



**Louisville/Jefferson County Metro Government
Consolidated Annual Performance
and Evaluation Report**

CAPER

Program Year 2012



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**Prepared by Louisville Metro
Department of Community Services and Revitalization**

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Third Program Year CAPER

The CPMP Third Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

General Questions

Assessment of Program Year Goals and Objectives

Louisville Metro Government, through the Louisville Metro Department of Community Services and Revitalization (LMCSR), receives annual grants on a formula basis to carry out a wide range of activities geared toward housing rehabilitation and development, public improvements, economic development, public services, maintaining and improving neighborhoods, and homeless support. The five-year strategic plan, referred to as the Consolidated Plan, is a jurisdiction's comprehensive planning document and application for funding under the following Community Planning and Development formula grant programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). In 2010, Louisville Metro Government submitted the 2010 - 2014 Louisville/Jefferson County Metro Government Five-Year Consolidated Plan (Consolidated Plan).

In addition to submitting a five-year strategic plan to the U.S. Department of Housing and Urban Development (HUD), Louisville Metro Government is also required to submit an Annual Action Plan which describes the resources available, the programs/projects to be funded and the proposed accomplishments for the program year. This document covers activities laid out in the final amended Action Plan for Program Year 2012, which covered July 1, 2012 to June 30, 2013.

Allocations for Program Year 2012 in the final amended Action Plan included: expected CDBG funds of \$9,745,052, and CDBG program income of \$600,000; expected HOME funds of \$2,518,500, HOME program income of \$300,000 and \$3,210,600 in HOME Carry-forward/Reallocated Funds from Prior Year Activities; expected ESG funds of \$933,300; and expected HOPWA funds of \$557,600. Actual entitlement funds received were \$9,745,052 in CDBG funds, \$ 2,518,531 in HOME funds, \$926,121 in ESG funds, and \$557,629 in HOPWA funds. Actual program income received totaled \$744,544 in CDBG and \$327,544 HOME.

Total funds drawn in Program Year 2012 for each entitlement fund, regardless of the program year in which projects or activities were funded, are as follows: CDBG- \$12,389,647.49; HOME- \$ 3,353,347.70; ESG- \$869,069; and HOPWA- \$436,714.

Louisville Metro Government is required to provide to the public and HUD an assessment of its accomplishments utilizing the funds at the end of each program year. This annual assessment is known as the Consolidated Annual Performance and Evaluation Report (CAPER). This CAPER provides results and accomplishments for the July 1, 2012 to June 30, 2013 time period.

The CAPER not only contains narrative statements, but also includes required financial reports produced through the Integrated Disbursement and Information System (IDIS). IDIS is a comprehensive database that tracks accomplishments and expenditures for each program/project. The following IDIS reports are included as attachments: PR-01 HUD Grants and Program Income Report; PR03 – CDBG Activity Summary Report; PR23- CDBG Summary of Accomplishments; PR26 – CDBG Financial Summary Report; PR-33- HOME Matching Liability Report; CR-60- Subrecipient Information (for ESG); CR-65- ESG Persons Assisted; CR-70- ESG Assistance Provided; CR-75- ESG Expenditures.

The Consolidated Plan identified five priority need categories and their respective goals as follows:

- **Goal 1: Housing** – Louisville Metro residents have a range of choices for safe, decent, secure and affordable housing.
- **Goal 2: Economic Development** – Energize the regional economy and stabilize neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.
- **Goal 3: Community Development** – Foster a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.
- **Goal 4: Homelessness** – Reduce the incidence of homelessness in the Louisville Metro community.
- **Goal 5: Special Needs/HOPWA** – Address the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families.

The 2012 Action Plan included programs/projects to address each of these goals. Progress was made during Program Year 2012 toward the goal of expanding safe, decent, secure, and affordable housing through many CDBG and HOME funded programs geared toward homeownership assistance, education and counseling, housing rehabilitation and housing development. Through these various programs, 25 households received downpayment assistance, 2,951 persons received education and counseling services, 511 homes were provided emergency repairs and rehabilitations, and 3 Rental Development projects were completed which resulted in the development of 19 HOME-funded housing units. During Program Year 2012, 34.4% of CDBG funds drawn (including program income) and 75.3% of HOME funds drawn (including program income) were expended on programs focusing on affordable housing.

Progress was made during Program Year 2012 toward the goal of energizing the regional economy and stabilizing neighborhoods through the Microenterprise Development program and the Louisville Economic Development Corridors of Opportunity in Louisville (COOL) program. The Microenterprise Development program resulted in 23 full-time and 2 part-time jobs created and 38 full-time jobs

and 2 part-time jobs retained for low-to-moderate income individuals. The COOL Program supported preliminary engineering and design work to support intersection and corridor improvements between Industry Road and Central Avenue along Fourth Street. During Program Year 2012, 3.5% of CDBG funds drawn were expended on economic development programs.

Progress was made during Program Year 2012 toward the goal of fostering a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community through the provision of services and improvements that will increase the health and safety of the community. These occurred through many CDBG-funded programs geared toward public improvements, public services, and maintaining and improving neighborhoods. In Program Year 2012: 46 blighted structures were demolished; 19,326 housing inspections were conducted; sidewalk improvements created 190 ramps providing enhanced accessibility and 25,474 square feet of improved sidewalks provided enhanced connectivity throughout low to moderate income areas; two library improvement projects were supported; two metro parks were improved; and one non-profit public facility was improved. The Family Economic Success program provided 2,952 individuals with skills necessary to move toward self-sufficiency through; and 196 individuals were connected to essential services via the Community Outreach program. Additionally, infrastructure improvements at the Sheppard Square HOPE VI redevelopment project also received funding. During Program Year 2012, 47.7% of CDBG funds drawn were expended on programs focused on public services and public improvements.

Progress was made during Program Year 2012 toward the goal of reducing the incidence of homelessness in the Louisville Metro community by providing case management, support services and transitional housing and providing services to the chronic homeless through CDBG, HOME, ESG, and HOPWA funded programs. These programs were geared toward tenant based rental assistance (TBRA), short-term rent, mortgage and utility assistance (STRMU), rapid re-housing, and supportive services to shelters assisting in prevention, operations and essential services.

Through these activities in Program Year 2012, 89 households received HOME TBRA, 25 households received HOPWA TBRA, 165 households received HOPWA STRMU assistance, 629 households received HOPWA supportive services, 26,826 homeless persons used CDBG supportive services, and 13 programs received ESG assistance for street outreach, prevention, operations, and/or essential services. During Program Year 2012, 7.7% of CDBG funds drawn (including program income) 13.6% of HOME funds drawn (including program income), 86.8% of ESG funds drawn, and 18.1% of HOPWA funds drawn were expended on programs focused on homelessness (excluding allocations for administration).

Progress was made during Program Year 2012 toward the goal of addressing the special needs of Louisville Metro residents, including providing housing and supportive services to persons with AIDS and their families through the various HOPWA-funded programs and through partnership with the AIDS Services Center Coalition, Inc. During Program Year 2012, a total of approximately 1,241 HIV/AIDS Special Needs persons were served and 97% of HOPWA funds were allocated to these programs (excluding allocations for project sponsor agency administration).

Additionally, the Metro Ramps program administered by the Center for Accessible Living served 45 households with members with disabilities.

LMCSR has continued to review its programs and incorporated changes as needed throughout the first year of the 2010 – 2014 Consolidated Plan. The Self Evaluation section includes discussion more specific to Program Year 2012.

Breakdown of CPD Formula Grant Funds

The following tables provide a breakdown of the grant funds spent on grant activities for the goals identified in the Consolidated Plan.

**CPD FORMULA GRANTS
FUNDS APPLIED TO HOUSING GOALS**

Program	Fund Source	Amount Expended in 2012*
Residential Programs Delivery	CDBG	622,577
Residential Repair Program	CDBG	1,995,748
Investor Program	CDBG	60,444
Portland NRSA	CDBG	419,282
Homeownership Counseling	CDBG	67,509
Fair Housing Activities	CDBG	86,390
CHDO	HOME	1,003,583
Rental Development Program	HOME	950,253
Homeowner Rehabilitation	HOME	106,859
Homebuyer Assistance	HOME	214,348
TOTAL	\$	5,526,993

*Amounts Expended in 2012 represent total funds drawn in Program Year 2012 regardless of year in which program/activity was funded.

CPD FORMULA GRANTS
FUNDS APPLIED TO ECONOMIC DEVELOPMENT GOALS

Program	Fund Source	Amount Expended in 2012*
CAP Micro-Enterprise Assistance	CDBG	167,581
Micro-Enterprise/New Business Development Loans	CDBG	243,500
"COOL" Program	CDBG	27,036
TOTAL		\$438,117

*Amounts Expended in 2012 represent total funds drawn in Program Year 2012 regardless of year in which program/activity was funded.

CPD FORMULA GRANTS
FUNDS APPLIED TO COMMUNITY DEVELOPMENT GOALS

Program	Fund Source	Amount Expended in 2012*
HOPE VI	CDBG	3,007,367
Sidewalk Improvement Plan	CDBG	368,563
Eligible Libray Public Facilities Improvements	CDBG	431,321
Metro Park Improvements	CDBG	269,704
Eligible Non-Profit Public Facilities Improvements	CDBG	135,000
Vacant Properties Demolition	CDBG	460,648
Neighborhood Stabilization	CDBG	57,582
Family Economic Success Program	CDBG	292,551
Community Outreach CAP	CDBG	65,637
Code Enforcement	CDBG	820,503
TOTAL	\$	5,908,876

*Amounts Expended in 2012 represent total funds drawn in Program Year 2012 regardless of year in which program/activity was funded.

**CPD FORMULA GRANTS
FUNDS APPLIED TO HOMELESSNESS GOALS**

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2012*</u>
Homeless Services	CDBG	\$954,012
HOME TBRA	HOME	\$454,752
Emergency Shelter	ESG	\$467,643
Prevention	ESG	\$40,550
Street Outreach	ESG	\$22,634
Rapid Re-Housing	ESG	\$223,585
HOPWA TBRA	HOPWA	\$78,919
TOTAL		\$2,242,095

*Amounts Expended in 2012 represent total funds drawn in Program Year 2012 regardless of year in which program/activity was funded.

**CPD FORMULA GRANTS
FUNDS APPLIED TO SPECIAL NEEDS POPULATION GOALS**

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2012*</u>
STRMU	HOPWA	\$64,713
Supportive Services	HOPWA	\$260,262
TOTAL		\$324,975

*Amounts Expended in 2012 represent total funds drawn in Program Year 2012 regardless of year in which program/activity was funded.

Affirmatively Furthering Fair Housing

The Louisville Metro Department of Community Services & Revitalization (LMCSR) continues to make an ongoing effort to affirmatively further fair housing. Access to housing, via rental or homeownership, is fundamental to meeting the essential needs of individuals and families. The issue of fair housing goes beyond discrimination in the rental and sales housing markets; it includes all facets of housing transactions, such as in financing, insurance coverage, and other issues related to occupancy. Fair housing is also correlated to the development of affordable housing throughout the community to ensure that individuals have a choice in where they can live.

In support of these principles, the Metropolitan Housing Coalition coordinated the research, analysis, and publication of the *Analysis of Impediments to Fair Housing Choice in Louisville Metro, KY* for LMCSR. This document was released on March 15, 2010 and information was gathered from a number of primary sources. Monthly meetings were held with the Metropolitan Housing Coalition's Fair Housing Coalition, which included input from the Louisville Metro Human Relations Commission (LMHRC) a Fair Housing Assistance Program (FHAP) agency, to provide information for the report. In addition, representatives of the following agencies provided valuable contributions for the report: the Americana Community Center; the Center for Accessible Living; the Coalition for the Homeless (the Louisville Metro Development Authority; the Greater Louisville Association of Realtors, Habitat for Humanity of Metro Louisville; the Home Builders Association of Louisville; the Kentucky Alliance Against Racist & Political Oppression); the Kentucky Commission on Human Rights also a FHAP agency; the Legal Aid Society of Louisville; the Lexington Fair Housing Council a private, non-profit agency funded under the HUD's Fair Housing Initiatives Program; the Louisville Apartment Association; the Louisville Metro Housing Authority; Louisville MetroCall; the Louisville Urban League; the Metropolitan Housing Coalition itself; the Non-Profit Housing Alliance; and, Louisville Metro Planning & Design. The information collected in this study identifies areas to be addressed to affirmatively further fair housing. The following were impediments identified within Louisville Metro Government:

1. "[A] lack of support for planning and zoning statutes which would promote and support developer incentives as a means to guarantee the development of a minimum percentage of desirable affordable housing and special-needs-population housing (meeting the requirements of the American Disabilities Act) units (both rental and sale) per housing complex and/or subdivision in all neighborhoods and communities throughout the Louisville Metro jurisdiction."
2. "The fact that the majority of existing affordable housing and housing for special-needs populations (both rental and sale) is very segregated by race, ethnicity, and income and is located solely in specifically designated neighborhoods and communities throughout the Louisville Metro jurisdiction."
3. "The lack of innovative housing finance mechanisms to support the development, and subsequent rental or sale of desirable affordable housing units and special-needs-population housing units in all neighborhoods and communities throughout the Louisville Metro jurisdiction."

As cited in the report, Louisville Metro Government has addressed some of these issues and continues to do so. For example, Louisville Metro Planning & Design is currently overseeing a citizen-led revision of the Land Development Code (LDC). A Fair and Affordable Housing Subcommittee is part of this review process and is making recommendations for zoning changes that could increase opportunities for fair housing choice throughout Louisville Metro.

Implementation of some recommendations made in the report *2006 Comprehensive Housing Strategy for Louisville Metro* has occurred. Federal stimulus money from the Neighborhood Stabilization Program has been used not only in very low-income neighborhoods but also in low-income neighborhoods, and the Louisville Metro Housing Authority has intentionally acquired units for public housing throughout its jurisdiction as HOPE VI replacement units.

The LMCSR and the LMHRC are also aware of HUD's recent Affirmatively Furthering Fair Housing Proposed Rule to implement a new Assessment of Fair Housing, which will replace the Analysis of Impediments obligations for entities receiving federal funding. The LMCSR and the LMHRC have studied the Proposed Rule and will fully comply with, and implement, the Assessment of Fair Housing upon its statutory codification.

As a FHAP agency, the LMHRC enforces protections under local ordinance and, where applicable, the substantially-equivalent federal Fair Housing Act, as amended, because of race, color, religion, national origin, sex, familial status, disability, sexual orientation, and gender identity. The LMHRC has continuously worked to reduce barriers to fair housing in Louisville Metro since the 1960s.

The LMHRC has continued to promote public education and outreach campaign initiatives on fair housing, including conducting training seminars; hosting fair housing complaint intake initiatives; and, creating detailed fair housing brochures, as well as magnets, in nine different languages spoken in Louisville Metro. The goal has been to increase public awareness of fair housing laws and to educate the public about how to effectively fight discriminatory practices in housing. To help accomplish this goal, the LMHRC expanded its existing outreach and education campaign; and, the LMHRC continued incorporating housing testing results, funded significantly by CDBG funds, into housing discrimination complaint investigations for bona fide complainants.

The LMHRC's Executive Director participates in periodic meetings with representatives of the Metropolitan Housing Coalition; the Louisville Metro Housing Authority; and the Louisville Metro Department of Inspections, Permits & Licenses to actively analyze impediments to fair housing choice in Louisville Metro. This working group develops strategies and action plans to carry out reductions of barriers to fair housing. The Executive Director and LMHRC staff also presented on fair housing in the Louisville Metro Mayor's semi-annual "Mayor's ADA [Americans with Disabilities Act] Roundtable" series. LMHRC staff also continues to attend the monthly aforementioned Fair Housing Coalition meetings. LMHRC staff regularly attends monthly board meetings of the Metro Disability Coalition to discuss fair housing with its members. In 2012, LMHRC staff presented on fair housing to the Greater Louisville Council of the Blind and an annual Preserving Homeownership Fair.

LMHRC staff regularly participates in HUD's renewed HUD-FHAP Quarterly Conference Calls to discuss partnership in fair housing enforcement, as well as education and outreach. LMHRC staff also attended a HUD-FHAP Region IV Conference in Charlotte, NC in late January 2013 to strengthen the partnership. **LMHRC staff continues to take courses with HUD's National Fair Housing Training Academy.**

On January 25, 2013, the LMHRC hosted a "Unity in the Community" event with a morning keynote address from Louisville Metro Mayor Greg Fischer and a keynote speech by renowned author and journalist Betty Baye. Metropolitan Housing Coalition staff gave the presentation "Making Louisville Home for Us All: Developing an Action Plan for Fair Housing" during the event to address the enormous challenges and planning ahead for fair housing in Louisville Metro.

For National Fair Housing Month in April 2013, the LMHRC sponsored and participated in several community events. The LMHRC partnered with HUD and the Lexington Fair Housing Council, among other aforementioned agencies, for "Get on the Bus: The Path toward Equal Housing Opportunities 2013." This free guided bus tour spotlighted Louisville Metro's segregation history and equal-housing opportunity needs in what now is an annual event. LMHRC staff spoke on fair housing laws for local La Poderosa Spanish-language radio 620-AM/105.7-FM. LMHRC staff attended a Women in Transition Tenant Town Hall event; and, the Dosker Manor Resident Corporation Spring Fling hosted by a Louisville Metro Housing Authority public housing tenant association.

From July 1, 2012 – June 30, 2013, the LMHRC closed a number of housing discrimination complaint cases for its fiscal year, with a breakdown of protected class by basis as follows:

- Race 26
- Color 0
- Religion 2
- National Origin 8
- Sex 8
- Familial Status 9
- Disability 27
- Sexual Orientation 6
- Gender Identity 1
- Retaliation 1

During this period, there was a housing discrimination complaint with a determination of Probable Cause that unlawful discrimination had likely occurred by a Respondent, resulting in a charge of discrimination filed by the LMHRC with the assistance of the Jefferson County Attorney's Office; and, an additional eight Probable Cause complaints from previous years were involved in continued legal proceedings. There were also eight Housing Conciliation Agreements, or negotiated settlements, to resolve complaints during the fiscal year. Additionally, four complaints were withdrawn by a Complainant after an outside resolution was reached with the Respondent to resolve the complaint.

The LMHRC continued its housing testing program, conducting 188 rental tests from July 1, 2012 – June 30, 2013. The testing program used Louisville Metro employees specifically hired by Louisville Metro Government to test for housing

discrimination levels in Louisville Metro. Testing has been used to generate LMHRC agency complaints against housing providers identified to have engaged in likely unlawful business practices, and it has also been used as supplemental investigation into complaints filed by the general public. Funds from the testing program come from CDBG funds allocated by HUD to Louisville Metro Government in order to comply with requirements to affirmatively further fair housing.

Additional actions to overcome the barriers identified above were also undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs.

The Housing Partnership, Inc. affirmatively furthers fair housing by providing homeownership counseling and outreach to homeowners including those at risk of foreclosure (**IDIS: 26758**). During Program Year 2012, clients were served in the following ways:

- 33 clients received education and/or attended group workshops on homebuyer education
- 206 clients received pre-purchase counseling
- 508 clients received assistance with preventing mortgage delinquency
- 11 received post-purchase, non-default counseling

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education and counseling services to renters, prospective renters and first-time homebuyers (**IDIS: 26759 and 26761**). During Program Year 2012, 1,817 unduplicated clients were served in the following ways: 946 participated in financial education courses; 1,287 were educated on fair housing; 45 households became mortgage ready; 33 obtained homeownership; 33 were referred to landlords and 200 households saved their homes from foreclosure and retained homeownership. 16 clients received financial literacy education.

The Legal Aid Society, Inc. affirmatively furthers fair housing through The Legal Aid Society's Foreclosure Counseling and Outreach Education program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments (**IDIS: 26760**). Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the Program Year 2012, Legal Aid served 376 individuals through their Foreclosure Clinics and Ask-A-Lawyer Clinics. Legal Aid's Ask-A-Lawyer Program conducted 34 Ask-A-Lawyer Clinics serving 128 individuals. 247 individuals attended a Legal Aid Foreclosure Clinic. The weekly Clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

Leveraging Resources

In Program Year 2012 Louisville Metro Government continued to identify and obtain additional funding.

Louisville Metro Government and its entitlement subrecipients were successful in **obtaining and/or allocating “other” public and private resources** including private donations, fund-raising contributions, general fund allocations, low-income housing tax credits, Kentucky Housing Corporation (KHC) funds, Louisville Landbank lots, and competitive grant sources.

The sources of “other” public and private resources identified above help to provide for an increase in services in many of the programs administered by LMCSR. Some examples include the following:

- General fund allocations were used to support community ministries and non-profit organizations.
- CDBG funds were committed as leverage for a competitive grant, the Lead-Based Paint Hazard Control Grant, to help satisfy matching requirements.
- Louisville Landbank Lots were relayed to developers for projects.
- Private donations, fund-raising contributions and competitive grants were leveraged with federal funds to provide even more resources to HOPWA programs.
- Non-profits leveraged HOME funds with KHC funds and Federal Home Loan Bank funds for rehabilitation projects.
- Funds from the National Mortgage Settlement managed by the Kentucky **Attorney General’s office supported the demolition of vacant properties.**

The ESG Program requires a 100% match. Please refer to ESG match table in the Homelessness section for details on the source of this match.

Managing the Process

Louisville Metro Government has directed LMCSR to serve as the lead agency in the preparation and implementation of the Consolidated Plan and subsequent annual Action Plans. Comprehensive planning requirements command that preparation of the five-year Consolidated Plan and annual Action Plan include compliance with the **jurisdiction's Citizen Participation Plan. Louisville Metro Government's Citizen Participation Plan** requires public hearings be held to allow for representatives of non-profit agencies, organizations, groups, citizens and interested parties to contribute to the identification of needs and distribution of resources to address those needs. In addition, the Consolidated Plan and annual Action Plan are required to be made available for public comment prior to final submission to HUD.

The Citizen Participation Plan also requires an amendment to these plans be prepared, including adherence to public hearing and public comment guidelines should there be significant changes in funding or programs.

Louisville Metro Government has followed these guidelines and will continue to follow these guidelines to ensure compliance with program and comprehensive planning requirements. LMCSR continues to serve as the lead agency for planning purposes and administers many programs. In addition, LMCSR provides oversight of all programs administered by external sub-recipients and internal sub-grantees.

Citizen Participation

The draft 2012 CAPER was made available for review and public comment from September 11, 2013 to September 26, 2013. An ad was published in the Courier-Journal on September 8, 2013 and copies were made available in all branches of the Louisville Free Public Library, at eight Neighborhood Place locations, and on the LMCSR website – www.louisvilleky.gov/csr.

One citizen comment was received from Natalie Harris, Executive Director of the **Coalition for the Homeless. Her comment and LMCSR's response can be found** in Appendix A of this report. The affidavit of publication for the advertisement is also included with the 2012 CAPER submission.

Resources and Distribution of Funds

The following summaries and maps provide a summary of resources and distribution of funds for each formula grant and the geographic distribution of expenditures for several LMCSR programs.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS		
COMMUNITY DEVELOPMENT BLOCK GRANT		
Resources	2012 Action Plan Projection	2012 Actual Resources
Formula Grant	\$ 9,745,100	\$ 9,745,052
Program Income	\$ 600,000	\$ 744,756
Carryforward/Reallocated Funds from Prior Year Activities	\$ 4,405,500	\$ 1,336,620
Total Resources	\$ 14,750,600	\$ 11,826,428
Distribution of Resources	2012 Action Plan Commitments	Amount Expended in 2012*
Housing		
Residential Programs Delivery	\$ 760,700	\$ 622,577
Residential Repair Program	\$ 1,813,000	\$ 1,995,748
Investor Program ** (Funded Prior to 2012)	\$ -	\$ 60,444
Total - Housing	\$ 2,573,700	\$ 2,678,769
Neighborhood Revitalization Strategy Area		
Shawnee NRSA	\$ 400,000	\$ -
Portland NRSA **	\$ -	\$ 419,282
Total - NRSA	\$ 400,000	\$ 419,282
Public Facilities and Improvements		
Smoketown HOPE VI	\$ 6,264,000	\$ 602,722
Sidewalk Improvement Plan**	\$ -	\$ 368,563
Eligible Library Public Facilities Improvements**	\$ -	\$ 431,321
Metro Park Improvements**	\$ -	\$ 269,704
Eligible Non-Profit Public Facilities Improvements	\$ -	\$ 135,000
Other Activities Funded in Years Prior to 2012	\$ -	\$ 112,987
Total - Public Facilities and Improvements	\$ 6,264,000	\$ 1,920,297
Clearance		
Vacant Properties Demolition	\$ 490,000	\$ 460,648
HOPE VI	\$ -	\$ 2,401,645
Neighborhood Stabilization**	\$ -	\$ 57,582
Total - Clearance	\$ 490,000	\$ 2,919,875
Public Service		
Homeless Services	\$ 948,000	\$ 954,012
Out of School Time (OOST) Enrichment	\$ 75,000	\$ 34,386
Homeownership Counseling	\$ 90,000	\$ 67,509
Family Economic Success Program	\$ 330,400	\$ 292,551
Community Outreach CAP	\$ 108,300	\$ 65,637
Total - Public Service	\$ 1,551,700	\$ 1,414,095
Code Enforcement		
Code Enforcement	\$ 975,000	\$ 820,503
Total - Code Enforcement	\$ 975,000	\$ 820,503
Economic Development		
CAP Micro-Enterprise Assistance	\$ 181,800	\$ 167,581
Micro-Enterprise/New Business Development Loans	\$ 250,000	\$ 243,500
"COOL" Program	\$ -	\$ 27,036
Total - Economic Development	\$ 431,800	\$ 438,117
Administration and Planning		
Urban Design/Landmarks	\$ 155,000	\$ 102,193
CSR Department Services	\$ 1,273,900	\$ 1,100,952
Community Centers Plan	\$ 25,000	\$ -
Human Relations - Fair Housing	\$ 70,000	\$ 66,931
Urban League - Fair Housing	\$ 23,000	\$ 19,459
HMIS Grant Match	\$ 12,500	\$ 13,442
Continuum of Care	\$ 65,000	\$ 65,000
Indirect Cost	\$ 440,000	\$ 410,733
Total - Administration and Planning	\$ 2,064,400	\$ 1,778,710
Total Distribution of CDBG Resources	\$ 14,750,600	\$ 12,389,647

*Amounts expended in Program Year 2012 represent total funds drawn in Program Year 2012 regardless of year in which project/activity was funded.

SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS		
HOME INVESTMENT PARTNERSHIPS		
Resources	<u>2012 Action Plan</u>	<u>2012 Actual</u>
	<u>Projection</u>	<u>Resources</u>
Formula Grant	2,518,500	2,518,531
Program Income	300,000	327,544
Carryforward/Reallocated Funds from Prior Year Activities	3,210,600	-
Total Resources	\$ 6,029,100	\$ 2,846,075
Distribution of Resources	<u>2012 Action Plan</u>	<u>Amount Expended</u>
	<u>Commitments</u>	<u>in 2012*</u>
Community Housing Development Organization (CHDO)	2,600,000	1,003,583
Rental Development Program	2,752,700	950,253
Tenant Based Rental Assistance	400,000	454,752
HOME Administration	276,400	228,013
Homeowner Rehabilitation ** (Funded prior to PY2012)	-	106,859
Homebuyer Assistance**	-	214,348
Investor Rehabilitation Program**	-	143,976
Community Housing Development**	-	563
Scholar House**	-	1,000
Total Distribution of HOME Resources	\$ 6,029,100	\$ 3,103,348

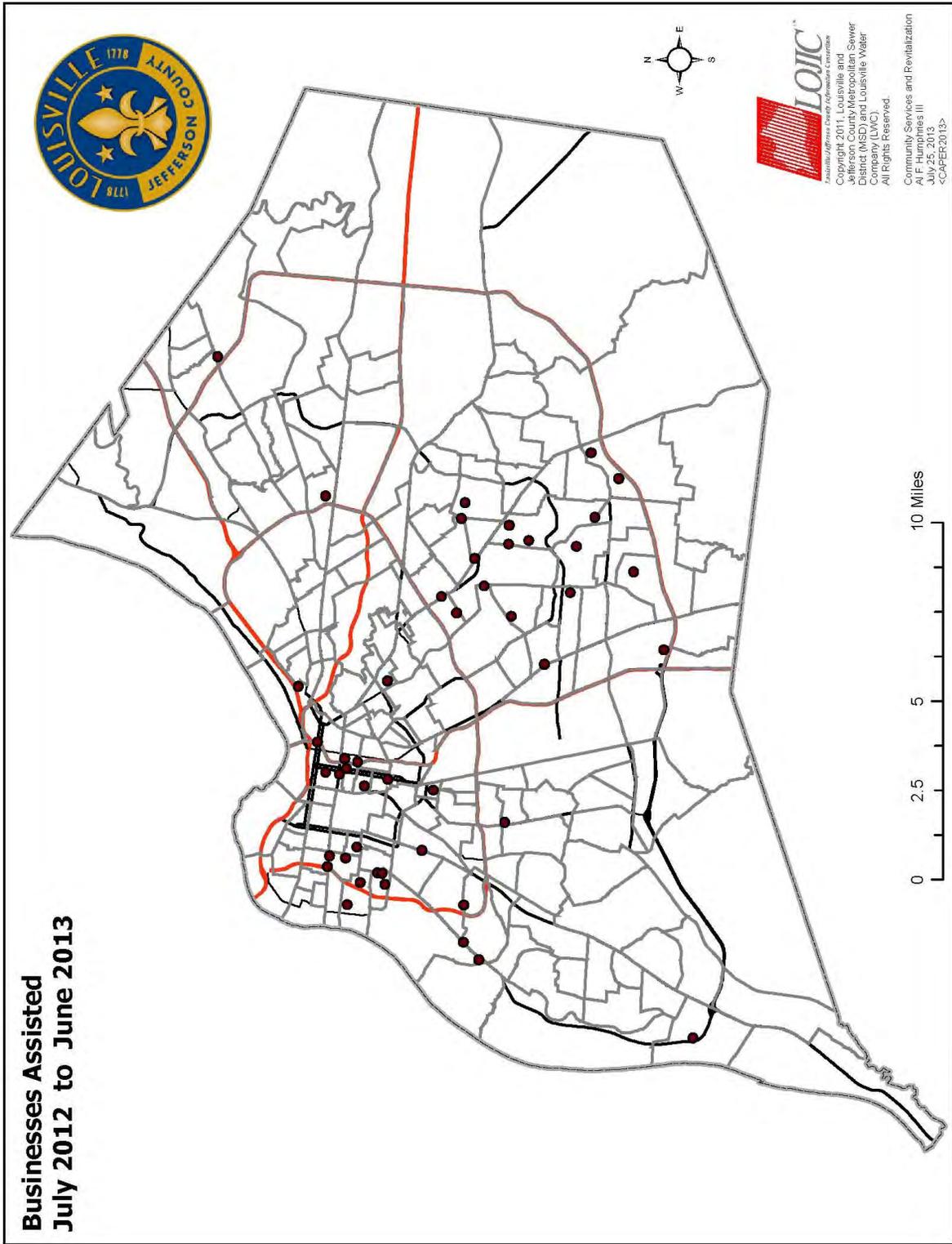
*Amounts expended in Program year 2012 represent total funds drawn in Program Year 2012 regardless of year in which project/activity was funded.

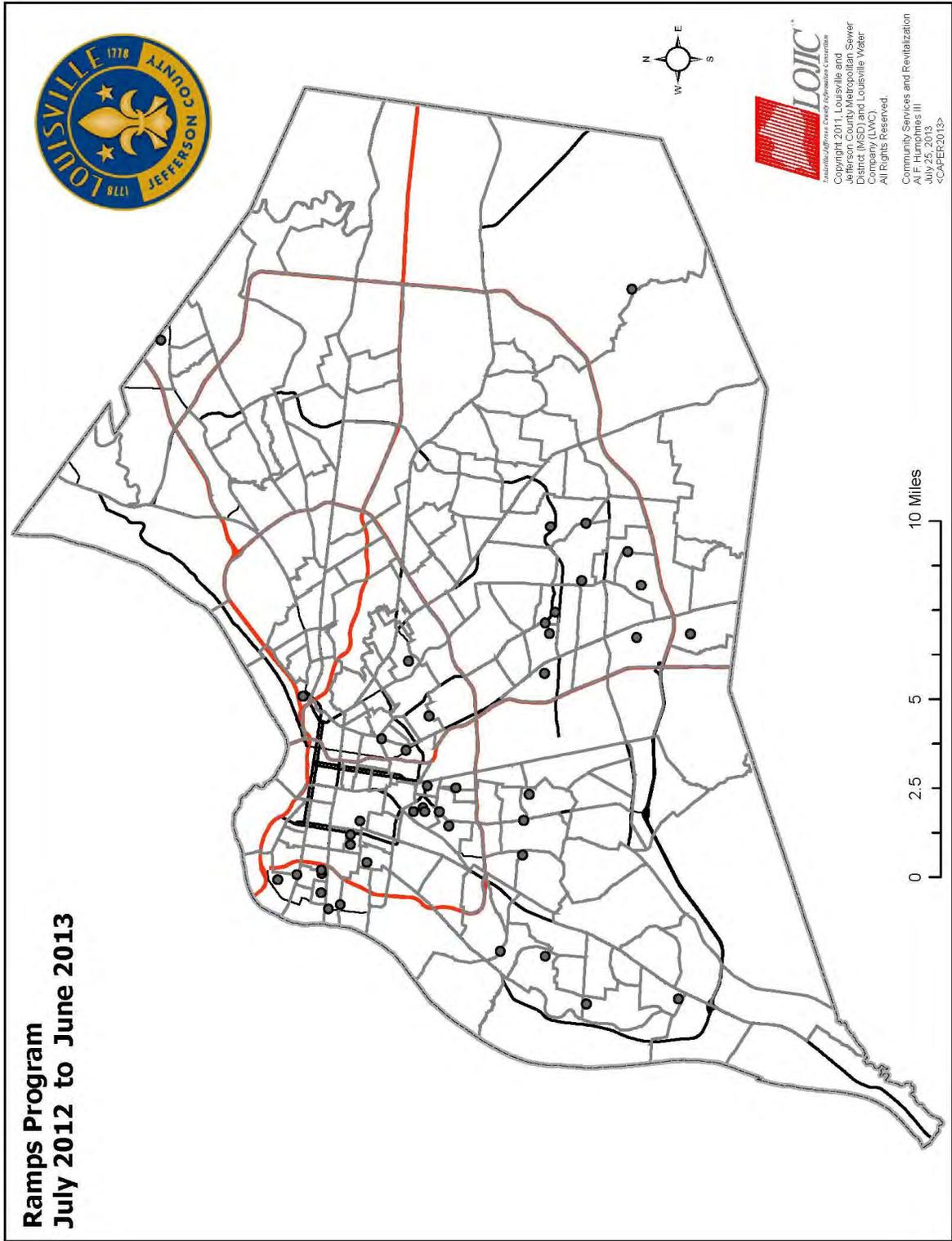
SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS		
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS		
Resources	<u>2012 Action Plan</u>	<u>2012 Actual</u>
	<u>Projection</u>	<u>Resources</u>
Formula Grant	557,600	557,629
Total Resources	\$ 557,600	\$ 557,629
Distribution of Resources	<u>2012 Action Plan</u>	<u>Amount Expended</u>
	<u>Commitments</u>	<u>in 2012*</u>
Housing Assistance and Supportive Services	540,900	-
Tenant Based Rental Assistance	-	78,919
Short-term Rent, Mortgage, Utility Assistance	-	64,713
Supportive Services	-	260,262
HOPWA Sponsor Administration (7%)	-	15,965
HOPWA Grantee Administration (3%)	16,700	14,055
Total Distribution of HOPWA Resources	\$ 557,600	\$ 433,914

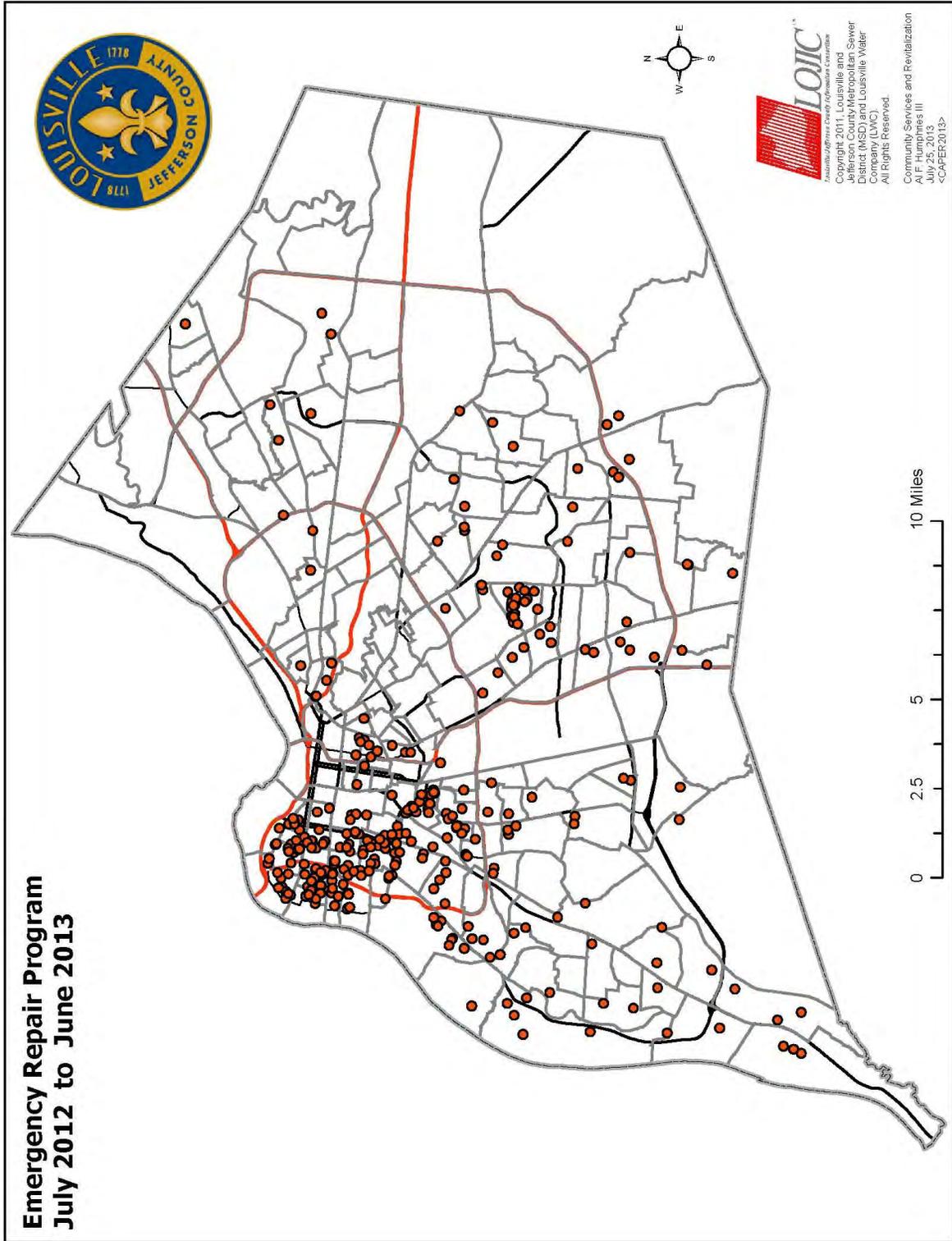
*Amounts expended in Program year 2012 represent total funds drawn in Program Year 2012 regardless of year in which project/activity was funded.

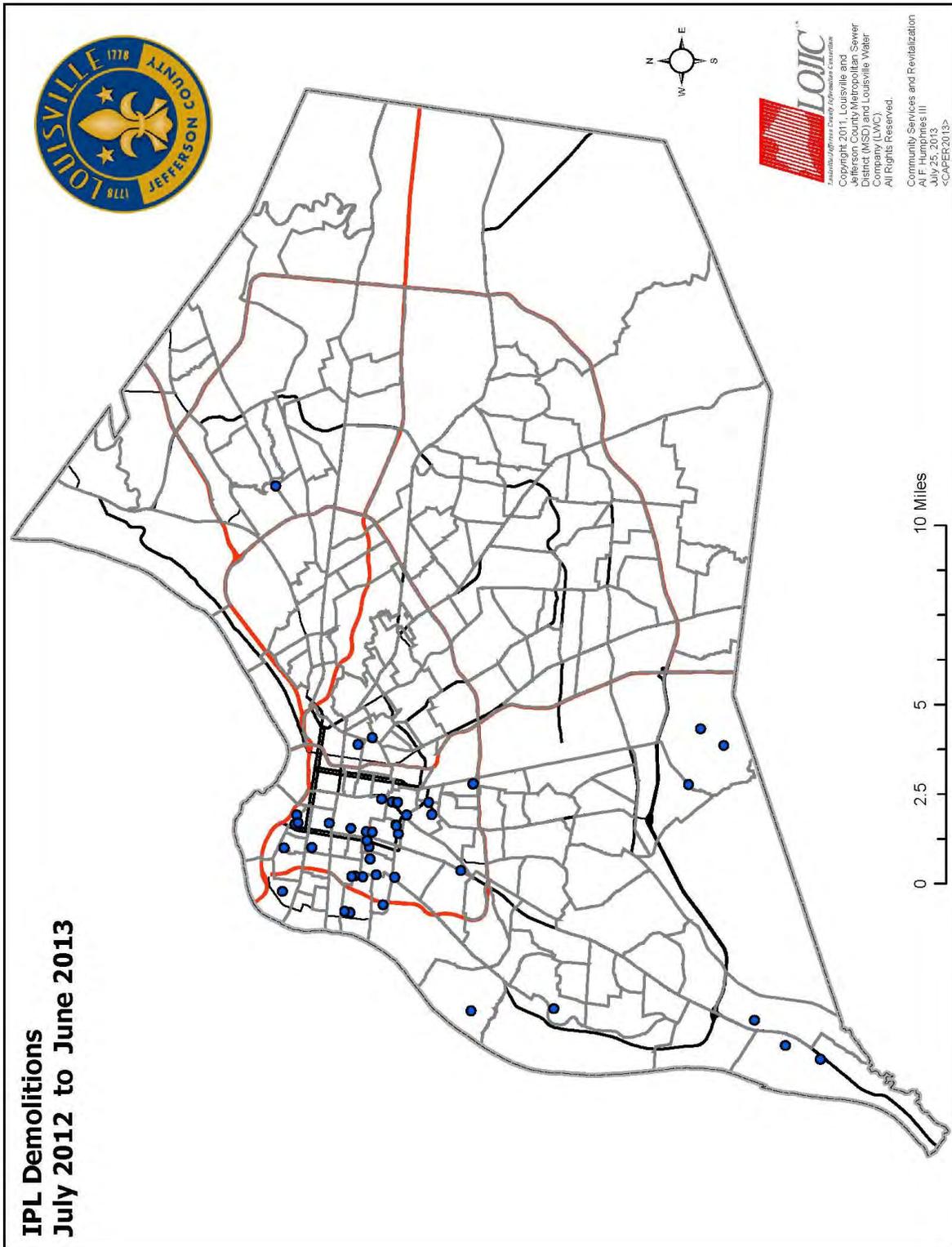
SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS		
EMERGENCY SOLUTIONS GRANTS		
Resources	<u>2012 Action Plan</u>	<u>2012 Actual</u>
	<u>Projection</u>	<u>Resources</u>
Formula Grant	933,300	926,121
Total Resources	\$ 933,300	\$ 926,121
<u>Distribution of Resources</u>	<u>2012 Action Plan</u>	<u>Amount Expended</u>
	<u>Commitments</u>	<u>in 2012*</u>
Emergency Shelter	374,400	467,643
Prevention	95,200	40,550
Street Outreach	27,800	22,634
Rapid Re-Housing	365,900	223,585
HMIS	-	35,807
ESG Administration (7.5%)	70,000	78,321
Total Distribution of ESG Resources	\$ 933,300	\$ 868,540

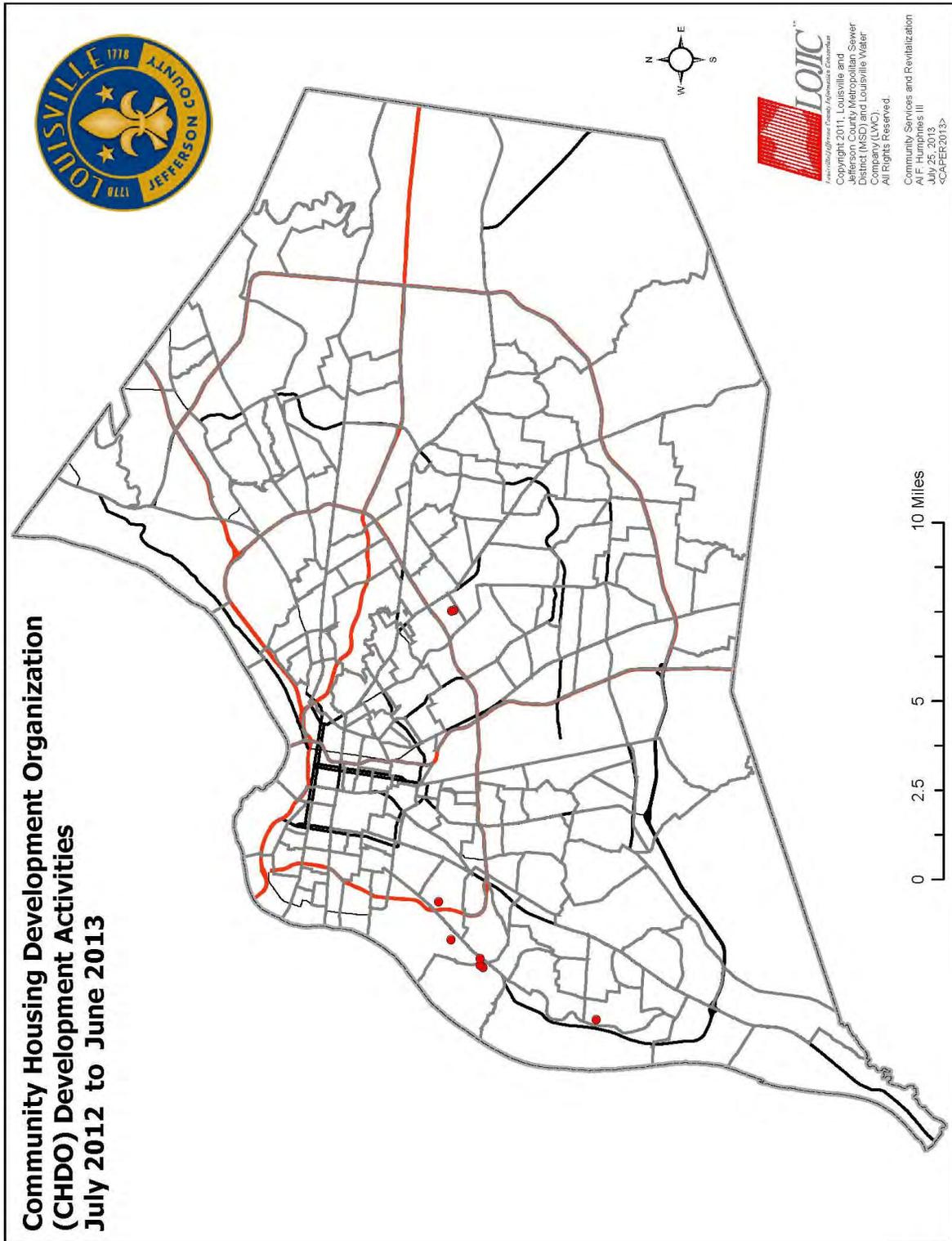
*Amounts expended in Program year 2012 represent total funds drawn in Program Year 2012 regardless of program year in which funds were allocated.

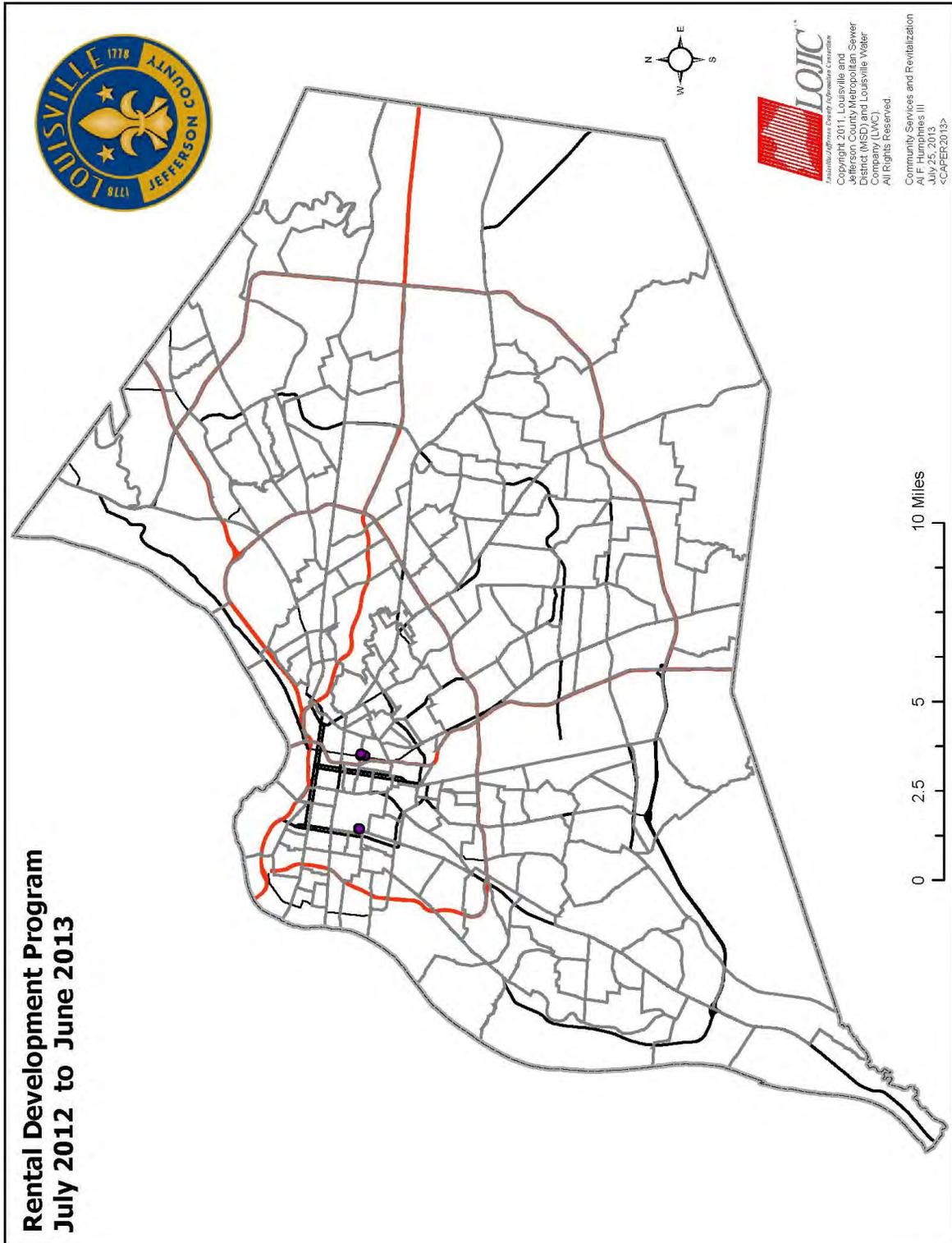


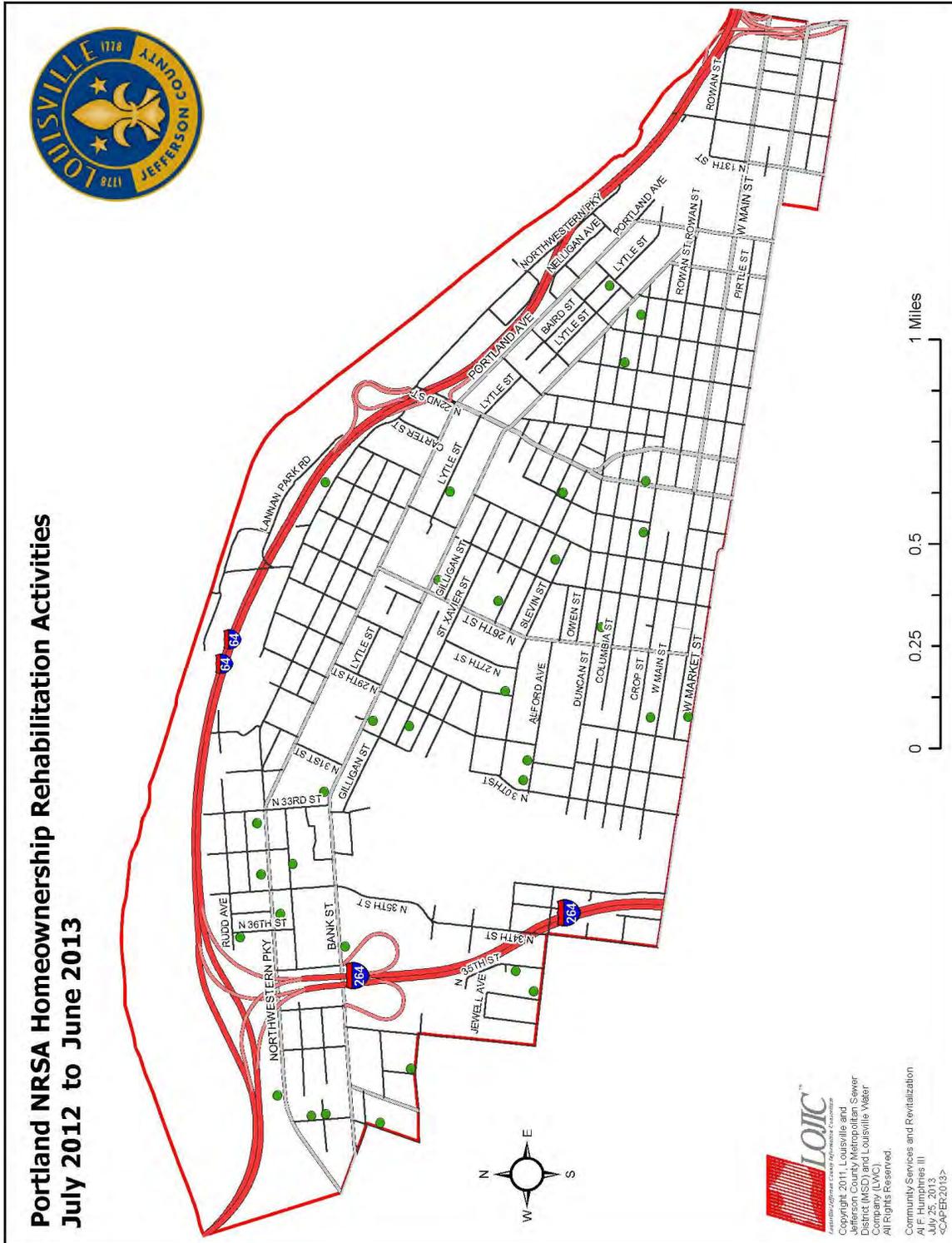












Institutional Structure

With a unique blend of housing, human services, anti-poverty programs and community linkages, LMCSR is the lead agency for preparing, administering, **reporting, and monitoring Louisville Metro Government's five-year** Consolidated Plan, annual Action Plan and NRSA designations. LMCSR is comprised of several divisions. The Community Services division provides all of the client-based services within the Department. The Community Revitalization division manages all all land use and development activities, including coordinating and supporting the efforts of the Landbank Authority, Urban Renewal Commission and Vacant Property Review Commission. A new division, Financial Empowerment and Economic Development, is responsible for strengthening financial empowerment services and partnerships in the community and oversees the Micro-Enterprise Program. The Research, Planning, and **Compliance division manages all of the Department's subrecipients**, develops funding plans and prepares other grant applications, oversees regulatory compliance (i.e. Environmental Review, Davis-Bacon, Section 3, etc.) and performs all planning, monitoring, and reporting activities. conducts monitorings. Finally, the Administration division oversees necessary administrative functions within LMCSR.

LMCSR works with other Louisville Metro departments to carry out activities. These departments include Public Works and Assets, Codes and Regulations, Economic Growth and Innovation, Public Health and Wellness, and the Human Relations Commission. In addition, LMCSR works directly with over 85 non-profit agencies, historically providing them with support through an External Agency Fund (funded with general fund dollars), to provide housing and human services to the community. Many of these agencies are also involved in Continuum of Care (CoC) or entitlement funded activities.

LMCSR, through its Neighborhood Place partnerships, maintains a service network with the Kentucky Department of Community Based Services (Temporary Assistance for Needy Families, Supplemental Assistance Nutrition Program, **Medicare, Medicaid, Kentucky Children's Health Insurance Program and Child Protective Services**), Jefferson County Public Schools, Seven Counties Services (mental health and substance abuse service provider), Public Health and Wellness, and **KentuckianaWorks (the area's Workforce Investment Board)**.

In Program Year 2012, LMCSR undertook major steps to assess its operations and better define its long-term goals. In July 2012, Louisville Metro Government released *Community Services & Revitalization Review: A Roadmap to Excellence*. **The Mayor's office initiated this independent** evaluation of **LMCSR's strategy, structure, and culture**. The review team was charged with looking to identify current internal best practices, determine key strategic challenges, assess the leadership team, look for potential blind spots, and review the systems for process improvement specific to LMCSR. The report made strong recommendations on changes the department needed in leadership and culture.

As a result of this review, several initiatives were undertaken. First, the Mayor appointed a new LMCSR Director of in August 2012. The department also conducted an in-depth self-assessment that led to the release of a 6-year strategic plan in January 2013. The strategic plan identified the following objectives for LMCSR.

- LMCSR will work with local citizens and agencies to strengthen families and neighborhoods
- LMCSR will help those in need.
- LMCSR will improve the way we deliver services to our customers. We will help people faster and easier.
- LMCSR will better communicate to our citizens what services are available to them and how we can help.
- LMCSR will communicate with local agencies and businesses as to how we can work together to improve our community.

LMCSR continues focus on self-evaluation and improvement to ensure those objectives will be met. Second, **the department is currently developing "Key Performance Indicators" for continuous measurement through the LouieStat program.** LouieStat brings individual Metro departments before the Mayor and his senior leadership team every six to eight weeks to identify, through consistent metrics tracking and data analysis, what the department (and Metro Government) can do to continually improve the services it delivers to the citizens of Louisville. Third, during Program Year 2013, LMCSR will now be home to the Vacant Abandoned Properties (VAP) Team, which was created by reorganizing VAP resources from different Metro departments into one team in the LMCSR Revitalization division.

Monitoring

As part of its responsibilities for the monitoring of all sub-recipients, the Research, Planning, and Compliance Unit performs risk assessments for each sub-recipient to identify the potential risk level for noncompliance prior to the start of the program year based on prior monitoring results or, in rare cases, based on information which raises concerns reported from other agencies or external sources. Subrecipients found to be at low risk receive desk reviews (a low risk assessment cannot reduce minimum on-site monitoring standards). Those found to be at high risk receive a more comprehensive on-site review or the already established minimum on-site reviews may be conducted more frequently. A monitoring schedule is established annually.

To ensure compliance, the Research, Planning and Compliance Unit uses checklists which have been developed to ensure monitoring staff review programs in accordance to regulatory requirements specific to each funding source - CDBG, HOME, ESG or HOPWA. The checklists are also specialized to accommodate for the type of review being provided, Desk or On-site reviews. LMCSR is dedicated to continued improvement of financial and programmatic oversight of sub-recipients.

During Program Year 2012, the monitoring tasks remained centralized within the Research, Planning, and Compliance Unit of LMCSR. During Program Year 2012, the Research, Planning, and Compliance unit continued reviewing and approving pay requests from entitlement subrecipients which increases the opportunity for early intervention in problem projects.

Desk Review:

- Examine both routine and special reports from program staff, housing owners/developers/sponsors, sub-recipients, and sub-grantees. This type of monitoring identifies potential problems by analyzing in-house documentation. Monitoring staff review the following to assess performance and look for indicators of performance or compliance deficiencies:
 - Work Program and Budgets and/or written agreements
 - Including loan agreements, if applicable
 - Monthly reports
 - Occupancy reports
 - Including tenant eligibility
 - Financial documents
 - Including audit reports
 - Draw-down requests and supporting documentation
 - Applicable IDIS reports
 - Correspondence between in-house staff and the funding recipient
 - Including telephone interviews
 - Reports from previous monitoring reviews
- Review and enhance on-site monitoring schedule based on desk review results if necessary.
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered.

This information enables the monitoring staff to evaluate performance and identify any compliance issues.

On-Site Review:

- Visit the program or project to gather specific information and observe programmatic and administrative elements. Steps in conducting an on-site monitoring are as follows:
 - Perform a desk review
 - Conduct the monitoring visit
 - Entrance interview
 - Review a sample (10-20% depending on funding source) of program and project files for compliance with program regulations and eligibility
 - Compare file information with any reports received from sub-recipient
 - Perform physical inspection (if applicable)
 - Exit interview
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered (and any necessary follow-up).

Inspections:

In addition to performing Desk and On-site reviews of sub-recipients, projects funded with federal dollars are also inspected for compliance with local codes and rehabilitation standards.

HOME-funded projects are inspected for compliance with local code requirements throughout their affordability period. The intervals by which inspections are

scheduled depend on the number of units within each project which coincides with the on-site monitoring schedule. As required by HOME regulations, projects are inspected (and monitored on-site) based on the total units (not just HOME units) threshold as follows: projects containing 1-4 units are inspected every 3 years, projects containing 5 to 25 units are inspected every 2 years and projects containing more than 25 units are inspected annually. A minimum of 10-20% of units are inspected (preferably the same units as the file reviews) including one unit in each building housing a HOME unit.

For CDBG-funded projects, inspections are conducted as needed throughout the term of the loan to ensure they meet local code requirements.

For TBRA-funded projects, inspections occur both prior to lease and annually, throughout the term of the rental assistance. The unit inspections also serve to verify compliance with required occupancy standards in relation to the size of each household.

For HOPWA-funded projects, units are inspected to ensure that they meet habitability standards in addition to local code requirements, as required by HOPWA regulations.

For ESG-funded renovation projects, inspections are performed to ensure the facility is in compliance with local habitability standards.

As with the desk review, this information enables the monitoring staff to assess performance and identify any compliance issues.

All federally-funded sub-recipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics and delays or problems encountered in meeting their benchmarks. LMCSR program staff reviews monthly reports to assess the progress of each activity, evaluate expenditure rates and determine if the sub-recipient is carrying out the activity as planned or whether technical assistance might be necessary to assist the sub-recipient and ensure compliance with regulations.

LMCSR staff, along with the other Metro agencies receiving Consolidated Plan funding, are also required to prepare and submit regular performance reports to Research, Planning, and Compliance. With the responsibility for maintaining the IDIS system for performance measures, Research, Planning, and Compliance regularly reviews the programmatic and financial information within the database **to monitor the department's performance, including the timeliness of expenditures.**

All monitoring activities are conducted as prescribed by the regulations appropriate to the respective funding source. As part of its commitment to continual improvement, LMCSR will regularly review and enhance its monitoring processes and procedures in accordance with grant and audit guidelines.

Monitoring Results and Improvements

At the beginning of Program Year 2012 a risk assessment was conducted to establish the 2012-2013 monitoring schedule which consisted of both onsite and desk monitoring. A total of 28 monitoring reviews of sub-recipient activities or programs were conducted. The activities and programs were funded by a variety of funding sources including CDBG, ESG, and HOPWA. Technical assistance was provided to sub-recipients and sub-grantees on an array of topics including procurement, program operations and federal grant requirements.

During Program Year 2012, no findings were found within any program; two programs received one concern and one program received two concerns. A concern is either a potential finding or a program weakness that should be improved. Technical assistance was provided to each program manager and the program will be monitored again in Program Year 2013.

The table on the following page provides the schedule of sub-recipient activities and programs that were monitored in Program Year 2012, July 1, 2012 through June 2013.

**MONITORING SCHEDULE
CDBG, HOPWA, & ESG FUNDED SUBRECIPIENTS
JULY 2012 - JUNE 2013**

Program	Funding Source
AUGUST 2012	
Salvation Army – Center for Hope	ESG
Wellspring Schizophrenia Foundation	ESG
OCTOBER 2012	
St. Vincent DePaul – Ozanam Inn	ESG
Bellewood Transitional Housing	ESG
Center for Women and Families	ESG
Choices – Norma’s House transitional Housing	ESG
NOVEMBER 2012	
Volunteers of America – Family Emergency Shelter	ESG
Wayside Christian Mission – Men’s Shelter	ESG
Wayside Christian Mission – Family Center	ESG
New Directions – Transitional Services	ESG
DECEMBER 2012	
YMCA Shelter House and Family Mediation	ESG
YMCA Safe Place – Street Outreach	ESG
Family and Children’s Place – Rapid Re-housing	ESG
JANUARY 2013	
Volunteers of America- SHAP	HOPWA
Housing Partnership, Inc. (HPI)- Housing Counseling	CDBG
Louisville Urban League- Housing Counseling	CDBG
AIDS Interfaith Ministries KY, Inc. (AIM)	HOPWA
Hoosier Hills AIDS Coalition	HOPWA
Scholar House At-Risk Family Services	CDBG
Family Health Centers, Inc. - Phoenix Health Ctr.	CDBG
FEBRUARY 2013	
Boys Haven Equine Program	CDBG
Guardia Care Payee Program	CDBG
MARCH 2013	
St. John Center – Residential Recovery	CDBG
St. John Center – Emergency Day Center	CDBG
MAY 2013	
Legal Aid Society: Homeownership Counseling, HOPWA, Foreclosure Counseling and Education	ESG CDBG HOPWA
House of Ruth	HOPWA

**MONITORING SCHEDULE
HOME-FUNDED RENTAL DEVELOPMENTS
JULY 2012 - JUNE 2013**

Program	Monitoring Type
JULY 2012	
North Parkland Partners	On-site
Clark Rentals - 1142 S. 15th	On-site
Clark Rentals - 716 S. 16 th St.	Desk
Clark Rentals - 2415 W. Chestnut	Desk
AUGUST 2012	
Berkley	Desk
Brook Street Apartments	Desk
SEPTEMBER 2012	
Day Spring Woods	Desk
United Equity Management	Desk
Peace, Michael and Debbie	Desk
OCTOBER 2012	
Henry Greene Apartments	Desk
NOVEMBER 2012	
Stoddard Johnston Scholar House	Desk
Downtown Scholar House	Desk
Louisville Scholar House	Desk
DECEMBER 2012	
Oracle Single Family Homes 2009	Desk
Oracle Single Family Homes 2010	Desk
JANUARY 2013	
Old School (Heywood)	On-site
FEBRUARY 2013	
Stephen Foster Senior Living Apartments	On-site
Rhema	On-site
Third and Hill Apartments	Desk
MARCH 2013	
H. Temple Spears	On-site
APRIL 2013	
Fleming	On-site
MAY 2013	
River City Housing CHDO Rental	On-site
JUNE 2013	
St. Cecilia	Desk
Noe - 1216 S. First	Desk

Self-Evaluation

Development of the CAPER provides Louisville Metro Government the opportunity to determine whether the programs in place are achieving the goals in the Action Plan and the wider goals of the Consolidated Plan. Louisville Metro Government recognizes that the major factors contributing to neighborhood and community difficulties are barriers to affordable housing, lack of public services to preserve **and develop the community's infrastructure and the need for revitalization of** deteriorating neighborhoods. Many of the programs/projects in place during Program Year 2012 have had a positive effect in helping to address the needs identified in the Consolidated Plan – Housing, Economic Development, Community Development, Homelessness, and Special Needs/HOPWA.

Housing needs have been addressed through homeowner assistance programs, housing rehabilitation programs, the Rental Development Program, and new construction activity. These programs are all helping to expand the supply of affordable housing and to stabilize the existing housing stock. The Emergency Repair program exceeded its goal of servicing 275 households in Program Year 2012 with 301 households served. The Ramps program met its annual goal of 45 households served. 7 HOME-funded CHDO rehabilitations and 1 CHDO newly constructed home were completed and sold to income-eligible buyers in Program Year 2012. Three main HOME-funded Rental Development projects were completed during Program Year 2012 and a third was completed at the beginning of Program Year 2013. Overall, LMCSR expects housing accomplishments to balance out through the 5-year Consolidated Plan timeframe and for the development goals established to be met, especially given results anticipated from the Spring 2013 HOME NOFA. The 2013 HOME NOFA resulted in the commitment of \$3,815,562 in HOME funds to four development projects with a total cost of \$11,574,262 of that will create 59 units. Construction of these units will begin in Program Year 2013

Economic development needs have been addressed through the provision of Microenterprise business loans and technical assistance which generate job creation and/or retention which principally benefit low to moderate income persons. LMCSR Microbusiness program activities in Program Year 2012 led to the creation of 23 full-time and 38 part-time jobs and the retention of XX full-time and two part-time jobs. Additionally, the Louisville Department of Economic Growth and Innovation COOL Program has supported preliminary engineering and design work to support intersection and corridor improvements between Industry Road and Central Avenue along Fourth Street. These improvements are intended to facilitate truck traffic related to industries in the Park Hill Corridor while balancing the needs of pedestrians and bicyclists along the corridor, encouraging economic development, and serving as a gateway to the Park Hill, University of Louisville, and Beechmont/Churchill Downs neighborhoods.

Community development needs have been addressed through a variety of programs aimed at increasing neighborhood stability and improving the quality of life for residents of this community. These programs include activities for public facilities and improvements, clearance and public service. Program Year 2012 was a successful year for these types of projects, with: 46 blighted structures demolished; 19,326 housing inspections conducted; sidewalk improvements created 190 ramps providing enhanced accessibility and 25,474 square feet of improved sidewalks and 710 square feet of driveways providing enhanced connectivity throughout low to moderate income areas; two library improvement

projects were completed; two metro parks were improved; and one non-profit public facility was improved.

The needs of the homeless populations have been addressed through rental assistance, supportive services, and operations support to emergency and transitional shelters. Individual sub-recipient accomplishments are summarized throughout the narrative and in the individual project tables and with the exception of the HOME TBRA program, all categories of homeless services have exceeded their goals. The HOME TBRA program continues to work to more efficiently serve the needs of the homeless community and fell short of its Program Year 2012 goal of 100 households served by only 12 units.

The needs of special needs populations, including those living with HIV/AIDS have been met by housing assistance and supportive services. HOPWA TBRA, STRMU, and supportive services all met goals set for the 2012 Program Year. The Ramps program provided housing rehabilitation to increase mobility of individuals with disabilities and met its yearly goal of 45 ramps installed in Program Year 2012.

At the end of Program Year 2011 (June 25 through June 29, 2012), HUD monitored **Louisville Metro's** Uniform Relocation Act (URA) compliance. This monitoring resulted in three findings and three concerns that were resolved during Program Year 2012. The first finding requested that Louisville Metro develop and adopt a Residential Anti-displacement and Relocation Assistance plan (RARAP) that meets the requirements of 24 CFR 42.325. Louisville Metro adopted a RARAP on August 27, 2012. The second finding requested that Louisville amend its consolidated plan **to include the required definitions for "standard condition" and "substandard condition but suitable for rehabilitation."** Louisville has amended its consolidated plan to include the required definitions. The third finding concerned the need for documentation demonstrating that all projects comply with the requirements of the URA and that appropriate documentation is contained within the project files. LMCSR staff is currently working with HUD to ensure appropriate documentation is provided. The first concern requested that Louisville Metro formalize the grant award process, incorporating a review checklist for all applications that clearly identified URA and 104(d) applicability. Louisville Metro has done so with technical assistance from OneCPD. The second concern regarded issues with compliance documentation and recordkeeping and Metro will use those recommendations to ensure compliance for future and reviewed projects. this. The third concern recommended that Louisville Metro update policies and procedures related to rental housing replacement payments, estimated market value of acquired properties, monitoring checklists, and notification requirements.

From July 9 through August 22, 2012, HUD monitored **Louisville Metro's** CDBG, HOME, and NSP programs, resulting in one concern regarding **financial management.** HUD found that **"Louisville has been unable to fully reconcile its financial system records with IDIS records since the merger of City and County systems in 2003. Due to the lapse of time coupled with missing or incomplete records, further reconciliation may not be possible."** Despite this historical challenge, Louisville Metro is currently compliant with the financial management requirements at found at 24 CFR 85.20

From February 25 through February 27, 2013, HUD monitored Louisville Metro's Shelter Plus Care (SPC) program. Two concerns were identified. The first was that

Individualized Service Plans were not **kept in LMCSR's** client files. As a result, On February 20, 2013, Louisville Metro management sent SPC service partner agencies notification that annual service plans are now required at program intake and program recertification to ensure that each client file includes an individualized service plan. In addition, the Metro SPC team added "Annual Service Plan" to the case file checklist to ensure that all annual service plans are included in program case files. The second concern was for financial documentation, requesting that **Louisville Metro reconcile "all the applicable SPC Grants showing complete balances** after any return of funds or improper draws made to the grant in order for the grants to be balanced to LOCCS." Louisville Metro Government's Office of Management and Budget ("OMB") prepared a complete reconciliation of all applicable SPC grants for submission to HUD Effective in April 2013, OMB now requests draw down reports from the LOCCSNRS system on a monthly basis in order to ensure all transactions are recorded properly and that the LOCCSNRS and **Metro's LeAP Financial system are balanced. In addition, OMB provides** continuous training to staff to ensure that all grant personnel understand that when wiring a repayment for various awards, each specific award number must be identified along with the appropriate amount of the repayment to be applied to each.

The 2012 A-133 Audit (Fiscal Year Ending June 30, 2012) contained one finding classified as a deficiency. This finding concerned internal controls over Low-Income Home Energy Assistance Program (LIHEAP) eligibility documentation, and was a repeat finding from the 2011 A-133 Audit. 2012 A-133 audit contained no other findings, a significant decrease from the 4 findings in the 2011 A-133 Audit.

To remedy this finding, LMCSR implemented policies and procedures over eligibility documentation for the LIHEAP program during Fiscal Year 2011. Effective in the 2011-2012 LIHEAP season, all new and existing LIHEAP staff participated in a 5-day LIHEAP training prior to the commencement of the LIHEAP season. This training includes a thorough training and review of case record management, including eligibility documentation. A training and review of the Policies & Procedures was also be included during the 5-day training, and each staff will be provided with a LIHEAP reference binder and a copy of the Policies & Procedures. In order to provide continued assurance that the policies and procedures for these activities are maintained, monthly reviews of LIHEAP files will be performed by senior LIHEAP staff to ensure compliance with program and documentation regulations. Staff will make efforts to correct any file deficiencies found during the **review. In addition, Louisville Metro's Office of Management and Budget's Grant Compliance** division has perform periodic monitoring reviews of the grant files to help ensure continued compliance with eligibility determination and documentation.

In summary, the number of goals met or exceeded and the successful resolution of audit and monitoring findings and concerns are indicative that Louisville Metro has made a significant impact towards addressing the needs identified in the 2010 to 2014 Consolidated Plan. Louisville Metro is committed to and plans to be on or above target for all goals and objectives of the Consolidated Plan.

Lead-based Paint

The goals of the Lead Safe Louisville (LSL) Project, funded by a federal HUD Lead-Based Paint Hazard Control Grant, KYLHB0445-09, period of July 1, 2012 - June 30, 2013, included the following benchmarks: perform 15 inspections/risk assessments; remediate 9 housing units. All training benchmarks for the grant were met by the conclusion of Program Year 2011.

By December 2013, the LSL Project expects to have remediated lead hazards in a total of 231 units.

For the period of July 1, 2012 through June 30, 2013, LSL Project staff participated in 47 outreach events (370 attendees) and held 4 lead classes, in which 37 people were trained in 2 lead safe disciplines. During this same time period 38 units received an inspection/risk assessment and 62 units were made lead safe.

In Program Year 2012, the Lead-Safe Louisville Project was able perform lead abatement and interim controls on a total of 62 units for low to moderate income families, a total of \$1,176,303.79 was spent on these projects. The Lead funds enabled Louisville Metro Government to provide 48 children under the age of 6 lead safe homes and train numerous lead abatement workers to help obtain and sustain employment with local contractors.

HOUSING

Housing Needs

Housing needs were established as the highest priority in the 2010 – 2014 Consolidated Plan.

Goal 1 states that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. As this goal indicates, low and very-low income households continue to face significant challenges to obtaining acceptable housing and securing financing for housing. Numerous LMCSR programs are designed to address the needs of Goal 1.

During Program Year 2012, the following actions were taken to foster and maintain affordable housing:

- Made funding available to non-profit organizations to provide homebuyer education classes through the Homeownership Counseling programs administered by the Housing Partnership, Inc. (HPI) and the Louisville Urban League.
- Made funding available to the Legal Aid Society of Louisville to provide foreclosure counseling and education services to homeowners in danger of losing their homes and legal advice and referrals to renters facing eviction.
- Funded a non-profit organization to provide home modifications for disabled residents through the Ramps Installation program (administered through the Center for Accessible Living, Inc.).
- Provided down-payment assistance to 25 households who purchased CHDO-developed homes (8 households) or received support from the Program Year 2011 Downpayment Assistance program during Program Year 2012 (17 households).
- Provided rehabilitation services to homeowners through the Residential Repair and Emergency Repair programs (administered through LMCSR)
- Provided area specific rehabilitation services to homeowners in the Portland Neighborhood Revitalization Strategy Area (NRSA) administered through New Directions Housing Corporation.
- Provided energy efficiency improvements to homes of very low- and low-income families through the Weatherization program (administered through LMCSR).
- Provided funding to develop affordable, multi-family rental units for low-income households through the Rental Development Program (administered through LMCSR).
- Partnered with Community Housing Development Organizations (CHDOs) to develop affordable owner-occupied housing.

Specific Housing Objectives

Affordable Housing for Extremely Low-Income, Low-Income, and Moderate-Income Renters

Actions for extremely low, low, and moderate-income renters are generally centered on subsidized affordable rental activities. These activities are the HOME Tenant Based Rental Assistance (TBRA) Program and the Rental Development Program funded with HOME Investment Partnership dollars. The Rental Development Program is a gap-financing mechanism to develop affordable rental housing.

During Program Year 2012, HOME TBRA assisted 88 clients. Federal, state, and local resources were also utilized to facilitate the development of 12 HOME-assisted rental units for extremely low, low, and moderate income persons through the Rental Development Program.

A breakout of the number of extremely low, very low, and low-income persons receiving assistance from rental housing activities is provided on the following page.

EXTREMELY LOW, LOW & MODERATE INCOME RENTAL HOUSING ACTIVITIES

Activity	0-30% AMI Extremely Low Income	31-50% AMI Very Low Income	51-80% AMI Low Income	Goals	2012 Accomplishment
Rental Development Program	3	6	3	20	12 Households
HOME TBRA	80	7	1	100	88 Households
Weatherization	26	16	6	250*	48*
Ramps	37	7	1	45*	45*

*Weatherization and Ramps goals and accomplishment include both renter and owner-occupied households.

Affordable Housing for Extremely Low-, Very Low-, Low-, and Moderate-Income Owners

Actions for extremely low, low, and moderate-income owners include assisting with homeownership assistance and a variety of housing rehabilitation programs. During Program Year 2012, seven purchasers of CHDO-rehabilitated homes and one purchaser of a CHDO newly-constructed home received down payment assistance (including first-time homebuyers) in Louisville Metro.

During 2012, housing rehabilitation services were provided to 514 units¹. These services were funded through a variety of sources, including CDBG and HOME. Housing rehabilitation services were provided in the form of the following programs:

- Emergency Repair Program (CDBG) – 301 units

¹ Most were owner-occupied units, though Weatherization, Ramps, and Lead Safe Louisville can serve both owner and rental households.

- Portland Neighborhood Revitalization Strategy Area Homeownership Rehabilitation – 38 Units
- Metro-Wide Weatherization Supplement (CDBG) – 48 units (Note: weatherization accomplishments include both renter and owner occupied households)
- Ramps Installation Program – 45 units (Note: goals for the Ramps program include both renter and owner occupied households.
- Lead Safe Louisville Remediation – 57 units Note: goals for the Lead Safe Louisville program include both renter and owner occupied households)²
- CHDO rehabilitation and sale of 7 homeownership units.
- Smoketown/Shelby Park Home Repair- 4 Units

A breakout of the number of extremely low, very low, and low income persons receiving assistance from owner-occupied activities and 2012 Action Plan goals is provided below.

**EXTREMELY LOW, LOW & MODERATE INCOME
OWNER OCCUPIED HOUSING ACTIVITIES**

Activity	0-30% AMI Extremely Low Income	31-50% AMI Very Low Income	51-80% AMI Low Income	Goals	2012 Accomplishment
Emergency Repair Program	52	168	81	275	301
Weatherization	26	16	6	250*	48*
Ramps	37	7	1	45*	45*
CHDO Rehabilitation and New Construction Sales	1	3	4	12	8
Lead-Safe Louisville	27	23	12	24*	62*
Portland NRSA	8	12	18	75**	38
Smoketown/Shelby Park Homeowner Repair	2	2	0	***	8

*Weatherization, Ramps, and Lead Safe Louisville goals and accomplishments include both renter and owner-occupied households.

**Goals for the Portland NRSA Homeownership Rehabilitation program were not included in the 2012 Action Plan (program initiated in prior years and goals listed in table were specified in 2011 Portland NRSA plan approved by HUD).

***Goals for the Smoketown Shelby Park Home Repair Program were not included in the 2011 Action Plan (program initiated in prior years).

² CDBG funds served as match for Lead Hazard Control Grant.

Section 215 Housing Opportunities

Section 215 of the National Affordable Housing Act contains eligibility requirements for affordable housing as well as a definition, specifically pertaining to the HOME program. Sections 92.252 and 92.254 under Title 24 Code of Federal Regulations, Part 92 further explains rental and owner occupied HOME housing criteria necessary to qualify as Section 215 housing. The accomplishments for HOME funded programs/units that met the Section 215 definitions were 20 rental housing units and 8 CHDO homeownership units.

Worst Case Needs and Housing Needs of Persons with Disabilities

The programs administered through LMCSR are designed to meet the needs of all clients, including those in **'worst-case' scenarios and of persons with disabilities**. These activities include HOME and CDBG housing programs (referenced in the above Housing section). HOME and CDBG funded housing activities provided assistance for 97 elderly households and 119 disabled households.

Public Housing Strategy

The Louisville Metro Housing Authority (LMHA) is responsible for addressing the needs of public housing within Louisville Metro/Jefferson County. The mission of LMHA is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. LMHA administers both the Public Housing program as well as the Section 8 Housing Choice Voucher (HCV) program. LMHA works in partnership with LMCSR in several areas in order to improve public housing and resident initiatives.

For example, CDBG and HOME funds were used for infrastructure at the Liberty Green (formerly known as Clarksdale) HOPE VI Revitalization. HOPE VI is a federal program created in 1992 and charged with eradicating severely distressed public housing. Previously, LMHA has been awarded three competitive HOPE VI grants (one for Park DuValle and two for Clarksdale). In May of 2011, LMHA was awarded a \$22 million HOPE VI grant for the \$147 million revitalization of the Sheppard Square public housing development. For July 2011 through June 2012, LMHA was awarded \$1,326,000 in CDBG funds for demolition of two phases. For July 2012 through June 2013, LMHA was awarded \$6,000,000 in CDBG funds for another phase of Demolition as well as for infrastructure at the Sheppard HOPE VI. Most recently, LMHA was awarded \$2 million in Program Year 2013 CDBG funds for additional demolition and infrastructure at the site.

Similarly, LMHA used Weatherization Assistance Program (WAP) funds from the U.S. Department of Energy (DOE) to improve energy efficiency at Dosker Manor, a high-rise development containing 225 units and LMHA's administrative offices. The WAP funds were channeled through LMCSR from the DOE to LMHA. Improvements made to the building, including new PTAC (packaged terminal air conditioner) units, new weather strip seals around hallway entry/exit doors, and new windows, have resulted in measurable energy use savings. Work on the building was completed by June 30, 2012.

Also, LMHA offers a variety of family self-sufficiency opportunities to public housing residents and housing choice voucher participants. The Family Self-Sufficiency (FSS) program provides case management and supportive services to residents. **From July 2012 through June 2013, LMHA's FSS program** served a total of 422 (unduplicated) households. In this same period, there were 58 program graduates who received a total of \$430,040.92 in escrow.

In addition, LMHA administers an award-winning homeownership program where participants utilize the Housing Choice Voucher to pay a portion of a mortgage instead of rent. From July 2012 through June 2013, 18 clients purchased homes - 13 homebuyers from the Section 8 program and 5 homebuyers who were public housing residents. Average income of these buyers at closing was \$17,046, which is below 30% of the median family income. Average home sales price was just over \$105,000, with an average interest rate of 3.05%. Seven (7) of the 18 buyers are elderly, disabled or handicapped. Four (4) of the 18 buyers utilized a total of \$74,500 in HOME down payment assistance through LMCSR.

Finally, Louisville Metro received nearly \$7 million from HUD for the Neighborhood Stabilization Program (NSP) for the purpose of stabilizing communities across America hardest hit by foreclosures. Three (3) of the 18 purchasers in LMHA's homeownership program bought homes that were developed and sold as part of LMCSR's NSP homeownership program. In order to achieve the goals of the NSP Low-Income Set-Aside (LISA), LMCSR allocated \$2.1 million to the Louisville Metro Housing Authority Development Corporation (LMHADC) to develop 9 units of rental **housing for families in the Housing Authority's public housing program. LMHADC's NSP program** focused on targeted acquisition of vacant lots within the Smoketown neighborhood. **The new homes will be added to the LMHA's public housing stock. In December 2012, the new public housing units were leased to families from LMHA's scattered site wait list who earn less than 50% area median income (AMI).**

Occupancy rates for LMHA public housing developments are found on the following table.

**LOUISVILLE METRO HOUSING AUTHORITY
PUBLIC HOUSING OCCUPANCY AS OF AUGUST 7, 2013**

Project	Name	Total Units	Occupancy Rate
KY001000002	Beecher Terrace	759	96%
KY001000003	Parkway Place	633	94%
KY001000012	Dosker Manor	684	94%
KY001000013	Saint Catherine Court	159	97%
KY001000014	Avenue Plaza, 550 Apartments	297	96%
KY001000017	Scattered Sites, Fegenbush, Newburg	271	92%
KY001000018	Lourdes Hall, Will E. Seay Plaza	152	97%
KY001000027	Park DuValle Rental - Phase I (The Oaks)	59	93%
KY001000030	Park DuValle Rental - Phase II	96	97%
KY001000031	Park DuValle Rental - Phase III	78	94%
KY001000032	Park DuValle Rental - Phase IV	134	98%
KY001000034	New Scattered Sites - HOPE VI Replacement	402	94%
KY001000036	St. Francis Apartments	10	90%
KY001000043	Stephen Foster Senior Living	18	94%
KY001000046	Village Manor	10	80%
KY001000047	CH6 Scattered Sites	69	94%
KY001000049	Liberty Green Rental - Phase I	94	98%
KY001000050	Liberty Green Rental - Phase II	42	95%
KY001000051	Liberty Green Rental - Phase III	127	93%
KY001000052	Liberty Green Rental - Phase IV	48	98%
KY001000054	Downtown Scholar House	11	82%
KY001000056	Sheppard Square HOPE VI Replacement	11	91%
		4164	95%

Barriers to Affordable Housing

During Program Year 2012, LMCSR undertook a number of actions to both directly and indirectly reduce barriers to affordable housing through a number of internal programs. These efforts include:

1. Providing Homeownership Assistance
2. Supporting strategies to increase public awareness of housing issues
3. Providing education regarding homeownership rights and responsibilities
4. Undertaking actions to promote energy efficiency, thus reducing housing cost burden

The availability and cost of affordable housing continue to be formidable barriers to **affordable housing**. The **National Low Income Housing Coalition's 2013 Report *Out of Reach***, shows that 55% of Jefferson County renters are unable to afford a two-bedroom apartment at Fair Market rent. Additionally, the Louisville Metro Housing Authority's wait lists of nearly 19,000 households show our community's great, unmet demand for permanently affordable housing. Additionally, the Rental Housing Development (now called Affordable Housing Development) and HOME TBRA programs work to ensure affordability and work to increase the supply of affordable rental and homeownership housing. Louisville Metro will also continue to work with CHDOs to foster and develop affordable housing within the community for the benefit of extremely low, very low, and low income families earning 80% or less of the area's median family income.

The barrier regarding the lack of knowledge and understanding of housing issues and homeowner rights and responsibilities was addressed through various educational programs. The Fair Housing Enforcement Program, administered by the Louisville Metro Human Relations Commission, educated individuals in the community through the **"Unity in the Community" event**, the Fair Housing Month **"Get on the Bus: The Path toward Equal Housing Opportunities 2013."** bus tour, billboard advertisements, housing discrimination brochures in various languages and other community outreach activities. The Fair Housing Education Program, administered by the Louisville Urban League, provided fair housing education to renters, prospective renters, and first-time homebuyers in both one-on-one sessions and group forums.

Additional actions to overcome the barriers identified above were also be undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs. These programs were previously addressed in the Fair Housing Section, but are summarized again below.

The Housing Partnership, Inc. affirmatively furthers fair housing by providing homeownership counseling and outreach to homeowners including those at risk of foreclosure (**IDIS: 26758**). During Program Year 2012 clients were served in the following ways:

- 33 clients received education and/or attended group workshops on homebuyer education
- 206 clients received pre-purchase counseling

- 508 clients received assistance with preventing mortgage delinquency
- 11 received post-purchase, non-default counseling

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education and counseling services to renters, prospective renters and first-time homebuyers (**IDIS: 26759 and 26761**). During the Program Year 2012, 1,817 unduplicated clients were served in the following ways: 946 participated in financial education courses; 1,287 were educated on fair housing; 45 households became mortgage ready; 33 obtained homeownership; 33 were referred to landlords and 200 households saved their homes from foreclosure and retained homeownership. 16 clients received financial literacy education.

The Legal Aid Society, Inc. affirmatively furthers fair housing through The Legal Aid Society's Foreclosure Counseling and Outreach Education program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments (**IDIS: 26760**). Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the Program Year 2012, Legal Aid served 376 individuals through their Foreclosure Clinics and Ask-A-Lawyer Clinics. Legal Aid's Ask-A-Lawyer Program conducted 34 Ask-A-Lawyer Clinics serving 128 individuals. 247 individuals attended a Legal Aid Foreclosure Clinic. The weekly Clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

Actions were taken to promote energy efficiency through the Metro-Wide Weatherization program, which served 48 households in Program Year 2012. This program addresses high utility costs for low-income households, which in turn reduces their housing cost and increases disposable income to be used for other essential expenses. This program is further detailed in the Affordable Housing Goals Section.

HOME/ American Dream Down Payment Initiative (ADDI)

The highest priority goal identified in the 2010 – 2014 Consolidated Plan was that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. The following objectives related to HOME funded programs as identified in the Action Plan for Program Year 2012:

Objective 1.1: Increase the number of affordable homes **[HUD DH-1]**
Outcome 1.1: Develop 12 new units of affordable single family housing
Activities 1.1: Non-profit housing development (Community Housing Development Organizations - CHDOs)
Funding: HOME

Objective 1.2: Increase the number of affordable rental units **[HUD DH-1]**
Outcome 1.2: Develop 27 new units of affordable rental housing
Activities 1.2: Rental Development Program
Funding: HOME and Private Investments

Objective 1.3: Improve energy efficiency and conservation **[HUD SL-3]**
Outcome 1.3: Provide weatherization assistance to 270 households
Activities 1.3: Weatherization Program
Funding: CDBG and Weatherization Assistance for Low Income Persons (Department of Energy - DOE)

Objective 1.4: Assist low-to-moderate income households with rent **[HUD DH-2]**
Outcome 1.4: Serve 100 persons
Activities 1.4: Tenant Based Rental Assistance
Funding: HOME

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing **[HUD DH-3]**.
Outcome 1.6: Provide assistance to 616 single family units.
Activities 1.6: Emergency Repair Program and Weatherization Program
Funding: CDBG and Weatherization Assistance for Low Income Persons (DOE)

Objective 1.7: Preserve and improve existing, affordable rental housing stock **[HUD DH-3]**.
Outcome 1.7: Repair or rehab 109 units of rental housing.
Activities 1.7: Weatherization Program
Funding: CDBG and Weatherization Assistance for Low Income Persons (DOE)

Objective 1.8: Address lead-based paint hazards **[HUD SL-1]**.
Outcome 1.8: Remove or abate lead hazards in 59 units.
Activities 1.8: Lead Safe Louisville Project
Funding: Lead-Based Paint Hazard Control Grant, CDBG, Private Investments

Objective 1.9: Support non-profit housing developers as a core objective in reaching affordable housing goals **[HUD SL-1]**.
Outcome 1.9: Maintain an ongoing group of 5 CHDOs.
Activities 1.9: Technical assistance and direct financial support to CHDOs
Funding: HOME

The following programs were included in the 2012 Action Plan addressing the aforementioned goals and objectives.

Community Housing Development Organization (CHDO) (IDIS: Various): A CHDO is a private nonprofit, community-based service organization that has achieved a special designation as a developer of affordable housing. Louisville Metro Government, as the Participating Jurisdiction (PJ), must reserve no less than 15 percent of HOME funds for investment in housing to be developed, sponsored, or owned by community housing development organizations. The CHDO must be the *developer, owner, and/or sponsor* of the HOME-assisted housing in order to access the CHDO set-aside.

Louisville Metro Government supports CHDOs by providing the following:

- Access to operating support
- Access to training and technical assistance in housing development and management
- Access to construction financing
- Access to gap financing
- The opportunity to earn reasonable developer fees
- Access to retention of CHDO proceeds

River City Housing

Single Family Rehabilitation Project – 2010H - RCH

This project consists of the acquisition and development of nine (9) properties all of which will be rehabilitated existing single family homes. All nine (9) properties have been acquired. Eight (8) of the nine (9) houses have been developed and sold to eligible buyers. One (1) house is currently under construction. The total HOME CHDO set-aside agreement is worth \$873,000.00. The agreement was signed on 12/1/10. River City Housing also just completed drawing down all the funds from a \$50,000 operating agreement to cover technical assistance and developer operating costs.

REBOUND, Inc

Single Family New Construction and Rehabilitation – 2011 – REB

The project consists of the acquisition and development of four (4) single family homes.

All four (4) houses have been developed and sold to eligible homebuyers. The total HOME CHDO set-aside agreement was worth \$641,665.08. The agreement was signed on 3/4/11. REBOUND is currently completing an operating agreement worth \$50,000 to supply staff with additional training and technical assistance.

Keeping It Real: The Neighborhood Institute, Inc.

Single Family New Construction – 2011-KIR

The project consists of the acquisition, new construction, and sale of two (2) single family homes. KIR acquired, built, and sold both houses under the agreement. The total HOME CHDO set-aside agreement was worth \$321,996.00. The agreement was signed on 3/18/11. Keeping It Real also completed drawing down funds for a \$50,000 operating agreement for developer operating costs. KIR currently does not have an active agreement with the Department of Community Services and Revitalization.

2012 Action Plan Goal: 12

Units Sold during Program Year 2012: 8

Amount of funds expended during Program Year 2011: \$1,003,583

**CHDO ACTIVITY SUMMARY
JULY 1, 2012 THROUGH JUNE 30, 2013**

CHDO	Development Fees	Program Income Paid to City	Real Estate Sales Price	Homebuyer Assistance Provided by City	Number of Homes Sold
River City Housing	\$160,767	\$0.00	\$687,000	\$128,844.60	6
REBOUND	\$33,707.95	\$46,808.68	\$120,000	\$22,000.00	1
Keeping It Real	\$19,613.70	\$41,199.33	\$115,000	\$22,000.00	1
Totals	\$214,088.65	\$88,008.01	\$922,000	\$172,844.60	8

**CHDO-DEVELOPED PROPERTIES SOLD
JULY 1, 2012 THROUGH JUNE 30, 2013**

Address	Zip Code	Sale Price	Homebuyer Assistance	Closing Date	Female Headed	Race	% of AMI
3715 Oboe Dr	40216	\$89,900	\$19,890	9/9/2012	Yes	White not Hispanic	60-80%
5609 Undine Dr	40216	\$96,500	\$21,300	10/4/2012	Yes	Hispanic	60-80%
3515 Tyrone Dr	40218	\$124,900	\$22,000	11/30/2012	Yes	Black not Hispanic	30-50%
4622 Idle Hour Dr	40216	\$124,900	\$21,654.60	12/26/2012	Yes	Black not Hispanic	60-80%
3305 Teakwood Cir	40211	\$125,900	\$22,000	12/26/2012	Yes	Black not Hispanic	30-50%
7810 Westbrook Rd	40258	\$115,000	\$22,000	12/27/2012	Yes	White not Hispanic	0-30%
2312 Rausch Dr	40218	\$120,000	\$22,000	3/22/2013	Yes	Black not Hispanic	30-50%
3506 Kerry Dr	40218	\$124,900	\$22,000	4/26/2013	No	Black not Hispanic	60-80%

HOME/ American Dream Down payment Initiative (ADDI)

Forms of Subsidy

Note: Louisville Metro did not receive ADDI funds during Program Year 2012.

- A. New Construction and Rehabilitation loans for non-profits: Louisville Metro will provide construction loans to non-profits to develop affordable housing. A portion of the construction loan is repaid to the Louisville Metro at the time of the sale.
- B. Mortgage Assistance for Homebuyers: Louisville Metro will provide financial assistance to qualified homebuyers who purchase homes in which the development of these properties has been assisted through projects administered by LMCSR. The amount of assistance is based on need and is regulated by the HOME Regulations.

- **Downpayment Assistance (IDIS: Various)-** Eight buyers of CHDO-developed homeownership units were provided with downpayment assistance during Program Year 2012 totaling \$172,845. LMCSR discontinued the Downpayment Assistance program (that operated independently of CHDO homeownership production) at the conclusion of Program Year 2011. However 17 homeowners who were chosen to participate in the Downpayment Assistance program in Program Year 2011 received their assistance during Program Year 2012. The Downpayment Assistance program was designed to assist potential homebuyer(s) with down payment funding according to HUD federal guidelines in order to reduce the purchase price and make the home more affordable. Applicant must be income eligible and credit qualified.

2012 Action Plan Goal: 0³

Units Assisted during Program Year 2012: 25

Amount of funds expended during Program Year 2012: \$214,348

- **Rental Development Program (IDIS: Various)-** The Rental Development Program (previously referred to as the Investor Program and currently referred to as the Affordable Housing Development Program) is designed to offer established developers gap financing opportunities for multifamily projects to increase affordable housing within the local housing market. There are three objectives in providing gap financial assistance: 1) to ensure the project is adequately capitalized and that there are sufficient funds to allow the project to be developed in a responsible manner; 2) to ensure that operating projections are reasonable, to allow the project to have sufficient resources during the affordability period; and 3) to ensure that the funds provided by Louisville Metro are the minimum required to accomplish the first two objectives. Louisville Metro funding is normally utilized for construction related costs

³ Not included as a goal in 2012 Action Plan. 30 households assisted was the goal identified in the 2011 Action Plan. Funds allocated from Program Years 2010 and 2011 were spent on Downpayment Assistance in Program Year 2012. Additionally, goals for downpayment assistance provided to CHDO homebuyers were determined by CHDO homeownership unit production goals.

associated with rehabilitation and/or new construction activities. During Program Year 2012, a total of 12 HOME-assisted units were certified as complete and 65 total units were developed.

2012 Action Plan Goal: 20 HOME units, 60 total rental units

Completed Units during Program Year 2012: 19 HOME Units, 134 total rental units

Amount of funds expended during Program Year 2012: \$950,253

For a breakdown of specific Rental Development projects please see table below.

**RENTAL DEVELOPMENT PROGRAM
IN PROGRESS AND COMPLETED PROJECTS - HOME FUNDED**

Project Name	Total Budget	HOME Funding	Non-HOME Funding	# Units	HOME Assisted Units	Status
St. Vincent De Paul Homes	\$7,964,634.66	\$334,103	\$7,630,531.66	54	3	Completed
Wellspring Tonini Apartments	\$2,500,502.00	\$90,600	\$2,409,902.00	12	1	In progress at close of PY 2012
Apartments of Hope	\$975,000.00	\$600,000	\$375,000	11	9	Completed
Stoddard Johnston Scholar House	\$11,410,405.00	\$500,000	\$10,910,405	57	7	Completed
TOTAL	\$22,850,541.66	\$1,524,703	\$21,325,838.66	134	20	

- **HOME Tenant Based Rental Assistance Program (IDIS: 26695)**- The primary purpose of this program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing programs. Rental assistance through this HOME funded program will be provided for a maximum of 2 years.

2012 Action Plan Goal: 100

Households assisted during 2012: 88

Amount of funds expended during Program Year 2012: \$454,752

The following project had activity in Program Year 2012, but was initiated in prior years.

- **Smoketown/Shelby Park Home Repair (IDIS: Various)**- This program was designed to provide assistance to eligible owner-occupied households in the Smoketown and Shelby Park neighborhoods. New Directions Housing Corporation acted as the general contractor, subcontracting rehab services to various firms to provide electrical, plumbing, HVAC, roofs, windows, and other modifications.

2012 Action Plan Goal: N/A

Units Assisted during Program Year 2012: 4

Amount of funds expended during Program Year 2012: \$78,038

For race/ethnicity data and types of households served, please see the tables on the following page.

**HOME FUNDED PROGRAMS
RACE/ETHNICITY DATA**

Race	CHDO		Rental Development		TBRA		Smoketown/ Shelby Park Home Repair	
	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic
White	1	1	6	0	31	0	2	0
Black/African American	7	0	49	4	125	0	2	0
Asian	0	0	1	0	0	0	0	0
American Indian/ Alaskan Native	0	0	0	0	0	0	0	0
Native Hawaiian/ Other Pacific Islander	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native/White	0	0	0	0	0	0	0	0
Asian/White	0	0	0	0	0	0	0	0
Black/African American & White	0	0	0	0	2	0	0	0
American Indian/ Alaskan Native & Black	0	0	0	0	0	0	0	0
Other Multi-Racial	1	0	3	0	1	1	0	0
TOTAL	9	1	59	4	148	0	4	0

**HOME FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED**

Type of Household	CDHO	Rental Development	TBRA	Smoketown/ Shelby Park Home Repair
Disabled	0	0	12	3
Female Head of Household	7	0	67	2
Elderly	1	1	1	2

Assessments

LMCSR completed 52 inspections of Rental Development projects during Program Year 2012. During the rental development property inspections, issues cited included:

- Inoperable smoke detectors
- Deteriorated bathroom ceilings
- Peeling and chipping paint
- Unpermitted water heater installation
- Deteriorated and/or missing cover plates

Inspections included building exteriors and all common areas in addition to housing units.

A summary of Program Year 2012 rental inspections is contained in the following table.

INSPECTIONS OF RENTAL HOUSING PROGRAM YEAR 2012

PROJECT INSPECTIONS

Project	# of units inspected	Date of Inspection	Pass/Fail
Alexander, 1400 Floyd	2	8/30/2012	Fail
	2	10/26/2012	Pass
Alexander, 1402 Floyd	2	8/30/2012	Fail
	2	10/26/2012	Fail
Bajandas - Third and Hill	4	2/20/2013	Fail
	4	3/19/13	Pass
Berkley	3	8/31/2012	Fail
	3	10/11/2012	Pass
Brook St Apts	4	9/7/2012	Pass
Brookstone Senior	3	6/24/2013	Pass
Building Dreams	4	10/24/2012	Pass
Clark - 1142 S. 15th	5	7/13/2012	Fail
	5	8/28/2012	Fail
Clark - 2415 W. Chestnut	2	8/28/2012	Fail
	2	12/14/2012	Fail
Clark - 716 S. 16th	1	8/28/2012	Fail
Coventry Commons I	6	4/24/2013	Pass
Coventry Commons II	6	4/24/2013	Pass
Day Spring Woods	1	9/11/2012	Pass
DF (formerly LDG2) Rodman	2	7/31/2012	Fail
Directions Apartments	15	10/5/2012	Fail
	14	10/23/12	Pass
Fleming - 2230 W. Jefferson	3	5/17/2013	Fail
	3	7/03/2013	Pass
H Temple Spears	10	3/26/2013	Pass
Henry Greene	13	10/30/2012	Fail
	13	01/08/2013	Pass
Heywood Apts.	10	1/18/2013	Pass
Liberty Green	10	8/29/2012	Fail
	10	9/27/2012	Pass
Manning - Kranet Way	4	9/17/2012	Fail
	4	10/31/2012	Pass
Noe - 1216 S. First	3	6/20/2013	Fail**
Noori - 2308 Muhammad Ali	2	4/29/2013	Fail
Oracle 2009	5	12/13/2012	Fail
	5	12/26/2012	Pass
Oracle 2010	5	12/13/2012	Fail
	5	12/26/2012	Pass
Overlook Terrace	5	12/6/2012	Pass
Partidge Point	5	6/25/2013	Fail**
Peace	1	9/11/2012	Fail
RCH CHDO Rental - 927 Muhammad	1	5/31/2013	Pass
Rhema	5	5/31/2013	Fail
Roosevelt School	8	2/6//2013	Fail
	8	3/19/2013	Pass
Simms - Rodman	3	3/11/2013	Pass
St. Cecilia	5	6/25/2013	Pass
St. Columba	5	4/25/2013	Pass
St. Dennis Senior	3	5/29/2013	Pass
St. William Apartments	9	2/28/2013	Pass
Stephen Foster Senior	6	2/18/2013	Pass
UEM	7	9/25/2012	Fail

**project passed follow up inspection outside of reporting period

Affirmative Marketing Actions

The HOME Program requires that affirmative marketing steps be taken by participating jurisdictions, as stated in 24 CFR 92.351, when using HOME funds for rental projects containing five or more HOME-assisted units. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, gender, religion, familial status, or disability.

Affirmative marketing actions are undertaken by the Louisville Metro Human Relations Commission and Louisville Urban League through fair housing education, outreach, and enforcement activities. LMCSR also works to institute affirmative marketing actions through the following:

- Use commercial media, including radio, television, and newspapers, to disseminate information
- Use Equal Housing Opportunity logotype or slogan in press releases and solicitations for owners
- Distribute and display fair housing poster
- Require developers to submit an affirmative marketing plan through completion of Affirmative Fair Housing Marketing AFHM Plan – Multifamily Housing (form HUD 935.2A)

Louisville Metro Government has Affirmative Action goals of 15% minority owned businesses and 5% women owned businesses. Contractors are required to make a good faith effort to reach those goals in construction-related projects by using minority, female, and handicapped owned businesses as suppliers and subcontractors.

The Louisville Metro Human Relations Commission also strives to meet this goal with an updated certification process. Ordinance No. 102, Series 2007 establishes the certification process for businesses owned, operated and controlled by minorities, females, persons with disabilities, and sets goals for Louisville Metro Government to promote the awarding of contracts to these certified businesses. The updated certification process features no application fee, reduced preparatory work, reasonable supporting documentation, increased confidentiality, and reciprocal certification with Metropolitan Sewer District, Kentuckiana Minority Business Council, and Kentucky State Transportation Cabinet.

These changes have encouraged more businesses owned by minorities, females, and persons with disabilities to become certified, and are listed on the Louisville Metro Government certified business list. This list is located on the Louisville Metro Human Relations Commission website, at www.louisvilleky.gov/HumanRelations/ and is updated monthly.

HOMELESS

Homeless Needs

The needs of the Louisville Metro homeless are primarily tracked through the Continuum of Care (CoC) provider network. The CoC is comprised of over 40 service provider agencies and is operated by the local Coalition for the Homeless which also serves as the HMIS Lead agency. The local CoC quantifies the annual need and trends of the Louisville Metro homeless population.

Unmet need is measured using the numbers from the annual Point in Time count, Homeless Census, and the Annual Homeless Assessment Report (AHAR). The most recent annual Continuum of Care Point in Time count, conducted on January 30, 2013, reported a total point-in-time homeless population of 1,445 persons. The 2012 Homeless Census reported 8,802 persons as homeless at some point during the 2012 calendar year. This is a 2% increase from the census numbers counted in 2011. The 2012 Homeless Census showed a 9% decrease of chronically homeless persons and a 20% decrease in homeless veterans. This census showed increases in the number of homeless children (18%), domestic violence victims (2%), families (3%) and people with disabilities (5%). The 2012 Homeless Census includes clients served in Supportive Services Only programs, emergency shelters, transitional shelters, and domestic violence shelters. These numbers did not include those persons living in a CoC service agency permanent supportive housing during the 2012 calendar year. Numbers from the Point in Time count, AHAR, and Homeless Census are summarized below.

Category	2013 Point in Time	2012 AHAR Count	2012 Census Count
Total homeless persons	1,445	7901	8,802
Number of households/families	1,118	506	573
Number of Children (under 18)	337	N/A	1,521
Number of Persons (18-24)	92	n/a	n/a
Number of Persons (over 24)	1016		n/a
Unaccompanied children		n/a	n/a
Veterans	171	n/a	681
Chronically homeless	139	n/a	1,301

Other initiatives designed to measure and address the needs of the homeless in Louisville Metro include the annual Project Homeless Connect event held in the fall of each year. Project Homeless Connect is a **one-day service fair for Louisville's homeless population**. Services provided include free medical screens and health care, food, clothing, Kentucky State Identification cards, and linkages to benefits, housing, case management, and other services. Approximately 700 homeless individuals have accessed the event in the past year. LMCRS Office on Homelessness and The Coalition for the Homeless have also produced a cost of homelessness study and have re-released an updated version of the local Ten Year **Plan to End Homelessness, entitled "Reducing Homelessness: a Blueprint for the Future(Update)." Both publications shed light on the local actions needed to address homelessness in Louisville/Jefferson County Metro.**

In addition to ESG and CDBG resources outlined further in this report, LMCSR has attempted to also address the needs of homeless persons in Program Year 2012 through the

HOME funded Tenant Based Rental Assistance (TBRA) program. The primary purpose of the HOME TBRA program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing program. In Program Year 2012, HOME TBRA served 88 individuals/households.

Transitioning to Permanent Housing for Metro Louisville's Homeless

Louisville's implementation of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act will continue to stimulate the paradigm shift of moving Louisville's homeless into permanent housing. In years past, Louisville's homeless typically spent long durations in either emergency shelter or transitional housing prior to "graduating" to permanent housing. Louisville's Continuum of Care is now undergoing a fundamental change to move to a "systems approach" for quickly re-housing the homeless. The CoC is currently working on a Common Assessment design and has already implemented a Single Point of Entry. In addition, new ESG resources are continuing to provide Rapid Re-Housing services to Louisville's current street and emergency shelter population. Approximately 40 new housing placements (at one time) will be available through this injection of ESG monies in the upcoming fiscal year. Also, the Housing Choice Voucher Program (Section 8) also continues to partner with the CoC by providing housing placement preferences for homeless families when necessary and available. To help those clients fleeing domestic violence leave shelter sooner, \$35,800 in ESG funds were used to pay for the Economic Success Program at the Center for Women and Families (Louisville's domestic violence shelter.)

Louisville's Shelter Plus Care program has continued to streamline operations over the past several program years. New program policies and procedures have been implemented, resulting in strong program collaboration and successful program compliance. A greater emphasis on financial empowerment via case management service provision has led to better income attainment for Shelter Plus Care program participants. When program participants gain additional income supports, Louisville Metro Community Services and Revitalization is able to serve more overall clients with the same amount of Shelter Plus Care resources. Approximately ninety percent (90%) of the available Shelter Plus Care subsidies are designated as tenant-based; where the qualifying program participant gets to choose their own housing unit anywhere in Jefferson County, KY. Louisville's Shelter Plus Care program continues to serve more households than required through the annual tenant-based program grant agreements.

New Federal Resources from Homeless SuperNOFA

The Louisville Continuum of Care has applied and received three (3) new (reallocated) grants through the 2012 Continuum of Care NOFA competition. Specifically, two new Permanent Supportive Housing projects will be authorized in late 2013 (the PSH Non-Chronic I and PSH Non-Chronic II grants). In addition, a new SSO Common Assessment grant will be authorized in late 2013. A process is currently under way through the Continuum of Care to determine which agency should take the lead on implementing the new Common Assessment grant. Due to CoC Program regulation changes and the NOFA project ranking requirements, the 2012 Permanent Supportive Housing "Bonus" project was left "on the table" by the Louisville CoC. Funding this new project would have meant cutting existing CoC projects and resources.

Specific Homeless Prevention Elements

The sources of funds that have been and will continue to be applied to homeless prevention in the Louisville Metro area include HOME, HOPWA and ESG entitlement funds and multiple other public and private grants administered by both public and private entities. HOME TBRA prevented 88 families from homelessness. LMCSR invested \$158,800 in HOPWA funds in TBRA and STRMU to prevent homelessness for one of our community's most vulnerable population. HOPWA TBRA served 21 households and HOPWA STRMU served 125 households. While the focus of ESG is not prevention, the Legal Aid Society's Eviction Prevention program was funded in 2012 and 380 households were served through that program.

Emergency Solutions Grants (ESG)

Outreach to Homeless Persons

ESG subrecipients providing street outreach will work with service providers and other community networks to identify, support and stabilize via street outreach efforts the unique needs of those who are living on the street. Street Outreach Teams will collect basic information on any person who receives any type of street outreach service. Those who want to engage further in care/case management support will receive additional assessment and referral.

Those living on the streets may be served by one of several street outreach teams currently active within the Louisville CoC. The Seven Counties Mental Health Outreach team is funded through the federal PATH programs and the Louisville CoC and is the primary street outreach team for homeless adults with mental health concerns in Louisville. YMCA Safe Place Services is funded partially with Street Outreach Runaway and Homeless Youth funding through the Department of Health and Human Services and focuses on at-risk populations of 12 to 22 year olds, with primary education efforts aimed towards 12 to 17 year olds and street outreach and case management services aimed towards 18 to 22 year olds. YMCA Safe Place Services performs street outreach 5 days/nights a week in areas all around Metro Louisville. Phoenix Health Center operates a street outreach team that provides health care and access to a social worker and the VA also operates a street outreach team.

In addition, the Louisville Continuum of Care conducts an annual homeless Street Count each January. Homeless street outreach is also performed in Metro Louisville by the Wayside Christian Mission Samaritan Patrol and the River City Love Squad. These additional outreach teams do not receive CoC funding and, while operating on a more limited basis, do perform consistent homeless street outreach efforts throughout the year.

Emergency Shelter and Transitional Housing Needs

ESG funding of \$206,400 was awarded to qualifying emergency shelters in Louisville Metro in Program Year 2012. These funds were used primarily for staffing and operation needs at four primary emergency shelters in Louisville Metro: Wayside Christian Mission, Salvation Army, St. Vincent de Paul, and Volunteers of America. ESG funding totaling \$188,100 was awarded to five transitional housing organizations including Bellewood Presbyterian Home for Children, Choices, Inc., New Directions Housing Corporation, The Salvation Army, and Wellspring. A number of transitional housing facilities receive Continuum of Care (CoC) funding along with ESG funding. To reduce the need for emergency shelter and transitional

housing, LMCSR expended \$223,585 during Program Year 2012 on ESG Rapid Re-Housing in the form of TBRA rental assistance operated by the department. (To help prevent the need for emergency shelter, LMCSR invested \$30,000 in the **Legal Aid Society's Eviction Prevention** program. To help those clients fleeing domestic violence leave shelter sooner, \$35,800 in ESG funds were used to pay for the Economic Success Program at the Center for Women and Families (Louisville's domestic violence shelter.)

Homeless Discharge Coordination

Currently ESG Prevention funds are not being used to specifically serve those recently **released from publicly funded institutions. Louisville's CoC has been working toward** developing a formal homeless discharge coordination policy for the past several years. Recently the focus has shifted toward the creation of a central intake. There is currently a Homeless Prevention Pilot Project through the State of Kentucky that is focused on homeless prevention upon release from KY State Corrections. HPPP staff assists participants in developing plans and connecting with housing and supportive services to ensure a successful transition. The Louisville Metro Criminal Justice Commission administers two re-entry projects, one in Newburg and another in Shawnee; and the Community Action Partnership (a Division of LMCSR), partners with Louisville Metro Corrections on another re-entry program called **Pathways in Action, Expansion**. This pilot project has had considerable success and is looking at future funding options.

In addition, LMCSR has signed a Memorandum of Understanding with Bellewood to provide Shelter Plus Care housing for disabled youth aging out of foster care. Area hospitals contact **the Family Health Centers' Phoenix Health Center** when they need to discharge a homeless patient who would benefit from a "healing bed." **There are six such beds in the community. A member of the Health Center Outreach Team meets with persons who receive a "healing bed," assessing their needs and helping them access services, including housing.** Hospitals refer homeless persons from outside the area to Travelers Aid to receive assistance if they are stranded in Louisville, so they may return to their home community. The local Continuum of Care, of which LMCSR is a member, is working to add additional elements to the discharge coordination process.

Relationship of ESG Funds to Goals and Objectives

According to the "*Louisville-Jefferson County Metro Government Consolidated Plan 2010-2014*," both chronic and transitional homeless housing programs will be funded by Metro Government between the years 2010-2014. The fourth overall goal listed in the **Consolidated Plan was to "reduce the incidence of homelessness in the Louisville Metro Community."** Under this goal are seven objectives with related outcomes and activities. Four of these objectives relate to the expenditures of ESG funding.

Those four objectives are as follows:

Objective 4.2: Increase supportive services for persons who are homeless, including health, mental health, substance abuse, domestic violence, child care and transportation services [HUD DH-3].

Outcome 4.2: Serve 7,500 persons with supportive services over five years.

Activities 4.2: Non-profit service providers.

Funding: CDBG and ESG funding distributed via committee process.

Objective 4.3: Provide prevention services, including emergency assistance with rent, mortgage

& utilities, landlord intervention services, and assistance to obtain copies of birth certificates, photo IDs and other necessary documents [HUD DH-3].

Outcome 4.3: Assist 5,000 persons over five years.

Activities 4.3: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objective 4.4: Support essential services and operations for Emergency Shelters and Transitional Housing [HUD DH-3].

Outcome 4.4: Serve 10,000 people through the support of operations and 5,000 people by means of essential services over five years.

Activities 4.4: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objective 4.6: Support the renovation, rehabilitation or conversion of buildings to use as emergency shelters or transitional housing [HUD DH-1].

Outcome 4.6: Assist 5 facilities over five years.

Activities 4.6: Non-profit service providers.

Funding: ESG funding distributed via committee process.

Objectives **4.2**, **4.3**, **4.4**, and **4.6** directly relate to uses of ESG funding. In Program Year 2012, Objective **4.2 and 4.4** were addressed by 3,583 individuals being served through ESG subrecipients. Objective **4.2** was also addressed through CDBG funding provided to Homeless Service providers. These accomplishments are detailed in the Low-to-Moderate Limited Clientele section. Objective **4.3** was addressed by the Legal Aid Society providing eviction prevention services to 285 individuals. Homeless prevention services were also provided by CDBG funded subrecipients. Objective **4.6** was not addressed with new funding in Program Year 2012. It was determined that at that time it would be most advantageous to focus limited ESG resources on shelter, rapid re-housing, street outreach and prevention, particularly considering the current economic climate. With the implementation of the HEARTH Act and the expected increase in Emergency Solutions Grant funding, Louisville Metro anticipates funding shelter rehabilitation projects in the future.

Individual project accomplishments are detailed in a subsequent section.

Matching Resources

Metro Government requires each sub-grantee that receives ESG funds to be responsible for the required 100% matching funds equal to its individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using agency cash contributions, other agency grants (other State, Federal, Private grants), donated supplies to the agency, value of the agencies shelter building, and so forth. The source of the ESG match is required on all fully executed contracts between Louisville Metro Government and the awarded homeless service agency. Matching funds are documented by Louisville Metro Department of Community Services and Revitalization.

Please see the ESG Subrecipient Match compilation table for specific ESG Match quantities and categories.

**EMERGENCY SOLUTIONS GRANT
SUBRECIPIENT MATCH
CONTRACTS DATING JULY 2012 TO JUNE 2013**

Subrecipient	Service Type	Project	Total Award	Use of Funds	Match
Bellewood Presbyterian Home for Children	Shelter Services, Operations	Transitional Housing	\$55,900.00	Salaries, Rent, Copier, Groceries, Repair and maintenance of units	\$107,000 cash match
Center for Women and Families	Shelter Services	Economic Success	\$35,800.00	Case Management Salaries	\$35,955.95 cash match
Choices, Inc.	Shelter Services, Operations	Transitional Housing and Case Management Services	\$35,000.00	Salaries, Utilities, Phone, Furnishings, Office Supplies, Postage, Maintenance and Insurance	\$21,648 cash match and \$4,306.60 in -kind for MSSW student intern
Coalition for the Homeless	HMIS, Single Point of Entry	HMIS, Single Point of Entry	\$1,113,001.80	Salaries, Equipment, Operating Costs	\$46,851 cash match, \$10,000 Kentucky Housing Corp., \$3,000 Metro United Way, \$29,296 SHP funds, \$12,500 CDBG funds, and \$14,915 in-kind donations
Family & Children's Place	Rapid Re-Housing Housing Stability Case Management	Intensive Case Management	\$65,200.00	Salaries	\$65,200 (\$60,058 cash match, \$5,142 in-kind office space)
Legal Aid Society, Inc.	Prevention	Eviction Prevention	\$30,000	Salaries	\$30,592.50 Cash match
New Directions Housing	Shelter Operations	Transitional Services	\$20,800.00	Leased Apartment Units, Utilities	\$20,800 cash match
Salvation Army	Shelter Operations	Center of Hope	\$16,300.00	Utilities	\$16,300 cash match
Salvation Army	Shelter Services, Operations	Transitional Housing	\$56,400.00	Case Management Salaries, Utilities, Phone, Office Supplies, Shelter Furnishings	\$35,397 cash match and \$73,319 in Metro United Way grant funds
Schizophrenia Foundation KY (Wellspring)	Shelter Operations, Services	Journey House	\$20,000.00	Salaries, Office Supplies, Audit	\$20,000 cash match
Society of St Vincent de Paul	Shelter Operations	Ozanam Inn	\$60,700.00	Salaries and Utilities	\$170,357.93 cash match
Volunteers of America	Shelter Operations, Services	Family Emergency Shelter	\$82,500.00	Salaries, Utilities, Phone, Copier, Office Supplies, Maintenance, Insurance	\$82,500 in-kind donations (Dare to Care food bank, volunteers, donated office space)
YMCA	Street Outreach Services	Street Outreach	\$27,800.00	Salaries	\$27,800 Cash Match
Wayside Christian Mission	Shelter Operations	Family Emergency Shelter	\$21,900.00	Utilities	\$21,900 Cash Match
Wayside Christian Mission	Shelter Operations	Men's Emergency Shelter	\$25,000.00	Utilities	\$25,000 Cash Match

ESG Activity and Beneficiary Data

A summary of individual projects that expended ESG funds in Program Year 2012 are included below.

RAPID RE-HOUSING (IDIS: 26684)

- Louisville Metro Department of Community Services and Revitalization - \$368,054 in Program Year 2012 ESG funding was allocated to provide up to twelve months of rental assistance to eligible homeless individuals and families who have limited housing barriers. Louisville Metro ESG Rapid Re-housing began serving clients in November 2012. \$223,585 of these funds were expended during Program Year 2012

Number of clients served: 107 (45 households)

- Family and Children's Place - \$65,200 in ESG funding was used to provide housing stabilization case management to those clients in the Rapid Re-Housing Program operated by LMCSR.

Number of clients served: 107 (45 households)

Total funds expended on rapid re-housing (7/1/12 to 6/30/13): \$288,785

STREET OUTREACH (IDIS: 26683)

- The YMCA of Greater Louisville - \$27,800 in ESG funding was used to provide street outreach services for young adults (18-22.) ESG funds paid for street outreach staff to connect young people on the street and connect them to shelter and services.

Number of clients served: 183 (148 households)

Total funds expended on rapid street outreach (7/1/12 to 6/30/13): \$27,800

PREVENTION (IDIS: 26682)

- The Legal Aid Society, Inc. - \$30,000 in in Program Year 2012 ESG funding was allocated to prevent eviction for those at-risk of homelessness through legal services.

Number of clients served: 285

Total funds expended on prevention (7/1/12 to 6/30/13): \$17,156

SHELTER (IDIS: 26681)

- Bellewood Presbyterian Home for Children - \$55,900 in ESG funding was used to move young adults (18-25) with a documented disability into transitional housing. ESG funds paid for operational costs and service costs. Program participants received regular case management and access to counseling.

Number of clients served: 14

- Center for Women and Families - \$35,800 in ESG funding was used to provide case management services focused on economic success for clients fleeing domestic violence and staying at the Center for Women and Families Emergency Shelter.

Number of clients served: 67

- Choices, Inc. - \$35,000 in ESG funding was used to move single women and families also dealing with a documented disability, substance abuse or mental illness into transitional housing. ESG funds paid for services and operational costs.

Number of clients served: 35

- **Wayside Christian Mission: Men's Emergency Shelter** - \$25,000 in ESG funds were used to pay for operational costs, specifically utility expenses. The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied, adult males. **The Men's Shelter program** includes three emergency categories: men's emergency, men's medical emergency, and workforce/work therapy. The program also includes three transitional categories: college/career, substance abuse recovery, and work-out/live-in. All of these programs provide shelter and ancillary services for homeless unaccompanied men. **Wayside also provides "white flag" shelter services to homeless men and women.**

Number of clients served: 1,959

- Wayside Christian Mission: Family Emergency Shelter – \$21,900 in ESG funds were used to pay for utility expenses (for facility operations). The Family Emergency Shelter provided subsistence and goal-oriented services to homeless, unaccompanied families with children. **Wayside also provides "white flag" shelter services to homeless men and women.**

Number of clients served: 962

- Wellspring, Inc. \$20,000 in ESG funds were used to provide case management salaries and some operational costs. Journey House is a transitional program for homeless women with co-occurring diagnosis of severe and persistent mental illness.

Number of clients served: 21

- Salvation Army: Transitional Housing – \$56,400 in ESG funding. The Salvation Army Transitional Housing Program serves low-income, homeless male or female single parent families who are 18 years or older. ESG funds were used to pay for case management and some operational costs.

Number of Clients Served: 115 (54 households)

- Salvation Army: Center of Hope – \$16,300 in ESG funding paid some operation costs, specifically utility costs for the facility. The primary goal of The Center of Hope program is to provide homeless people with an alternative to living on the streets and to foster goal setting toward increased employment, housing, and self-sufficiency.

Number of clients served: 1,258

- Society of St. Vincent de Paul – \$60,700 in ESG funds were used to provide emergency shelter for single, homeless men at Ozanam Inn. ESG funds paid for operational and case management costs.

Number of clients served: 669

- New Directions Housing Corporation –\$20,800 in ESG funds were used to pay for operations costs for the transitional units, including building maintenance and utilities. New Directions Transitional Service Program served homeless, single-parent families in Louisville Metro.

Number of Clients Served: 78 (25 households)

- Volunteers of America – Operations – \$82,500 in ESG funds provided partial funding for the Manager of the Volunteers of America Family Emergency Shelter for homeless families, located at 1321 South Preston Street. The Family Emergency Shelter serves families with emergency shelter and three meals per day to meet immediate basic needs. ESG funds paid for services and operational costs.

Number of Clients Served: 350 (100 households)

Total funds expended on shelter (7/1/12 to 6/30/13): \$429,731

HOMELESS MANAGEMENT INFORMATION SYSTEM (HMIS) (IDIS: 26681)

- The Coalition for the Homeless received \$113,562 in Program Year 2011 ESG funds during Program Year 2012. ESG funds paid for the salaries, equipment, and some operations costs to enter HMIS data for all of the homeless people entering ESG-funded emergency shelters in Louisville (Single Point of Entry). \$35,806.82 of these funds were drawn during Program Year 2012, with the remainder drawn in August 2013.

Number of Clients Served: 9,502

Total funds expended on HMIS (7/1/12 to 6/30/13): \$35,807

Outcome reports are collected by LMCSR monthly to monitor applicable outcomes established in collaboration with the CoC. The established outcomes are:

- Move persons in transitional housing into permanent housing
- Assist clients in obtaining and maintaining income from entry into the homeless service provider system to exit
- Assist clients in increasing income from entry to exit from the homeless service provider system
- Reduce the length of stay in emergency shelter
- Reduce the recidivism rate in emergency shelter
- Reduce the number of people who need emergency shelter
- Provide financial empowerment classes or coaching
- Provide referrals to financial empowerment or coaching

The chart below reflects the outcomes for each program. Not all outcomes are applicable for every program.

**ESG PROJECTS/PROGRAM OUTCOME SUMMARIES
PROGRAM YEAR 2012**

Subrecipient/Project	Outcomes
Bellewood Presbyterian Home for Children/ Transitional Housing	<p>Bellewood offers 9 units of furnished transitional housing to homeless young adults (ages 18-24) who have a diagnosed disability, which substantially impedes ability to live independently and their dependent children (if any). Clients are partnered with a case manager, who crafts individualized service plans. Clients are provided with financial assistance to purchase groceries and other basic startup necessities.</p> <ul style="list-style-type: none"> • Served 11 households. (11 adults, 3 children). <ul style="list-style-type: none"> o Of those 8 participants leaving the program, 3 had more income upon exit, including both who had no income upon entry. All leavers had income upon exit. o 6 leaving participants secured unsubsidized rental housing and 2 moved in with family or friends, permanent tenure. o 3 staying clients maintained current earned income
Center for Women and Families (CWF)/ Economic Success	<p>CWF provided case management services focused on economic success for clients fleeing domestic violence and staying at CWF Emergency Shelter.</p> <ul style="list-style-type: none"> • 67 unduplicated clients served <ul style="list-style-type: none"> o 21 (31.3%) completed the financial empowerment curriculum (graduated) by 6/30/12. o 82% of clients exiting completed at least one self-identified goal toward increasing their income. o Of the employable clients who exited 74% secured a job.
Choices, Inc./ Transitional Housing and Case Management Services	<p>Choices, Inc. operated four houses providing transitional housing and case management services supported by ESG funds.</p> <ul style="list-style-type: none"> • 6 single-mother families served (6 adults, 12 children) <ul style="list-style-type: none"> o 3 families exited to unknown destinations following termination from the program for lack of progress toward goals o 2 families are tracked to move into permanent housing by October 2013. o 1 family is gaining stability and the parent is employed and learning new skills • 17 single women served <ul style="list-style-type: none"> o 6 exiting clients moved into permanent housing o 2 exiting clients went to emergency shelters o 1 (10%) exited into a psychiatric facility; and o 1 (10%) exited to an unknown destination. o 7 remain in program.
Coalition for the Homeless/HMIS (Single Point of Entry)	<p>The Coalition for the Homeless managed the HMIS system for the Continuum of Care, entering HMIS data for all of the homeless people entering ESG-funded emergency shelters in Louisville (Single Point of Entry).</p> <ul style="list-style-type: none"> • 9,502 unduplicated clients served. • Established length of stay for 2012 in order to establish benchmarks. The average length of stay in emergency shelter was 8 days. Transitional housing was 272 days and the collective average length for those exiting this quarter was 39 days. The collective average for the year was 68 days. • The 2012 CoC permanent housing project was full at the close of the program year. These units are being targeted to hospital high users and the hardest to serve 97% of all in PH remained in permanent housing 6 months or longer • Three grants with approximately 45 units are working to transition from transitional to permanent housing. Over 70% of those in transitional housing are moving to permanent housing at the end of the program year due to policy changes, but the annual result is overall is 65%. • In the 4th quarter of Program Year 2012, 38% of clients in shelter had some income, a 5% increase from the third quarter. • The Coalition is working to develop a common assessment that will to improve income assessment and access and better link clients to available services

**ESG PROJECTS/PROGRAM OUTCOME SUMMARIES (Continued)
PROGRAM YEAR 2012**

<p>Family & Children's Place (FCP)/ Rapid Re-Housing Housing Stability Case Management</p>	<p>FCP provides housing stabilization case management to those clients in the Rapid Re-Housing Program operated by LMCSR.</p> <ul style="list-style-type: none"> • From September 2012 to June 30, 2013, 55 households of 118 persons were served. • Over 1,053 case management services hours were provided which consisted of assessments, establishing goals and goal attainments and financial empowerment. • FCP reports the following outcomes for clients served: <ul style="list-style-type: none"> o Clients are out of immediate risk of homelessness: 76% o Clients are in permanent housing: 71% o Clients create a Cost of Living Plan: 85% o Clients' income/expenses support stable housing: 29% • Program leavers households reported the following destinations: permanent supportive housing (1); transitional housing (6); staying with family, temporary tenure (5); emergency shelter (1), other (17); jail or prison (2). • No clients reported less income upon exit or at latest status at end of program year, and 15 reported more income. • FCP reports that because referrals started arriving in September 2012, had not been in the program long enough to achieve longer-term outcomes.
<p>Legal Aid Society, Inc./ Eviction Prevention</p>	<ul style="list-style-type: none"> • 265 low-income individuals received legal representation in court or at administrative hearings regarding their dwellings. • In addition, Legal Aid assisted low-income individuals to correct unsafe and unhealthy living conditions.
<p>New Directions Housing Corp. (NDHC)/ Transitional Services</p>	<p>NDHC Transitional Services program assists homelessness single-parent families through intensive case management services and temporary shelter for up to 24 months. Through weekly case management and educational support group meetings, program families work to obtain and maintain permanent housing, increase financial stability, and achieve greater self-determination.</p> <ul style="list-style-type: none"> • 25 households served in Program Year 2012. • Of 19 leaving clients, 7 reported more income at exit, 9 maintained income, and 3 continued to have no income. • All leaving persons (clients and children) had the following housing outcomes: rental by client, no subsidy (28 persons); rental by client, ongoing subsidy (13 persons); living with family, permanent tenure (14 persons) • 6 staying clients have maintained current income levels
<p>Salvation Army/ Center of Hope</p>	<p>The Center of Hope Emergency Residential program provides 98 beds for single men, 22 beds for single women, and 6 rooms for mothers with children. All clients are provided with linen supplies, hygiene supplies, a locker, showers, meals. All clients meet with a Case Manager who provides counseling, goal planning, and referrals to maximize clients' ability to transition beyond homelessness.</p> <ul style="list-style-type: none"> • Clients served in Program Year 2012: <ul style="list-style-type: none"> o 973 single male clients in the Emergency Residential shelter <ul style="list-style-type: none"> ▪ Destination on exit unknown for 963 leavers. 2 living with family, permanent tenure. o 288 single female clients <ul style="list-style-type: none"> ▪ Destination on exit unknown for 284 leavers. 1 living with family, temporary tenure. o 88 families (88 adults and 174 children) <ul style="list-style-type: none"> ▪ 11 leavers of 84 reported more income at exit. ▪ All leaving persons (clients and children) had the following housing outcomes: rental by client, no subsidy (22 persons); rental by client, ongoing subsidy (3 persons); living with family, permanent tenure (141 persons);, living with friends, permanent tenure (2 persons), staying with family, temporary tenure (51); staying with friends, temporary tenure (17) transitional housing (16); unknown (2).

**ESG PROJECTS/PROGRAM OUTCOME SUMMARIES (Continued)
PROGRAM YEAR 2012**

<p>Salvation Army/ Transitional Housing</p>	<p>The Salvation Army Transitional Housing Program serves low-income, homeless male or female single parent families who are 18 years or older with intensive case management.</p> <ul style="list-style-type: none"> • 54 households served (53 adults and 100 children) <ul style="list-style-type: none"> o 22 of 33 leaving families reported more income at exit o All leaving persons (clients and children) had the following housing outcomes: rental by client, no subsidy (46 persons); rental by client, ongoing subsidy (10 persons); living with family, permanent tenure (15 persons); living with friends, permanent tenure (6 persons), staying with family, temporary tenure (3); emergency shelter (8); other (2).
<p>Schizophrenia Foundation KY (Wellspring)/ Journey House</p>	<p>Journey House serves homeless women with co-occurring diagnoses of severe & persistent mental illness (i.e. schizophrenia, major depression, schizoaffective disorder or bipolar disorder, and substance abuse disorder). The congregate housing program uses an evidence-based approach to case management and provides 24-hour support. Journey House provides: a range of structured recovery oriented groups and activities; individual therapy; staff supervision of self- administration of medication; training in activities in daily living based on household; life skills counseling and rehabilitative training.</p> <ul style="list-style-type: none"> • 21 clients served in Program Year 2012 <ul style="list-style-type: none"> o 5 of 13 leaving clients reported more income at exit o Leaving client had the following housing outcomes: rental by client, no subsidy (3); rental by client, ongoing subsidy (3); living with family, permanent tenure (2); living with friends, permanent tenure (1); emergency shelter (1); staying with family, temporary tenure (1); staying with friends, temporary tenure (1); , jail or prison (1).
<p>Society of St Vincent de Paul/ Ozanam Inn</p>	<p>Ozanam Inn, St. Vincent de Paul's shelter for homeless men is an emergency and transitional shelter serving 90 men per night. The emergency overnight shelter consists of 42 beds; the extended emergency overnight shelter 16 beds; and the transitional shelter 32 individual rooms. Services provided to Ozanam Inn's guests and residents include but are not limited to emergency and short-term shelter individual goal-oriented case management, educational and life skills training, and housing referrals.</p> <ul style="list-style-type: none"> • Clients served in Program Year 2012: <ul style="list-style-type: none"> o 551 Ozanam Inn- Emergency Shelter clients <ul style="list-style-type: none"> ▪ Destination on exit for leavers: emergency shelter (476); transitional housing (1); unknown (74 clients) ▪ 15 clients reported more income on exit. o 61 Ozanam Inn- Extended Overnight clients <ul style="list-style-type: none"> ▪ Of 45 leaving persons, 21 reported more income on exit ▪ Housing outcomes for leaving persons: : rental by client, no subsidy (3); rental by client, ongoing subsidy (1); permanent supportive housing (20); living with family, permanent tenure (4); living with friends, permanent tenure (1); emergency shelter (8), transitional housing (4); staying with family, temporary tenure (1); place not meant for habitation (3). o 15 Ozanam Inn- Transitional Housing Clients <ul style="list-style-type: none"> ▪ 3 leavers of 11 reported more income at exit. ▪ Housing outcomes for leaving persons: : rental by client, no subsidy (8); emergency shelter (1); staying with friends, temporary tenure (1); place not meant for habitation (1). • 76% of transitional residents exited to permanent housing within 24 months • 52% of extended overnight and transitional residents exited with employment • 60% of extended overnight and transitional residents exited having increased income • 66% of extended overnight and transitional residents exited having increased savings • Approximately 20 residents per month attended Life Skills sessions and/or the the group session, "Motivation to Overcome."

**ESG PROJECTS/PROGRAM OUTCOME SUMMARIES (Continued)
PROGRAM YEAR 2012**

<p>Volunteers of America (VOA)/ Family Emergency Shelter</p>	<p>The Family Emergency Shelter serves families with emergency shelter and three meals per day to meet immediate basic needs.</p> <ul style="list-style-type: none"> • 100 households served (134 adults and 215 children) • Of the eligible adults, 64% were employed at exit. • Of the adults that exited 51% had increased income • Of families that exited, 68% exited within 55 days. • All leaving persons (adults and children) had the following housing outcomes: rental by client, no subsidy (23 persons); rental by client, ongoing subsidy (36 persons); permanent supportive housing (3 persons); living with family, permanent tenure (35 persons);, living with friends, permanent tenure (9 persons), staying with family, temporary tenure (14 persons); staying with friends, temporary tenure (8 persons); emergency shelter (8 persons); transitional housing (116 persons); hotel or motel, paid by client (17 persons); jail or prison (2 persons); unknown (49 persons).
<p>Wayside Christian Mission/ Family Emergency Shelter</p>	<p>The Family Emergency Shelter provided subsistence and goal-oriented services to homeless, unaccompanied families with children.</p> <ul style="list-style-type: none"> • 232 households served in Family Emergency Shelter and Transitional Housing (238 adults and 421 children). <ul style="list-style-type: none"> o 23 adult leavers reported increased income at exit. o 148 adult leavers reported cash income. o 464 adult leavers reported non-cash benefits. • All leaving persons (adults and children) had the following housing outcomes: rental by client, no subsidy (23 persons); rental by client, ongoing subsidy (87 persons); permanent supportive housing (9 persons); living with family, permanent tenure (103 persons); living with friends, permanent tenure (17 persons), staying with family, temporary tenure (41 persons); staying with friends, temporary tenure (26 persons); emergency shelter (38 persons); transitional housing (68 persons); hotel or motel, paid by client (14 persons); jail or prison (2 persons); hospital (1 person) unknown (169 persons). • 231 women served under Wayside "white flag" for individuals shelter services. • 58 households served (59 adults, 123 children) served under Wayside "white flag" services for families
<p>Wayside Christian Mission/ Men's Emergency Shelter</p>	<p>The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied, adult males. The Men's Shelter program includes three emergency categories: men's emergency, men's medical emergency, and workforce/work therapy The program also include three transitional categories: college/career, substance abuse recovery, and work-out/live-in. All of these programs provide shelter and ancillary services for homeless unaccompanied men. Wayside also provides "white flag" shelter services to homeless men and women.</p> <ul style="list-style-type: none"> • 979 clients served by all men's shelter programs exclusive of "white flag" <ul style="list-style-type: none"> o 22 adult leavers reported increased income at exit. o 236 adult leavers reported cash income. o 257 adult leavers reported non-cash benefits. o 38 males with income participated in financial empowerment activities. Of these, 19 exited to permanent housing, and only one of the 19 has returned to the shelter • 924 men served under Wayside "white flag" for individuals shelter services.

**ESG PROJECTS/PROGRAM OUTCOME SUMMARIES (Continued)
PROGRAM YEAR 2012**

<p>YMCA/ Street Outreach</p>	<p>The YMCA of Greater Louisville - \$27,800 in ESG funding was used to provide street outreach services for young adults (18-22.)</p> <ul style="list-style-type: none"> • 148 households served (149 adults and 34 children) <ul style="list-style-type: none"> • 64% of clients increased or maintained their earned income • 86% of youth self-report having a better understanding of their financial responsibilities • 75% of the clients in the system for 3-6 months secured permanent/transitional housing • 73% of clients securing permanent and/or subsidized housing have not re-entered the homeless provider system. • All leaving persons (adults and children) had the following housing outcomes: rental by client, no subsidy (10 persons); rental by client, ongoing subsidy (23 persons); permanent supportive housing (3 persons); living with family, permanent tenure (9 persons);, living with friends, permanent tenure (6 persons), staying with family, temporary tenure (25 persons); staying with friends, temporary tenure (8 persons); emergency shelter (5 persons); transitional housing (15 persons); jail or prison (4 persons); other (12 persons); unknown (6 persons). • Many of the street youth encountered are reluctant in discussing their living situation or needs; therefore it may take several encounters as we to build trusting relationships. In Program Year 2012, YMCA completed 215 basic information sheets. • Case management support was provided to 101 young adults, in which 56 clients identified goals toward self-sufficiency in the areas of employment, housing and education. • YMCA assisted 74 young adults and 135 youth in accessing emergency adult or youth shelter. • YMCA street outreach team has connected 350 youth/young adults with community resources
<p>Louisville Metro Rapid Re-housing</p>	<p>Louisville Metro Rapid Re-housing provides up to twelve months of rental assistance to eligible homeless individuals and families who have limited housing barriers. This ESG program began serving clients in November 2012 and has assisted 45 households (56 adults and 101 children). Because all ESG Rapid Re-housing clients receive 12-months of assistance, no clients have "graduated" from the program yet. Program exits will not begin until November 2013. , at which point outcomes (such has income changes and known destinations) will be assessed.</p>

COMMUNITY DEVELOPMENT

Community Development

Assessment of Relationship of CDBG Funds to Goals and Objectives

CDBG funds are used for many programs addressing Goal 3 of the 2010 - 2014 Consolidated Plan:

Louisville Metro fosters a suitable living environment by improving physical condition and quality of life in distressed neighborhoods and throughout the community. Progress made toward increasing economic opportunity and expanding affordable housing goals are discussed in subsequent Community Development sections. Supporting capital improvements, continuing code enforcement, preventing deterioration of infrastructure, maintaining neighborhoods and providing public services to the community are objectives being addressed through many CDBG-funded programs administered by various departments of Louisville Metro Government.

(The CDBG programs discussed below are segmented to reflect the manner in which they were presented in the 2012 Action Plan. As noted above, housing rehabilitation, relocation and economic development programs are discussed in later sections.)

Public Improvement Activities

Clearance – The goal of Louisville Metro Government is to ensure that dilapidated, unsafe, unsanitary, dangerous or unfit structures in the Louisville Metro area are demolished. As with public facilities and improvements activities, the long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities. Clearance relates to Objective 3.3.

Objective 3.3: Eliminate slum and blight in the community through clearance of vacant or abandoned properties [HUD SL-3]

Outcome 3.3: Clear 850 properties over five years

Activities 3.3: Demolition

Funding: CDBG

- **Vacant Properties Demolition (IDIS: 26632)** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and Licenses Division, oversees the demolition and stabilization of dilapidated structures, which have been ordered demolished. Some of the structures are those acquired by Louisville Metro through foreclosure or other means, but most are privately owned. This activity is performed Metro wide.

2012 Action Plan Goal: 150 structures demolished

Actual Structures Demolished during 2012: 46

Amount Expended during 2012: \$460,648

- **Boxelder (Neighborhood Stabilization) Infrastructure IDIS: 26525– Slow Moving Project-** – Louisville Metro allocated \$477,292 in Program Year 2009 CDBG funds to support infrastructure costs for the for the Commonwealth of Kentucky NSP 1-funded project, Boxelder Crossings, developed by the Housing Partnership, Inc. (HPI). Boxelder Crossings consists of 9 homeownership units on Boxelder Road in Southwest Louisville. These homes eligible for sale and lease-purchase. \$113,208 was drawn in Program Year 2012 to construct sidewalks for the housing units. Remaining lots at the Boxelder site will be incorporated into the Richmond Terrace development that is currently in the planning process. 29 lots will be available for affordable homeownership development and remaining Boxelder Infrastructure funds will help offset infrastructure costs.

Amount Expended during 2012: \$113,208.13

- **Smoketown HOPE VI (IDIS: 26976 and 26553) -** In May of 2011, LMHA was awarded a \$22 million grant for the \$147 million revitalization of the Sheppard Square public housing development./ The Sheppard Square HOPE VI project is an integral part of the revitalization of the Smoketown neighborhood. Louisville Metro is committed to the success of this project and is allocating CDBG funds for clearance and infrastructure improvements. For Program Year 2012, LMHA was awarded \$6,264,000 for clearance and infrastructure improvements.

Estimated Number of Households Benefitting: 310

Amount Expended during 2012: \$3,007,367

- **Metro-Wide Sidewalk Improvements (IDIS # 25717)-** During Program Year 2012, \$368,563 of a Program Year 2010 allocation of \$500,000 in CDBG funds went towards sidewalk improvements. This project was completed in Program Year 2013. Sidewalk improvements were done within CDBG-eligible areas, throughout Louisville Metro. A total of 172 projects were completed. This included 190 ramps providing enhanced accessibility and 25,474 square feet of improved sidewalks provided enhanced connectivity throughout low to moderate income areas. A chart detailing sidewalk improvement locations is below.

2010 Action Plan Goal: 15,000 square feet of sidewalks improved

Progress During 2012: 25,474 feet of sidewalk completed

Amount Expended during 2012: \$368,563

**SIDEWALK IMPROVEMENTS
PROGRAM YEAR 2012**

Address	Street/ Intersection	Ramps	Sidewalk
	S. 17 th St from Gallagher to St. Catherine	4	
	16 th St & St Catherine St	4	
	32 nd St & Garland	3	
	7 th St & St Catherine St	3	
5312	Alba Way		35x4
7512	Aluma Ln		11x4
1625	Arling Ave		19.16x4
1105	Ash St		21x6; 4x4
635	Atwood St		25x6
13300	Bessels Blvd		17x4
7001	Betsy Ross Dr		4x8
7005	Betsy Ross Dr		4x8
	Bland Street & Burnett	4	
	Bland Street & Hill St	3	
	Bradley & Barbee	4	
	Bradley & Eastern Parkway	2	
	Bradley & Fort	4	
	Bradley & Lilly	2	
	Bradley & Lynn	2	
	Bradley & Presidents Blvd	2	
	Bradley & Wainwright	3	
	Bradley & Warnock	2	
2300	Bradley Ave		25x5; 30x5
	Bradley Ave & Brandies	2	
	Brownsboro Rd & Story Ave		11.5 SY
1025	Cecil Ave		9x6 @ DW
1027	Cecil Ave		10x6 @ DW
2424	Cedar St		30x6; 3x6
2430	Cedar St		6x6; 6x6
2500	Cedar St		22x6; 3x6
	Christy Ave from Baxter to Barrett	13	
1432	Clara Ave		11x5
1434	Clara Ave		11x5; 6x5
3600	Colmar		26x5; 5x5
500	Compton St		18x6
3510	Cotter Dr		20x5
422	Creel Ave		5x5
821	Dearborn Ave		6x35
823	Dearborn Ave		6x35
842& 844	Dearborn Ave		6x25
3390	Dearcy		15.5 SY
5210	Delaware Dr		25x4
5113	Delaware Dr		24x4
4914	Delaware Dr		10x4
5008	Delaware Dr		4x9
5013	Delaware Dr		4x15
5203	Delaware Dr		6x4
5211	Delaware Dr		16x4
5212	Delaware Dr		9x4
509	Denmark St		25x5; 3x4
1121	Dresden Ave		3x6
1840	Dumesnil St		5x5
7114	Dunkirk Ln		4x8
7115	Dunkirk Ln		4x11; 4x9
	E. Adair St & 1 st St	2	
	E. Adair St & Almond	2	
	E. Adair St & Brook	2	

**SIDEWALK IMPROVEMENTS
PROGRAM YEAR 2012 (Continued)**

Address	Street/ Intersection	Ramps	Sidewalk
	E. Adair St & Louisville	2	
7201	Ethan Allen Way		4x8
7011	Ethan Allen Way		4x4
1204	FernSt		28x7
	Florence Ave & 1 st St	2	
	Florence Ave & Brook	2	
	Florence Ave & Louisville	2	
15002	Galston Blvd		4x6
5204	Gathwright Dr		17x4
3300	Gilmore Industrial Blvd		6x6
13315	Girvan Ave		4x20; 4x42; 4x8
13313	Girvan Ave		4x13
13317	Girvan Ave (FRONT)		34x4
13317	Girvan Ave (SIDE)		12x4
219	Glissade Dr		28x7
	Goldsmith & Leith	1	35x5
2619	Grand Ave		26x6
3216	Grand Ave		24x 6; 6x6
2518	Grand Ave		50x6
3231	Greenwood Ave		21x6
	Greenwood Avenue from Dixie Hwy to Southwestern Pkwy	37	
137	HarlanAve'		7X5
	Herman Street from Southwestern Pkwy to 41 st St & 39 th St to 34 th St	58	
6915	John Adams Way		16x4; 20x4
7001	John Adams Way		4x28
6805	John Adams Way	2	96x4; 16x4
6812	John Adams Way		3x4; 3x4
6811	John Adams Way		4x8; 4x14
6900	John Adams Way		4x16
6914	John Adams Way		24x4
	Julie Kays Way and Jeffrey Dr	4	
	Julie Kays Way and Terry Rd	1	
3735	Lentz Ave		2x2
13405	Lipcomb Ave		4x12, 4x12 4x8
13406	Lipcomb Ave		36x4
1335	Longfield Ave		5x5, 2x3
4823	Mile of Sunshine		33X4
5204	Monticello Ave		5x4
5210	Monticello Ave		5x4
5222	Monticello Ave		5x4
4210	Monticello Ave		10x4
5012	Monticello Ave		
5110	Monticello Ave		44x4
5212	Monticello Ave		
5217	Monticello Ave		19x4
5218	Monticello Ave		
5104	Monticello Ave		9x4
7013	Nathan Hale Way		4x13
7016	Nathan Hale Way		4x8
7018	Nathan Hale Way		4x15; 4x20; 4x4
3762	Oakdale Ave		102x7; 102x12
623, 625	Park Ave		53 SY
1411	Payne St.		19.5 SY
1419	Payne St.		4 SY
6725	Pendleton Rd . (Back and Front)		4x131; 4x13
	Poplar Level & Audubon Pkwy West Side	1	4X15

**SIDEWALK IMPROVEMENTS
PROGRAM YEAR 2012 (Continued)**

Address	Street/ Intersection	Ramps	Sidewalk
3543	Powell Ave		4x4; 4x4
3547	Powell Ave		4x4; 4x4
3425	Richmont Ave		15X3
5411	Robinwood Dr		18x4, 20x4
5716	Robinwood Dr		63x4
5215	Rosette Blvd		22X4
5516	Rustic Way		14X4
1732	S. 23 rd St		5X18; 7x5@DW
4020	S. 2 nd St		15x5
4004	S. 2 nd St		15x5
645	S. 37 th St		31x6
734	S. 43 rd St		24x6
111	S. 46 th St		31X6
113	S. 46 th St		6X6
2618	S. 5 th St		22x7
663	S. Barbee Way		4x28
1028	S. 26 th Street	2	6x74; 6x13; 6x26; 12x11
900	S. 32 nd Street	2	8x56
856	S. 32 nd Street		30x6; 4x6
746	S.35 th St	1	21x3
826	S. 39 th Street	2	169x6 "
1422	Sale Ave		23 SY
2814	Slevin St		6x28; 6x12
13415	Snowden Way	1	4x8
309	Spring St		10 SY
1101	St. Louis Ave		6x12
1123	St. Louis Ave		26x6
2214	St. Louis Ave		6x2; 43x4
1628	Stafford Ave		12.5 SY
188	State St		6x10
842	Sutcliff St	1	65x5; 3x28
840	Sutcliff St	2	3x24
2308	Sycamore Ave		31.5 SY
13321	Tennis Blvd		4x8
4514	Varble Ave		6x26
4008	Vermont Ave		30x5
2716,2718, & 2120	Virginia Ave		83 SY
3112	W. Broadway		118 SY
2300	W .Lee St		32x4; 3x4
2302	W .Lee St		19x4;3x4
2101	W. Main St		100x4
510	Warnock St		13x6
516	Warnock St		19x6
3538	Wheeler Ave		15x66; 5x5
3534 & 3543	Wheeler Ave		22.5 SY
3713	Wheeler Ave		6x3
2942	Wilson Ave		4x4
7301	Wimstock Ave		4x12
7404	Wimstock Ave		4x4; 4x45; 4x8

- **Library Public Facilities Improvements (IDIS # 26345 – Western) (IDIS #25433 – Shawnee- Slow Moving Project)-** In Program Year 2012, \$397,827.64 in CDBG funds allocated in Program Year 2011 supported improvements at the Western Branch Library in the Russell Neighborhood. The Carnegie-endowed Western Branch Library open in 1908 was the first library in that nation to extend privileges to the African American community. The improvements to the library were made to accommodate the African American Archives and African American Study Center. In Program Year 2012, \$33,493.36 of a \$1,400,000 Program Year 2010 allocation of supported the completion of the expansion and renovation of the Shawnee Branch Library in the Chickasaw Neighborhood. The improvements to the 1938 building constructed by the Works Progress Administration included a 3,000 square foot addition to provide space for both the children and teen departments along with room for more computer centers. It is anticipated that both projects will be closed in IDIS in Program Year 2013. Shawnee Library Rehabilitation remains open and not fully drawn because Metro is still holding retainage on the project.

Progress During 2012: 2 Library improvements completed

Amount Expended during 2012: \$431,321

- **Eligible Non-Profit Public Facilities Improvements (IDIS # 27071)-** During Program Year 2012, Louisville Metro provided \$135,000 in CDBG funds (total allocation of \$250,000) to Goodwill Industries for the renovation of its facility at 909 East Broadway in order to facilitate Goodwill's Broadway Beacon Initiative. The Broadway Beacon Initiative involves the expansion of the Adult Education Center, modernization of employment counseling services and facilities, enhancing job training and employment programs and launching a self-sustaining recycle/reuse program. This initiative furthers Goodwill's mission of helping adults with disabilities or other disadvantages attain and maintain employment to gain a better quality of life. This project meets the national objective of serving low to moderate income clients as a majority of the clients served by Goodwill at their facility at 909 East Broadway are low to moderate income. The project is anticipated to be completed in Program Year 2013.

Amount Expended during 2012: \$135,000

- **Metro Park Improvements (IDIS #25588 – Petersburg) (IDIS # 24497 – Algonquin)** During Program Year 2012, a total of \$267,704 in CDBG funds supported two metro park improvement projects. \$250,000 went towards improvements at Petersburg Park (total Program Year 2010 allocation of \$250,000). The improvements included the construction of six new tennis courts with landscaping and storm water drainage. Petersburg Park is located in the Newburg Community and its service area is 65.7% low to moderate income. \$19,704 supported towards completing improvements at Algonquin Park (total Program Year 2008 allocation of \$780,000). Improvements included a pavilion, playgrounds, and walking paths. Algonquin Park is located in the Park DuValle Neighborhood and its service area is 68.9% low to moderate income.

Amount Expended during 2012: \$269,704

Code Enforcement – The goal of Louisville Metro Government is to eliminate slum and blight in the community. The long-term outcome of these efforts is to promote the health and safety of the community. In Program Year 2012, \$889,898.91 in CDBG funds paid for code enforcement activities. Code Enforcement activities directly relate to Objective 3.4 in the 2010 – 2014 Consolidated Plan.

Objective 3.4: Eliminate slum and blight in the community through property code enforcement
[HUD SL-3]

Outcome 3.4: In coordination with other Consolidated Plan activities, inspect 150,000 properties over five years to improve conditions in low-to-moderate census tracts

Activities 3.4: Code Enforcement Program

Funding: CDBG

The following, included in the 2012 Action Plan, addressed the aforementioned goals and objectives.

- **Code Enforcement Program (IDIS: 26675)**– This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits, and Licenses Division, provides for the inspection of owner-occupied and rental units to correct conditions that may affect the health and safety of the occupants. This program also provides zoning and sign inspection/control to prevent and eliminate blight.

2012 Action Plan Goal: 30,000 inspections

Actual Inspections during 2012: 19,326 inspections

Amount Expended during 2012: \$757,289.49

Public Service Activities

The goal of Louisville Metro Government is to provide a range of services to the public. The long-term outcome of these efforts is to improve the quality of life and promote the well-being of the community. Jurisdictions are allowed to allocate up to 15% of CDBG funds to public service activities. In Program Year 2012, \$1,414,095 in CDBG funds (11.4% of CDBG expenditures) paid for the provision of public service activities and was subject to the public service cap. These programs relate to Objective 5.1 in the 2010 – 2014 Consolidated Plan. This objective was originally classified as Special Need/HOPWA, but related to Public Service Activities.

Objective 5.1: Connect vulnerable populations to services and income supports [HUD SL-2]

Outcome 5.1: Provide 7,600 referrals over five years to in-house programs or external service providers

Activities 5.1: Community Action Partnership Coordinators, Human Services, and Neighborhood Place Staff

Funding: CDBG

The following are programs included in the 2012 Action Plan addressing the aforementioned objective.

- **Family Economic Success Program (IDIS: 26670, 26047)** – This program is designed to meet the goal of purposefully working with families to move from the current practice of only addressing crises to raising awareness and building a foundation of assets we will be utilizing a team of “Family Economic Success (FES) Connectors” working with each of the Neighborhood Place sites. Each participates actively in the network to ensure that information around resources and tools are disseminated effectively and will assist with working directly with families to assess their needs and move them toward the development of a better bottom line by helping to stack assets and incentives and bundle supports. Through the FES Program, the goal is to effectively coordinate public and private resources to help our families build financial assets by: connecting families to job-readiness opportunities and skills to help them succeed at work; promoting financial education; expanding access to homeownership counseling or foreclosure intervention services; connecting families to mainstream financial services through the Bank On Louisville initiative where local banks and credit unions have become partners in offering free or low-cost products that encourage low-income residents to save; linking families to free tax preparation assistance and the Federal Earned Income Tax Credit through a partnership with the Louisville Asset Building Coalition; identification and utilization of community based resources that will provide additional financial supports to the household; and linking “bottom tiered AMI” families to education and support groups that promote household stability.

2012 Action Plan Goal: 375 Individuals Served

Program Year 2012 Accomplishment: 2,952 Individuals Served

Amount Expended in 2012: \$238,663

Community Outreach (IDIS: 26671, 26048) – This program administered by Coordinators assists income eligible residents of Louisville/Jefferson County by providing outreach, information and referral, education and training workshops and direct case management. Community Outreach Coordinators work with local community groups to assess community needs by providing creative services and programs that will inspire and motivate residents to establish or maintain self-sufficiency.

2012 Action Plan Goal: 400 Individuals Served

Program Year 2012 Accomplishment: 196 Individuals Served

Amount Expended in 2012: \$70,390.08

Other public service activities included in the 2012 Action Plan include programs administered by Louisville Urban League, Legal Aid Society, Inc. and HPI. Please refer to the ‘Affirmatively Furthering Fair Housing’ and the ‘Barriers to Affordable Housing’ sections for description of these programs and data on clients served during Program Year 2011. Amounts expended during 2011 for these programs totaled \$133,747.14. These programs directly related to Objective 3.5 in the 2010 – 2014 Consolidated Plan.

Objective 3.5: Provide homeownership/housing counseling services to preserve housing or to purchase a home [HUD DH-3]

Outcome 3.5: Serve 6,000 households over five years

Activities 3.5: Housing Partnership, Inc., Louisville Urban League, and Legal Aid Society

Funding: CDBG

Public service activities designed to provide support to many of Louisville’s homeless support agencies are detailed in the low to moderate limited clientele activities section.

Amounts expended during 2013 for these programs total \$954,012. Public Service programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

Objective 4.1: Increase self-sufficiency for persons who are homeless [HUD DH-3]

Outcome 4.1: Serve 10,000 people with self-sufficiency services over five years

Activities 4.1: Non-profit service providers

Funding: CDBG

Administration and Planning – The goal of Louisville Metro Government is to ensure that the structure is in place to oversee and administer all federally funded programs. As such, planning and administration activities include allocations for indirect costs, fair housing activities (as discussed in prior sections), support to non-profit agencies, urban design/landmark planning, and LMCSR program administration. Jurisdictions are allowed to allocate up to 20% of CDBG funds to planning and administration activities. In Program Year 2012, \$ 1,778,709.54 in CDBG funds, 14.36% of \$12,389,647 total CDBG funds drawn during Program Year 2012, paid for the provision of administration and planning activities.

Assessment of CDBG-funded Affordable Housing Goals

As already iterated in the Housing section, expanding the supply of safe, decent, sanitary and affordable housing is the highest priority goal identified in the Consolidated Plan. Increasing the number of affordable housing units and stabilizing the existing housing stock are two objectives being addressed through the following CDBG funded programs. CDBG funded housing programs directly relate to the following objectives listed under Goal 1 in the 2010 – 2014 Consolidated Plan.

Objective 1.3: Improve energy efficiency and conservation [HUD SL-3]

Outcome 1.3: Provide weatherization improvements to 1,350 properties over five years

Activities 1.3: Weatherization Program

Funding: CDBG, Weatherization Assistance for Low Income Persons [US Department of Energy (DOE)]

Objective 1.6: Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]

Outcome 1.6: A total of 3,082 single family units will be rehabilitated or repaired over five years

Activities 1.6: CHDOs, Residential Repair, Emergency Repair, and Weatherization

Funding: CDBG, HOME, and DOE

Objective 1.7: Preserve and improve existing, affordable rental housing stock [HUD DH-3]

Outcome 1.7: Repair or rehab 544 units of rental housing over 5 years

Activities 1.7: Weatherization and Rental Development Program

Funding: DOE, CDBG, and HOME

The following are programs included in the 2012 Action Plan addressing the aforementioned goals and objectives in Program Year 2012.

- **Metro-Wide Emergency Repairs (IDIS: Various)** – This program provides assistance to homeowners in need of repairs relating to HVAC, electrical, or plumbing situations. Applicants must be a homeowner and the occupant of the property where the assistance is being requested. Only homeowners that have owned and lived in their dwelling for one year or more are eligible for assistance under this program.

2012 Action Plan Goal: 275

Households assisted during 2012: 301

Amount Expended during 2012: \$ 1,554,561

- **Metro-Wide Weatherization Supplement (IDIS: Various)** – CDBG funds are utilized to supplement US Department of Energy Funds provided by the State Cabinet for Families and Children for the Louisville Weatherization Assistance Program. This program provides assistance to homeowners needing repair and/or replacement of energy systems in the form of a grant. These repairs/replacements include, but are not limited to: furnaces, water heater, duct work, and carbon dioxide and smoke detectors. The Weatherization Program

2012 Action Plan Goal: 250

Households assisted during 2012: 48

Amount Expended during 2012: \$134,734

- **Metro-Wide Ramp Construction Program (IDIS: 26757, 26042, 25353, 24477)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence. In Program Year 2012, \$190,000 was allocated to the program, but funds were drawn from multiple Program Year allocations. In Program Year 2012, the Ramp program served 21 households in addition to the 45 CDBG-funded households with the support of United Auto Workers-Ford and Metro United Way.

2012 Action Plan Goal: 45

Households assisted during 2012: 45

Amount Expended during 2012: \$233,731

- **Portland Neighborhood Revitalization Strategy Area (NRSA) Homeowner Rehabilitation (IDIS: 26562)**- The Portland NRSA program's main focus is to enhance and restore the historical appearance of owner-occupied housing stock by rehabilitating the facades. Other repairs that will improve the livability and quality of the home, such as repairs to the roof, HVAC, electrical, and plumbing are also eligible under the program, but will not be the focus of the scope of work. New Directions Housing Corporation administers this program for Louisville Metro Government. Households up to 120% Area Median Income may also take advantage of the program. Program Year 2011 was the program development period, which included preparing the actual program. Implementation began in Program Year 2012. Note: Goals for the Portland NRSA Homeownership Rehabilitation program were not included in the 2012 Action Plan (program initiated in prior years and goals listed in table were specified in 2011 Portland NRSA plan approved by HUD).

2011 NRSA Plan Goal: 75

Households assisted during 2012: 38

Amount Expended during 2012: \$442,876.58

- **Shawnee Gardens (IDIS: 25947- Slow Moving Project)-** Louisville Metro Government allocated \$510,000 in Program Year 2010 CDBG funds to support the rehabilitation of the Shawnee Gardens Apartments, located on S. 42nd Street. LMCSR has allocated Lead Hazard Control Grant funds to encapsulate the lead-based paint as well as replace and paint windows. The Lead project was completed before CDBG funds were infused into the project. Execution of the development agreement is scheduled to take place in early Program Year 2013, with project completion to occur during Program Year 2013.
- **Roof Repair Program (IDIS: 25581 – Slow Moving Project)-** Louisville Metro allocated \$443,048.91 in Program Year 2010 CDBG funds to support roof and gutter repair for elderly, disabled, and low- and moderate-income homeowners metro-wide. The goal of the program was to make homes warm, safe and dry by repairing and/or replacing roofing and roofing components. New Directions Housing Corporation administered the program using pre-screened, qualified professional contractors. 53 homes received repairs under the program, which concluded in Program Year 2011 and \$396,147.25 of these funds were drawn down through December 12, 2012. LMCSR will complete an additional 4 roofs during Program Year 2013 to expend the remaining funds.

For race/ethnicity data and types of households served, please see tables on the following page.

**CDBG FUNDED PROGRAMS
RACE/ETHNICITY DATA**

Race	Emergency Repair Program		Weatherization Supplement		Ramp Construction Program		Portland NRSA	
	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic	# of Race	# of Hispanic
White	119	0	24	0	30	0	24	0
Black/African American	173	0	25	0	15	0	14	0
Asian	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native	1	0	0	0	0	0	0	0
Native Hawaiian/ Other Pacific Islander	0	0	0	0	0	0	0	0
American Indian/ Alaskan Native/White	1	0	0	0	0	0	0	0
Asian/White	0	0	0	0	0	0	0	0
Black/African American & White	5	0	0	0	0	0	0	0
American Indian /Alaskan Native & Black	0	0	0	0	0	0	0	0
Other Multi-Racial	2	1	0	0	0	0	0	0
TOTAL	301	1	49	0	45	0	38	0

**CDBG FUNDED PROGRAMS
TYPES OF HOUSEHOLDS SERVED**

Type of Household	Emergency Repair Program	Weatherization Supplement	Ramp Construction Program	Portland NRSA
Disabled	48	11	45	0
Female Head of Household	60	39	26	14
Elderly	32	15	31	14

Affordable Housing Extremely Low-Income, Low-Income, and Moderate- Income Owners

Please see the Housing Needs, Specific Housing Objectives section for a breakout of the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate income persons.

Changes in Program Objectives

LMCSR submitted one amendment to the 2012 Action Plan on August 31, 2012. The amendment contained the following changes:

- Identified 15 non-profit subrecipient agencies, service goals and amount of grant agreements for:
 - CDBG funding for homeless services : 15 grant agreements with 14 agencies totaling \$905,258.
 - CDBG Youth Out Of School Time (OOST) Enrichment Program funding: 5 grant agreements with 2 agencies totaling \$75,500.
 - Emergency Solutions Grant (ESG) funding: 14 grant agreements with 12 agencies totaling \$553,300.
 - Housing Opportunities for Persons with AIDS (HOPWA) funding: 5 grant agreements with 5 agencies totaling \$540,900.
- Identified three Rental Development projects to be reviewed during Program Year 2012 for eligibility and financial feasibility, which would lead to final funding decisions. These three developments proposed to create a combined 72-82 units of affordable housing with \$830,600 in total funding.
- Identified two Public Facilities and Improvements projects to be considered for potential funding in Program Year 2012. following a review of eligibility and compliance before a final funding decisions.
 - \$250,000 to support the acquisition of real estate to be used to house the Adult Day Training Center operated by Dreams With Wings, Inc.
 - \$14,000 in Economic Growth and Innovation (EGI) **"COOL" funding to support infrastructure improvements on Oak Street in Old Louisville.**
- Provided updated data on blood lead levels in Lead Safe Louisville target neighborhoods.

Assessment of Efforts in Carrying Out Planned Actions

All resources mentioned in the Consolidated Plan or 2012 Amended Action Plan were received and allocated accordingly.

No employee of Louisville Metro Government hindered the implementation of the Consolidated Plan by willful action or inaction.

All CDBG funds were allocated to programs/projects that met CDBG National Objectives.

Anti-displacement and Relocation

During Program Year 2011, \$7,500 was allocated for relocation, however, LMCSR did not draw relocation assistance funds in this program year. As such, LMCSR did not allocate Program Year 2012 funds for relocation. LMCSR complies with Uniform Relocation Act and Section 104(d) requirements as applicable.

Low to Moderate Job Activities

Providing residents of Louisville Metro with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base was the second highest priority in the 2010 – 2014 Consolidated Plan. In Program Year 2011, Louisville Metro undertook three economic development activities: The METCO Business Loan Program, a Microenterprise development and loan program, and an employment-specific job-training program.

These activities directly related to Goal 2 of the 2010 – 2014 Consolidated Plan: Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base. The five specific objectives addressed by this goal are as follows:

Objective 2.1: Increase the number of jobs in the community [HUD EO-1]

Outcome 2.1: Invest in projects that create or retain 340 jobs over 5 years

Activities 2.1: Metro Economic Development loans and technical assistance to businesses and Micro-Enterprise Development

Funding: CDBG, Metro Economic Development, and Private Investments

Objective 2.2: Increase access to job training and placement services [HUD EO-1]

Outcome 2.2: Provide employment training and placement services and/or referrals to 2,000 people over five years.

Activities 2.2: Community Action Partnership Coordinators

Funding: CDBG

Objective 2.3: Provide loans to create new businesses or assist current businesses in expanding
[HUD EO-2]

Outcome 2.3: Make strategic investments to assist in 40 businesses over five years.

Activities 2.3: Metro Economic Development

Funding: CDBG, Metro Economic Development, and Private Investment

Objective 2.4: Provide technical assistance to encourage the development of micro-enterprises
[HUD EO-1]

Outcome 2.4: Provide technical assistance to 100 potential micro-enterprises over five years

Activities 2.4: Community Action Partnership Coordinators

Funding: CDBG

Objective 2.5: Increase the number of micro-enterprises [HUD EO-2]

Outcome 2.5: Provide loans to 50 new micro-enterprises and help establish 75 microenterprises over five years

Activities 2.5: Community Action Partnership Coordinators

Funding: CDBG

Microenterprise Development and Loan Services

In Program Year 2012 Louisville Metro continued offering Microenterprise development and **loan services**. **LMCSR's Microbusiness Program** was created to help sustain and develop microenterprises in Metro Louisville owned by low-to-moderate income residents. The goal of the program is to provide support to citizens interested in opening a microenterprise, and to those who need assistance sustaining or expanding their microenterprise.

The Microbusiness Program is dedicated to creating an atmosphere where low to moderate income entrepreneurs of Louisville Metro can pursue their business goals through increased access to training, capital, and community based resources.

LMCSR has two program tracks in which to apply for Microbusiness assistance: the Spark program, or the Ignite program, both of which offer loans up to \$10,000. Businesses located in Louisville Metro/Jefferson County owned by Louisville Metro residents that employ 5 or fewer employees are eligible for participation, provided the owner's household income is less than 80% of household AMI are eligible, as are individuals with a business idea whose household income less than 80% of AMI. Individuals who apply may be eligible for a forgivable loan up to \$10,000. **LMCSR also offers "micro-mini" loans of up to \$2,000** to start-up and existing businesses

Spark Program

Individuals with a business idea, or who have been in business for less than one year can qualify for the Spark Business Development Program. The program requires individuals to complete a training application and submit proof of their household income eligibility. LMCSR staff review all applications and assesses eligibility to evaluate their readiness to participate in the Spark course.

Spark graduates along with graduates from other business development programs are invited to submit a loan application. The loan application includes personal and business taxes for the previous year; proof of business registration with the city, county, and state; two professional letters of recommendation; proof of household size; photo ID; a one-to-two page explanation of their need for funding; and proof of household assets and liabilities. Applicants submit this application packet to LMCSR staff for review. LMCSR staff review each application through the use of a loan scoring rubric. Scores and recommendations are discussed by all members of LMCSR staff and initial recommendations are made based on scores and discussion.

Recommended loan applicants are invited to sit in front of a loan selection committee (comprised of business experts and community partners). The loan committee interviews loan candidates and makes recommendations for the awards and the suggested award amounts. LMCSR staff makes the final award decisions after receiving recommendations from loan committee.

The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and LMCSR staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins. The loans range from \$500 to \$4,999, with 0% interest.

Ignite Program

Businesses that have been in existence for a minimum of one year can qualify for the Ignite Program which awards loans to existing businesses. This program includes the completion and submission of a detailed application and includes a business plan. Applicants are required to submit personal and business taxes for the previous year; proof of business registration with the city, county, and state; two professional letters of recommendation; proof of household size; photo ID; a one-to-two page explanation of their need of funding; and proof of household assets and liabilities.

Applications are reviewed by LMCSR staff and are numerically scored based on a scoring rubric. Recommended loan applicants are invited to sit in front of a loan selection committee (comprised of business experts and community partners). The loan committee interviews loan candidates and makes recommendations for the awards and the suggested award amounts. LMCSR staff makes the final award decisions after receiving recommendations from loan committee.

Applicants are then scored through a set rubric by LMCSR staff. LMCSR staff makes recommendations for loan awards and amounts. A loan committee (comprised of business experts and community partners) discusses applications and makes recommendations for awards. LMCSR staff makes final awards and notifies all applicants of their status. The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and LMCSR staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins. The loans range from \$5,000 to \$15,000, with an interest rate of 4%.

In Program Year 2012, 185 individuals received training on how to develop, sustain, or expand their microenterprise. 127 of these business attendees received "Business Growth" training and 58 participated in "Business Basics for Starting Your Own Business-Power Up Program," which is a 6-week training program. 54 microenterprises received loans totaling \$249,500. 21 loan recipients were Ignite existing businesses, 21 recipients were Spark start-up businesses, and 12 microenterprises received "micro-mini" loans (for start-up and existing businesses). These loans resulted in the creation of 23 full-time and two part-time jobs and 38 full-time and two part-time jobs retained. In program year 2012, \$243,500 was expended on microenterprise loans. LMCSR expended \$167,581 on microenterprise assistance.

The tables on the following pages provide a breakout of loan-based job creation or retention activity in Program Year 2012.

**Economic Development
Microenterprise Jobs CREATED
Program Year 2012**

Micro-Enterprise Business (IDIS Activity Number)	Total FTE Jobs Created	Total FTE Jobs Made Available to Low/Mod Individuals	Full Time Jobs	Part Time Jobs	Total FTE Jobs Held by Low/Mod Individuals	Full Time Jobs	Part Time Jobs
Faith Lockett Jewelry Designs 27005	0.5	0.5		1	0.5		1
Edward's Lawn Care & Beautification	0.5	0.5		1	0.5		1
Carealot II LLC 26999	1	1	1		1	1	
Reberth Designs by Fhonia 26998	1	1	1		1	1	
Engineering Professional Services 26984	1	1	1		1	1	
S.O.X. Lady 26968	1	1	1		1	1	
Dressed Behavior Consulting 26922	1	1	1		1	1	
Vermillion Tax & Payroll Services, LLC 26892	1	1	1		1	1	
Martin Farms 26882	1	1	1		1	1	
Pure Energy Entertainment 26865	1	1	1		1	1	
Kristen's Neat Repeats, LLC 26859	1	1	1		1	1	
Prince Cox Barbeque & More 26858	1	1	1		1	1	
Harmonious Roots Hair Salon, LLC 26856	1	1	1		1	1	
Tanisha's Mobile Bookkeeping Service, LLC 26846	1	1	1		1	1	
Captured Memories PhotoBooth, LLC 26845	1	1	1		1	1	
Shades of Ebony Makeup Lounge, LLC 26844	1	1	1		1	1	
Derby City Logistics, LLC 26816	1	1	1		1	1	
RR Jones & Associates 26808	1	1	1		1	1	
ADC Air Duct Cleaning 26807	1	1	1		1	1	
Dudley Products Louisville 26969	2	2	2		2	2	
We Care Cleaning, LLC 26860	2	2	2		2	2	
Under Construction Fitness, LLC 26810	2	2	2		2	2	
TOTALS	24	24	23	2	24	23	2

**Economic Development
Microenterprise Jobs RETAINED
Program Year 2012**

Micro-Enterprise Business (IDIS Activity Number)	Total FTE Jobs Retained	Total FTE Jobs Made Available to Low/Mod Individuals	Full Time Jobs	Part Time Jobs	Total FTE Jobs Held by Low/Mod Individuals	Full Time Jobs	Part Time Jobs
Creatively Culinary, LLC 27194	0.5	0.5		1	0.5		1
Retail Therapy 26986	0.5	0.5		1	0.5		1
Dudley Products Louisville 26969	1	1	1		1	1	
Omar Porter Professional Painting LLC 27181	1	1	1		1	1	
DJ's Clean Sweep LLC 27176	1	1	1		1	1	
Star Shonny Enterprises, LLC 27064	1	1	1		1	1	
Berry's Clean-Up LLC 26985	1	1	1		1	1	
PB&J Shop 26864	1	1	1		1	1	
The Root Cellar 26862	1	1	1		1	1	
Happy Kids Christian Child Care, LLC 26857	1	1	1		1	1	
All Around Cleaning Services 26855	1	1	1		1	1	
Anderson Business Group, Inc. 26854	1	1	1		1	1	
Artist by 3:13 Salon & Spa 26853	1	1	1		1	1	
From Dawn to Dusk, LLC 26850	1	1	1		1	1	
Principe Enano Family Home Child Care 26849	1	1	1		1	1	
Saffron Beauty 26848	1	1	1		1	1	
Signatures by Brenda Hair Salon 26847	1	1	1		1	1	
Maa Sha Allah 26836	1	1	1		1	1	
Intimate Perspectives, LLC 26835	1	1	1		1	1	
Fullanthropy, LLC 26823	1	1	1		1	1	
Mr. Terry Accessories 26821	1	1	1		1	1	
Most Bosnian-American Newspaper 26820	1	1	1		1	1	

**Economic Development
Microenterprise Jobs RETAINED (Continued)
Program Year 2012**

Micro-Enterprise Business (IDIS Activity Number)	Total FTE Jobs Retained	Total FTE Jobs Made Available to Low/Mod Individuals	Full Time Jobs	Part Time Jobs	Total FTE Jobs Held by Low/Mod Individuals	Full Time Jobs	Part Time Jobs
More & More Lawn Care 26819	1	1	1		1	1	
Modern Day Fitness, LLC 26818	1	1	1		1	1	
Marketing Media Management 26817	1	1	1		1	1	
Weeds of Eden, LLC 26815	1	1	1		1	1	
Limelight, LLC 26967	2	2	2		2	2	
Concepts for Kids Advance Learning Academy, LLC 26863	2	2	2		2	2	
N&M Studios Ltd. Co. 26861	2	2	2		2	2	
The Black Italian Griglia Cucina, LLC 26852	2	2	2		2	2	
JJ Family Auto 26851	2	2	2		2	2	
YomFun, LLC DBA Funmi's Cafe 26824	2	2	2		2	2	
Floor Coverings International 26822	2	2	2		2	2	
TOTALS	39	39	38	2	39	38	2

"COOL" Program

In Program Year 2012, \$27,036 in CDBG was expended for "COOL" (Corridors of Economic Opportunity in Louisville) Programs from a \$350,000 Program Year 2011 allocation. These public improvement programs, administered by the Louisville Metro Department of Economic Growth and Innovation (EGI), implement design strategies to revive commercial corridors. In Program Year 2012, EGI retained a consultant to perform preliminary engineering and design work to support intersection and corridor improvements between Industry Road and Central Avenue along Fourth Street. These improvements are intended to facilitate truck traffic related to industries in the Park Hill Corridor while balancing the needs of pedestrians and bicyclists along the corridor, encouraging economic development, and serving as a gateway to the Park Hill, University of Louisville, and Beechmont/Churchill Downs neighborhoods.

Low to Moderate Limited Clientele Activities- Homeless Services

In the 2012 Program Year, 14 CDBG Homeless Services projects were funded with CDBG public service funds. Each of the 14 projects met the National Objective of benefit to Low/Mod Persons. In particular, each project met the National Objective subcategory of Low/Mod Limited Clientele. As each of the 16 projects provided case management or other supportive services for the homeless, at least 51% of beneficiaries served by these projects were considered low-to-moderate income. The clients served are mainly residing in homeless shelters or homeless transitional housing. Despite the presumed low/mod income status, nearly 100% of the recipient agencies maintained income documentation on the clients served through CDBG public service monies. These programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

Objective 4.1: Increase self-sufficiency for persons who are homeless [HUD DH-3]

Outcome 4.1: Serve 10,000 people with self-sufficiency services over five years

Activities 4.1: Non-profit service providers

Funding: CDBG

CDBG Homeless Services Projects funded in 2012 were:

- **Bridgehaven – Steps to Recovery Project (IDIS: 26724)** – \$16,560 in CDBG Public Service funds. Bridgehaven helps clients with mental illness or co-occurring substance abuse disorders in supportive housing with a case manager, in an attempt to maintain housing. Bridgehaven provides psychiatric rehabilitation services to these clients, including assessments, therapy, skill building, and socialization. CDBG funds paid for case management and personnel services.
Funds Expended in Program Year 2012: \$16,560
Number of Clients Served: 27

- **The Coalition for the Homeless – White Flag/QAS/Community Education (IDIS: 26725)** – \$85,140 in CDBG Public Service funds for three homeless service programs. The White Flag Program allows homeless individuals and families to take shelter during inclement weather (when winter temperatures/wind chill is 35 degrees or lower; summer temperatures/heat index is 95 degrees or higher), even if participating shelters are at or over capacity. The Quality Assurance Standards Program gauges the level at which a homeless shelter is able to serve its clients and potentially highlight areas for improvement, as well as provides training for front-line staff serving the homeless population. The Community Education Program increases awareness and understanding of poverty and homelessness in the community and fosters community participation in prevention and solutions. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2012: \$85,140
Number of Clients Served: 3,652
- **Family and Children’s Place – Shelter Plus Care Case Management Project (IDIS: 26726)** – \$312,094 in CDBG Public Service funds. The Shelter Plus Care Case Management Project helped individuals and families exit the homeless shelter system and assist them in achieving housing stability and economic self-sufficiency. The focus of this initiative is to provide case management enabling disabled individuals and their families to access secure, stable housing through. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2012: \$309,930.06
Number of Clients Served: 198
- **Family Health Centers – Phoenix Health Center Project (IDIS: 26727)** – \$79,292 in CDBG Public Service funds were used to provide an array of services to homeless individuals including primary health care, outreach, and case management. CDBG funds paid for personnel services.
Funds Expended in Program Year 2012: \$79,292
Number of Clients Served: 532
- **Family Scholar House – At-Risk Family Services Project (IDIS: 26728)** – \$24,160 in CDBG Public Service funds. The At-Risk Family Services Project provided academic advising and case management services to single parents who are working on either their college degree or towards entering into college. Clients may have also received assistance in obtaining food, medical care, childcare, or other basic needs, emergency financial assistance, and supportive services. CDBG funds paid for personnel services.
Funds Expended in Program Year 2012: \$24,160
Number of Clients Served: 1,507

- **Father Maloney’s Boys’ Haven – Equine Employment Training Project (IDIS: 26729)** – \$32,720 in CDBG Public Service funds. The Equine Employment Training Project provides therapeutic and employment opportunities for young men and women who have experienced physical, mental or sexual abuse and are aging-out of foster care or are homeless. A four level curriculum allows students to work at their own pace while completing basic education requirements and focus on independent living skills. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2012: \$27,082.84
Number of Clients Served: 18
- **GuardiaCare – Payee Program Project (IDIS: 26730)** – \$34,880 in CDBG Public Service funds. The Payee Program helped individuals who were homeless or at-risk for homelessness (primarily due to chronic substance abuse and/or severe mental illness) to find permanent housing solutions. The Payee Program combines representative payee services and limited case management services to address the client’s basic human needs of food, shelter and clothing. CDBG funds paid for personnel services.
Funds Expended in Program Year 20122011: \$34,880
Number of Clients Served: 164
- **Jefferson Street Baptist Community at Liberty – Hospitality Program (IDIS: 26731)** – \$34,200 in CDBG Public Service funds to provide a safe haven during the day for homeless men and women. CDBG funds paid for personnel services and direct operating expenses.
Funds Expended in Program Year 2012: \$34,200
Number of Clients Served: 651
- **Kentucky Refugee Ministries – Refugee Housing Bridge Program (IDIS: 26732)** – \$20,625 in CDBG Public Service funds. The Refugee Housing Bridge Program provided case management activities to support the housing security of refugees in Metro Louisville, all of whom qualified as low-to-moderate income under the HUD CDBG criteria. CDBG funds paid for personnel services and direct operating costs.
Funds Expended in Program Year 2012: \$20,617.56
Number of Clients Served: 546
- **Legal Aid Society – Tenant Counseling and Education Program (IDIS: 26733)** – \$27,280 in CDBG Public Service funds. The Tenant Counseling and Education Program served low income residents of Jefferson County by providing an assessment of issues that threaten housing. Clients received counseling and/or educational materials to develop an understanding of their legal rights and responsibilities to maintain or improve their housing. An additional 443 low income residents of Jefferson County were assigned to staff attorneys for extended service on issues related to housing following initial assessment and counseling. CDBG funds paid for personnel services.
Funds Expended in Program Year 2012: \$27,280
Number of Clients Served: 716

- **Wellspring – D.J. Block and F.M. Gains Crisis Stabilization Units Project (IDIS: 26736)** – \$10,000 in CDBG Public Service funds. The Block and Gains Crisis Stabilization Units assisted persons who are in a psychiatric crisis achieve stabilization through the provision of clinically effective and compassionate services provided in supportive, home-like, community-based residences. CDBG funds paid for personnel services.
Funds Expended in Program Year 2012: \$10,000
Number of Clients Served: 399
- **St. John’s Center – Emergency Day Shelter and Social Services Project (IDIS: 26734)** – \$186,964 in CDBG Public Service funds. The Emergency Day Shelter and Social Services Project provided an emergency day shelter for men. This program sheltered homeless men from outdoor elements; helped meet basic needs; allowed clients to gain knowledge and skills regarding mental health, substance abuse, income, and housing in order to become more self-sufficient. The day shelter is open seven days a week with an average of 180 clients served every day. CDBG funds paid for personnel services.
Funds Expended in Program Year 2012: \$186,964
Number of Clients Served: 1983
- **St. John’s Center – Residential Recovery Project (IDIS: 26735)** – \$17,028 in CDBG Public Service funds. The Residential Recovery Project provided intensive substance abuse treatment and recovery for seven homeless men at a time. While in transitional housing, clients learn recovery skills, seek a steady source of income, and prepare for permanent housing. CDBG funds paid for residential treatment services.
Funds Expended in Program Year 2012: \$17,028
Number of Clients Served: 24
- **YMCA – Shelter House and Mediation Services Project (IDIS: 26738)** – \$42,740 in CDBG Public Service funds. The Shelter House and Mediation Services Project provided emergency shelter and family unification/mediation services at a 24-hour emergency shelter for teens aged 12 to 17. The YMCA assisted the stabilization of teens in crisis and their families by assessing their strengths & needs; developing a plan of care to build the skills needed to improve their relationship; and supporting them through crisis based mediation services, aftercare follow-ups and community based referrals to maintain a healthy and safe home environment. CDBG funds paid for case management salaries.
Funds Expended in Program Year 2012: \$42,740
Number of Clients Served: 506
- **Volunteers of America – Family Emergency Shelter (IDIS: 26737)** – \$24,300 in CDBG Public Service funds. Funding supported provision of emergency shelter to homeless families. Services include meals, access to laundry and shower facilities, quality children’s programming and case management. CDBG funds will supported partial salaries for the Director of Social Services and the program manager.
Funds Expended in Program Year 2012: \$24,315
Number of Households Served: 100

Public Services- Out of School Time Enrichment

In Program Year 2012, Louisville Metro allocated \$75,000 to two subrecipient agencies, Boys and Girls Clubs of Kentuckiana, Inc. and YMCA of Greater Louisville, to support Out-of-School-Time (OOST) enrichment programs for low- to moderate-income youth.

- **Boys & Girls Clubs of Kentuckiana, Inc. – Shawnee Teen Program (IDIS: 26812)** – The teen program serves youth 13-18 and most reside in the Shawnee neighborhood. The club has dedicated teen space and teen hours to promote a positive, safe, fun and engaging environment for teens.

Funding Awarded in Program Year 2012: \$17,600

Funds Expended in Program Year 2012: \$ 18,322

Program Year 2012 Goal: 301 persons served

Persons Served: 253

- **Boys & Girls Clubs of Kentuckiana, Inc. – Newburg Teen Program (IDIS: 26811)**- The teen program serves youth 13-18 and most reside in the Newburg neighborhood. The club has dedicated teen space and teen hours to promote a positive, safe, fun and engaging environment for teens.

Funding Awarded in Program Year 2012: \$17,600

Funds Expended in Program Year 2012: \$16,878

Program Year 2012 Goal: 200 persons served

Persons Served: 74

- **YMCA of Greater Louisville – Arcadia Program (IDIS: 26825)** – Arcadia (refugee and immigrant youth services) focuses on providing services to refugee and immigrant youth that attend Shawnee High School, with an emphasis on incoming ninth graders. They partner with the Shawnee Newcomer Academy and provide afterschool enrichment opportunities, along with education support and tutoring. There will also be an emphasis on health and wellness for these youth.

Funding Awarded in Program Year 2012: \$18,900

Funds Expended in Program Year 2012: \$18,900

Program Year 2012 Goal: 100 persons served

Persons Served: 102

- **YMCA of Greater Louisville – Y-Now Mentoring Services (IDIS: 26814)** – This program combines character development training and social interaction skills development in a unique year-long mentoring program in which youth, ages 11-15, learn, grow and change. Most of the youth are children who have a parent that is incarcerated and the majority of the youth are African American. Last year's demographics show that 10% of the youth were in foster care, 90% were from households headed by a single parent and 85% were at or below poverty level.

Funding Awarded in Program Year 2012: \$12,400

Funds Expended in Program Year 2012: \$: \$12,400

Program Year 2012 Goal: 30 persons served

Persons Served: 54

- **YMCA of Greater Louisville – Berrytown Family Afterschool Choice (IDIS: 26813)** – The Afterschool Choice Enrichment Sessions are held at the Berrytown YMCA for youth between the ages 5-18, and are designed to promote both an academic and a wellness component three days a week on a year-round basis. Program agendas include academic support, creative expression and opportunities for social interaction. They also offer a Kids Café during program hours.
Funding Awarded in Program Year 2012: \$8,500
Funds Expended in Program Year 2012: \$31,387.87
Program Year 2012 Goal: 100 persons served
Persons Served: 81

Program Income

The tables on the following pages provide the amount of program income received in Program Year 2012 and a breakout of where the program income was applied. Details on HOME program income are provided as well.

CDBG PROGRAM INCOME
SOURCES OF PROGRAM INCOME

Source	Amount Received in 2012
Inspections, Permits & Licenses Fee Collection	\$13,680.70
Rehab Loan Repayments	\$485,234.31
METCO Repayments	\$156,732.29
METCO Citywide Repayments	-\$15,811.44
METCO Minority Repayments	-\$1,442.68
CDBG-REPAYMENTS	\$99,611.38
CDBG Home Loans	\$49,015.13
TOTAL	\$787,019.69

CDBG PROGRAM INCOME
PROGRAM INCOME APPLIED

Source	Amount Applied in 2012
HS Economic Success	\$97,700.78
Overhead/Directors Office	\$32,649.88
Compliance & Administration	\$26,354.19
Revitalization Administration CDBG	\$77,389.41
Residential Delivery Administration	\$12,021.37
Residential Programs Delivery CDBG	\$87,995.37
Coalitn Hmless-Continuum Care Plan/Oper	\$53,929.16
Family and Children First	\$33,504.20
St John Center/Emer. Day Shelter	\$25,348.97
Coalition for the Homeless	\$32,259.00
Boxelder Project	\$46,467.25
Neighborhood Revitalization Strategy Area-Portland	\$79,722.58
HOPE VI - Sheppard Square	\$23,546.27
Hope VI Sheppard Square Demolition	\$97,918.38
Ramps & Barrier Removal Program	\$17,949.19
Neighborhood Revitalization Strategy Area-Portland	\$19,604.05
TOTAL	\$764,360.05

HOME PROGRAM INCOME
SOURCES OF PROGRAM INCOME

Source	Amount Received in 2012
Loans Principal and Interest	\$174,278.57
Operations Receipts	\$153,265.60
TOTAL	\$327,544.17

HOME PROGRAM INCOME
PROGRAM INCOME APPLIED

Activity	Amount Applied in 2012
New Directions Smoketown Home Repair	\$24,456.02
Apartments of Hope	\$144,479.30
Home Tenant Based Assistance Program	\$152,190.61
Homebuyer Assistance	\$37,372.42
Wellspring Tonini Apartments	\$7,860.48
TOTAL	\$366,358.83

Prior Period Adjustments

During Program Year 2012, reimbursement in the amount of \$181,728.76 was made to HUD for expenditures that were disallowed. All reimbursements were reported in Program Year 2012 and none of the reimbursements were to be made as multi-year payments. Expenditures for CDBG, and HOME were disallowed for the following reasons.

- HOME funds in the amount of \$71,439.00 were reimbursed to HUD for repayment of excess revenue, funds drawn in error, duplicate draws, and one ineligible activity.
- CDBG funds in the amount of \$110,289.76 were reimbursed to HUD for repayment of excess revenue, funds (\$60,289.76) and terminated activity (\$50,000.00).

Loans and Other Receivables

Information for loans and other receivables as of the end of Program Year 2012, June 30, 2013, is as follows:

- LMCSR has a total of 721 forgivable loans. Of the 721, 511 are HOME-funded and 210 are CDBG-funded. The principal balance of the HOME-funded loans is \$9,699,311 and the principal balance of the CDBG-funded loans is \$2,328,946. These loans are for the Home Repair Program, Rental Development Program, Lead Program, Downpayment Assistance Program, and Park DuValle Downpayment Incentive Program. These loans will be forgiven upon compliance with affordability periods, of which the range and terms vary depending on the program (i.e. no forgiveness for the first five years, 20% forgiveness years six through ten).
- LMCSR has a total of 120 repayable loans. Of the 120, 71 are HOME-funded and 49 are CDBG funded. The principal balance of the HOME-funded loans is \$15,681,514 and the principal balance on the CDBG-funded loans is \$6,159,816. These loans are for the Rental Development Program, Home Repair Program, and former MISCO program. These loans vary in terms, interest rates and payment dates.

Lump Sum Agreements

There were no lump sum agreements entered into during Program Year 2012.

Housing Rehabilitation

Housing rehabilitation activities have been discussed above and in the Housing section, information has been provided identifying the type of program and number of units completed for each program.

Antipoverty Strategy

In Program Year 2012 LMCSR undertook a number of activities designed to reduce the number of persons living below the poverty level.

Most directly, economic development activities created new job opportunities or opportunities for advancement for low-to-moderate income persons. The microenterprise loan and assistance program gave low-to-moderate income individuals the opportunity to achieve self-sufficiency through the development of their own business. This program resulted in the creation and 23 full-time and two part-time jobs created and 38 full-time and two part time jobs created.

Public Service activities such as the Family Economic Success program and Community Outreach helped give and connect low-to-moderate income families to the tools they need to maintain self-sufficiency in the future.

Indirectly, housing programs such as Emergency Repair and Weatherization provided low-to-moderate households with assistance that allowed them to use more of their limited resources for essential expenses. Particularly, Weatherization assistance that makes residences energy efficient can make a measurable difference in the disposable income of households assisted.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

Non-homeless special needs individuals, including the elderly and disabled, are served by a number of CDBG and HOME funded programs. However, there is only one specific program that serves exclusively non-homeless special needs individuals - the Ramp program. The Ramp program corresponds to Objective 5.3 in the 2010 - 2014 Consolidated Plan.

Objective 5.3: Increase facilities and services for persons with disabilities [HUD SL-1]

Outcome 5.3: Serve a minimum of 225 households over five years

Activities 5.3: Ramp/Accessibility Program

Funding: CDBG

- **Metro-Wide Ramp Construction Program (IDIS:, 26757, 26042, 25353, 24477)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence. In Program Year 2012, the Ramp program served an 21 households in addition to the 45 CDBG-funded households with the support of United Auto Workers- Ford and Metro United Way.

2012 Action Plan Goal: 45

Households assisted during 2012: 45

Amount Expended during 2012: \$233,731.24

HOPWA funded programs to address non-homeless special needs are discussed in detail in following section.

Specific HOPWA Objectives

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization (previously Housing and Family Services).

For Program Year 2012, Louisville Metro Government received \$557,629 in HOPWA funding and funded five local project sponsors which are as follows: AIM Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth Inc., Legal Aid Society Inc., and Volunteers of America Kentuckiana. Service providers represent the HIV/AIDS persons of Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana (Note: Scott county, Indiana was included in the Louisville Metro HOPWA service area for Program Year 2013.).

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services, etc. These services assist those who may become homeless or have lack of employment or housing options related to extensive medical care and treatment.

Most project sponsors also receive Continuum of Care, ESG, or CDBG funding to help leverage the provisions of agency services and resources. In addition, most participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources. These include the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and annual private donations. Many of the HOPWA project sponsors are also represented on the AIDS Services Center Coalition Board of Directors. The AIDS Services Center, Inc. facilitates monthly planning meetings among HIV/AIDS service providers in Louisville Metro and is responsible for securing funding for numerous **agencies through the Louisville AIDS walk. LMCSR's HOPWA Project Manager** participates in the monthly meeting of the AIDS Services Center Coalition.

Project oversight and monitoring is conducted by the LMCSR Research, Planning, and Compliance Unit. The HOPWA Program Manager is responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In Program Year 2012, all five HOPWA project sponsors were monitored with no findings

The distribution of LMCSR HOPWA funding is coordinated through a community planning process. In Program Year 2012, potential sub-grantees participated in technical assistance provided by Collaborative Solutions that included a limited needs assessment and strategic plan. Sub-grantees then participated in two planning meetings where the results of the needs assessment and strategic plan were discussed. Sub-grantees then submitted a proposal to LMCSR that was reviewed and approved by the HOPWA Program Manager and the LMCSR Assistant Director. All proposed sub-grantees must be approved in the Louisville/Jefferson County Metro Government passed by the Louisville Metro Council and signed by the Mayor. Once

approved in the signed budget ordinance, sub-grantees are asked to submit a Work Program and Budget detailing proposed activities, goals and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff, a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for Program Year 2011 were again funded for Program Year 2012.

The City of Louisville has the highest incidence of HIV/AIDS in the state. According to the June 2012 HIV/AIDS Surveillance Report compiled by the Kentucky Cabinet for Health and Family Services, there were approximately 3,849 diagnosed HIV cases in Jefferson County. Of those, 2,474 are presumed to be living. When these numbers are expanded to include the entire KIPDA region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble Counties), the number rises to 4,208 diagnosed HIV cases with 2,699 presumed living. Therefore, the KIPDA region comprises 49.4% of all diagnosed HIV cases in Kentucky. This report indicates that there has been a 71% increase in people living with AIDS since 2000. According to the Kentucky Department of Public Health, African Americans comprised 8% of the state's population but 45% of new HIV cases in 2010. Also, 7% of newly diagnosed HIV infections in 2010 were for Hispanic people, despite their being only 3% of the population.

Addressing the special needs of Louisville Metro residents and providing housing and supportive services to persons with HIV/AIDS and their families, was ranked as the fifth overall goal in the 2010 – 2014 Consolidated Plan. Three specific HOPWA objectives were established for this goal:

Objective 6.1: Provide tenant-based rental assistance to persons with AIDS [HUD DH-2]

Outcome 6.1: Serve 225 people over five years

Activities 6.1: HOPWA

Funding: HOPWA

Objective 6.2: Provide supportive services to persons with AIDS [HUD DH-3]

Outcome 6.2: Serve 1,500 persons over five years

Activities 6.2: HOPWA

Funding: HOPWA

Objective 6.3: Provide short-term rent, mortgage and utility payments [HUD DH-2]

Outcome 6.3: Serve 555 people over five years

Activities 6.3: HOPWA

Funding: HOPWA

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. Hoosier Hills and Volunteers of America supported 165 households in the report period with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 26 households during the report period with TBRA assistance. Legal Aid Society, Inc., House of Ruth, Inc., Volunteers of America and AIDS Interfaith Ministries (AIM) provided supportive services to approximately 1,196 households with HOPWA funding.

All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 26 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 21 clients. Hoosier Hills served 5 clients with TBRA. Of these 26 clients, 25 are continuing with TBRA, and one has exited to private housing. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 165 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills served 40 clients and Volunteers of America of Kentucky served 125 clients). Of the 165 clients, all are likely to maintain current housing arrangements with additional support. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 844 clients; VOA served 125; House of Ruth served 123 total clients; and Legal Aid Society, Inc. served 104 clients.

Project Accomplishment Overview

- **AIDS InterFaith Ministries of Kentuckiana, Inc.(AIM) (IDIS: Supportive Services- 26748, 26026)**

Aaron Guldenschuh-Gatten, Executive Director

AIM is comprised of clergy, laity, community professionals, and volunteers of all faith perspectives. AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development who manages and provides a Food Pantry inclusive of essential personal care items and household cleaning supplies; emergency hotel vouchers; a monthly fellowship dinner; and life skills educational workshops promoting self-sufficiency, self-awareness, and self-advocacy. These services save clients monies that can then be used to pay rent, utilities, medicines, other monthly expenses and housing necessities, and most importantly, helps attain and maintain self-sufficiency.

AIM reported the following outcomes for Program Year 2012: AIM 600 food bags and 581 personal care bags distributed; 15 households attended AIM's Lifeskills Educational Workshops; and 62 households received medication assistance (medication not paid for with HOPWA funding.)

Funds Expended in Program Year 2012: \$34,948.52

Number of Clients Served: Supportive Services – 844

- **Hoosier Hills AIDS Coalition, Inc. (IDIS: TBRA- 26751, 26029; STRMU 26750, 26028; Sponsor Administration-26772)**

Dorothy Waterhouse, Program Director, Treasurer

Hoosier Hills provides activities and assistance to benefit low and moderate income persons and their families who are HIV positive and who strive to achieve or maintain a stable housing situation. They do this through HIV Care Coordination (case management) Services and direct assistance designed to help clients avoid the sense of hopelessness and prevent clients from returning to high risk activities and inadequate or insecure housing situations. HOPWA funds TBRA and STRMU assistance, as well as some program sponsor administration. This program was able to keep 5 clients on long-term assistance to prevent homelessness resulting from long wait lists in rural areas. Care coordinators have helped clients develop housing plans to help with stability.

Funds Expended in Program Year 2012: \$37,701.91

Number of Clients Served: TBRA – 5; STRMU – 34

- **House of Ruth, Inc./Glade House Housing Program (IDIS: TBRA- 26752, 26032; Supportive Services- 26755, 26031; Sponsor Administration- 26772, 26031)**

Lisa Sutton, Executive Director

The objectives of the House of Ruth/Glade House programs are to provide tenant-based rental assistance and supportive services/case management for persons with HIV/AIDS. House of Ruth is a community-based organization caring for families and individuals infected or affected by HIV/AIDS. They offer a holistic, client-centered approach for housing and advocacy programs. This includes life skills, children's programs, and other supportive services to help strengthen lives. The hub of the Housing Program at House of Ruth is the 17 single-family, scattered site units the agency owns and operates, along with Glade House, a transitional housing facility for HIV-positive adults.

HOPWA provides House of Ruth with salary support for the Client Services Manager, two Housing Case Managers, the Housing Program Manager, Director of Programs, Executive Director, Director of Property Management, Children's Program Manager, Maintenance Worker, and Finance Director. Services provided include case management, emergency food, transportation assistance, rental/utility assistance, children's activities, social events, referrals to other community providers, crisis counseling, medical advocacy, and life skills education. Finally, HOPWA funds were also expended on tenant-based rental assistance.

At the conclusion of Program Year 2012, 21 TBRA clients remain in housing and have benefited from intensive case management, referral to mainstream services, life skills training, and educational workshops.

Funds Expended in Program Year 2012: \$273,225.42

Number of Clients Served: Supportive Services – 123; TBRA – 21

- **Legal Aid Society, Inc. (IDIS: Supportive Services-26756, 26033)**

Jeff Staton, Staff Attorney

The HIV/AIDS Legal Project is the area's only non-profit legal provider helping clients with civil legal problems that threaten their basic human needs. The HIV/AIDS Legal Project assists eligible clients with a variety of legal needs including obtaining and remaining in safe, affordable housing, securing disability income for clients, securing access to government benefits, addressing consumer and medical debt issues, drafting life-planning documents, assisting with family law and support issues, assistance with maintaining health care, and advocacy against discrimination.

Funds Expended in Program Year 2012: \$12,758.67

Number of Clients Served: Supportive Services – 104

- **Volunteers of America of Kentuckiana, Inc. (IDIS: STRMU- 26753, 26036; Supportive Services-27028, 26035; Sponsor Administration-26772, 26034)**

Richard Coomer, Program Manager

Volunteers of America sought to prevent homelessness for individuals and families living with HIV/AIDS in seven counties in the Louisville, KY HOPWA service area, through the provision of short-term rent, mortgage, and utility assistance (STRMU) and associated supportive services. All those receiving HOPWA STRMU assistance through VOA also received a myriad of supportive services (including financial/budget planning, enhancement of job search, marketing and skills, and housing stability/homeless prevention planning).

The Volunteers of America HOPWA program works to collaborate with the Care Coordinator Program funded by a Ryan White grant. The Care Coordinator Program has been providing medical case management since 1998. In the 12 years of service they have been instrumental in linking clients to the necessary resources to maintain stability. As clients approached the program for assistance, the medical case managers assisted the clients in preparing a budget and a housing plan. The housing plan was in joint efforts with the client and case manager working to meet the client where they are and to address barriers they face. The housing plans measured outcomes and goals that were evaluated on an ongoing basis. VOA was able to meet clients anywhere in the seven counties served, based on their need.

Funds Expended in Program Year 2012: \$62,421.16

Number of Clients Served: STRMU and Supportive Services– 125

Barriers or Trends Overview

Several barriers have been identified by HOPWA project sponsors, including: discrimination, lack of supportive services, housing affordability, multiple diagnoses, poor credit history, housing availability, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive status. There is the feeling of shame and isolation that follows as clients withdraw from society due to the worry of judgment and criticism by their peers, churches, families, and society at large.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed within agencies, due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, etc., is an ongoing challenge.

Many clients have multiple diagnoses which make their day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues such as HIV, hepatitis, kidney dialysis, etc. all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues, lack of education and training for adequate employment, and the long, arduous process of seeking and being granted social security disability insurance.

The biggest challenge most HOPWA sponsors report is issues with obtaining and maintaining housing. HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited/lack of income continues to be an issue. Bad credit history, incriminating criminal justice history, and housing availability are all factors that play into the lack of housing opportunities and eligibility for clients. Housing availability continues to be a barrier to serving more clients. For instance, House of Ruth maintains a lengthy and ever-increasing waiting list for affordable housing units for people with HIV/AIDS. Plus, flat HOPWA funding indicates that no additional housing will be available in the future.

HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

OTHER NARRATIVE

- **Portland Neighborhood Revitalization Strategy Area (NRSA) Homeowner Rehabilitation (IDIS: 26562)-** The Portland NRSA program's main focus is to enhance and restore the historical appearance of owner-occupied housing stock by rehabilitating the facades. Other repairs that will improve the livability and quality of the home, such as repairs to the roof, HVAC, electrical, and plumbing are also eligible under the program, but will not be the focus of the scope of work. New Directions Housing Corporation administers this program for Louisville Metro Government. Households up to 120% Area Median Income may also take advantage of the program. Program Year 2011 was the program development period, which included preparing the actual program. Implementation began in Program Year 2012. Note: Goals for the Portland NRSA Homeownership Rehabilitation program were not included in the 2012 Action Plan (program initiated in prior years and goals listed in table were specified in 2011 Portland NRSA plan approved by HUD).

2011 NRSA Plan Goal: 75

Households assisted during 2012: 38

Amount Expended during 2012: \$ 419,282

- **Portland Neighborhood Revitalization Strategy Area Economic Development-** Another portion of the NRSA includes \$250,000 that has been allocated for neighborhood economic development activities administered by the Louisville Metro Department of Economic Growth and Innovation (EGI). These activities consist of two loan programs under the auspices of EGI and the Metropolitan Business Development Corporation (METCO).

Louisville Agribusiness Loan Program

Portland NRSA funds (\$100,000) are allocated to serve as match for the Louisville Agribusiness Loan program supported with \$250,000 provided by the the Kentucky Agricultural Finance Corporation to administer the "Louisville Agribusiness Loan Program." This loan program provides low-interest capital to agribusinesses that process or add value to food which is grown or raised by Kentucky farmers. To be eligible, businesses should open in the Portland neighborhood, the first LIFE (Locally Integrated Food Economy) Zone created by Mayor Fischer. Applicants must demonstrate that the major ingredients in their product come from Kentucky farmers and that they will create jobs in the Portland area. This is a negotiable, low-interest revolving loan

METCO Business Loans Targeted to Portland

Portland NRSA Funds (\$150,000) are allocated to target METCO loans to businesses located or that will be located in the Portland neighborhood. EGI/METCO offer three types of loans under this program. The Business Loan provides up to 25% of project costs up to \$100,000, in gap financing, to small businesses. The Small and Disadvantaged Business Loan provides up to 50% of project costs, or \$100,000, in gap financing to ethnic, minority, disadvantaged or women-owned businesses at market rates. The Facade Loan is available to commercial property owners to revitalize neighborhood commercial corridors in Louisville. Facade loans are awarded for exterior property improvements, including window and door replacements, storefronts, masonry repair, siding,

painting, carpentry, signage, and landscaping. Often, a professional architectural rendering is offered to a potential borrower to identify necessary building improvements.

EGI has conducted outreach for these Portland NRSA loan programs and has met with potential applicants, several of which have not come forward for loans. EGI has also denied a loan to one applicant due to credit issues. To encourage increased application in Program Year 2013, EGI is currently re-evaluating program design, program eligibility criteria, and outreach plans. EGI continues to actively market the loan programs. It is anticipated that Portland NRSA Economic Development loans will be made in Program Year 2013.

Appendix A

2012 CAPER Comments Received as of September 27, 2013

A 15-day public comment period for draft 2012 CAPER began on September 11, 2013 and ended on September 26, 2013. The draft 2012 CAPER was posted on the Louisville Metro Community Services and Revitalization (LMCSR) website (www.louisvilleky.gov/csr) and copies of the draft CAPER were made available for review at all branches of the Louisville Free Public Library, all LMCSR Neighborhood Places, and LMCSR administrative offices at 810 Barret Avenue.

Legal Notice of the public comment and availability of the draft CAPER was placed in the *Louisville Courier-Journal* on September 8, 2013. Copies of the affidavits of publication for the legal notices described above and copies of flyers advertising these public hearings are included with supporting documents submitted with the 2012 CAPER.

LMCSR received one comment during the reporting period submitted via email.

Email Comment Received on September 17, 2013 from Natalie Harris, Executive Director, The Coalition for the Homeless

I only had two comments about the CAPER:

- 1) We are not listed on the list of ESG subrecipients on page 55 and
- 2) Under Total funds expended on page 58} there are 2 dollar signs before 429,731.

LMCSR Response: *LMCSR thanks Ms. Harris for her edits and apologizes for our error omitting the Coalition for the Homeless in our initial listing of ESG subrecipients. Both errors identified by Ms. Harris have been corrected in the final version of the 2012 CAPER.*



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

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Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse,

Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent

employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number KY-H11-F001		Operating Year for this report <i>From (mm/dd/yy)</i> 7/1/12 <i>To (mm/dd/yy)</i> 6/30/13		
Grantee Name Louisville/Jefferson County Metro Government				
Business Address		527 W Jefferson St.		
City, County, State, Zip		Louisville	Jefferson	KY 40202
Employer Identification Number (EIN) or Tax Identification Number (TIN)		32-0049006		
DUN & Bradstreet Number (DUNs):		040429896	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:04042989 CAGE:SPBC6	
*Congressional District of Grantee's Business Address		KY-3		
*Congressional District of Primary Service Area(s)		KY-3		
*City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities: Louisville		Counties: Jefferson
Organization's Website Address www.louisvilleky.gov		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name AIDS Interfaith Ministries of Kentuckiana, Inc. (AIM)		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency		Aaron Guldenschuh-Gatten, Executive Director		
Email Address		AIMofKY@gmail.com		
Business Address		1228 East Breckenridge Street PO Box 406818		
City, County, State, Zip,		Louisville Jefferson KY 40204		
Phone Number (with area code)		502-794-2682	#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)		61-1345576	Fax Number (with area code) N/A	
DUN & Bradstreet Number (DUNs):		785679064		
Congressional District of Project Sponsor's Business Address		KY-3		
Congressional District(s) of Primary Service Area(s)		KY-3		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Shepherdsville, Mt. Washington, Louisville, Crestwood, LaGrange, Shelbyville, Taylorsville, Bedford	Counties: Jefferson, Oldham, Henry, Spencer, Bullitt, Trimble, Shelby – Counties of the Greater Louisville Area	
Total HOPWA contract amount for this Organization for the operating year		\$35,100.00		
Organization's Website Address		www.AIMKYonline.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A		

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Hoosier Hills AIDS Coalition		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Dorothy Waterhouse, HIV/STD Program Director, Treasurer			
Email Address		dwaterhouse@cch aids.win.net			
Business Address		1301 Akers Avenue			
City, County, State, Zip,		Jeffersonville	Clark	IN	47130
Phone Number (with area code)		812-283-2506	#####	#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)		35-1987523		Fax Number (with area code) 812-288-1474	
DUN & Bradstreet Number (DUNs):		005376940			
Congressional District of Project Sponsor's Business Address		IN-9			
Congressional District(s) of Primary Service Area(s)		IN-9			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Jeffersonville, New Albany, Salem, Corydon		Counties: Clark, Floyd, Washington, Harrison (Scott added for Program Year 2013)	
Total HOPWA contract amount for this Organization for the operating year		\$40,000.00			
Organization's Website Address		N/A			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Steering committee when an open spot becomes available on TBRA.		

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name House of Ruth, Inc.		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Lisa Sutton, Executive Director			
Email Address		lsutton@houseofruth.net			
Business Address		607 East St. Catherine Street			
City, County, State, Zip,		Louisville Jefferson KY 40203			
Phone Number (with area code)		502-587-5080	#####	#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)		611231355		Fax Number (with area code) 502-587-5009	
DUN & Bradstreet Number (DUNs):		831087168			
Congressional District of Project Sponsor's Business Address		KY-3			
Congressional District(s) of Primary Service Area(s)		KY-3			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville		Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year		\$337,800.00			
Organization's Website Address		www.houseofruth.net			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. If eligible based on income, proof of disability, past participation in HOPWA, and verification that client is not participating in a housing subsidy program, the Housing Program Manager places clients on wait list according to the date of referral.			

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Legal Aid Society, Inc.		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Jeff Been, Executive Director			
Email Address		jbeen@laslou.org			
Business Address		416 W. Muhammad Ali Blvd., Suite 300			
City, County, State, Zip,		Louisville Jefferson KY 40202			
Phone Number (with area code)		502-584-1254		#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)		61-0357626		Fax Number (with area code) 502-574-5244	
DUN & Bradstreet Number (DUNs):		086757762			
Congressional District of Project Sponsor's Business Address		KY-3			
Congressional District(s) of Primary Service Area(s)		KY-3			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville		Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year		\$30,000.00			
Organization's Website Address		www.laslou.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A			

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Volunteers of America, Kentucky		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Richard Coomer, Director HIV/AIDS Program			
Email Address		richardc@voaky.org			
Business Address		1436 South Shelby Street			
City, County, State, Zip,		Louisville Jefferson KY 40217			
Phone Number (with area code)		502-635-4511	#####	#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)		61-0480950		Fax Number (with area code) 502-636-0597	
DUN & Bradstreet Number (DUNs):		079657219			
Congressional District of Project Sponsor's Business Address		KY-3			
Congressional District(s) of Primary Service Area(s)		KY-3			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Louisville		Counties: Jefferson	
Total HOPWA contract amount for this Organization for the operating year		\$98,000.00			
Organization's Website Address		www.voaky.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. <input type="checkbox"/> N/A			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization. This HOPWA CAPER covers activities occurring in program year 2013, July 1, 2012 – June 30, 2013.

For Program Year 2012, Louisville Metro Government received \$557,629 in HOPWA funding and funded five local project sponsors : AIM Interfaith Ministries of Kentuckiana; Hoosier Hills AIDS Coalition; House of Ruth, Inc.; Legal Aid Society, Inc.; and Volunteers of America Kentuckiana. These organizations represent the HIV/AIDS service providers for Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana. (Note: Scott County, Indiana was included in the Louisville Metro HOPWA service area for Program Year 2013.)

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services. These services assist those who may become homeless or lack employment or housing options due to extensive medical care and treatment.

Project sponsors, contact name, and activities conducted are as follows:

AIDS Interfaith Ministries of Kentuckiana, Inc. (Supportive Services)

Aaron Guldenschuh-Gatten, Executive Director

AIM is comprised of clergy, laity, community professionals, and volunteers of all faith perspectives. AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development who manages and provides a Food Pantry inclusive of essential personal care items and household cleaning supplies; emergency hotel vouchers; a monthly fellowship dinner; and life skills educational workshops promoting self-sufficiency, self-awareness, and self-advocacy. These services save clients monies that can then be used to pay rent, utilities, medicines, other monthly expenses and housing necessities, and most importantly, helps attain and maintain self-sufficiency.

Hoosier Hills AIDS Coalition, Inc. (STRMU, TBRA, Sponsor Administration)

Dorothy Waterhouse, Program Director, Treasurer

Hoosier Hills provides activities and assistance to benefit low and moderate income persons and their families who are HIV positive and who strive to achieve or maintain a stable housing situation. They do this through HIV Care Coordination (case management) Services and direct assistance designed to help clients avoid the sense of hopelessness and prevent clients from returning to high risk activities and inadequate or insecure housing situations. HOPWA funds TBRA and STRMU assistance, as well as some program sponsor administration.

House of Ruth, Inc. (Supportive Services, TBRA, Sponsor Administration)

Lisa Sutton, Executive Director

The House of Ruth is a community-based organization caring for families and individuals infected or affected by HIV/AIDS. They offer a holistic, client-centered approach for housing and advocacy programs. This includes life skills, children's programs, and other supportive services to help strengthen lives. The hub of the Housing Program at House of Ruth is the 17 single-family, scattered site units the agency owns and operates, along with Glade House, a transitional housing facility for HIV-positive adults.

HOPWA provides House of Ruth with salary support for the Client Services Manager, two Housing Case

Managers, the Housing Program Manager, Director of Programs, Executive Director, Director of Property Management, Children's Program Manager, Maintenance Worker, and Finance Director. Services provided include case management, emergency food, transportation assistance, rental/utility assistance, children's activities, social events, referrals to other community providers, crisis counseling, medical advocacy, and life skills education. Finally, HOPWA funds were also expended on tenant-based rental assistance.

Legal Aid Society, Inc. (Supportive Services)

Jeff Staton, Project Manager

The HIV/AIDS Legal Project is the area's only non-profit legal provider helping clients with civil legal problems that threaten their basic human needs. They fight on their clients' behalf when their health, safety, and stability are unjustly threatened. Last year, Legal Aid represented 3,946 clients whose incomes are at or below 125 percent of the federal poverty guideline.

As established by their board of directors, Legal Aid accepts cases that fall within the following five priority areas: 1) Support for families; 2) Preserving the home, 3) Maintaining economic stability; 4) Safety, Stability, and Health; 5) Populations with Special Vulnerabilities. Legal Aid's targeted client populations include victims of domestic violence, the homeless, families at risk of becoming homeless, children forced to move from school to school because of instability at home, children in the juvenile court and educational systems in need of help to stay in school, people living with HIV/AIDS and other life threatening medical conditions, community groups that serve the interests of the poor, people moving from welfare to work, and the elderly.

Volunteers of America of Kentuckiana, Inc. (STRMU)

Richard Coomer, Program Manager

The HOPWA program works to collaborate with the Care Coordinator Program funded by a Ryan White grant. The Care Coordinator Program has been providing medical case management since 1998. In the 12 years of service they have been instrumental in linking clients to the necessary resources to maintain stability. As clients approached the program for assistance, the medical case managers assisted the clients in preparing a budget and a housing plan. The housing plan was in joint efforts with the client and case manager working to meet the client where they are and to address barriers they face. The housing plans measured outcomes and goals that were evaluated on an ongoing basis. VOA was able to meet clients anywhere in the seven counties served, based on their need.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

1. The distribution of LMCSR HOPWA funding is coordinated through a community planning process. In Program Year 2012, potential sub-grantees participated in technical assistance provided by Collaborative Solutions that included a limited needs assessment and strategic plan. Sub-grantees then participated in two planning meetings where the results of the needs assessment and strategic plan were discussed. Sub-grantees then submitted a proposal to LMCSR that was reviewed and approved by the HOPWA Program Manager and the LMCSR Assistant Director. All proposed sub-grantees must be approved in the Louisville/Jefferson County Metro Government passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance, sub-grantees are asked to submit a Work Program and Budget detailing proposed activities, goals and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff, a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program year 2011 were again funded for Program Year 2012.

Project oversight and monitoring is conducted by the HOPWA Program Manager. The HOPWA Program Manager is also responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In program year 2012, all five HOPWA project sponsors were monitored with no findings.

In addition to providing services to Louisville/Jefferson County project, sponsors also represent the counties of Bullitt, Oldham, Meade, Shelby, Henry, Spencer of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana. (Note: Scott county, Indiana was included in the Louisville Metro HOPWA service area for Program Year 2013.)

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. In program year 2012, Hoosier Hills and Volunteers of America supported 165 households with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 26 households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to approximately 1,196 households with HOPWA funding.

2. All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 26 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 21 clients. Hoosier Hills served 5 clients with TBRA. Of these 26 clients, 25 are continuing with TBRA, and one has exited to private housing. 100% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 159 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills served 34 clients and Volunteers of America of Kentucky served 125 clients). Of the 159 clients, all are likely to maintain current housing arrangements with additional support. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 844 clients; VOA served 125; House of Ruth served 123 total clients; and Legal Aid Society, Inc. served 104 clients.

HOPWA Sponsors reported the following significant outcomes:

- **AIDS Interfaith Ministries:** AIM distributed 600 food bags and 581 personal care bags. 15 households attended AIM's Lifeskills Educational Workshops and 62 households received medication assistance (medication not paid for with HOPWA funding.)
 - **Hoosier Hills:** This program was able to keep 5 clients on long-term assistance to prevent homelessness resulting from long wait lists in rural areas. Care coordinators have helped clients develop housing plans to help with stability.
 - **House of Ruth:** 21 TBRA clients remain in housing and have benefited from intensive case management, referral to mainstream services, life skills training, and educational workshops.
 - **Legal Aid:** The HIV/AIDS Legal Project assists eligible clients with a variety of legal needs including obtaining and remaining in safe, affordable housing, securing disability income for clients, securing access to government benefits, addressing consumer and medical debt issues, drafting life-planning documents, assisting with family law and support issues, assistance with maintaining health care, and advocacy against discrimination. 104 clients were served with the Legal Project in program year 2012.
 - **Volunteers of America:** The Care Coordinator Program's goal is to provide needed resources to educate clients in skills to prevent homelessness. Medical Case Managers will continue to develop housing plans to assist the clients in budgeting and money management. 125 clients received assistance.
3. Most project sponsors also receive Continuum of Care, ESG, or CDBG funding to help leverage the provisions of agency services and resources. In addition, most participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources. These include the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and annual private donations. Many of the HOPWA project sponsors are also represented on the AIDS Services Center Coalition Board of Directors. The AIDS Services Center, Inc. facilitates monthly planning meetings among HIV/AIDS service providers in Louisville Metro and is responsible for securing funding for numerous agencies through the Louisville AIDS walk. Louisville Metro Community Services and Revitalization HOPWA project manager participates in the monthly meeting of the AIDS Services Center Coalition.

Internally, project sponsors are monitored regularly for compliance with federal regulations. They also submit quarterly reports tracking their number of clients served and other pertinent information for future funding distribution processes.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Several barriers have been identified by HOPWA project sponsors, including: discrimination, lack of supportive services, housing affordability, multiple diagnoses, poor credit history, housing availability, and criminal justice history. Many HOPWA clients still feel the sting of discrimination with their HIV positive status. There is the feeling of shame and isolation that follows as clients withdraw from society due to the worry of judgment and criticism by their peers, churches, families, and society at large.

One of the main supportive services that is lacking is an adequate means of affordable and available transportation. With the rising costs of TARC and TARC3, and the shortage of TARC tickets being distributed within agencies, due to cutbacks in grant funding, transportation to medical appointments, legal appointments, the food pantry, case management, church, AA meetings, etc., is an ongoing challenge.

Many clients have multiple diagnoses which make their day to day survival even more of a challenge. Mental health issues combined with multiple physical health issues such as HIV, hepatitis, kidney dialysis, etc. all contribute to their financial, physical, mental, and emotional strain. Other factors are substance abuse issues, lack of education and training for adequate employment, and the long, arduous process of seeking and being granted social security disability insurance.

The biggest challenge most HOPWA sponsors report is issues with obtaining and maintaining housing. HOPWA clients typically live well below the poverty level. Therefore, housing affordability on their limited/lack of income continues to be an issue. Bad credit history, incriminating criminal justice history, and housing availability are all factors that play into the lack of housing opportunities and eligibility for clients. Housing availability continues to be a barrier to serving more clients. For instance, House of Ruth maintains a lengthy and ever-increasing waiting list for affordable housing units for people with HIV/AIDS. Plus, flat HOPWA funding indicates that no additional housing will be available in the future.

HOPWA sponsors continue to seek ways to overcome these barriers so clients can achieve positive outcomes.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The City of Louisville has the highest incidence of HIV/AIDS in the state. According to the June 2012 HIV/AIDS Surveillance Report compiled by the Kentucky Cabinet for Health and Family Services, there were approximately 3,849 diagnosed HIV cases in Jefferson County. Of those, 2,474 are presumed to be living. When these numbers are expanded to include the entire KIPDA region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble Counties), the number rises to 4,208 diagnosed HIV cases with 2,699 presumed living. Therefore, the KIPDA region

comprises 49.4% of all diagnosed HIV cases in Kentucky. This report indicates that there has been a 71% increase in people living with AIDS since 2000. According to the Kentucky Department of Public Health, African Americans comprised 8% of the state's population but 45% of new HIV cases in 2010. Also, 7% of newly diagnosed HIV infections in 2010 were for Hispanic people, despite their being only 3% of the population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The most recent HIV/AIDS data for Louisville, KY is accessible at the Center for Disease and Control (CDC).

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	191
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	191
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	
• Assistance with rental costs	
• Assistance with mortgage payments	
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$34,250	Emerging Communities Grant	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Clark County Health Department	\$166,500	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	\$36,078	Louisville AIDS Walk, IN AIDS Fund, Churches	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	\$117,635	Donations, Food, Volunteers	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Community Ministries Grant	\$16,800		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	\$371,263		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding*	
1.	Tenant-Based Rental Assistance	23	26			108,489	107,267*
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	160	159			90,911	64,819.32*
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	183	185			199,400	172,086.32*
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 78 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	265	248			256,740	250,943*
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	980	948			65,100	47,386*
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	1,245	1,196			321,840	298,329*
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					16,700	15,669*
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					19,660	20,050*
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					36,360	35,719*
Total Expended							
						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					557,600	506,134.32*

* Note: Output: Funding Actual figures reflect total draws of Program Year 2012 allocations under grant agreements for which Louisville Metro and subrecipients reported activities and expense allocations from July 1, 2012 through June 30, 2013. Final draws for program year 2012 occurred in August and September 2013.

**Project sponsor VOA reports that STRMU funds are low for this community because the fair market value of units in the area is low. When you look at the amounts per unit or household, the numbers under fair market value are low. It is our understanding that we cannot pay over fair market value that includes rent and utilities. Project sponsor Hoosier Hills reports that their coalition has caps on rent and utilities - \$500 for rent and \$200 on utilities.

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended***
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	196	240,943
4.	Child care and other child services		
5.	Education	15	209
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	209	10,675
8.	Legal services	104	12,759
9.	Life skills management (outside of case management)	51	649
10.	Meals/nutritional services	979	15,767
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify: Insurance	52	10,000
	Personal Care Supplies	881	4,311
	Household Cleaning Supplies	607	3,016

15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	3,094	
16.	Adjustment for Duplication (subtract)	(1,898)	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	1,196	298,329

***Note: Allocations reflect total draws of Program Year 2012 allocations under grant agreements for which subrecipients reported activities and expense allocations from July 1, 2012 through June 30, 2013. Final draws for program year 2012 occurred in August and September 2013.

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year****
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	159	\$64,819.32
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	7	\$6,534.29
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	3	\$1,331.03
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	57	\$37,666.02
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	56	\$5,506.63
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	36	\$13,781.35
g.	Direct program delivery costs (e.g., program operations staff time)		

****Note: Allocations reflect total draws of Program Year 2012 allocations under grant agreements for which subrecipients reported activities and expense allocations from July 1, 2012 through June 30, 2013. Final draws for program year 2012 occurred in August 2013.

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	26	25	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	1	Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		Life Event

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status	[3] HOPWA Client Outcomes	
159	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	<i>Stable/Permanent Housing (PH)</i>	
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	159	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		39 households	
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).		22 households	

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	185
b. Case Management	196
c. Adjustment for duplication (subtraction)	-146
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	235
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	235	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	233	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	222	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	233	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	191	0	Sources of Income

Notes: House of Ruth also provides case management related to medical needs under its Ryan White AIDS/HIV Grant and, as such does not report those services as HOPWA activities. Those subrecipients providing supporting services but not housing subsidies (AIM and Legal Aid Society) offer supportive services that do not fall in these categories. AIM provides extensive supportive services, but does not consider those services to be case management per their internal policies. Legal Aid Society primarily provides legal services. However, Louisville Metro Government believes Legal Aid Society assisted several clients in accessing disability benefits, but that these client services were not reported to Louisville

Metro due to turnover in administrative staff at Legal Aid. Louisville Metro’s HOPWA Program manager will provide Legal Aid Society with reporting technical assistance in Program Year 2013.

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	30	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> <i>Yes, protect information; do not list</i> <input type="checkbox"/> <i>Not confidential; information can be made available to the public</i>
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	185

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	48
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	1
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	1
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	2
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	126
13.	House you own	8
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	185

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	185
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	7
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	43
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	235

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years	15	4	1		20
3.	31 to 50 years	84	49	2		135
4.	51 years and Older	21	9			30
5.	Subtotal (Sum of Rows 1-4)	120	62	3		185
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	11	13			24
7.	18 to 30 years	7	5			12
8.	31 to 50 years	2	7			9
9.	51 years and Older	3	2			5
10.	Subtotal (Sum of Rows 6-9)	23	27			50
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	143	89	3		235

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	4			
2.	Asian	0			
3.	Black/African American	85		25	
4.	Native Hawaiian/Other Pacific Islander			1	
5.	White	92		20	2
6.	American Indian/Alaskan Native & White	1			
7.	Asian & White				
8.	Black/African American & White	1		4	
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	2			
11.	Column Totals (Sum of Rows 1-10)	185		50	2

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	126
2.	31-50% of area median income (very low)	41
3.	51-80% of area median income (low)	18
4.	Total (Sum of Rows 1-3)	185

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	LOUISVILLE - JEFFERSON COUNTY METRO GOVT
Organizational DUNS Number	073135584
EIN/TIN Number	320049006
Identify the Field Office	LOUISVILLE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Louisville/Jefferson County CoC

ESG Contact Name

Prefix	0
First Name	STEPHEN
Middle Name	R
Last Name	WARD
Suffix	0
Title	0

ESG Contact Address

Street Address 1	0
Street Address 2	0
City	0
State	
ZIP Code	-
Phone Number	5025743542
Extension	0
Fax Number	0
Email Address	Email Required

ESG Secondary Contact

Prefix	MR
First Name	STEVE
Last Name	THOMAS
Suffix	0
Title	ESG Program Contact
Phone Number	5025742690
Extension	0
Email Address	Email Required

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2012
Program Year End Date 06/30/2013

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Bellewood Presbyterian Home for Children, Inc.

City: Anchorage

State: KY

Zip Code: 40223,

DUNS Number: 012630018

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 55900

Subrecipient or Contractor Name: Choices, Inc.

City: Louisville

State: KY

Zip Code: 40202,

DUNS Number: 150143886

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 35000

Subrecipient or Contractor Name: Legal Aid Society, Inc.

City: Louisville

State: KY

Zip Code: 40202,

DUNS Number: 086757762

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: New Directions Housing Corporation

City: Louisville

State: KY

Zip Code: 40204,

DUNS Number: 071327860

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 20800

Subrecipient or Contractor Name: Society of St. Vincent de Paul, Council of Louisville, Inc.

City: Louisville

State: KY

Zip Code: 40203,

DUNS Number: 927622548

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 60700

Subrecipient or Contractor Name: The Center for Women and Families, Inc.

City: Louisville

State: KY

Zip Code: 40203,

DUNS Number: 099768152

Is subrecipient a VAWA-DV provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 35800

Subrecipient or Contractor Name: The Coalition for the Homeless, Inc.

City: Louisville

State: KY

Zip Code: 40203,

DUNS Number: 968716688

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 113562

Subrecipient or Contractor Name: The Salvation Army, A Georgia Corporation

City: Atlanta

State: GA

Zip Code: 30329,

DUNS Number: 124167490

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 72700

Subrecipient or Contractor Name: Wayside Christian Mission

City: Louisville

State: KY

Zip Code: 40257,

DUNS Number: 064849284

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 46900

Subrecipient or Contractor Name: Wellspring, Inc.

City: Louisville

State: KY

Zip Code: 40203,

DUNS Number: 082387015

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: Volunteers of America of Kentucky, Inc.

City: Louisville

State: KY

Zip Code: 40217,

DUNS Number: 610480950

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 82500

Subrecipient or Contractor Name: Family & Children First, Inc. d.b.a. Family & Childrens Place

City: Louisville

State: KY

Zip Code: 40206,

DUNS Number: 926929852

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 65200

Subrecipient or Contractor Name: YMCA of Greater Louisville

City: Louisville

State: KY

Zip Code: 40202,

DUNS Number: 059562710

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 27800

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	379
Children	267
Don't Know/Refused	0
Missing Information	0
Total	646

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	56
Children	51
Don't Know/Refused	0
Missing Information	0
Total	107

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	4,782
Children	1,113
Don't Know/Refused	1
Missing Information	9
Total	5,905

Table 3 – Shelter Information

4d. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	5,366
Children	1,465
Don't Know/Refused	1
Missing Information	9
Total	6,841

Table 4 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	4,322
Female	2,142
Transgendered	0
Unknown	377
Total	6,841

Table 5 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	1,469
18-24	723
Over 24	4,450
Don't Know/Refused	1
Missing Information	198
Total	6,841

Table 6 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	443	16	3	424
Victims of Domestic Violence	465	0	10	0
Elderly	222	25	3	194
HIV/AIDS	35	0	0	35
Chronically Homeless	1,301	0	0	1,301
Persons with Disabilities:				
Severely Mentally Ill	1,287	0	14	1,210
Chronic Substance Abuse	1,878	0	7	1,866
Other Disability	1,510	16	2	1,472
Total (Unduplicated if possible)	5,772	57	39	6,856

Table 7 – Special Population Served

CR-70 – Assistance Provided

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	248,565
Total Number of bed-nights provided	245,462
Capacity Utilization	98.75%

Table 8 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	84,237	69,145	40,550
Subtotal Homelessness Prevention	84,237	69,145	40,550

Table 9 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	0	164,755
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	58,830
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	223,585

Table 10 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Essential Services	226,607	155,516	167,355
Operations	250,825	273,009	300,288
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	477,432	428,525	467,643

Table 11 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
HMIS	0	0	35,807
Administration	22,352	21,477	78,321
Street Outreach	0	0	22,634

Table 12 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2010	FY 2011	FY 2012
1,949,074	584,021	519,147	845,906

Table 13 - Total ESG Funds Expended

11f. Match Source

	FY 2010	FY 2011	FY 2012
Other Non-ESG HUD Funds	0	158,816	41,796
Other Federal Funds	0	0	0
State Government	0	0	10,000
Local Government	0	0	0
Private Funds	3,664,452	515,618	713,019
Other	306,357	306,174	103,864
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	3,970,809	980,608	868,679

Table 14 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2010	FY 2011	FY 2012
7,769,170	4,554,830	1,499,755	1,714,585

Table 15 - Total Amount of Funds Expended on ESG Activities