on their need.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

1. The distribution of LMCSR HOPWA funding is coordinated through a competitive funding application process. In program year 2011, potential sub-grantees submitted an application for funding to the HOPWA and Homelessness grants committee coordinated by the Louisville Metro Department of Community Services and Revitalization. The Homeless and HOPWA Grants Committee is comprised of various stakeholders, including two Louisville Metro Council members, one representative from Coalition for the Homeless, two community experts and one representative from Metro United Way. HOPWA applicants submit proposals to the Homeless and HOPWA Grants Committee based on program need and capacity. All proposed sub-grantees must be approved in the Louisville/Jefferson County Metro Government passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance, sub-grantees are asked to submit a Work Program and Budget detailing proposed activities, goals and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff, a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program year 2010 were again funded for program year 2011.

Project oversight and monitoring is conducted by the HOPWA Program Manager. The HOPWA Program Manager is also responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In program year 2011, all five HOPWA project sponsors were monitored with no findings.

In addition to providing services to Louisville/Jefferson County project, sponsors also represent the counties of Bullitt, Oldham, Meade, Shelby, Henry, Spencer of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. In program year 2011, Hoosier Hills and Volunteers of America supported 212 households with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 23 households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to approximately 1,119 households with HOPWA funding.

2. All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 23 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 18 clients. Hoosier Hills served 5 clients with TBRA. Of these 23 clients, 22 are continuing with TBRA, and one has exited to private housing. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 212 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and Volunteers of America of Kentucky). Of the 212 clients, all are likely to maintain current housing arrangements with additional support. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period,

the following supportive services totals were reported: AIDS Interfaith Ministries served 422 clients; House of Ruth served 488 total clients; and Legal Aid Society, Inc. served 209 clients.

HOPWA Sponsors reported the following significant outcomes:

- **AIDS Interfaith Ministries:** AIM distributed 1,909 food bags, 1,870 personal care bags, and 1,145 Kroger cards for purchasing household cleaning supplies in program year 2011. 291 participants attended AIM's Lifeskills Educational Workshops and saw a 75% client improvement in nutrition, hygiene, and self-sufficiency.
- **Hoosier Hills:** This program was able to keep 6 clients on long-term assistance to prevent homelessness resulting from long wait lists in rural areas. Care coordinators have helped clients develop housing plans to help with stability.
- **House of Ruth:** 18 TBRA clients remain in housing and have benefited from intensive case management, referral to mainstream services, life skills training, and educational workshops. Additionally, House of Ruth staff answered 4,139 client telephone calls, provided 692 home visits, and distributed 697 food vouchers.
- **Legal Aid:** The HIV/AIDS Legal Project assists eligible clients with a variety of legal needs including obtaining and remaining in safe, affordable housing, securing disability income for clients, securing access to government benefits, addressing consumer and medical debt issues, drafting life-planning documents, assisting with family law and support issues, assistance with maintaining health care, and advocacy against discrimination. 209 clients were served with the Legal Project in program year 2011.
- **Volunteers of America:** The Care Coordinator Program's goal is to provide needed resources to educate clients in skills to prevent homelessness. Medical Case Managers will continue to develop housing plans to assist the clients in budgeting and money management. 183 clients received assistance.

3. Most project sponsors also receive Continuum of Care, ESG, or CDBG funding to help leverage the provisions of agency services and resources. In addition, most participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources. These include the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and annual private donations.

Many of the HOPWA project sponsors are also represented on the AIDS Services Center Coalition Board of Directors. The AIDS Services Center, Inc. facilitates monthly planning meetings among HIV/AIDS service providers in Louisville Metro and is responsible for securing funding for numerous agencies through the Louisville AIDS walk. Louisville Metro Community Services and Revitalization HOPWA project manager participates in the monthly meeting of the AIDS Services Center Coalition.

Internally, project sponsors are monitored regularly for compliance with federal regulations. They also submit monthly reports tracking their number of clients served and other pertinent information for future funding distribution processes.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Several barriers have been identified by HOPWA project sponsors, including: discrimination, lack of supportive services, housing affordability, multiple diagnoses, poor credit history, housing availability, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

status. There is the feeling of shame and isolation that follows as clients withdraw from society due to the worry of judgment and criticism by their peers, churches, families, and society at large.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed within agencies, due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, etc., is an ongoing challenge.

Many clients have multiple diagnoses which make their day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues such as HIV, hepatitis, kidney dialysis, etc. all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues, lack of education and training for adequate employment, and the long, arduous process of seeking and being granted social security disability insurance.

The biggest challenge most HOPWA sponsors report is issues with obtaining and maintaining housing. HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited/lack of income continues to be an issue. Bad credit history, incriminating criminal justice history, and housing availability are all factors that play into the lack of housing opportunities and eligibility for clients. Legal Aid saw an increase for clients needing assistance with either subsidized or private housing issues this year. Clients are experiencing greater challenges from landlords who are not abiding by current landlord/tenant laws and ordinances. Housing availability continues to be a barrier to serving more clients. For instance, House of Ruth maintains a lengthy and ever-increasing waiting list for affordable housing units for people with HIV/AIDS. Plus, flat HOPWA funding indicates that no additional housing will be available in the future.

HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The City of Louisville has the highest incidence of HIV/AIDS in the state. According to the June 2011, HIV/AIDS Surveillance Report compiled by the Kentucky Cabinet for Health and Family Services, there were approximately 3,633 diagnosed HIV cases. Of those, 2,395 are presumed to be living. When these numbers are expanded to include the entire KIPDA region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble Counties), the number rises to 3,974 diagnosed HIV cases with 2,616 presumed living. Therefore, the KIPDA region comprises 49% of all diagnosed HIV cases in Kentucky. This report indicates that there has been a 71% increase in people living with AIDS since 2000. According to the Kentucky Department of Public Health, African Americans comprise 7.7% of the state's population but 38% of new HIV cases.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The most recent HIV/AIDS data for Louisville, KY is accessible at the Center for Disease and Control (CDC).

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	37
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	37
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	
• Assistance with rental costs	
• Assistance with mortgage payments	
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$29,000	Emerging Communities Grant	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Supportive Housing Programs	\$363,243		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Clark County Health Department	\$8,000		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Indiana State Dept of Health Case Mgt	\$144,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	\$108,514	Louisville AIDS Walk, IN AIDS Fund, Horseshoe Found., Churches	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	\$155,136	Rent/Utilities, Food, Volunteers	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Metro United Way	\$33,545		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: VOA Intensive Outpatient Program	\$20,000		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$10,504		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	871,942		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	28	23			106,000	106,981
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	200	212			89,600	90,911*
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	228	235			195,600	197,892
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 78 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	366	488			256,740	256,591
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	422	631			65,100	55,877
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	788	1119			321,840	312,468
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)						
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					19,660	19,660
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					19,660	19,660
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					537,100	530,020

***Project sponsor, VOA reports that STRMU funds are low for this community because the fair market value of units in the area is low. When you look at the amounts per unit or household, the numbers under fair market value are low. It is our understanding that we cannot pay over fair market value that includes rent and utilities. Project sponsor, Hoosier Hills reports that their coalition has caps on rent and utilities - \$500 for rent and \$200 on utilities.**

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	676	256,591
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	159	4,212
8.	Legal services	209	20,777
9.	Life skills management (outside of case management)	137	3,510
10.	Meals/nutritional services	346	8,775
11.	Mental health services	56	1,404
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify: Personal Care Supplies Household Cleaning Supplies	344 325	8,775 8,424
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	2,261	
16.	Adjustment for Duplication (subtract)	(1,142)	

17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	1,119	312,468
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3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	212	90,911
b.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with mortgage costs ONLY.	9	10,542
c.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with mortgage and utility costs.		
d.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with rental costs ONLY.		
e.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with rental and utility costs.	203	80,369
f.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	23	22	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	1	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/unknown		
			9 Death		

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	
---------------------------------------------------------------------------------------------------------------------	--

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
212	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>		<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	212	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
Disconnected			
Death		<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			208
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			21

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	235
b. Case Management	188
c. Adjustment for duplication (subtraction)	-188
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	235
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	488
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	488

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	235	488	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	235	470	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	235	470	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	176	470	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	233	470	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name | <ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name | <ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) | <ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation | <ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	16	6

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	235

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	43
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	115
13.	House you own	10
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	67
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	235

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	235
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	18
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	254

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years	16	6			22
3.	31 to 50 years	114	49			163
4.	51 years and Older	32	18			50
5.	Subtotal (Sum of Rows 1-4)	162	73			235
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	4	4			8
7.	18 to 30 years	2	1			3
8.	31 to 50 years	4	1			5
9.	51 years and Older	1	1			2
10.	Subtotal (Sum of Rows 6-9)	11	7			18
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	162	73			254

*Did not collect demographic information on other beneficiaries this program year because these are new data elements. Will begin collecting for the next program year.

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	1			
2.	Asian	2			
3.	Black/African American	115	1	1	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	114	5	17	3
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	3			
11.	Column Totals (Sum of Rows 1-10)	235	6	18	3
<p>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</p>					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

*Did not collect demographic information on other beneficiaries this program year.

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	127
2.	31-50% of area median income (very low)	45
3.	51-80% of area median income (low)	63
4.	Total (Sum of Rows 1-3)	235

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

STATE OF KENTUCKY

County of Jefferson

Affidavit of Publication

I, *Marjorie Wise* of THE COURIER-JOURNAL, clerk of THE COURIER JOURNAL general circulation printed and published at Louisville, Kentucky, do solemnly swear that from my own personal knowledge, and reference to the files of said publication, the advertisement of:

Title: Notice of Public Comment & Availability Lines: 117

Date: 9/9/2012

Inches: 1x11.39

Marjorie Wise, Clerk

Signature of person making proof

Subscribed and sworn to before me this 11th day of September, 2012

Janice C. Richardson, Notary

Notary Public, State at Large, KY
My commission expires June 14, 2014

The purpose of this notice is to give citizens, public agencies and other interested parties the opportunity to comment on the Draft 2011 Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER is Louisville Metro Government's evaluation and implementation of its Action Plan for the expenditures of CDBG, HOME, ESG and HOPWA funds in the community. In accordance with Louisville Metro Government's approved Citizen Participation Plan, the Draft CAPER will be available for public review and comment for a period of not less than fifteen days.

The draft plan will be available online at www.louisville-ky.gov/CSR on Tuesday, September 11, 2012. There will be a public comment period, which will end on Tuesday, September 25, 2012. Citizens may submit written comments on the Draft 2011 CAPER at the above website or written comments may be sent to the Louisville Metro Dept. of Community Services and Revitalization, ATTN: Jessica Underwood, 810 Barret Ave., Suite 240, Louisville, KY 40204.

Hard copies of the Draft 2011 CAPER will be available for review at all branches of the Louisville Free Public Library and at each Neighborhood Place site. Copies are available at the Community Services and Revitalization located in the Urban Government Center, 810 Barret Ave.

For further information or to ensure reasonable accommodations are made for persons with disabilities...