



This document includes an amendment to the original 2012 Action Plan.

# **Louisville/Jefferson County Metro Government Action Plan**

**Program Year 2012**



May 15<sup>th</sup>, 2012

**Prepared by the Louisville Metro Department of Community Services and  
Revitalization**

**Adria Johnson, Interim Director**



## Louisville/Jefferson County Metro Government

Greg Fischer  
Mayor

### Louisville Metro Council Members 2011-2012

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# Third Program Year Action Plan

## Narrative Responses

### GENERAL

#### Executive Summary

Louisville/Jefferson County Metro Government (Louisville Metro) is a consolidated city/county government. Its jurisdiction is Jefferson County, Kentucky, with an estimated population of 729,452 persons (2006-2010 American Community Survey 5-Year Estimates). Louisville Metro Department of Community Services and Revitalization (LMCSR) is the lead agency responsible for preparing, administering, monitoring and reporting on the jurisdiction's Five Year Consolidated Plan and annual Action Plans. Louisville Metro's 2012 Action Plan covers four entitlement programs: Community Development Block Grant, HOME Investment Partnerships, the Emergency Solutions Grant (formerly Emergency Shelter Grant) program and Housing Opportunities for Persons with AIDS.

Programs identified in the plan will cover the five basic goals identified in the 2010 – 2014 Consolidated Plan. These goals are as follows:

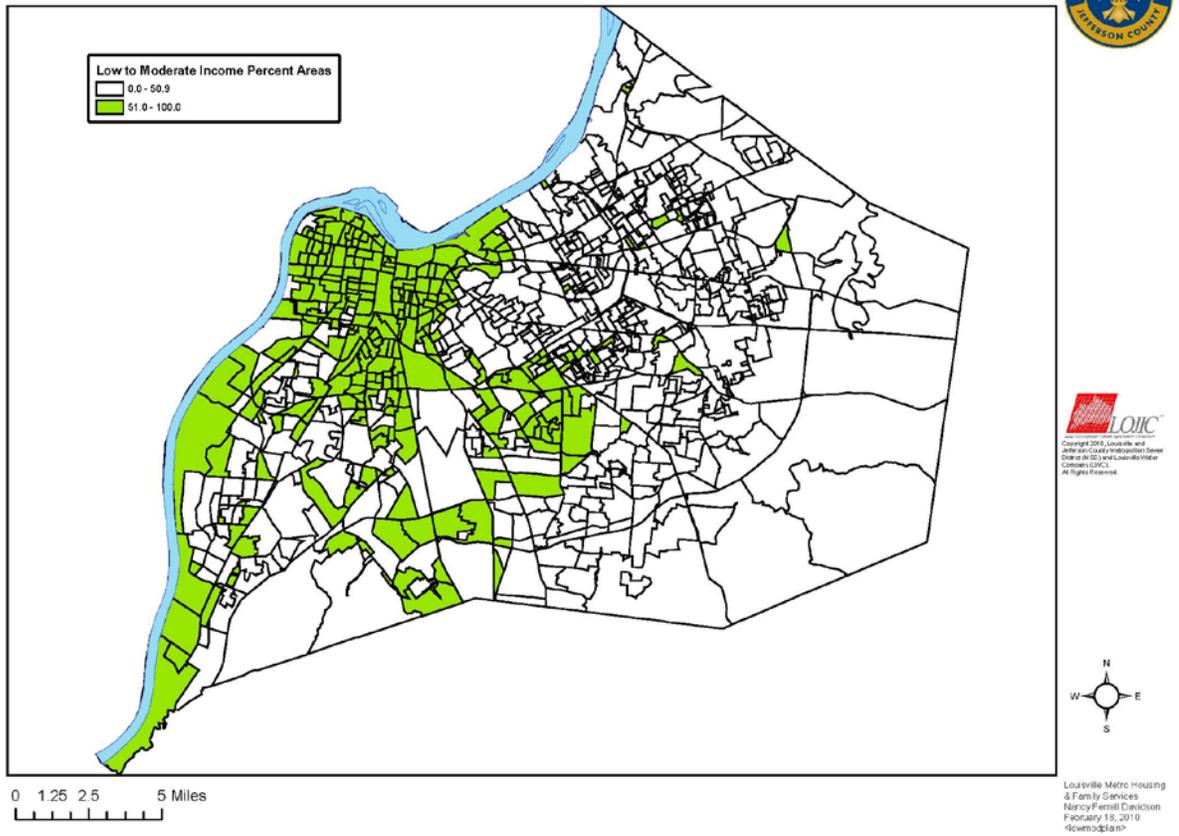
1. Residents have a range of choices for safe, decent, secure and affordable housing.
2. Energize the regional economy and stabilizes neighborhoods by providing resident with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.
3. Foster a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.
4. Reduce the incidence of homelessness in the Louisville Metro community.
5. Address the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families.

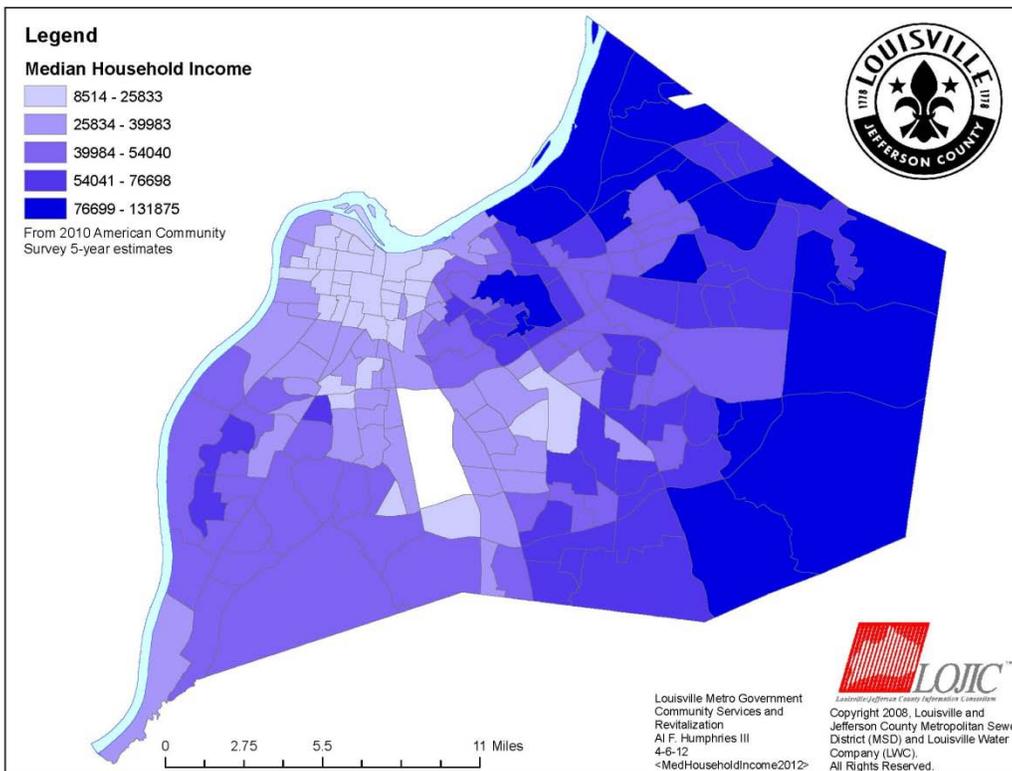
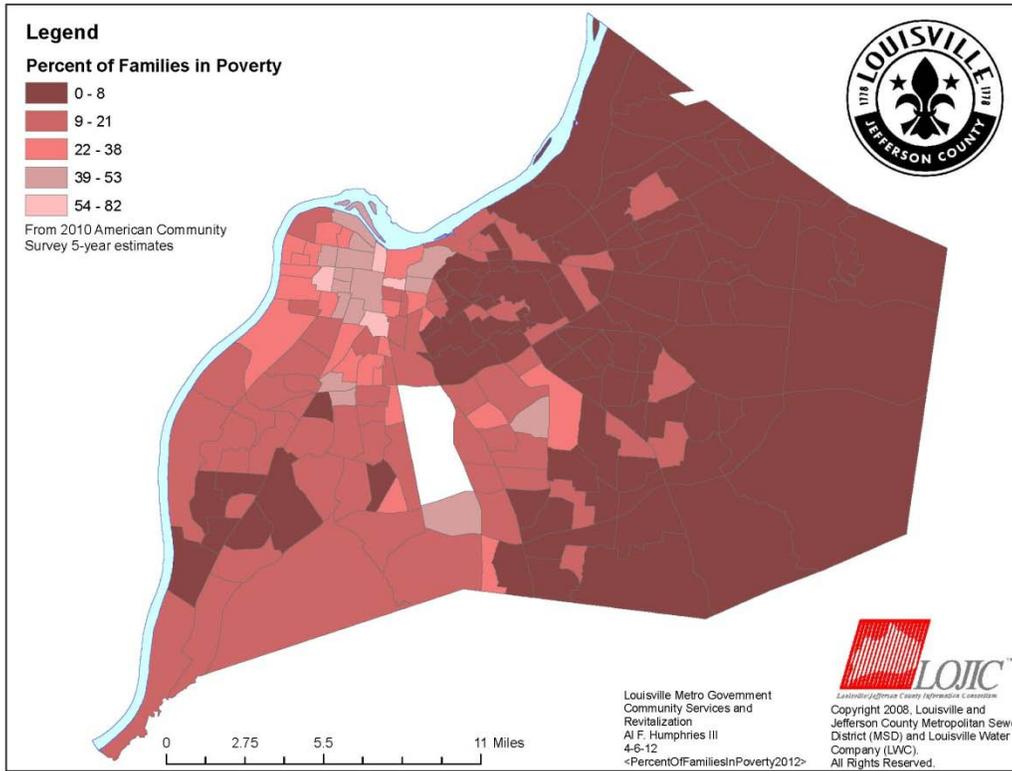
The funding amounts for CDBG, HOME, ESG, and HOPWA are based on the Federal Budget allocations and will be available during Louisville Metro Government's Fiscal Year 2013. Project specific estimates are also provided and are subject to change based on the allocation received. All programs and funding amounts will be included in Louisville Metro's Mayor's Recommended FY 2013 budget and should be considered tentative until approved by the Louisville Metro Council.

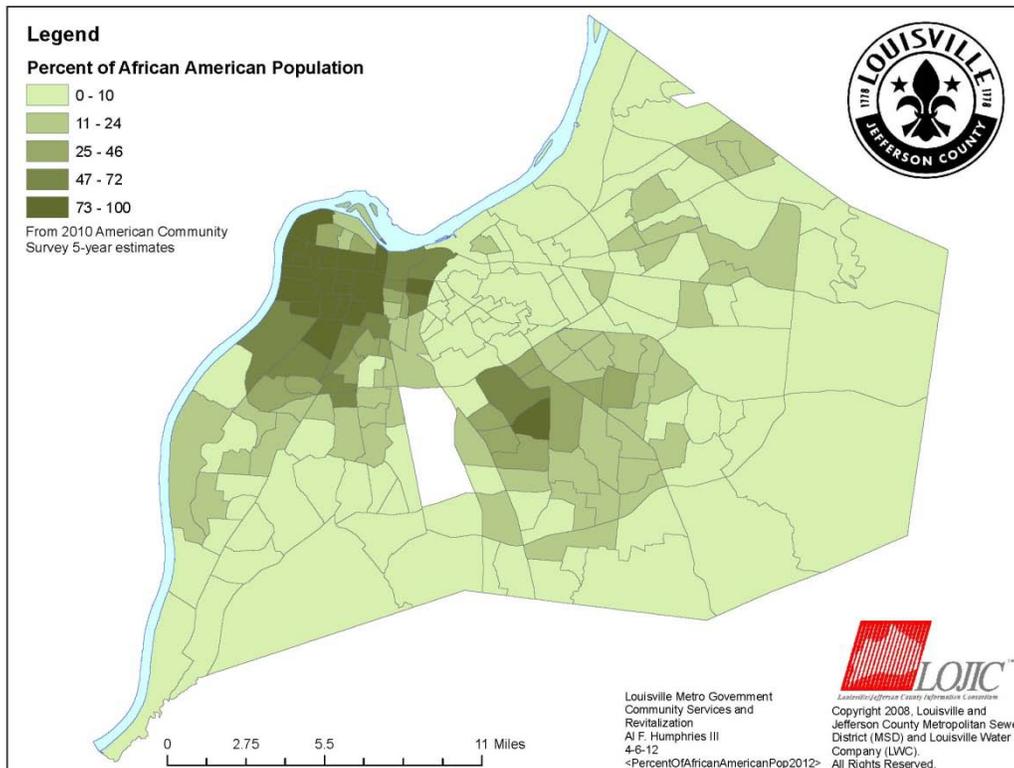
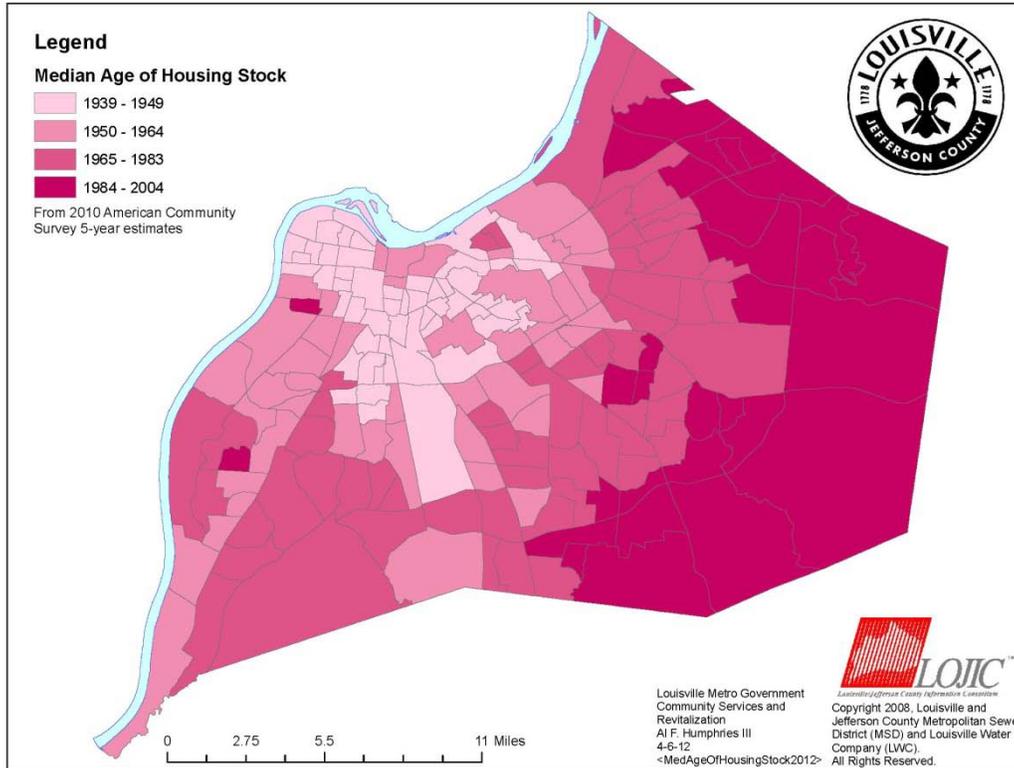
## Areas of Geographic Concentration

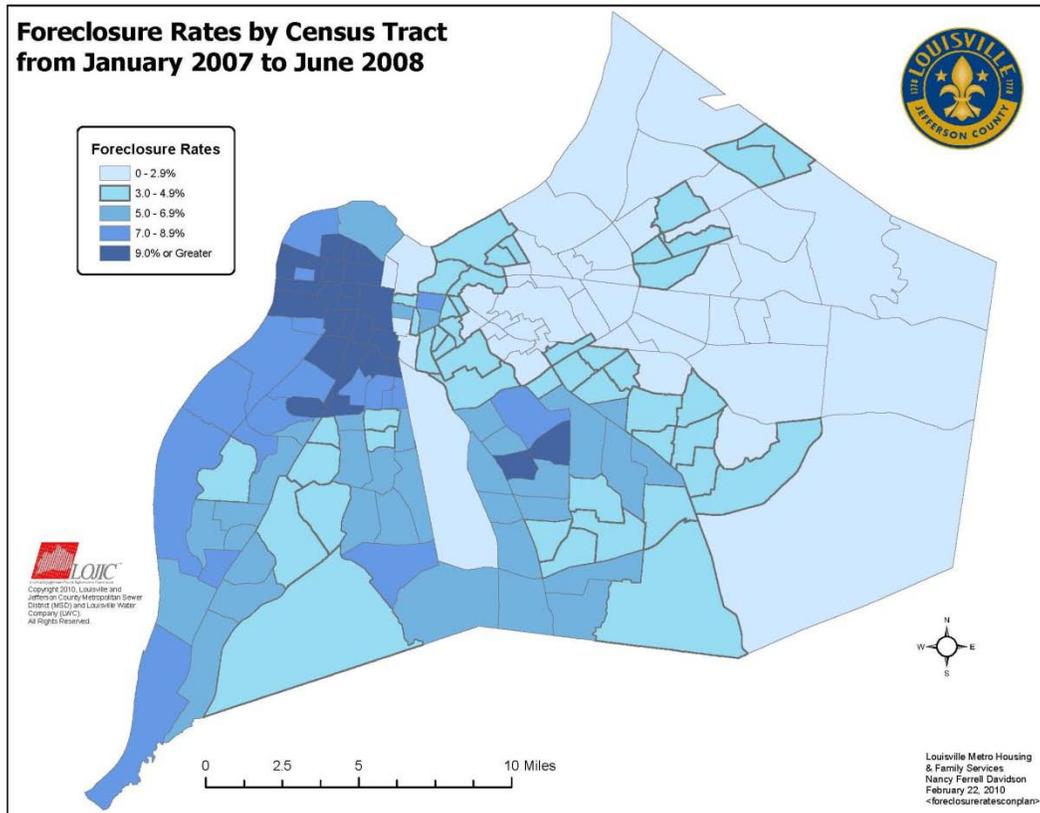
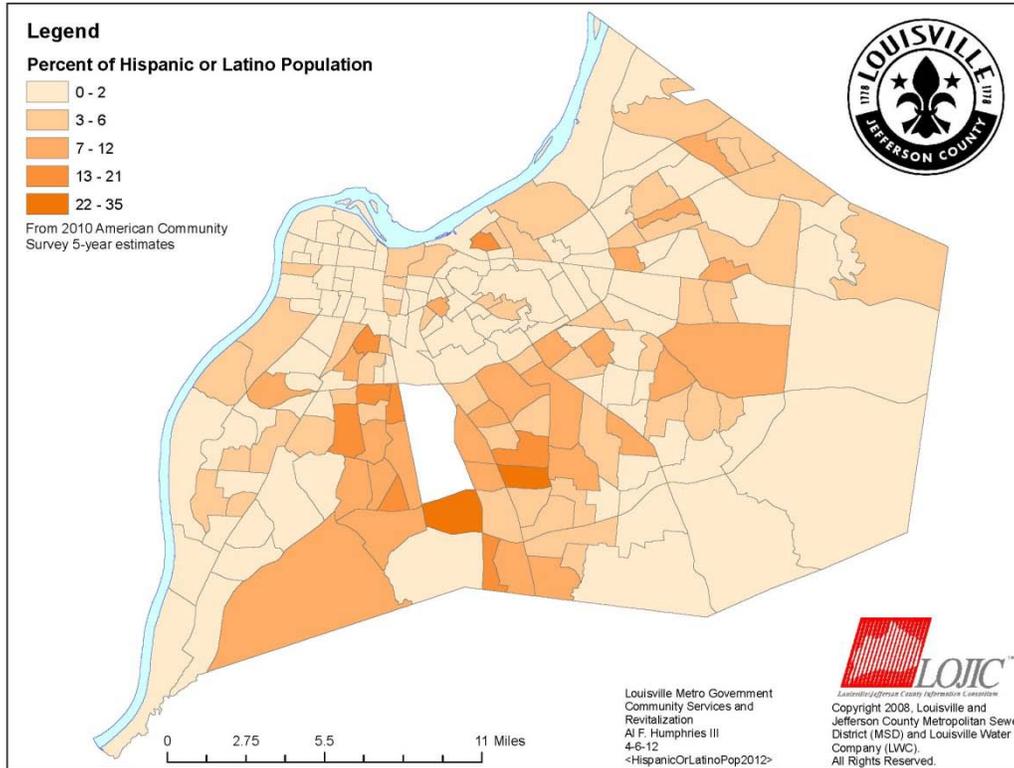
Louisville Metro Government plans a targeted approach to programs during Program Year 2012. The Shawnee Neighborhood will be the focus of the Neighborhood Revitalization Strategy Area (NRSA). Each of the programs available within Louisville Metro Community Services and Revitalization will be marketed toward the households within the Shawnee Neighborhood. All of the Louisville Metro HUD-funded programs are available throughout the Metro area. The maps on the following pages illustrate areas of low-mod and racial/ethnic concentration.

### Low to Moderate Income by Census Tract Blockgroups









Funding for HOPWA activities will be awarded on a renewal bases by LMCSR. Eligible areas of service for HOPWA within the Louisville Metropolitan Statistical Area (MSA) include Bullitt, Henry, Jefferson, Meade, Nelson, Oldham, Shelby, Spencer and Trimble Counties in Kentucky and Clark, Floyd, Harrison, and Washington Counties in Indiana.

During the development of the 2010 – 2014 Consolidated Plan, Louisville Metro identified five neighborhoods as potential NRSAs. In Program Year 2012 a plan will be submitted to authorize the Shawnee neighborhood as a NRSA.

The Consolidated Plan's proposed NRSAs were selected based on public input during the Consolidated Plan needs assessment process as well as a review of neighborhood specific data related to housing issues (cost burden, vacant and abandoned structures, code violations, property values, housing stock age and condition, foreclosures, etc.). Other considerations included economic and community development needs, department priorities, and the existing conditions that could contribute to NRSA success or failure.

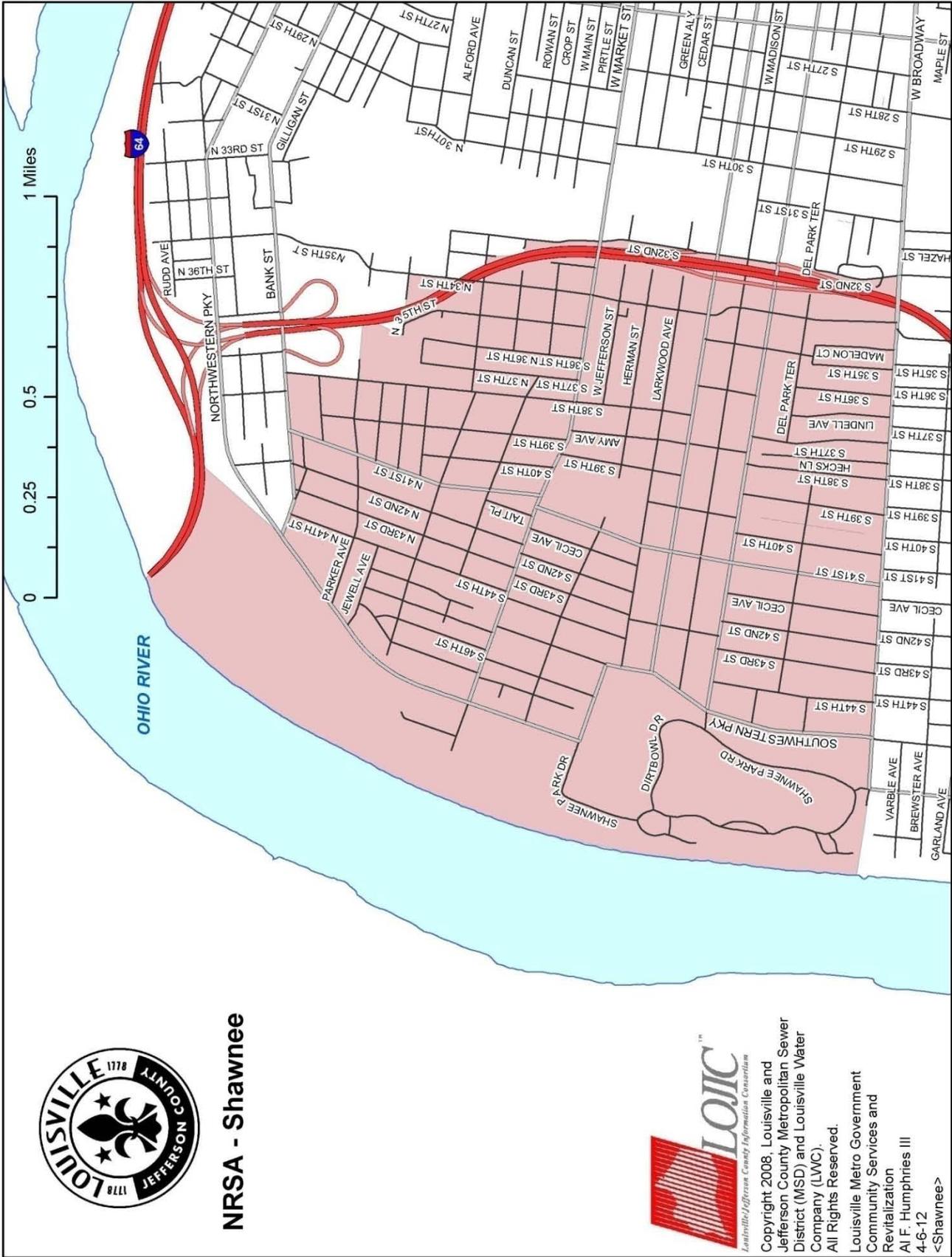
#### Shawnee Neighborhood Revitalization Strategy Area

Louisville Metro defines Shawnee as the area contained within Census Tracts 4 and 7. Based on the Neighborhood Plan for Shawnee, the boundaries of this area, as defined by existing features, are Northwestern Parkway/the Ohio River in the North, interstate I-264 in the East, West Broadway in the South, and the Ohio River in the West. The proposed NRSA is an area of disproportionate racial and low-income concentration.

The neighborhood is bordered by West Broadway, which is one of the city's primary east-west routes into and out of downtown. Shawnee is in close proximity to Louisville's large downtown medical facilities and complexes and is also home to a relatively new health clinic, the Shawnee Christian Health Clinic, which offers affordable health care based on income.

One factor that will help Shawnee to be a successful NRSA is the existence of their neighborhood association, The Shawnee Neighborhood Association (SNA). This association formed in 2007 and is already active in many areas of interest to the residents of Shawnee. The SNA has informed and engaged the community on topics such as zoning, community cleanups, Weed & Seed, crime prevention, and neighborhood development initiatives.

In program year 2012, \$400,000 in CDBG funding is proposed to be devoted to the NRSA planning initiative. A map outlining the proposed NRSA and further statistics on Shawnee are summarized on the following pages.



**NRSA - Shawnee**



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 Louisville Metro Government  
 Community Services and Revitalization  
 Al F. Humphries III  
 4-6-12  
 <Shawnee>

<b>Data</b>	<b>Jefferson County #</b>	<b>Jefferson County %</b>	<b>Shawnee #</b>	<b>Shawnee %</b>
<b>Total Population (2010 Census)</b>	680,789		8,089	
<b>2009 Low/Moderate Income Population</b>	284,553	41.8%	5,324	66.0%
<b>Racial/Ethnic Demographics</b>				
Black or African-American	154,246	20.8%	6,698	83.0%
White	538,714	72.7%	1,330	17.0%
Hispanic (any race)	32,542	4.4%	21	0.27%
<b>Total Persons Below Poverty</b>		12.4%		34.0%
Children (under age 18) in poverty	30,604	18.5%	928	41.0%
Elderly persons (over age 65) in poverty	7,811	8.8%	177	18.0%
<b>Median Household Income</b>	\$ 45,352		\$33,100 (Tract 4) \$25,106 (Tract 7)	
<b>Age of Housing Stock</b>				
Total Housing Stock	334,091		3,479	
2005 or later	10,005	3%	28	.8%
2000 to 2004	25,705	7.7%	23	.7%
1990 to 1999	36,807	11%	101	2.9%
1980 to 1989	26,704	8%	79	2.3%
1970 to 1979	50,796	15.2%	96	2.8%
1960 to 1969	51,206	15.3%	135	3.8%
1950 to 1959	53,711	16.1%	573	16.5%
1940 to 1959	26,344	7.9%	755	21.7%
1939 or earlier	52,813	15.8%	1,689	48.5%

## **Obstacles to Meeting Underserved Needs**

The biggest challenge to meeting the needs of underserved populations in Louisville Metro remains a lack of resources. Due to current economic conditions and with the majority of federal funding sources experiencing reductions, Louisville Metro has chosen to focus on activities that will address the basic tenants of the Consolidated Plan.

Activities such as Emergency Repair, Weatherization, Homeownership Counseling, Rental Development, and assistance to Community Housing Development Organizations will assist low-income populations in securing safe, clean and affordable housing.

Economic Development activities such as the Microenterprise development and loan program will assist businesses seeking to expand, create jobs that will be available to low-income individuals and provide assistance, training, and technical support to low-income individuals planning to start a business. Creating economic opportunities for low-income individuals and their families has become increasingly important as the Louisville/Jefferson County MSA unemployment rate has continued to remain high, reaching 11% in February 2012 according to the Bureau of Labor Statistics. Funding for activities such as the Family Economic Success program and the Community Action Partnership Community Outreach program will provide financial literacy and skills training to low-income individuals that will put them on the path to self-sufficiency.

The Code Enforcement and Vacant Lot Demolition programs will increase the safety and livability of the community, particularly in low income areas.

Particularly vulnerable homeless and special needs populations will be assisted through programs within the department and financial assistance to nonprofit subrecipients. Homeless individuals and families will be supported through CDBG and ESG funding distributed to nonprofit subrecipients. HOPWA funding will be distributed to subrecipients to provide supportive services, tenant-based rental assistance, or short-term rent, mortgage or utility assistance to individuals suffering from HIV/AIDS and their families. Direct support to homeless individuals and families in securing housing will be provided through a HOME funded tenant based rental assistance (TBRA) program. A ramp construction program will increase the mobility and self-sufficiency of individuals with physical disabilities.

### Available Federal Resources

In program year 2012 Louisville Metro expects to receive the following amounts of federal funding to address issues relevant to Strategic Plan objectives.

<b>Expected Federal Resources: Program Year 2012</b>	
CDBG	\$ 9,745,052.00
HOME	\$ 2,518,531.00
ESG	\$ 933,274.00
HOPWA	\$ 557,629.00
Neighborhood Stabilization Program (Direct HUD Allocation, 3 Year Award = \$6,973,721)	\$ 2,324,574.00
Neighborhood Stabilization Program (State Pass-Through, 3 Year Award = \$3,500,000)	\$ 1,166,667.00
Lead Hazard Control Grant (3 Year Award = \$2,724,823)	\$ 908,274.00
LMCSR Shelter Plus Care Grants	\$ 2,003,496.00
LMCSR Supportive Housing Grants	\$ 104,261.00
Supportive Housing Pass-Through from KHC	\$ 12,379.00
Section 8	\$ 71,685,381.00

In addition, Louisville Metro is providing \$565,000 in general funds as cash match for the HOME Investment Partnership program.

<b>COMMUNITY DEVELOPMENT BLOCK GRANT - 2012 ACTION PLAN BUDGET</b>		
<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	<b>CATEGORY TOTALS</b>
<b>HOUSING</b>		
Residential Programs Delivery	\$ 760,700	
Residential Repair Program	\$ 1,813,000	
<b>Total Housing</b>		\$ 2,573,700
<b>NEIGHBORHOOD REVITALIZATION STRATEGY AREA</b>		
Shawnee Neighborhood Revitalization Strategy Area	\$ 400,000	
<b>Total NRSA</b>		\$ 400,000
<b>PUBLIC FACILITIES AND IMPROVEMENTS</b>		
Smoketown HOPE VI	\$ 6,264,000	
<b>Total Public Facilities and Improvements</b>		\$ 6,264,000
<b>CLEARANCE</b>		
Vacant Properties Demolition	\$ 490,000	
<b>Total Clearance</b>		\$ 490,000
<b>PUBLIC SERVICE</b>		
Homeless Services	\$ 948,000	
Out of School Time (OOST) Enrichment	\$ 75,000	
Homeownership Counseling	\$ 90,000	
Family Economic Success Program	\$ 330,400	
Community Outreach CAP	\$ 108,300	
<b>Total Public Service</b>		\$ 1,551,700
<b>CODE ENFORCEMENT</b>		
Code Enforcement	\$ 975,000	
<b>Total Code Enforcement</b>		\$ 975,000
<b>ECONOMIC DEVELOPMENT</b>		
CAP Micro-Enterprise Assistance	\$ 181,800	
Micro-Enterprise/New Business Development Loans	\$ 250,000	
<b>Total Economic Development</b>		\$ 431,800
<b>ADMINISTRATION AND PLANNING</b>		
Urban Design/Landmarks	\$ 155,000	
CSR Department Services	\$ 1,273,900	
Community Centers Plan	\$ 25,000	
Human Relations - Fair Housing	\$ 70,000	
Urban League - Fair Housing	\$ 23,000	
HMIS Grant Match	\$ 12,500	
Continuum of Care	\$ 65,000	
Indirect Cost	\$ 440,000	
<b>Total Administration and Planning</b>		\$ 2,064,400
<b>TOTAL CDBG 2012 ACTION PLAN BUDGET</b>		\$ 14,750,600

<b>CDBG 2012 ACTION PLAN BUDGET - CAP CALCULATIONS</b>			
<b><u>Action Plan Category</u></b>	<b><u>Amount</u></b>	<b><u>% of Total*</u></b>	<b><u>Calculation</u></b>
Public Service	\$ 1,551,700	15.00%	=1,551,700/10,345,052
Administration & Planning	\$ 2,064,400	19.96%	=2,064,400/10,345,052
<b>Total CDBG 2012 ACTION PLAN BUDGET*</b>	<b>\$ 10,345,052</b>		

\*The CDBG 2012 Action Plan budget of \$14,750,600 is comprised up of \$9,745,052 of entitlement funds, estimated program income of \$600,000 and carryforward funding of \$4,405,500. The Public Service and Administration & Planning Caps are calculated based on entitlement and program income funds only.

<b>HOME INVESTMENT PARTNERSHIP PROGRAM - 2012 ACTION PLAN BUDGET</b>		
<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Community Housing Development Organization (CHDO)	\$	2,600,000
Rental Development Program	\$	2,752,700
Tenant Based Rental Assistance	\$	400,000
HOME Administration	\$	276,400
<b>Total HOME 2012 ACTION PLAN BUDGET</b>	<b>\$</b>	<b>6,029,100</b>

<b>HOME 2012 ACTION PLAN BUDGET - CAP CALCULATIONS</b>			
<b><u>Action Plan Category</u></b>	<b><u>Amount</u></b>	<b><u>% of Total*</u></b>	<b><u>Calculation</u></b>
Administration & Planning	<u>\$ 276,400</u>	<u>9.81%</u>	=276,400/2,818,531
<b>Total HOME 2012 ACTION PLAN BUDGET*</b>	<b><u>\$ 2,818,531</u></b>		

\*The HOME 2012 Action Plan budget of \$6,029,100 is comprised up of \$2,518,531 of entitlement funds, estimated program income of \$300,000 and carryforward funding of \$3,210,600. The Administration & Planning Cap is calculated based on entitlement and program income funds only.

<b>EMERGENCY SOLUTIONS GRANT - 2012 ACTION PLAN BUDGET</b>		
<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Emergency Shelter	\$	374,400
Prevention	\$	95,200
Street Outreach	\$	27,800
Rapid Re-Housing	\$	365,900
ESG Administration (7.5%)	\$	70,000
<b>Total ESG 2011 ACTION PLAN BUDGET</b>	<b>\$</b>	<b>933,300</b>

<b>HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS - 2012 ACTION PLAN BUDGET</b>		
<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Housing Assistance and Supportive Services	\$	540,900
HOPWA Administration (3%)	\$	16,700
<b>Total HOPWA 2011 ACTION PLAN BUDGET</b>	<b>\$</b>	<b>557,600</b>

## Lead Agency

With a unique blend of housing, human services, anti-poverty programs and community linkages, the LMCSR is the lead agency for preparing, administering, reporting, and monitoring Louisville Metro Government's five-year Consolidated Plan, annual Action Plan and NRSA designations. LMCSR is made up of three divisions. The Community Services division provides all of the client-based services within the Department. The Community Revitalization division manages all of the development-related projects. The Compliance and Administration division manages all of the Department's subrecipients, develops funding plans and prepares other grant applications, and conducts monitorings.

LMCSR works with other Louisville Metro departments to carry-out multiple activities. These departments include Public Works and Assets, Codes and Regulations, Economic Growth and Innovation, Public Health and Wellness, and the Human Relations Commission. In addition, LMCSR works directly with over 85 non-profit agencies, historically providing them with support through an External Agency Fund (funded with general fund dollars), to provide housing and human services to the community. Many of these agencies are also involved in Continuum of Care (CoC) or entitlement funded activities.

LMCSR, through its Neighborhood Place partnerships, maintains a service network with the Kentucky Department of Community Based Services (Temporary Assistance for Needy Families, Supplemental Assistance Nutrition Program, Medicare, Medicaid, Kentucky Children's Health Insurance Program and Child Protective Services), Jefferson County Public Schools, Seven Counties Services (mental health and substance abuse service provider), Public Health and Wellness, and KentuckianaWorks (the area's Workforce Investment Board).

## Plan Development

As the third year of activities covered by the 2010 to 2014 Consolidated Plan, the 2012 Action Plan has mainly been seen as a continuation of those extensively developed priorities. In the past year LMCSR has worked to strengthen our relationships with stakeholders in the community and to remain constantly aware of their changing needs and priorities. The Interim Director of LMCSR consulted with leadership from other Metro departments, including Codes and Regulations, Public Works and Assets, Economic Growth and Innovation and the Human Relations Commission to discuss their program needs and capacity in the upcoming year.

A portion of CDBG funding, \$948,000, will be distributed to subrecipients who work primarily with homeless populations via a panel process. A mandatory orientation for all potential CDBG applicants was held on February 17, 2012 and all applications for this funding were due on March 16, 2012. Scoring criteria for applications were made available online prior to the submission deadline. Recommendations for funding by the panel will be included in the Mayor's Recommended FY13 budget, which must be approved by the Louisville Metro Council.

### **2012 CDBG Homeless Service Grants Panel Members**

Delores Delahanty – Retired from the Social Services sector, volunteer and community advocate

Kelly Downard – Louisville Metro Councilman, District 16

Jim King – Louisville Metro Council President, District 10  
Chris Locke – Vice-President, Operations, Bridgepoint Centre  
John Nevitt – Senior Manager of Human Needs, Metro United Way  
Mary Mills – Retired from Kentuckiana Words, volunteer, and community advocate

Another portion of CDBG funding, \$75,000, will be distributed to subrecipients who provide Out of School Time (OOST) programming for youth. These funds were allocated via a panel process in coordination with Louisville Metro’s Family Service Fund grants allocation process.

**2012 Family Service Fund Panel Members (includes OOST fund recommendations)**

Sandra Frazier, President, Tandem Public Relations  
Dodie L. McKenzie, Grants Director, James Graham Brown Foundation  
Jerry Miller, Louisville Metro Councilman, District 19  
Mary Mills – Retired from Kentuckiana Words, volunteer, and community advocate  
Joe Spalding, Director, BBB Wise Giving  
Daryle W. Unsel Jr., Regional Manager, Community Impact, Metro United Way  
Vicki Aubrey-Welch, Louisville Metro Councilwoman, District 13

Due to the changes to the ESG program outlined in the Interim Rule published in December of 2011, Louisville Metro decided to forgo the usual funding process for ESG for one year in favor of an approach that prioritized collaboration and consultation with the CoC. There was an ESG Information Session for all interested parties on February 17<sup>th</sup>, 2012 and a presentation regarding ESG was made to the CoC on March 5<sup>th</sup>, 2012. A collaboration and consultation plan was established, which is outlined in the Substantial Amendment for FY12 funding. ESG subrecipients will submit proposals directly to LMCSR’s Compliance and Administration division.

With the exception of set-asides for administrative costs, all HOPWA funding is distributed to local subrecipients. Due to the generally consistent nature of the HOPWA funding, Louisville Metro renewed funding for HOPWA subrecipients. HOPWA subrecipients submitted proposals directly to the LMCSR’s Compliance and Administration division, where the proposals were reviewed and recommended for funding.

**Coordination**

LMCSR leadership and staff meet regularly with community partners and represent LMCSR on a number of committees and coalitions. These connections allow for better collaboration and communication between all involved government, non-profit, and private partners.

LMCSR is represented on the following committees, working groups, or task forces by the Office on Homelessness: The Coalition for the Homeless, Board of Directors; the Louisville Continuum of Care Advisory Board; Kentucky Interagency Council on Homelessness; JCPS Homeless Students Planning Team; House Bill 843 Council which bring together mental health and homeless policy experts; Project Homeless Connect Planning Team; Housing Options Task Force; and the Affordable Housing Task Force.

Regular meetings have also been held between LMCSR, the department of Codes and Regulations, the Human Relations Commission, and the Metropolitan Housing Coalition to address the barriers identified in the 2010 Analysis of Impediments to Fair Housing.

## **Institutional Structure**

LMCSR is responsible for the implementation of CDBG, HOME, ESG and HOPWA entitlement programs for Louisville/Jefferson County Metro Government. Within the department's institutional structure the Compliance and Administration (C&A) division oversees grants and contracts (from pre-application to close-out) for each of the organizations three divisions.

The C&A division is responsible for:

- Coordinating Consolidated Plan and Action Plan activities with other Metro agencies, including the Louisville Metro Housing Authority;
- Ensuring programmatic and financial reporting requirements are met;
- Producing the Consolidated Plan and Annual Action Plans, including the facilitation of citizen participation;
- Preparing the Consolidated Annual Performance and Evaluation Report (CAPER);
- Ensuring compliance with labor standards, environmental review, conflict of interest, Section 3, Fair Housing, and additional compliance issues;
- Assuring adherence to affordability periods;
- Handling citizen complaints regarding LMCSR's federal grant programs;
- Management of subrecipients, including all subrecipients funded through the External Agency Fund (general fund dollars);
- Monitoring outside recipients for compliance with federal regulations;
- Integrated Disbursement and Information System (IDIS) maintenance (project/activity set-up and closeout).

The C&A division has developed reporting templates, based on funding source requirements, for LMCSR program staff and sub-recipients to complete monthly.

While continuing formal desk and on-site monitoring, in the past program year the C&A division has moved towards a technical assistance model for subrecipients, attempting to address any individual compliance issues as they arise. This approach is designed to help subrecipients head off potential issues or to correct them early, resulting in the most efficient use of entitlement funding. This practice will continue in the upcoming program year to further strengthen the effectiveness of available funding as well as continue to build valuable relationships with community partners.

The C&A division will provide necessary training or arrange for technical assistance in partnership with the US Department of Housing and Urban Development's (HUD) Louisville Field Office for LMCSR program staff, staff at Metro partner agencies and external subrecipients to enhance administration and performance during Program Year 2012.

## Monitoring

As part of its responsibilities for the monitoring of all subrecipients, the C&A division performs risk assessments for each subrecipient to identify the potential risk level for non-compliance prior to the start of the program year based on prior monitoring results or, in rare cases, based on information which raises concerns reported from other agencies or external sources. Subrecipients found to be at low risk receive desk reviews (a low risk assessment cannot reduce minimum on-site monitoring standards). Those found to be at high risk receive a more comprehensive on-site review or the already established minimum on-site reviews may be conducted more frequently. A monitoring schedule is established annually.

To ensure compliance, the C&A division uses checklists which have been developed to ensure monitoring staff review programs in accordance to regulatory requirements specific to each funding source - CDBG, HOME, ESG or HOPWA. The checklists are also specialized to accommodate for the type of review being provided, Desk or On-site reviews.

LMCSR is dedicated to continued improvement of financial and programmatic oversight of subrecipients. During Program Year 2012, the monitoring tasks will continue to be centralized within the C&A division of LMCSR. The C&A division now reviews and approves pay requests from the subrecipients as well, which increases the opportunity for early intervention in problem projects.

### **Desk Review:**

- Examine both routine and special reports from program staff, housing owners/developers/sponsors, subrecipients, and subgrantees. This type of monitoring identifies potential problems by analyzing in-house documentation. Monitoring staff review the following to assess performance and look for indicators of performance or compliance deficiencies:
  - Work Program and Budgets and/or written agreements
    - Including loan agreements, if applicable
  - Monthly reports
  - Occupancy reports
    - Including tenant eligibility
  - Financial documents
    - Including audit reports
  - Draw-down requests and supporting documentation
  - Applicable IDIS reports
  - Correspondence between in-house staff and the funding recipient
    - Including telephone interviews
  - Reports from previous monitoring reviews
- Review and enhance on-site monitoring schedule based on desk review results if necessary.
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered.

This information enables the monitoring staff to evaluate performance and identify any compliance issues.

**On-Site Review:**

- Visit the program or project to gather specific information and observe programmatic and administrative elements. Steps in conducting an on-site monitoring are as follows:
  - Perform a desk review
  - Conduct the monitoring visit
    - Entrance interview
    - Review a sample (10-20% depending on funding source) of program and project files for compliance with program regulations and eligibility
    - Compare file information with any reports received from subrecipient
    - Perform physical inspection (if applicable)
    - Exit interview
- Prepare and submit to the subgrantee or subrecipient a finalized report detailing any findings and concerns discovered (and any necessary follow-up).

**Inspections:**

In addition to performing Desk and On-site reviews of our subrecipients, projects funded with federal dollars are also inspected for compliance with local codes and rehabilitation standards.

HOME funded projects are inspected for compliance with local code requirements throughout their affordability period. The intervals by which we schedule inspections depend on the number of units within each project which coincides with the on-site monitoring schedule. As required by HOME regulations, projects are inspected (and monitored on-site) based on the total units (not just HOME units) threshold as follows: projects containing 1-4 units are inspected every 3 years, projects containing 5 to 25 units are inspected every 2 years and projects containing more than 25 units are inspected annually. A minimum of 10-20% of units are inspected (preferably the same units as the file reviews) including one unit in each building housing a HOME unit.

For CDBG funded projects, inspections are conducted as needed throughout the term of the loan to ensure they meet local code requirements.

For HOME funded TBRA projects, inspections occur both prior to lease and annually, throughout the term of the rental assistance. The unit inspections also serve to verify compliance with required occupancy standards in relation to the size of each household.

For HOPWA funded projects, units are inspected to ensure that they meet habitability standards in addition to local code requirements, as required by HOPWA regulations.

For ESG funded renovation projects, inspections are performed to ensure the facility is in compliance with local habitability standards.

As with the desk review, this information enables the monitoring staff to assess performance and identify any compliance issues.

All federally funded subrecipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics and delays or problems encountered in meeting their benchmarks. LMCSR program staff reviews monthly reports to assess the progress of each activity, evaluate expenditure rates and determine if the subrecipient is carrying out the activity as planned or whether technical assistance might be necessary to assist the subrecipient and ensure compliance with regulations.

LMCSR staff, along with the other Metro agencies receiving Consolidated Plan funding, are also required to prepare and submit regular performance reports to the C&A division. With the responsibility for maintaining the IDIS system for performance measures, the C&A division regularly reviews the programmatic and financial information within the database to monitor the department's performance, including the timeliness of expenditures.

All monitoring activities are conducted as prescribed by the regulations appropriate to the respective funding source. As part of its commitment to continual improvement, LMCSR will regularly review and enhance its monitoring processes and procedures in accordance with grant and audit guidelines.

The monitoring schedules are included below.

<b>MONITORING SCHEDULE</b>		
<b>Program Year 2012</b>		
CDBG, NSP, HOME ESG, HOPWA, SPC, HPRP		
<b>Project Name</b>	<b>Funding Source</b>	<b>Month of Review</b>
SPC TBRA	SPC	July
SPC V	SPC	July
Transitional Housing - Bellewood	ESG	July
Center for Women & Families	ESG	July
Choices - Norma's House Transitional Housing	ESG	July
SHP Case Mgmt	SPC	July
Glade House	SPC	August
Kersey Condo	SPC	August
St. Vincent de Paul Apts	SPC	August
Center of Hope - Salvation Army	ESG	August
Wellspring, Schizophrenia Foundation	ESG	August
Ozamam Inn, St, Vincent DePaul	ESG	August
Simon Hall	SPC	September
NSP - 1 ( Boxelder )	NSP	September
NSP - State	NSP	September
KY Refugee Ministries	CDBG	September
Volunteers of America	ESG	September
Wayside Christian Mission - Emergency Shelter	ESG	September
METCO Loan Program	CDBG	October
Sidewalk Improvements	CDBG	October
Park DuValle Grocery	CDBG-R	October
White Flag, QAS, Education - Coalition for the Homeless	CDBG	October
Hospitality Program - Jeff St. Baptist @ Liberty	CDBG	October
Family & Children's First	HPRP	October
Western Library	CDBG	November
Algonquin Park Pavillion	CDBG	November
Goodwill KY - Renovation	CDBG	November
Legal Aid - Residents in Crisis	HPRP	November
Legal Aid - Homeownership Counseling	CDBG	November
Legal Aid - HOPWA	HOPWA	November
Legal Aid - Foreclosure Counseling and Education	CDBG	November
Roof Repair Program - NDHC	CDBG	December
New Directions - Transitional Services	ESG	December
YMCA - Street Outreach Services	CDBG	December
Street Improvements	CDBG	January
Petersburg Tennis Courts	CDBG	January
Shawnee Library	CDBG	January
YMCA - Shelter House and Family Mediations	CDBG	January
St. John Center - Emergency Day Shelter	CDBG	January
Ramps Program - CAL	CDBG	February
Portland NRSA	CDBG	February
St. John Center - Residential Recovery	CDBG	February
LMHA	NSP	March
REBOUND, Inc.	NSP	March
NDHC	NSP	March
SHAP - Volunteers of America KY	HOPWA	March
Habitat for Humanity	NSP	April
YouthBuild	NSP	April
Housing Counseling - HPI., Inc.	CDBG	April
Housing Counseling - LUL	CDBG	April
Southwest Community Ministries	CDBG	May
House of Ruth	HOPWA	May
Neighborhood Institute -	HOME/CHDO	May
REBOUND INC - Louisville Urban League	HOME/CHDO	May
At-Risk Family Services - Family Scholar House	CDBG	June
Family & Children's Place - (Capital Project)	CDBG	June
River City Housing -	HOME/CHDO	June

<b>Program Year 2012 Rental Inspection Schedule (CDBG/HOME)</b>	
<b>Project Name</b>	<b>Project Address</b>
<b>July-12</b>	
Alexander, Kimberly	1400 S. Floyd St
Alexander, Kimberly	1402 S. Floyd St
Clark Rentals	716 S. 16th St
Clark Rentals	2415 W. Chestnut St
<b>August-12</b>	
Arcadia	1422 Arcade Ave
Berkley, Charles	1934 W. Jefferson
Brook Street Apts.	1251S. Brook St
Brook Land Trust	1132-1134 S. Brook St
<b>September-12</b>	
Cornerstone Apartments	236 E. Kentucky
Day Spring Woods	3417 Community Horizon Circle
Directions Apartments	446 Amy Avenue
<b>October-12</b>	
Oracle Single Family Homes 2010	scattered site
Henry Greene Apts.	1005 W. Jefferson
<b>November-12</b>	
Louisville Scholar House	401-09 Reg Smith Circle
Our Fathers House	1806 W. Jefferson St.
<b>December-12</b>	
Overlook Terrace	8027 Glimmer Way
Patricia Noori	938 S. 1st Street
Patricia Noori	930 S. 1st St.
<b>January-13</b>	
Puritan Apartments	1244 S. 4th Street
Roosevelt Partnership LTD	226 N. 17th Street
John R. Simms	2714 Rodman St.
Sober Living Apts. /Sober House	2104 Murray Ave
<b>February-13</b>	
St Denis Senior Apts	4209 Cane Run Rd
St. William Apts	1127, 37, 47, 57 S. 17th
Stephen Foster Senior Living Plus Apartments	4020 Garland Avenue
<b>March-13</b>	
Strothers Senior Apartments	1337 Dixie Hwy
United Equity Management	4919 Cawood, 4404 Newport, 4305 Norbrook
Cedar Lake Princeton	1882 Princeton Dr
<b>April-13</b>	
Coventry Commons I	4517 Cane Run Rd Bldg 3; 4519 Cane Run Rd Bldg. 4
Coventry Commons II	4510 Cane Run Rd. Bldgs. 1-5; 4512 Cane Run Rd. Bldg. 6-9
Wellspring Baxter	519-521 Baxter
<b>May-13</b>	
H. Temple Spears	1515 Cypress Street
Healing Place for Women	1613 S. 16th St
Woosley Properties, LLC	2630 Bank Street
<b>June-13</b>	
Younger Noe, Mollie	1216 S. First Street
Younger Noe, Mollie	1139 St. Catherine St.
Liberty Green Apartments Phase I	504 East Jefferson Street
St. Cecilia	2530 Slevin

## Lead-based Paint

The Lead Safe Louisville (LSL) Project, a Federal HUD lead hazard control grant (KYLHB0445-09), is in its third year of the 3 year period of performance. The purpose of the grant is to eliminate lead hazards that exist in both owner-occupied and rental units in Metro Louisville, especially those where children under six years of age live or spend a minimum of six hours per week and/or a pregnant woman lives. Total household income must be less than 80% of the area median income (AMI), adjusted for family size.

The LSL Project focuses on the following housing units: 1) those identified as being home to a child under 6 years of age with an elevated blood lead level (EBL); 2) those within the target census tracts/neighborhoods due to age of home and income level (low income) of residents; 3) multi-family units which are home to low income residents; and 4) those units Metro-wide identified as having lead hazards.

Staff conducts outreach primarily in the target neighborhoods, working with community partners to enroll residents in the program as well as raise general lead awareness. Outreach methods include lead training classes, participation in health fairs, neighborhood events, letters to property owners with visible signs of lead paint hazards, door-to-door visits to residents and owners of units identified as being high risk, and lead related articles in various newsletters.

Enrolled properties will receive a free lead inspection/risk assessment. Should lead hazards be identified, the grant will provide financial assistance in remediating the lead hazards. All lead work is performed by companies who have received lead training and are Environmental Protection Agency (EPA) certified. Abatement contractors are also certified by the State of Kentucky. Properties receiving lead assistance cannot be sold for three years. Investors who receive lead grant assistance must rent to income eligible families for three years with a preference given to families with children under six years of age thus increasing access to lead safe housing and furthering fair housing opportunities.

By December 2012, the LSL Project expects to have remediated lead hazards in a total of 214 units.

## HOUSING

### Specific Housing Objectives

The 2010 – 2014 Consolidated Plan established goals and objectives for Louisville Metro for the five year time period. Each objective lists the HUD program objective to which it corresponds and includes a measurable outcome or performance indicator. HUD's program objectives are Decent Housing (DH), a Suitable Living Environment (SL), and enhanced Economic Opportunities (EO). Next to each HUD program objective there is a number representing a HUD outcome indicator: Availability/Accessibility = 1; Affordability = 2; and Sustainability = 3. The activity line indicates which programs will conduct the work necessary to achieve the objectives and produce the outcomes. Funding sources for these activities/programs are also provided.

The Housing objectives detailed in the 2010 – 2014 Consolidated Plan that LMCSR intends to address in the upcoming program year are below. Descriptions of each individual program to be funded follow.

### 2012 Program Year Housing Objectives

**2010 – 2014 Consolidated Plan Goal 1:** Louisville Metro residents have a range of choices for safe, decent, secure and affordable housing.

**Indicators:** Number of Affordable Homes; Number of Affordable Rental Units; Homeownership Rate; Rental Vacancy Rate; Owner-Occupied Vacancy Rate; Housing Cost Burden; Number of Homeless.

**Objective 1.1:** Increase the number of affordable homes **[HUD DH-1]**  
**Outcome 1.1:** Develop 12 new units of affordable single family housing  
**Activities 1.1:** Non-profit housing development (Community Housing Development Organizations - CHDOs)  
**Funding:** HOME

**Objective 1.2:** Increase the number of affordable rental units **[HUD DH-1]**  
**Outcome 1.2:** Develop 27 new units of affordable rental housing  
**Activities 1.2:** Rental Development Program  
**Funding:** HOME and Private Investments

**Objective 1.3:** Improve energy efficiency and conservation **[HUD SL-3]**  
**Outcome 1.3:** Provide weatherization assistance to 270 households  
**Activities 1.3:** Weatherization Program  
**Funding:** CDBG and Weatherization Assistance for Low Income Persons (Department of Energy - DOE)

**Objective 1.4:** Assist low-to-moderate income households with rent **[HUD DH-2]**  
**Outcome 1.4:** Serve 190 persons  
**Activities 1.4:** Tenant Based Rental Assistance  
**Funding:** HOME

**Objective 1.6:** Preserve and improve existing, affordable owner-occupied housing **[HUD DH-3]**.  
**Outcome 1.6:** Provide assistance to 616 single family units.  
**Activities 1.6:** Emergency Repair Program and Weatherization Program  
**Funding:** CDBG and Weatherization Assistance for Low Income Persons (DOE)

**Objective 1.7:** Preserve and improve existing, affordable rental housing stock **[HUD DH-3]**.  
**Outcome 1.7:** Repair or rehab 109 units of rental housing.  
**Activities 1.7:** Weatherization Program  
**Funding:** CDBG and Weatherization Assistance for Low Income Persons (DOE)

**Objective 1.8:** Address lead-based paint hazards [HUD SL-1].  
**Outcome 1.8:** Remove or abate lead hazards in 59 units.  
**Activities 1.8:** Lead Safe Louisville Project  
**Funding:** Lead-Based Paint Hazard Control Grant, CDBG, Private Investments

**Objective 1.9:** Support non-profit housing developers as a core objective in reaching affordable housing goals [HUD SL-1].  
**Outcome 1.9:** Maintain an ongoing group of 5 CHDOs.  
**Activities 1.9:** Technical assistance and direct financial support to CHDOs  
**Funding:** HOME

### Housing Activities

The following are specific activities to be funded that will address the 2010 – 2014 Consolidated Plan Strategic Objectives outlined above.

**Emergency and Exterior Repair Program** – The Emergency and Exterior Repair program assists owner-occupants of single family homes to make emergency, health and safety and code violations repairs to their home. The homeowner must be income eligible and have lived in the home for one year or more. Rental units are not eligible. The Emergency and Exterior Repair Program covers all of Metro Louisville within the boundaries of Jefferson County. Specific emergency repairs are eligible for this program. They are:

Electrical – Conversion of old fuse boxes to breaker systems; repair/replacement of electrical components considered hazardous by the Fire department or Metro Louisville Electrical Inspectors.

Heating Systems and Air Conditioning – Replace or repair non-functioning heating systems or duct work if necessary. Install or replace air conditioning systems upon written documentation from a physician confirming a medical necessity.

Plumbing – Replacement of nonfunctioning hot water heaters, repair/replacement of gas or water supply lines from utility connection to the house; and sewer lines from MSD connection from the street to the house.

Exterior repairs – Windows, doors, steps/railings, painting/siding, roofing, gutters, downspouts, etc.

**Estimated Program Year 2012 Funding:** \$1,377,000

**Estimated Number of Households to Receive Assistance:** 275

**Metro-Wide Weatherization Supplement** – This program, utilized to supplement funds provided by the U.S. Department of Energy through Community Action Kentucky, is designed to provide assistance to homeowners needing insulation, weather-stripping and repair and/or replacement of energy systems in the form of a grant. These repairs/replacements include, but are not limited to: furnaces, water heater, duct work, and carbon dioxide and smoke detectors.

**Estimated Program Year 2012 Funding:** \$246,300 plus Weatherization Assistance for Low Income Persons (DOE)

**Estimated Number of Households to Receive Assistance:** 250

**Community Housing Development Organization (CHDO) Program** – A Community Housing Development Organization (CHDO) is a private nonprofit, community-based service organization that has achieved a special designation as a developer of affordable housing. Louisville Metro Government, as the Participating Jurisdiction (PJ), must reserve no less than 15 percent of HOME funds for investment in housing to be developed, sponsored, or owned by community housing development organizations. The CHDO must be the developer, owner, and/or sponsor of the HOME-assisted housing in order to access the CHDO set-aside.

Louisville Metro Government supports CHDOs by providing the following:

- Access to operating support
- Access to training and technical assistance in housing development and management
- Access to construction financing
- Access to gap financing
- The opportunity to earn reasonable developer fees
- Access to retention of CHDO proceeds

Louisville Metro currently provides support to three CHDOs. The current projects include acquisition, new construction and rehab, and resale of single family housing for homeownership. Future projects may also include acquisition, and rehab of single family and multifamily rental projects, with rent to own options.

CHDO - River City Housing, Inc.

As Louisville's oldest active CHDO, River City Housing, Inc. has been developing housing since 1992 when Eastern Area Community Ministries, St. Matthews Area Community Ministries, and United Crescent Hill Community Ministries came together to form the organization. River City Housing, Inc. has built more than 100 homes for low and moderate income families throughout the Louisville Metropolitan area. In recent years, they have expanded their skills to include acquisition and rehabilitation of existing houses. River City Housing, Inc. is currently operating under a development agreement to produce nine homes worth \$873,000 in CHDO Set-Aside funds.

CHDO - REBOUND, Inc.

Having been newly-reorganized and certified as a CHDO in 2009, the mission of REBOUND, Inc. is to facilitate the purchase of homes by persons of low and moderate income. REBOUND will develop decent and affordable homes through construction of new and rehabilitated housing, and promote the rebuilding of urban neighborhoods throughout Metropolitan Louisville. REBOUND, Inc is currently operating under a development agreement worth \$641,665 in CHDO set-aside funds to produce four homes.

The Neighborhood Institute, Inc.

The newest CHDO in Louisville, The Neighborhood Institute, Inc., is focusing on rehabbing houses for sale to persons of low and moderate income. Louisville Metro recently signed a development agreement worth \$300,000 in CHDO Set-Aside funds for the rehab of two single family houses.

**Estimated Program Year 2012 Funding:** \$2,600,300

**Estimated Number of Rental/Homeownership Units to be Developed:** 17

**Rental Development Program** – The Rental Development program provides the minimum financial assistance (gap financing) to a project which results in rental units affordable to households making less than 60% AMI. Assistance may be provided for acquisition, new construction, substantial rehabilitation, or adaptive reuse. The Rental Development Program does not provide subsidies to refinance existing debt or inject funds into a project that has already received HOME funds.

1. Other forms of investment utilized by participants might include Low-Income Housing Tax Credits, HUD 202 (supportive housing for the elderly) or HUD 811 (supportive housing for persons with disabilities) loans, funding from Federal Home Loan Banks, funding from the Affordable Housing Trust Fund, etc.
2. All Rental Development Program projects must meet certain written standards:
  - a. Acquisition only – upon completion of the development, the project must meet state and local housing quality stands and code requirements.
  - b. New construction – upon completion of the development, the project must meet all applicable state and local codes, rehabilitation standards and ordinances and the International Conservation Code.
  - c. Rehabilitation – upon completion of the development, the project must meet all applicable state and local codes, rehabilitation standards and ordinances.
  - d. Accessibility – all assisted housing must meet the accessibility requirements of the Fair Housing Act and Section 504 of the Rehabilitation Act of 1973.
3. Upon completion of the project, the owner must maintain the property in accordance with property standards throughout the affordability period.
4. HOME-assisted rental units are subject to rent limits as identified in 24 CFR 92.252.
5. In addition, the rental units will be subject to:
  - a. Annual re-certification of tenants income by owner
  - b. Annual reviews of rent and utility usage by owner
  - c. On-site inspections by for compliance with Section 8 Housing Quality Standards and other HUD requirements.

<b>Number of Units</b>	<b>Inspection Required</b>
<b>1-4</b>	<b>Every three years</b>
<b>5-25</b>	<b>Every two years</b>
<b>More than 25</b>	<b>Annually</b>

6. No person on the grounds of race, color, national origin, religion, sex, age or handicap shall be excluded the benefits of, or be subjected to discrimination on any Rental Development project that receives Louisville Metro financial assistance.
7. The HOME maximum per-unit subsidy limits apply to rental units. The actual subsidy provided is subject to cost allocation and subsidy layering analysis.
8. Owners may not refuse to lease HOME-assisted units to a certificate or voucher under the Section 8 Program, or to a holder of a comparable document evidencing participation in a HOME funded TBRA program.
9. Projects with five or more HOME-assisted are required to restrict 20% of units to the Low HOME rents.

#### Regulatory Citations and References

##### Fair Housing and Equal Opportunity

- 92.202 and 92.250
- Title VI of Civil Rights Act of 1964 (42 U.S.C. 2000 et. seq.)
- Fair Housing Act (42 U.S.C. 3601-3620)
- Executive Order 11063 (amended by Executive Order 12259)
- Age Discrimination Act of 1975, as amended (42 U.S.C. 6101)
- 24 CFR 5.105(a)

##### Handicapped Accessibility

- Section 504 of Rehabilitation Act of 1972 (implemented at 24 CFR Part 8)
- For multi-family buildings only, 24 CFR 100.205 (implements the Fair Housing Act)

**Estimated Program Year 2012 Funding:** \$2,752,700 HOME

**Estimated Number of HOME Units Completed:** 20 Home Units, 60 Total Rental Units

**HOME Tenant Based Rental Assistance** – The HOME funded Tenant Based Rental Assistance (TBRA) program provides direct housing assistance to households that meet the Federal HUD Definition of Homeless for up to 24 months. The HOME TBRA moves with the eligible household (the assistance is tenant-based) and the level of TBRA subsidy varies depending on the household income, unit to be rented, and annual Fair Market Rates (FMR). All HOME TBRA units must meet Housing Quality Standards (HQS) prior to program inception. The HOME TBRA program uses the HUD Section 8/Housing Choice Voucher Program as a program model. All TBRA payments are made directly to the landlord on behalf of the eligible household/tenant, similar to the Shelter Plus Care (S+C) program and Homelessness Prevention and Rapid Re-Housing (HPRP) Program. All HOME TBRA households are now required to participate in monthly case management services while receiving HOME TBRA. Individualized case plans are developed for each HOME TBRA household, with particular attention placed on removal of barriers to promote self-sufficiency. The Section 8/Housing Choice Voucher program reached program capacity several years ago, and the HOME TBRA program was developed to provide additional subsidized housing options into the Louisville/Jefferson County market.

**Estimated Program Year 2012 Funding:** \$400,000

**Estimated Number of Individual Households Assisted: 100**

## **Needs of Public Housing**

### **Institutional Structure (91.215(i))**

The Louisville Metro Housing Authority (LMHA) is responsible for the development and management of federally subsidized low-rent housing in the city. LMHA was created by state legislation in 1937 and operates under local ordinance, state statutes and federal regulations. A nine-member Board of Commissioners, appointed by the Mayor, serves as the policy body of the agency. The Executive Director is also appointed by the Mayor. Funds for the agency's operations come from residents' rents and annual operating subsidy from U.S Department of HUD. LMHA also seeks grants for special projects.

### **Housing Market Analysis (91.210)**

LMHA projects that as of June 30, 2013 there will be a total of 4,158 annual contribution contract (ACC) units in its public housing stock, 3,431 of which are owned and managed by the agency, and 727 HOPE VI/mixed finance units that are privately managed. LMHA anticipates it will be authorized 10,164 units of leased housing in 2013, bringing the grand total of housing units authorized to 14,322 by the fiscal year end. Households served include 54% families, 12% elderly, and 34% disabled. Total households with incomes below 30% of AMI are 69% and households with incomes above 50% AMI are 7%. Households served include 76% African Americans, 22% Caucasian, and 2% other ethnic or racial minorities.

### **Needs of Public Housing (91.210(b))**

The preservation and continued viability of its current rental housing inventory is core to LMHA's capital investment strategies. The Construction Administration Department continues to aggressively carry out the improvements outlined in the agency's capital plan so that sites are in the best possible physical conditions, despite their age. In early April of FY 2009, LMHA received an award of \$14,151,218 in Public Housing Capital Formula stimulus funds and fully expended these funds by November 2010 (16 months ahead of the required deadline).

LMHA conducted a needs assessment for compliance with Section 504 guidelines in the early 1990's. Improvements were made throughout the agency's developments including sidewalks, approaches to management offices, community centers, and other public buildings, and additional necessary modifications including unit conversions. LMHA currently has 73 fully accessible units or approximately 2% of its housing stock. In addition, in 2004, the Kentucky Housing Corporation (KHC) began requiring that Tier One Universal Design Standards be incorporated into KHC funded projects. As a result, all of the new units created with Low Income Housing Tax Credits will include Universal Design features.

LMHA submitted an application for a FY 2010 HOPE VI grant to revitalize the Sheppard Square public housing development. The 67-year old development, which was built in 1942, suffers from inherent design deficiencies, as well as numerous operations failures. The application was submitted on November 17, 2010 and the agency has since been selected from over 30 agencies as one of 8 public housing

authorities to receive a FY 2010 HOPE VI grant. LMHA was awarded \$22 million, the maximum HOPE VI grant, for Sheppard Square. Critical to the completion of the Sheppard Square Revitalization is Louisville Metro's commitment of HUD entitlement funds to this project.

On-site, the new Sheppard Square will consist of public housing, low-income tax credit and market rate units in a variety of housing types including single-family homes, semi-detached and row townhouses and multi-family apartment buildings. Off-site, the public housing replacement units will include supportive service enriched units, and single-family homes and apartments in mixed-income communities. All new construction will meet Energy Star standards and the Enterprise Communities Green Community criteria. As with all revitalization plans following Park DuValle that require demolition of existing public housing units, LMHA has committed to one-for-one replacement of the 326 units at Sheppard Square.

### **Waiting Lists for Public Housing & Housing Choice Voucher Program**

LMHA streamlined its waitlist and referral list structure when it modified its Admissions and Continued Occupancy Policy (ACOP) and Administration Plan in 2005. LMHA maintains a single, centralized waitlist for its owned and managed public housing sites. LMHA may conduct a formal purge of the public housing waitlist in 2012.

LMHA also maintains a referral list of residents recommended for its scattered site units. Eligibility requirements for scattered sites include residency in a family or elderly public housing development for a one year period and a recommendation by the site manager as an outstanding resident. Outstanding resident status is attained by having no more than 2 late rent payments, passing annual inspections, and by adhering to all other LMHA leasing guidelines.

In addition to these criteria, there is also a five-year time limitation on residency for single family, scattered-site replacement units (excluding scattered sites I, II, III, IV and V, and Newburg). This time limitation is waived for elderly/disabled households. In addition, the criteria for these sites include mandatory participation in a case management program and active movement towards self-sufficiency. Elderly and disabled households may also be exempted from these criteria.

Residents of the public housing units being developed at the Downtown Scholar House (these units are Sheppard Square HOPE VI replacement housing) are selected from the program's site-based wait list. Family Scholar House property management staff will manage the waitlist records and verify applicants. Applicants must meet LMHA eligibility criteria and the requirements of the program while residing at the facility (single; have a child, children, or be pregnant; meet low-income housing requirements; have a high school diploma or General Equivalency Diploma (GED); and have the desire to pursue a college degree). Also, if LMHA acquires 4 units for public housing at the Stoddard Johnston Scholar House, Family Scholar House will maintain a site-based waiting list for those units.

LMHA maintains a separate waitlist for its Housing Choice Voucher (HCV) program. LMHA Section 8 staff initiated a formal purge of the wait list in 2012 and the process is nearing completion. All applicants whose applications were submitted in 2009 and prior years are being contacted to determine their ongoing needs for housing. The process should be completed this March. It is estimated that the wait list will be

reduced by 3,600 applicants based on the results so far. In view of the number of applications on hand, LMHA is considering closing the wait list in early 2012.

Individual site-based waitlists are used for most of the privately managed and/or owned public housing units in mixed-income developments. Applicants for St. Francis, Stephen Foster and Village Manor are recommended from LMHA's referral list for scattered sites.

At the beginning of the fiscal year, the LMHA central-based waitlist is expected to contain 3,950 applicants for LMHA's public housing programs and 15,000 applicants for the HCV program.

Former Clarksdale residents continue to receive preference for Clarksdale off-site replacement units and Liberty Green units. Also, former Iroquois residents have preferences for both HCV and public housing units. In addition, residents that have been relocated for the demolition of the Sheppard Square site also have preferences for both HCV and public housing units.

### **Public Housing Strategy (91.210)**

The mission of LMHA is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. In implementing these goals, LMHA will continue to focus on the following initiatives:

#### **Reposition and redevelop the conventional Public Housing stock**

The physical stock of the remaining original family developments owned and managed by LMHA needs to be completely redeveloped. These sites – large, dense, urban and often isolated – need major renovation or replacement. LMHA's goal is to transform these communities in the coming years, replacing the current public housing developments with mixed income communities, while at the same time providing replacement units so that the overall number of families served will not decrease. In the elderly developments, modernization efforts will proceed with an eye toward appropriate and expanded service provision.

#### **Increase housing choice through stronger rental communities and options, and expanded homeownership opportunities.**

Homeownership is an important housing choice option for many low-income families, and is an appropriate program given the local market. LMHA's nationally recognized HCV Homeownership Program is an affordable and secure way for LMHA families to achieve housing self-sufficiency. The agency can boast that together more than 150 public housing residents and HCV program participants have purchased homes through the program. For the many other families for whom homeownership isn't a viable option, LMHA will look at its public housing communities to see what policy and program changes might strengthen those communities and make them better places to live.

#### **Develop programs and housing stock targeted to populations with special needs not adequately served elsewhere in the community.**

Moving to Work (MTW) allows LMHA to break from HUD established "norms" and therefore maximize the potential of locally available resources to develop programs for people with specific needs. The goal is to meet needs not met by other agencies and to partner with local organizations that have social services programs that need

a housing support element. Some of these needs will be transitional; others are for programs that provide more long-term support, particularly for single parents with children where the parent is working or preparing for work by participating in educational programs and young people enrolled in job and college prep programs, such as YouthBuild Louisville. Developing comprehensive initiatives in these areas will continue to require regulatory relief.

### **Encourage program participant self-sufficiency**

The MTW agreement allows LMHA to reinvent the Family Self Sufficiency (FSS) program to make it appropriate to local program participant needs. MTW also allows LMHA to rethink other policies – such as the rent policy for Clarksdale HOPE VI replacement scattered sites – to encourage families to work towards housing self-sufficiency.

The LMHA strategy for improving the living environment of public housing families includes efforts to “be green” in regards to energy costs. Rising energy costs have made utility expenses a growing concern in overall housing affordability, as well as a significant portion of LMHA’s operating budget. The hundreds of thousands of dollars spent each month on utilities for LMHA’s public housing inventory rose even higher this past winter due to a significant increase in gas rates. LMHA also incurs utility costs for units that are privately managed such as Park DuValle and Liberty Green and for those under lease in our Section 8 program. Beyond the monetary impacts to LMHA’s budget are the environmental and health benefits to be reaped from our greening efforts, including cleaner air and water.

LMHA’s effort to “be green” is across the board and LMHA has been recognized as a leader. For example, LMHA was the winner of the 2008 Energy Star National Award and the 2009 Energy Star Regional Award for Excellence in Affordable Housing for its efforts at Liberty Green, a HOPE VI Revitalization project encompassing approximately 30 acres on six city blocks in Downtown Louisville. The buildings at Liberty Green were designed with higher levels of insulation, high efficiency heating and cooling, and energy efficient windows and enhanced ductwork. Each unit and all of its appliances carry the Energy Star label. The EPA has indicated that Liberty Green units have been verified as 40% more efficient than homes built to the 1993 National Model Energy Code, resulting in dramatic cost savings for those who lease or will purchase homes. The site’s community center is the Authority’s first Leadership in Energy and Environmental Design (LEED) certified building.

LMHA has one of the strongest HCV to Homeownership programs in the country and can boast that 179 families have purchased homes using the program (156 HCV households and 23 public housing residents). LMHA had the first Section 8 closing in the nation in November of 1997 and has experienced exponential growth over the years. The award-winning program offers a comprehensive route to self-sufficiency for low-income families through mortgage assistance, counseling and maintenance support. Participants challenge their over-representation in poverty statistics and under-representation in indicators of success. LMHA requires intensive pre and post purchase counseling as well as requires homebuyer participation in Individual Development Accounts, with a two to one match for repairs and maintenance. LMHA will continue to actively recruit participants for this program.

### **Public Housing Authority Designation**

LMHA is designated as a HUD “high performer” and since 1999 LMHA is one of thirty housing authorities (out of over 4,200) designated as a MTW agency. The MTW program was created by Congress and signed into Law as part of the Omnibus Consolidated Rescissions and Appropriations Act of 1996. The program offers public housing authorities the opportunity to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families by allowing exemptions from existing public housing and tenant-based HVC rules and permitting public housing authorities to combine operating, capital, and tenant-based assistance funds into a single agency-wide funding source.

## **Barriers to Affordable Housing**

Barriers to affordable housing that will be addressed during the 2012 Program Year include the following:

1. Expanding the supply of affordable housing
2. Supporting strategies to increase public awareness of housing issues
3. Providing education regarding homeownership rights and responsibilities
4. Undertaking actions to promote energy efficiency, thus reducing housing cost burden

The availability and cost of affordable housing continue to be formidable barriers to affordable housing. The Rental Development and HOME TRBA programs work to ensure affordability and work to increase the supply of affordable rental housing. Louisville Metro will also continue to work with a growing group of CHDOs to foster and develop affordable housing within the community for the benefit of extremely low, very low, and low income families earning 80% or less of the area’s median family income.

The barrier regarding the lack of knowledge and understanding of housing issues and homeowner rights and responsibilities will be addressed through various educational programs. The Fair Housing Enforcement program, administered by the Louisville Metro Human Relations Commission, educates individuals in the community through the annual Race Relations Conference, billboard advertisements, housing discrimination brochures in various languages and other community outreach activities. The Fair Housing Education program, administered by the Louisville Urban League, provides fair housing education to renters, prospective renters, and first-time homebuyers in both one-on-one sessions and group forums.

Additional actions to overcome the barriers identified above will also undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs. These programs are further detailed in the Community Development Goals Section.

Actions will be taken to promote energy efficiency through the Metro-Wide Weatherization program. This program addresses high utility costs for low-income households, which in turn reduces their housing cost. This program is further detailed in the Affordable Housing Goals Section.

## **Affirmatively Furthering Fair Housing**

Louisville Metro is mindful that offering a choice of affordable housing and housing types in neighborhoods across the community is a key component of fair housing. LMCSR will join with Louisville Metro Planning and Design, Louisville Metro Inspections, Permits and Licenses, and Louisville Metro Human Relations Commission to address zoning and other fair housing goals during this Action Plan year. An internal working group of representatives from these organizations along with the Metropolitan Housing Coalition has been formed to address “Analysis of Impediments to Fair Housing Choice in Louisville Metro, KY” recommendations that lie within Metro Government’s executive authority to implement. The group will continue to meet throughout program year 2012. The working group will also recommend to the Mayor the membership and charge of a “community collaboration committee” to address recommendations that involve changes outside the scope of Metro Government’s executive authority.

### **Annual Affordable Housing Goals 91.220(g)**

Expanding the supply of safe, decent, sanitary and affordable housing remains one of LMCSR’s main priorities. The department undertakes a number of activities aimed at increasing the number of affordable housing units as well as stabilizing the existing housing stock. The details of each program are found elsewhere in this plan; however, they are summarized below.

The Emergency Repair program and Weatherization program provide essential improvements to home-owner units that require repairs to make the safe, sanitary and energy efficient. The Weatherization program also provides assistance to rental units. In program year 2012 it is estimated that these programs will serve 275 and 250 households respectively.

The Rental Development Program provides the minimum financial assistance (gap financing) to a project which results in rental units affordable to households earning less than 60% AMI. Assistance may be provided for acquisition, new construction, substantial rehabilitation, or adaptive reuse. The Rental Development Program does not provide subsidies to refinance existing debt or inject funds into a project that has already received HOME funds. In program year 2012 it is estimated that this program will result in 80 new affordable rental units within Louisville Metro, 20 of the units will be considered HOME units

The HOME CHDO Set-Aside supports a group of three non-profit housing developers: River City Housing, Inc.; REBOUND, Inc.; and The Neighborhood Institute, Inc. In program year 2012 it is expected that this group of developers will produce 17 affordable single family or rental units.

Both the HOME and HOPWA Tenant-Based Rental Assistance programs provide rental assistance to families who meet the HUD definition of homeless for up to 24 month. HOPWA TBRA clients must also be suffering from HIV/AIDS. In program year 2012 it is estimated that HOME TBRA will provide assistance to 100 families. The number assisted by HOPWA TBRA is estimated to be 111 families.

### **HOME/ American Dream Down payment Initiative (ADDI)**

#### **Forms of Subsidy**

- A. New Construction loans for non-profits: Louisville Metro will provide construction loans to non-profits to develop affordable housing. A portion of the construction loan is repaid to the Louisville Metro at the time of the sale.
- B. Mortgage Assistance for Homebuyers: Louisville Metro will provide financial assistance to qualified homebuyers who purchase homes in which the development of these properties has been assisted through projects administered by LMCSR. The amount of assistance is based on need and is regulated by the HOME Regulations.

## Guideline for Resale or Recapture

### Period of Affordability

For homebuyers and rental projects assisted with HOME funds the length of the affordability period depends on the amount of the HOME investment in the property and the nature of the activity funded. The table below provides the affordability periods:

HOME Investment per Unit	Length of the Affordability Period
Less than \$15,000	5 years
\$15,001 - \$40,000	10 years
More than \$40,000	15 years
New Construction of Rental Projects	20 years

The Final Rule (September 1996) eliminated the requirement that when HOME funds are used in conjunction with Federal Housing Administration (FHA) insurance, the affordability period is the term of the FHA-insured mortgage.

### Sale Prior to Completion of the Period of Affordability

If the homeowner chooses to sell their property during the Period of Affordability, the property is subject to recapture provisions (as described in the following section) in compliance with HOME Regulations at 92.254

### Resale/Recapture Provisions 92.254 (a)(5)

Resale: Under the resale provision, the period of affordability is based on the total HOME subsidy in the project. Under this provision, an assisted homebuyer is obligated to sell his/her property only to another HOME eligible buyer at a price that is deemed by the by LMCSR to be fair to both parties.

Recapture: Under the recapture provision, the period of affordability is based on the direct HOME subsidy to the homebuyer only. Under this provision, the homeowner repays all or some of the HOME subsidy and is able to sell his/her home to any buyer at any price.

LMCSR places restrictions on assisted homebuyer properties by electing to use the recapture provision for all of its Homebuyer Assistance Programs in an effort to help preserve affordable housing. The recapture provision used by LMCSR is *Reduction During Affordability Period*. LMCSR will require the direct HOME subsidy to be repaid if the client sells the home, voluntarily or involuntarily, before the period of affordability expires. This recapture provision will include a ten percent forgiveness clause for each year the homebuyer lives in the home, with a ten-year period of affordability if the direct HOME assistance does not exceed \$40,000 per unit. If the direct HOME assistance exceeds \$40,000 per unit, the period of affordability is 15 years.

Example: Mr. John Doe purchases a home for \$100,000 and receives \$8,000 in HOME funded homebuyer assistance funds from LMCSR in January 2012. This \$8,000 loan is subject to recapture should Mr. Doe sell the property during the five year period of affordability. At the end of year three, December 2013, Mr. Doe sells the property. As a result, thirty percent, \$2,400, is forgiven resulting in a loan balance of \$5,600 to be repaid from the net proceeds of the sale.

$$\frac{\text{Number of years homebuyer occupied the home}}{\text{Period of affordability}} \times \text{Total direct HOME subsidy} = \text{Recapture Amount}$$

If the net proceeds of the sale are not enough to repay the direct HOME subsidy, the amount recaptured will be equal to the net proceeds available.

“Net Proceeds” are defined as the sale price (whether from a voluntary or involuntary sale) minus the repayment of any superior loans (other than HOME Funds) and any closing costs.

Net proceeds calculation example in year five of period of affordability with 10% annual forgiveness:

Direct HOME subsidy	\$30,000
Five year pro rata reduction	<u>-\$15,000 (\$30,000 X .10 X five years)</u>
	\$15,000
<u>Net Proceeds</u>	
Sales proceeds	\$150,000
Superior non-HOME debt	-\$130,000
Closing costs	<u>-\$5,000</u>
	<b>\$15,000</b>

Homebuyer investment outside of closing costs shall not be subtracted from net proceeds.

The homebuyer shall retain all appreciation.

LMCSR shall impose recapture provisions through a HOME written agreement, as a separate legal document from any loan instrument. The HOME written agreement with the homebuyer shall make the period of affordability, principal residency requirements, and terms and conditions of the recapture requirements clear and detailed.

The HOME note will also be used to impose the recapture requirements.

LMCSR shall perform ongoing monitoring of the principal residency requirement during the period of affordability.

### **Refinancing Existing Debt**

HOME funds will not be used to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds.

### **HOME Tenant-Based Rental Assistance Market Conditions**

The HOME Tenant-Based Rental Assistance (TBRA) Program provides direct housing assistance to households that meet the Federal HUD Definition of Homeless for up to 24 months. The HOME TBRA moves with the eligible household (the assistance is tenant-based) and the level of TBRA subsidy varies depending on the household income, unit to be rented, and annual Fair Market Rates (FMR). All HOME TBRA units must meet Housing Quality Standards (HQS) prior to program inception.

The HOME TBRA program uses the HUD Section 8/Housing Choice Voucher (HCV) Program as a program model. All TBRA payments are made directly to the landlord on behalf of the eligible household/tenant, similar to the Shelter Plus Care (S+C) program, Homelessness Prevention and Rapid Re-Housing Program (HPRP) and the Section 8/HCV program. The Section 8/HCV program reached program capacity several years ago, and the HOME TBRA program was developed to help bring additional subsidized housing options into the Louisville/Jefferson County market. As noted on HUD's webpage, "Model Guides - Tenant-Based Rental Assistance: a HOME Program Model," TBRA is a "cost effective tool to assist low-income renters" and address a community's affordable housing needs.

According to Comprehensive Housing Affordability Strategy (CHAS) data (2009 data set), there are 98,085 renter households in Jefferson County. Of these, 12,460 households (3,320 in the extremely low-income category and 9,140 in the low-income category) bear housing cost burdens over 30%. This represents 4.2% of all Jefferson County households. Of these 98,085 renter households, 22,020 (18,395 in the extremely low-income category and 3,625 in the low income category) bear housing cost burdens over 50%. This represents 7.5% of all Jefferson County Households.

The Louisville Metro Housing Authority projected that as of July 1, 2012 there will be 4,602 public housing units and 9,931 HCVs and Section 8 Certificates (14,533 total) in Jefferson County. Currently, the central waiting list for public housing is 8,659 applicants, and there were 15,735 applicants waiting to participate in the HCV program. In addition, Louisville Metro's S+C program is currently at program capacity (providing housing assistance to approximately 350 to 400 homeless and disabled households annually) and operating under a program waiting list.

According to the National Low Income Housing Coalition, in 2010 the hourly wage needed to afford the FMR for a one bedroom apartment in the Louisville MSA was \$11.10, and \$13.15 per hour was required to afford a two bedroom unit. This is 153% and 181% of the current minimum wage respectively. A household would need an annual income of \$23,080 to afford a one bedroom and \$27,360 to afford a two-bedroom unit within the Louisville MSA in 2010.

In addition, Metropolitan Housing Coalition's *"State of Metropolitan Housing Report: 2012"* reported that "nearly half (48%) of renter households (within the Louisville MSA) cannot afford a two bedroom unit at Fair Market Rent (FMR)." These high rent cost burdens, coupled with high unemployment rates, increase costs of goods and services, and long waiting lists for affordable housing programs all indicate the need for more affordable housing options and subsidies for Metro Louisville. HOME TBRA provides a small, but crucial housing option for low/mod income families in Metro Louisville.

### **HOME Affirmative Marketing Procedures and Requirements**

1. The following methods to inform the public, owners, and potential tenants about federal fair housing laws and its affirmative marketing policy:
  - a. Use commercial media, including radio, television, and newspapers, to disseminate information.
  - b. Prepare and transmit written informational materials to fair housing and related groups.
  - c. Use Equal Housing Opportunity logotype or slogan in press releases and solicitations for owners.
  - d. Distribute and display fair housing posters.
  
2. For projects containing five or more HOME-assisted units, each owner must adhere to the following requirements and practices in order to carry out Louisville Metro's affirmative marketing procedures and requirements:
  - a. Use commercial media, including radio, television, and newspapers, as means of disseminating information.
  - b. Use community contacts to disseminate information, including churches and community organizations located in, and serving low-income and minority neighborhoods.
  - c. Distribute informational circulars in low-income and minority neighborhoods.
  - d. Use Equal Housing Opportunity logotype or slogan in informational material.
  - e. Display fair housing poster at project site and/or rental office.
  
3. For projects containing five or more HOME-assisted units, each owner must use procedures, including the following, to inform and solicit applications from persons in the housing market area who are not likely to apply for the housing without special outreach:
  - a. Use churches, housing counseling agencies, employment centers, fair housing organizations, and other community and neighborhood organizations, in disseminating information and promotional materials.
  - b. Use selected commercial media deemed particularly appropriate to inform and solicit applications from persons who are less likely to apply for available units in a project.

4. With regard to record keeping, Louisville Metro will take the following actions:
  - a. Assure records are maintained that describe the actions taken by it, and by owners, to affirmatively market units.
  - b. Properly record assessments of the results of affirmative marketing actions taken by itself and by owners.
  
5. Louisville Metro will use the methods set forth below to assess the success of affirmative marketing actions and to correct deficiencies in affirmative marketing:
  - a. Require the submission of periodic reports by owners describing their affirmative market actions.
  - b. Monitor and assess, at least annually, the affirmative marketing actions taken by owners, relying primarily on information contained in owner's reports and records. Results of those owner actions will also be assessed.
  - c. Provide technical assistance, as needed, to improve performance by owners.
  - d. As appropriate, establish and require appropriate corrective actions by owners, within established time frames.
  - e. If, and as appropriate, require partial or full repayment of HOME funds provided. (Provision for such repayment will be included in the Agreement).
  
6. Federal requirements relating to Affirmative Marketing can be located at 24 CFR 92.351.

### **Minority/Women's Business Outreach**

Louisville Metro has an ongoing commitment to encourage the use of minority and women owned businesses under Ordinance No. 140, Series 1988. This was passed to encourage businesses owned by minorities, women and persons with handicaps to become certified with the Louisville Metro Human Relations Commission. The Commission handles the certifications and maintenance of the current database of vendors. This information is distributed to housing providers and contractors. Each project is required to maintain statistical data on the use and participation of minority-owned and women-owned business enterprises as contractors and subcontractors in all HOME and other applicable assisted programs.

### **ADDI**

Louisville Metro does not anticipate receiving ADDI funds in Program Year 2012.

### **Other HOME Specific Requirements**

#### **Relocation Requirements**

A HOME-assisted homeownership project is subject to relocation requirements under the Uniform Relocation Act (URA). In order to avoid the costs of relocation and to avoid the displacements of persons, it is recommended that non-profits purchase only vacant properties or properties which are owner-occupied and are publicly marketed. An owner-occupant who sells a property is not eligible for relocation assistance under the URA as long as the seller, prior to the sale is informed in writing: 1) of the fair market value of the property; and 2) that the buyer does not have the power of eminent domain and, therefore, will not acquire the property if the negotiations fail to result in an amicable sales agreement. If vacant units are purchased, the government will evaluate whether or not the seller removed tenants in order to sell a "vacant" building.

### **Security**

Every property developed under a HOME-assisted program is subject to all HOME restrictions. Louisville Metro will retain through deed restrictions and/or the loan agreement, mortgage and mortgage note, the right of first refusal for the relevant Period of Affordability. Deed restrictions will be placed against the residence during the relevant Period of Affordability. If and when possible, Louisville Metro will encourage participating private lenders to include all pertinent HOME requirements in the loan and mortgage documents for the private financing.

### **Other Applicable Federal Requirements**

These are contained in the HOME Investment Partnership Program regulations which are codified at 24 CFR Part 92.

## **HOMELESS**

### **Specific Homeless Prevention Elements**

#### **Sources of Funds**

In Program Year 2012 LMCSR will be administering seven HUD Continuum of Care grants, five Shelter Plus Care grants totaling \$1,884,384 and two Supportive Housing Grants totaling \$104,261. LMCSR also receives a small pass-through award from the Kentucky Housing Corporation, \$12,379, under the Supportive Housing Program. This Louisville AfterCare Services grant assists homeless individuals transitioning out of shelter and into permanent housing.

An anticipated allocation of \$933,274 in ESG and \$557,629 in HOPWA funding is expected from HUD. Of the HOPWA allocation, a portion will be used to provide TBRA or short term rent, mortgage or utility (STRMU) payments. Additionally, \$948,000 in CDBG funds has been allocated for subrecipients who work with primarily homeless or at-risk populations to provide self-sufficient and supportive services.

### **Homelessness Objectives**

The below summarizes the 2010 – 2014 Consolidated Plan Homelessness Objectives that will be addressed in the 2012 program year. Details on each specific project will be added via a substantial amendment after projects are recommended via the previously detailed committee process, introduced in the Mayor’s Recommended Budget, and approved by the Louisville Metro Council.

### 2012 Program Year Homeless Objectives

**2010 – 2014 Consolidated Plan Goal 4:** Reduce the incidence of homelessness in the Louisville Metro community.

**Indicators:** Number of homeless, number of homeless who are sheltered, number unsheltered, number of persons receiving homeless prevention assistance.

**Objective 4.1:** Increase self-sufficiency services for persons who are homeless [HUD DH-3].

**Outcome 4.1:** Serve 2,000 people with self-sufficiency services.

**Activities 4.1:** Non-profit service providers.

**Funding:** CDBG funding distributed via committee process.

**Objective 4.2:** Increase supportive services for persons who are homeless, including health, mental health, substance abuse, domestic violence, child care and transportation services [HUD DH-3].

**Outcome 4.2:** Serve 1,500 persons with supportive services.

**Activities 4.2:** Non-profit service providers.

**Funding:** CDBG funding distributed via committee process.

**Objective 4.3:** Provide prevention services, including emergency assistance with rent, mortgage & utilities, landlord intervention services, and assistance to obtain copies of birth certificates, photo IDs and other necessary documents [HUD DH-3].

**Outcome 4.3:** Assist 1,000 persons.

**Activities 4.3:** Non-profit service providers.

**Funding:** ESG funding distributed to subrecipients.

**Objective 4.4:** Support Emergency Shelters and Transitional Housing [HUD DH-3].

**Outcome 4.4:** Serve 3,000 homeless persons.

**Activities 4.4:** Non-profit service providers.

**Funding:** ESG funding distributed to subrecipients.

**Outcome 4.5:** Deliver Permanent Supportive Housing services. [HUD DH-3]

**Activities 4.5:** Serve 334 households through Shelter Plus Care and another 82 households through Supportive Housing Programs.

**Funding:** Shelter Plus Care and Supportive Housing Program Grants.

## Chronic Homelessness

The 2012 Point In Time count for Louisville Metro's homeless (conducted on January 26, 2012) indicated that 16% of Louisville Metro's homeless population was chronically homeless (262 chronically homeless, 1,628 total homeless). Considerable national and local attention has been devoted in recent years to providing permanent supportive housing for the chronically homeless. In 2008, Family Health Centers, Inc. (Phoenix Health Center) was awarded a Substance Abuse and Mental Health Services Administration (SAMSHA) grant through the U.S. Department of Health and Human Services for the provision of permanent supportive housing for the chronically homeless. To date, 127 households have been rapidly re-housed into permanent supportive housing. The program boasts a 91% housing retention rate for all program participants (for greater than 6 months). Ninety-five percent (95%) of program participants have met the HUD "chronically homeless" definition.

Several other initiatives have been recently undertaken regarding the provision of permanent supportive housing for the chronically homeless. Specifically, LMCSR has received a new S+C grant with bonus monies offered through the 2010 Notice of Funding Availability (NOFA) for the CoC. This new grant will provide approximately 18 new units of S+C TBRA, that can be used to re-house the chronically homeless. Additional re-housing and support services are provided through other non-profit emergency shelters and supportive service agencies in Louisville Metro. Local case managers identify appropriate supportive services for the chronically homeless, including assistance with mainstream benefit enrollment, tracking appointments, and referrals with additional/partner agencies. In addition, several local agencies operate street outreach teams for the chronically homeless. These outreach teams actively engage the homeless and provide street level referrals and services.

## Homelessness Prevention

In addition to HOME funding allocated for TBRA, LMCSR expects that both ESG and HOPWA funding will be allocated to programs designed to prevent homelessness. ESG prevention programs and HOPWA TBRA and STRMU programs will be administered by community partners. Details on these specific programs will be added via substantial amendment after projects are recommended via the previously detailed panel process, introduced in the Mayor's Recommended Budget, and approved by the Louisville Metro Council.

Louisville Metro expects to allocate \$400,000 in HOME funding for TBRA. Justification for using HOME funding for TBRA is detailed in the HOME specific section. An estimated 100 households will be served per year with this assistance. While LMCSR remains committed to the idea of abundant affordable housing as part of the long term solution to reducing the number homeless individuals and families in Metro Louisville, current economic conditions require a renewed focus on rental assistance to keep families out of homelessness.

Additional homeless prevention programs have had some success in Metro Louisville. LMCSR operates the Emergency Financial Assistance (EFA) program out of the 8 Neighborhood Place community locations. Households with 7 or 14 day eviction notices can receive one to three months of emergency rental assistance through the

EFA program. In addition LMCSR operates a Social Security Income (SSI) Reimbursement program for households who have pending disability applications to the Social Security Administration and are facing a housing crisis. The Association of Community Ministries (ACM) also offers emergency assistance for homeless prevention in Metro Louisville. Households can receive emergency assistance when funding is available.

### **Discharge Coordination Policy**

The Louisville CoC has recently established an Institutional Discharge Task Force. The task force will work to coordinate with the CoC and major state institutions related to mental health, health, foster care and prisons to prevent the discharge of persons from these institutions into homelessness. Emergency shelters will document incidences where they suspect persons were discharged from an institution into homelessness and report that information to the task force. The task force will then host a meeting at least twice a year for the state institutions to discuss the information provided by shelters and determine solutions to prevent people from being discharged into homelessness. The task force will also publish a quarterly report from Homeless Management Information System (HMIS) showing how many participants came from institutions to the shelters and an annual report on the work of the task force for the year.

In addition, the Louisville Metro Criminal Justice Commission administers two re-entry projects, one in Newburg and another in Shawnee; and the Community Action Partnership (part of the Community Services division of LMCSR), partners with Louisville Metro Corrections on another re-entry program called *Pathways in Action, Expansion*.

In addition, LMCSR has signed a Memorandum of Understanding with Bellewood to provide S+C housing for disabled youth aging out of foster care.

Area hospitals contact the Family Health Centers' Phoenix Health Center when they need to discharge a homeless patient who would benefit from a "healing bed." There are six such beds in the community. A member of the Health Center Outreach Team meets with persons who receive a "healing bed," assessing their needs and helping them access services, including housing. Hospitals refer homeless persons from outside the area to Travelers Aid to receive assistance if they are stranded in Louisville, so they may return to their home community.

### **Emergency Shelter Grants (ESG)**

Louisville Metro is not a State entity. Procedures for allocating ESG funding to subrecipients was detailed previously.

Louisville Metro requires each subgrantee that receives ESG funds to be responsible for the required 100% matching funds equal to their individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using agency cash contributions, other agency grants (other State, Federal, Private grants), donated supplies to the agency, value of the agency's shelter building, and so forth. The source of the ESG match is required on all fully executed contracts between Louisville Metro and the awarded homeless service agency. Matching funds are fully documented.

Details on the amount and source of match provided by each subgrantee will be provided via substantial amendment after these projects are approved through the Louisville Metro budgeting process.

### **Emergency Solutions Grants Written Standards**

#### *Standard Policies and Procedures for HMIS participation*

The Coalition for the Homeless is Louisville Metro's HMIS lead and is therefore responsible for reviewing, revising and approving all policies and plans for HMIS. All ESG subrecipients are required to participate in HMIS and adhere to the policies and procedures established by the HMIS lead. ESG subrecipients are expected to safeguard HMIS security by designating an HMIS security officer, conducting workforce security screenings, reporting security incidences to the Coalition for the Homeless, adhering to a disaster recovery plan and conducting an annual security review. When implementing HMIS procedures, ESG subrecipients must not violate HIPAA. Victim Service Providers and those providing legal services are exempted from HMIS if they are legally prohibited from participating in the system, but they must use a comparable database and provide reports/data as requested by Louisville Metro or the Coalition for the Homeless.

#### *Standard Policies and Procedures for evaluating persons or families eligible for assistance*

All persons who receive ESG assistance will be evaluated using a common assessment. This assessment begins at intake at any agency that belongs to Louisville's CoC. The common assessment will be two-part: The first step will be for intake staff to collect the 11 HMIS data points necessary to enter the client into the HMIS system and to determine the type of assistance the client needs, based on their housing status. The types of assistance available will fall into 4 categories: prevention, rapid re-housing, emergency shelter and homeless services. The intake staff will also evaluate ESG eligibility and a participant's eligibility will be confirmed during the second part of the assessment. The second part of the assessment will be an in-depth assessment of the client's needs. An individual plan for that client will then be developed that includes a housing goal and an income goal. The plan will also include referrals to mainstream resources, such as Social Security Disability, food stamps, Section 8, or services for veterans. Program participants will then be referred to the most appropriate available program. Their individual plan will go with them. At the end of each secondary assessment, a checklist will be completed that will determine which programs may be appropriate for that participant. The agency staff will then go over the program options with the client to address questions, concerns and preferences. The staff will check the capacity of the best program for that client. If the first program choice is full and will be at capacity for more than 30 days, the agency staff will direct the client to the next best program.

The Louisville HMIS Lead (The Coalition for the Homeless) is developing a proposal to improve Louisville's HMIS data collection through centralization. This includes researching and exploring best practices in cities like Cincinnati, Birmingham and Columbus. This centralization will include using staff trained and supervised by the Coalition for the Homeless, Louisville's HMIS experts, to do the initial HMIS intake. We are exploring the use of scan cards to eliminate the daily manual entry and exit of program participants. The goal of this proposal will be to develop a system that reduces the data entry burden on subrecipients and improves the overall data quality. Once a plan has been fully developed, this will be the first part of the common assessment process.

#### *Policies and procedures for coordination among providers*

Coordination among providers will be attained through the Continuum of Care, the common assessment process and our community's White Flag program. The common assessment process will allow providers to refer clients to other providers as appropriate. All ESG providers are required to be active participants in our community's Continuum of Care, which will serve as a vehicle to discuss system-wide issues and concerns. Our community's White Flag program is our community's coordinated response to inclement weather that make it unsafe for people to be on the streets. ESG subrecipients are also able to participate in the ongoing task force meetings, as described in this amendment.

*Policies and procedures for determining and prioritizing who will receive homeless prevention or rapid re-housing*

Program placement will be based on need, eligibility, capacity of the programs and the appropriateness of programs for participants. Program participants who are eligible for Shelter Plus Care or other subsidized permanent housing programs will be referred to those programs first. Program participants who are at-risk of homelessness but who will lose their housing before a prevention program can be of assistance will be referred to a rapid re-housing program. Those who are imminently homeless will also be referred to Rapid Re-Housing. The placement of other participants in a rapid re-housing or prevention program will be determined during the in-depth assessment process and the development of an individualized plan. Participants who seem to be able to achieve housing stability with a short period of assistance will be prioritized for rapid re-housing programs.

*Standards for determining the participant share of rent and utilities*

The participant share of rent and utilities can be determined in one of two ways, either through a "shallow subsidy" model or a "lump sum" model. Louisville Metro's Community Services and Revitalization department intends to do a "lump sum" payment standard for ESG direct client assistance. The payment standard will be based on household size (i.e. single-person household will receive up to \$500 in monthly ESG assistance, two-person household will receive up to \$550, etc.). Louisville HPRP had considerable success administering a lump sum payment standard for HPRP. The monthly payment will be for rent, but utility payments could be made on a case-by-case basis (provided that the lump sum assistance amount is not exceeded for the household size). Other agencies in Louisville who provide Rapid Re-Housing assistance or Prevention financial assistance can use either model.

*Standards for determining the length and level of assistance*

Prevention assistance will be provided to eligible program participants in the amount necessary to stabilize their housing, as determined by the assessment process. The standards used to determine the amount to be provided will include: the level of available social supports (i.e. whether or not the person being assessed has exhausted supports from family, friends, churches or other potential supports.) and the documentation of eligibility and need (i.e. the documentation of income and the threat to housing stability.)

Emergency Shelter will be provided to program participants who are homeless, as defined by HUD in 24 CFR 576.2.1. Emergency Shelter will be provided for no more than 180 days, with the expectation that program participants will have moved on to a housing solution. The exception to the 180 day length of stay will be made for those program participants who refuse other services in writing before they exceed 180 days in an emergency shelter in a program year. An exception can also be made if an individual plan calls for permanent housing or transitional housing, but those programs are at capacity. Essential Services will be available to any program participant utilizing Emergency Shelter. A case manager will provide an in-depth assessment and

individualized plan for all program participants within the first 14 days of a participant's stay in the shelter. Additional case management will be provided on an as needed basis and no-less than monthly for program participants in emergency shelter until they are moved to a program providing them a housing solution.

Rapid Re-housing rental assistance will be provided to program participants who are prioritized through the in-depth assessment process. Program participants who can achieve housing stability in a short amount of time will be prioritized to receive Rapid Re-Housing assistance. The standards used to determine whether or not a program participant can achieve housing stability in a short amount of time will include current income, employment history, job readiness, financial issues (including debt), educational attainment, health issues (including mental health and addiction), criminal history, and other issues that may prevent a person from attaining or maintaining housing. These issues will not affect a participant's eligibility but will be used to determine the suitability of a rapid re-housing program and the length of assistance. A person with more issues preventing them from attaining and maintaining housing stability will receive more assistance than a person with less need. A common tool will be developed and applied to each participant to objectively determine the length and amount of assistance received. Those who need short-term assistance will receive 3 months of rental assistance. Those who need medium-term assistance will receive 3-24 months of assistance. All Rapid Re-Housing participants will be re-evaluated once every 3 months. No participant may receive more than 24 months of assistance over 3 years and no participant can be promised assistance outside of a program year as dictated by an agency's grant agreement.

All ESG subrecipients will have a written termination procedure with the following minimum standards: written notice to the program participant containing a clear statement of the reasons for termination; a review of the decision, in which the program participant is given the opportunity to present written or oral objections to a person who is not the person who initiated the termination or a subordinate of that person; and prompt written notice of the final decision to the program participant. The termination of a client will not bar the program participant from receiving further assistance at a later date.

*Standards for determining the type, amount and duration of housing stabilization services, including limits.*

Housing stabilization services will be provided as needed to program participants receiving prevention and rapid re-housing assistance. Each ESG participant receiving housing stabilization services will have a unique and individualized case plan. Housing stability case management will be provided once a month to program participants. Except for housing case management, no participant may receive more than 24 months of assistance over 3 years.

*Standards for Street Outreach*

ESG subrecipients providing street outreach will work with service providers and other community networks to identify, support and stabilize via street outreach efforts the unique needs of those who are living on the street. Street Outreach Teams will collect basic information person who receives any type of street outreach service. Those who want to engage further in care/case management support will receive the Coordinated Assessment. Appropriate referrals and resources will be identified based on this assessment.

Those living on the streets may be served by one of several street outreach teams currently active within the Louisville Continuum of Care (CoC). The Seven Counties Mental Health Outreach team is funded through the Louisville CoC and is the primary street outreach team for homeless adults with mental health concerns in Louisville. YMCA Safe Place Services is funded

partially with Street Outreach Runaway and Homeless Youth funding through DHHS and focuses on at-risk populations of 12 to 22 year olds, with primary education efforts to 12 to 17 year olds and street outreach and case management services to 18 to 22 year olds. They perform street outreach 5 days/nights a week in areas all around Louisville. Seven Counties leads the annual homeless Street Count each January and has also been instrumental with the Rx: Housing initiative (100,000 Homes campaign). Homeless street outreach is also performed in Louisville by the Wayside Christian Mission Samaritan Patrol, the River City Love Squad, and the Healing Place CAP Van outreach effort. These additional outreach teams do not receive Continuum of Care funding and operate on a more limited basis, but do perform consistent homeless street outreach efforts throughout the year.

#### *Standards for Emergency Shelters*

ESG Program Participants will be admitted to the shelter system through the centralized HMIS intake, where the capacity of each shelter, client preference and client eligibility will be assessed before the client is admitted to a shelter. The centralized HMIS intake will refer clients to a shelter with available beds and divert persons who are not eligible or if all shelters are at capacity.

The age of a child (under 18) must not be used as a basis for denying any families' admission to a shelter that serves families with children under 18.

Emergency shelters will discharge participants once participants have been referred and accepted into another program or have accessed permanent housing. Emergency shelter will follow the same termination policy outlined in the Standards for Determining the Length and Level of Assistance.

All emergency shelters in Louisville are licensed through Louisville Metro Government's Land Development Code. Each shelter is subject to annual inspections for health and safety. In addition, all of Louisville's emergency shelters undergo the Quality Assurance Standard (QAS) process employed by the Coalition for the Homeless. The QAS process reviews programmatic, policy and professional standards. Failure to comply with the QAS process and standards is reported by the Coalition to Louisville Metro. A lack of compliance will elevate a subrecipient's risk assessment status and is a factor in ESG funding decisions.

The needs of special populations will be addressed by identifying program participants who are affected by HIV/AIDS, mental health issues, domestic violence, sexual assault or other issues that require additional services besides those offered to the general population and referring those participants to appropriate services. Louisville Metro has collaborated with agencies who serve these populations to include questions that will identify participants with special needs during the assessment process. Training and tools will be delivered to ESG subrecipients so that all subrecipients will be able to identify those persons with special needs and refer them appropriately. The common assessment will be accompanied by a checklist that helps agencies refer participants appropriately. So if a program participant's checklist indicates that they are HIV positive or may have a mental illness, the checklist would indicate where to refer that client for further services and evaluation. Specifically: participants who are identified as having disabling conditions may be referred to Shelter Plus Care, participants who have identified themselves as HIV+ will be referred to House of Ruth for potential HOPWA TBRA and to the Ryan White funded Care Coordinator program at VOA, participants who have been sexually assaulted or who are fleeing domestic violence will be referred to the Center for Women and Families and those with mental illness disabilities are referred to Seven Counties Services, Wellspring or another agency that provides mental health services.

In addition to the tools provided through the common assessment and referral process, training will be provided to help deal with the sensitive issues of these vulnerable populations. The Center for Women and Families will provide an annual training to the CoC about domestic violence and sexual assault. AIDS Interfaith Ministries, the VOA Care Coordinator program and House of Ruth will participate in a training event around serving those affected by HIV/AIDS. The ESG program manager will work to coordinate other trainings related to serving vulnerable populations.

### **Homeless Participation Policy**

The CoC has established a Homeless Participation Task Force. This group will organize a Client Participation group that will serve to engage those who are homeless or who are formerly homeless. The task force will be made up of mostly emergency shelter staff members who will do outreach to potential group members and run the meetings. The purpose of the Client Participation group will be to engage those served by the homeless system, inform them of policy issues and seek their input on these issues. Insight and information gained from the meetings will be sent to each participating agency's board and to the board of the Coalition for the Homeless. When appropriate, the Coalition will engage agencies in a wider conversation about how to address information that arises from the client participation group, including whether or not to change policies and procedures that all participating agencies are held to. ESG agencies who are actively engaged in this group will be able to meet the ESG rules and regulations' requirements for participation from the homeless and formerly homeless.

All ESG subrecipients will ensure that their client participation policy includes either active engagement in the Client Participation group or the participation of a homeless or formerly homeless person on their board. To be actively engaged, subrecipients will need to identify a Client Participation Coordinator who is responsible for recruiting and empowering clients to attend the group meetings and ensuring that the information from the meeting reaches their agency's board. Agencies will also be required to report annually to the Louisville Metro Government ESG Program Manager about how they used the information they received from these meetings to inform policies and procedures at their agency. The purpose of this policy is to actively engage those who are served by the homeless services system in a meaningful, effective and efficient way and to help subrecipients meet ESG requirements. Any renovations or construction projects can be vetted at the group meetings. ESG subrecipients will still need to have a written client participation policy and work to include homeless or formerly homeless persons in the delivery of services and upkeep of property through employment or volunteer opportunities.

### **Participation in HMIS and Performance Standards to Evaluate ESG activities**

Louisville Metro CSR department requires that all ESG subrecipients participate in HMIS. (Except for those providing legal services, services to the victims of domestic violence or sexual assault and those serving HIV/AIDS affected participants; as applicable under ESG rules and regulations. Subrecipients serving these populations are required to use an equivocal system to provide the necessary reports to CSR.) This requirement will be included in each subrecipient's grant agreement and will be enforced through monitoring.

Also, ESG Performance standards will be measured through HMIS. The standards that will be measured to evaluate ESG activities include: service provider's ability to move persons from emergency/transitional/unstable housing to permanent housing, service provider's ability to assist clients increase income from entry into the homeless provider system to exit, service provider's ability to reduce the recidivism rate within the homeless provider system, and a service provider's ability to reduce the length of people's stay within the homeless provider system; as well as

occupancy rates (average of four PIT counts) for overnight shelters and service delivery rates (how often/how much case management is being provided) for service providers.

### **Institutional Discharge**

The CoC has established an Institutional Discharge Task Force. The task force will work to coordinate with the CoC and major state institutions related to mental health, health, foster care and prisons to prevent the discharge of persons from these institutions into homelessness. Emergency Shelters will document incidences where they suspect persons were discharged from an institution into homelessness and report that information to the task force. The task force will then host a meeting at least twice a year for the state institutions to discuss the information provided by shelters and determine solutions to prevent people from being discharged into homelessness. The task force will also publish a quarterly report from HMIS showing how many participants came from institutions to the shelters and an annual report on the work of the task force for the year.

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## **COMMUNITY DEVELOPMENT**

### **Community Development**

The Community Development objectives detailed in the 2010 – 2014 Consolidated Plan that LMCSR intends to address in the upcoming program year are below. Descriptions of each individual program to be funded follow.

**2010 – 2014 Consolidated Plan Goal 3:** Louisville Metro fosters a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.

**Indicators:** Housing Values, Vacancy Rates, Housing Cost Burdens, and Code Violations

#### **Public Improvement Objectives**

**Objective 3.3:** Eliminate slum and blight in the community by clearance of vacant or abandoned properties **[HUD SL-3]**.

**Outcome 3.3:** Clear 150 blighted properties.

**Activities 3.3:** Demolition program administered by Louisville Metro Department of Public Works and Assets.

**Funding:** CDBG

**Objective 3.4:** Eliminate slum and blight in the community through property code enforcement **[HUD SL-3]**.

**Outcome 3.4:** In coordination with other Consolidated Plan activities, inspect 20,000 properties to improve conditions in low-to-moderate census tracts

**Activities 3.4:** Code Enforcement Program administered by Louisville Metro Department of Codes and Regulations.

**Funding:** CDBG

### **Public Service Objectives**

**Objective 3.5:** Provide housing counseling services to preserve homeownership, find a safe and affordable rental, or to purchase a home **[HUD DH-3]**.  
**Outcome 3.4:** Serve 1,800 households.  
**Activities 3.4:** Counseling activities provided by the Housing Partnership, Inc., Louisville Urban League, and Legal Aid Society.  
**Funding:** CDBG

**Objective 5.1\*:** Connect vulnerable populations to services. This includes low-income families or individuals, persons at-risk of homelessness, youth, abused neglected children, families needing child care assistance, persons who are elderly, domestic violence victims, persons with mental health and/or substance abuse issues, or other qualifying populations. **[HUD SL-2]**  
**Outcome 5.1:** Provide 750 referrals to in-house programs or to external service providers, provide case management, and provide job training.  
**Activities 5.1:** Community Outreach and Family Economic Success Program  
**Funding:** CDBG

\*Objective 5.1 was originally classified as a non-homeless special needs activity; however, all activities qualify under public service provisions.

### **2012 Program Year Economic Development Objectives**

**2010 – 2014 Consolidated Plan Goal 2:** Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.

**Indicators:** Unemployment rate, commercial vacancy rate, the number of new jobs, the number of new businesses and micro-enterprises.

**Objective 2.1:** Increase the number of jobs in the community **[HUD EO-1]**.  
**Outcome 2.1:** Invest in projects that create or retain 35 jobs.  
**Activities 2.1:** Micro-Enterprise Development and the METCO Loan Program.  
**Funding:** CDBG

**Objective 2.4:** Provide technical assistance to encourage the development of micro-enterprises **[HUD EO-1]**.  
**Outcome 2.4:** Provide technical assistance to 15 potential micro-enterprises.  
**Activities 2.4:** LMCSR Community Coordinators.  
**Funding:** CDBG

**Objective 2.5:** Increase the number of micro-enterprises **[HUD EO-2]**.  
**Outcome 2.5:** Provide loans to 15 new or expanding micro-enterprises.  
**Activities 2.5:** Micro-Enterprise Loan program administered by LMCSR Community Coordinators.  
**Funding:** CDBG

## **Community Development Activities**

The following are specific activities to be funded that will address the 2010 – 2014 Consolidated Plan objectives outlined above.

### **Public Improvement Activities**

**Vacant Properties Demolition Program** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and Licenses Division, oversees the demolition and stabilization of dilapidated structures, which have been ordered demolished. Some of the structures are those acquired by Louisville Metro through foreclosure or other means, but most are privately owned. This activity is performed Metro wide.

**Estimated Program Year 2012 Funding:** \$490,000  
**Estimated Number of Blighted Properties Demolished:** 170

**Code Enforcement Program** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits, and Licenses Division, provides for the inspection of owner-occupied and rental units to correct conditions that may affect the health and safety of the occupants. This program also provides zoning and sign inspection/control to prevent and eliminate blight.

**Estimated Program Year 2012 Funding:** \$820,000  
**Estimated Number of Properties Inspected:** 30,000

**Relocation** – It is the goal of Louisville Metro to minimize displacement of persons resulting from acquisition, code enforcement and rehabilitation or demolition activities. Funds will provide assistance to relocate person involuntarily displace from dwellings in conjunction with activities implemented under the CDBG, ESG, Shelter Plus Care and Lead Safe Louisville Programs.

**Estimated Program Year 2012 Funding:** \$7,200  
**Estimated Number of Households to Receive Assistance:** 2

**Smoketown HOPE VI** – The Smoketown HOPE VI project is an integral part of the revitalization of the Smoketown neighborhood. Louisville Metro is committed to the success of this project and is allocating CDBG funds for clearance and infrastructure improvements.

**Estimated Program Year 2012 Funding:** \$6,264,000  
**Estimated Number of Households Benefitting:** 310

### **Public Service Activities**

**Homeownership Counseling Programs:**

**Legal Aid Foreclosure Counseling and Education** – The Foreclosure Counseling and Education Program provides training, information, and education to providers, tenants and homeowners that enable them to better understand how the foreclosure process works and how it threatens their housing. The Foreclosure Counseling and Education Project will use the law to protect the rights of renters, and homeowners to avoid homelessness and reduce the impact of foreclosures on neighborhoods through trainings, outreach, and foreclosure clinics.

**Estimated Program Year 2012 Funding:** \$30,000

**Estimated Number of Individuals to Receive Assistance:** 600

**Louisville Urban League Homeownership Counseling Program** – The Louisville Urban League provides comprehensive, HUD approve housing counseling and education to low-moderate income families. The Center for Housing and Financial Empowerment provides counseling and education to ensure that families receive and maintain affordable housing. Counselors are nationally certified and receiving additional training annually to remain current on the industry trends. Counseling is provided for the following services: rental counseling and education; homeownership counseling and education; budget and credit counseling and education; mortgage default and foreclosure prevention counseling and education; and post-purchase counseling and education.

**Estimated Program Year 2012 Funding:** \$30,000

**Estimated Number of Individuals to Receive Assistance:** 600

**HPI Homeownership Counseling Program** – The Housing Partnership, Inc.'s (HPI's) Homeownership Counseling and Education Program provides an integrated and successful approach to first time home owners. Services include both pre and post purchase education. All pre-purchase clients enrolling in the program begin with a one on one Counseling session, which a Housing Counseling professional completes a mortgage readiness assessment. The results of the assessment are reviewed with the Client, and indicate what steps need to be completed to become mortgage ready. The Counselor also completes a pre-qualification and reviews affordability and pre-qualification amounts with the Client, based on their current financial situation. The Client also has the opportunity to complete a Personal Financial Management class. When the Client is approximately 2 -3 months away from completing their mortgage readiness goals, they are enrolled in the 8 hour Homebuyer Education course. HPI's Counseling and Education Program also provides post-purchase education by nationally trained Housing Counselors who are certified in Post Purchase education.

**Estimated Program Year 2012 Funding:** \$30,000

**Estimated Number of Individuals to Receive Assistance:** 600

**Family Economic Success Program** – This program is designed to meet the goal of purposefully working with families to move from the current practice of only addressing crises to raising awareness and building a foundation of assets we will be utilizing a team of "Family Economic Success (FES) Connectors" working with each of the Neighborhood Place sites. Each will participate actively in the network to ensure that information around resources and tools are disseminated effectively and will assist with working directly with families to assess their needs and move them

toward the development of a better bottom line by helping to stack assets and incentives and bundle supports. Through the FES Program, the goal is to effectively coordinate public and private resources to help our families build financial assets by: Connecting families to job-readiness opportunities and skills to help them succeed at work; promoting financial education; expanding access to homeownership counseling or foreclosure intervention services; connecting families to mainstream financial services through the Bank On Louisville initiative where local banks and credit unions have become partners in offering free or low-cost products that encourage low-income residents to save; linking families to free tax preparation assistance and the Federal Earned Income Tax Credit through a partnership with the Louisville Asset Building Coalition; identification and utilization of community based resources that will provide additional financial supports to the household; and linking "bottom tiered AMI" families to education and support groups that promote household stability.

**Estimated Program Year 2012 Funding:** \$330,400

**Estimated Number of Individuals to Receive Assistance:** 375

**Community Outreach** – Coordinators assist income eligible residents of Metro Louisville by providing outreach, information and referral, education and training workshops and direct case management. Community Outreach Coordinators work with local community groups to assess community needs by providing creative services and programs that will inspire and motivate residents to establish or maintain self-sufficiency.

**Estimated Program Year 2012 Funding:** \$108,300

**Estimated Number of Individuals to Receive Assistance:** 400

**Out of School Time Initiatives** – Subrecipients will be chosen via an application process to further Out of School Time Initiatives for Youth within Louisville Metro.

**Estimated Program Year 2012 Funding:** \$75,000

**Estimated Number of Individuals to Receive Assistance:** 100

### **Economic Development Activities**

**Microenterprise Development Program** – LMCSR's microenterprise development program, Micro-Enterprise, is designed to help local microenterprises start, sustain, or grow. The Micro-Enterprise team coordinates outreach efforts to potential businesses and individuals to provide business related resources, business development curriculum, and technical assistance. The team provides training, workshops, technical assistance to income-eligible businesses.

**Estimated Program Year 2012 Funding:** \$181,800

**Estimated Number of Individuals Served:** 150

**Micro-enterprise Loan Program** – LMCSR realizes that for many low to moderate income entrepreneurs starting a new business and securing traditional business financing is difficult. LMCSR addresses this problem through microenterprise loans which provide forgivable loans up to \$10,000 to help create or expand microenterprise businesses in Metro Louisville. These microenterprise loans are awarded through two programs: the Spark Program and the Ignite Program. The

Spark Program is a 10-week business development training geared towards getting microenterprises off to a good start. The Ignite Program is for microenterprises that have been open for at least one year. Program participants must conduct research to analyze their current operating procedures and to connect with professionals or other business development organizations to help them meet their needs. An opportunity to apply for a forgivable loan is available at the conclusion of both programs. Microenterprises must meet the household income guidelines and must be a business that employs five or fewer employees (including the owner). Businesses must submit the Micro-Enterprise loan application (which includes a use of funds statement), a copy of their business plan, household income verification, and a summary of their business research (Ignite program only). Applications are then reviewed by an outside Loan Committee for selection and recommendation.

**Estimated Program Year 2012 Funding:** \$250,000  
**Estimated Number of Businesses Assisted:** 30

### **Antipoverty Strategy**

A number of activities have been identified throughout this plan that will assist in reducing the number of poverty level families within Louisville/Jefferson County Metro. The activities identified include: increasing the number of rental and single-family units; increasing job opportunities for low and moderate income persons through business loan programs; promoting the creation of micro-enterprises by low-to-moderated income individuals; providing job training, education and placement programs for unemployed workers; and providing linkages to other community services designed to assist those in poverty..

Although CDBG funds will not be used to increase the number of rental and single-family units, CDBG funds will be used to provide repairs and weatherization assistance to low-to-moderate income households. Moreover, HOME funds will be used to increase rental and single-family units through the Rental Development Program and CHDO Program.

Increasing job opportunities will be achieved through the business loan programs administered by the Louisville Metro Department of Economic Growth and Innovation and the Micro-enterprise program. Job training and placement activities, as well as courses to promote self-sufficiency, will be provided by the Community Outreach and Family Economic Success programs.

## **NON-HOMELESS SPECIAL NEEDS HOUSING**

### **Non-homeless Special Needs (91.220 (c) and (e))**

During the planning process for the 2010 – 2014 Consolidated Plan special needs populations were often identified as a high priority; however, the establishment of five year objectives took into account the availability of funding from other sources. As such, projects that specifically address non-homeless special needs populations were ultimately ranked as a low priority for entitlement funding. Due to current economic conditions it was determined that it was the best use of limited public

service funding to focus on individuals moving towards self-sufficiency with the Community Coordinators Outreach program, those attempting to purchase a home or avoid foreclosure with the homeownership counseling programs, and assisting a group or local nonprofits with homeless services.

Numerous other programs within the department address the needs of those with special needs. These programs include support provided to a wide-range of nonprofits by the external agency fund, the Supervised Visitation and Safe Exchanges grant which serves victims of domestic violence, the Senior Nutrition Program, the Retired Senior Volunteer Program, the Foster Grandparent Program, and numerous programs funded under the Community Service Block Grant. LMCSR also provides services through special needs populations through programs and referrals available at eight Neighborhood Place locations as well as policy and advocacy activities through the Office for Women and the Office of Aging and Disabled Citizens.

### **Special Needs Activities**

LMCSR, through Community Services division, will administer a CDBG funded Ramp/Accessibility program to address specific needs of special needs populations. This program will address the following specific objective.

#### **2012 Program Year Special Needs Objective**

**2010 – 2014 Consolidated Plan Goal 5:** Address the special needs of Louisville Metro residents.

**Indicators:** Number of special needs individuals receiving accessibility assistance.

**Objective 5.3:** Increase facilities and services for persons with disabilities [HUD SL-1].

**Outcome 5.3:** Serve a minimum of 45 households.

**Activities 5.3:** Ramp/Accessibility Program administered by the Center for Accessible Living.

**Funding:** CDBG

### **Ramp/Accessibility Program**

The Ramp/Accessibility program assists individuals with disabilities by improving quality of life through the removal of barriers that impede independent living. The program is available both to households who own or rent their homes, with either a wooden or removable metal ramp being installed respectively. Along with the construction of ramps, the Center of Accessible living also contracts to have lifts, grab bars, and rails installed to increase individual mobility. The program is available Metro-wide to qualifying households.

**Estimated Program Year 2012 Funding:** \$190,000

**Estimated Number of Households to Receive Assistance:** 45

## Housing Opportunities for People with AIDS

The HOPWA program is administered through LMCSR. The HOPWA program provides tenant-based rental assistance, short-term rent, mortgage and utility assistance, and supportive services for persons living with HIV/AIDS. Supportive services include assistance with daily living, nutritional services, and mental health services as well as many others. These services assist those who may become homeless or have lack of employment or housing options related to extensive medical care and treatment.

The geographic location eligible to receive HOPWA funding is defined by the Louisville MSA that includes the counties of:

Clark County, IN  
Floyd County, IN  
Harrison County, IN  
Washington County, IN  
Bullitt County, KY  
Henry County, KY  
Jefferson County, KY  
Meade County, KY  
Nelson County, KY  
Oldham County, KY  
Shelby County, KY  
Spencer County, KY  
Trimble County, KY

HOPWA funding is distributed via the previously documented process. Details on each specific project will be added via a substantial amendment after projects are introduced in the Mayor's recommended budget and approved by the Louisville Metro Council.

### Progress on Program Year 2010 Goals

#### AIDS Interfaith Ministries of Kentuckiana

Activities: Supportive Services  
Contact: Janet Mann

Services Contract Amount: \$ 35,100  
Proposed Non-Metro Leverage: \$ 211,415

Services Contract Goals: 900 Persons  
Services Progress as of February 2012: 382 Persons

#### Hoosier Hills AIDS Coalition

Activities: TBRA, STRMU and Supportive Services  
Contact: Dorothy Waterhouse

TBRA Contract Amount: \$ 23,689  
STRMU Contract Amount: \$ 13,511  
Services Contract Amount: \$ 2,800  
Proposed Non-Metro Leverage: \$ 350,102

Contract Goals: 30 Households  
TBRA Progress as of February 2012: 5 Households  
STRMU Progress as of February 2012: 24 Households  
Services Progress as of February 2012: 29 Persons

House of Ruth

Activities: Supportive Services and TBRA  
Contact: Linda Underwood

Admin: \$ 10,000  
Services Contract Amount: \$ 243,000  
TBRA Contract Amount: \$ 81,000  
Proposed Non-Metro Leverage: \$ 224,521

Services Contract Goals: Persons  
Services Progress as of February 2012: Persons  
TBRA Contract Goals: 18 Households  
TBRA Progress as of February 2012: 18 Households

Legal Aid Society

Activities: Supportive Services  
Contact: Annie Harlow

Services Contract Amount: \$ 30,000  
Proposed Non-Metro Leverage: \$ 118,775

Services Contract Goals: 80 Persons  
Services Progress as of February 2012: 155 Persons

Volunteers of America

Activities: Supportive Services and STRMU  
Contact: Betsy Northrup

Admin: \$ 6,860  
Services Contract Amount: \$ 13,740  
STRMU Contract Amount: \$ 77,400

Services Contract Goals: 200-250 Households  
Services Progress: 102 Households

STRMU Contract Goals: 200 -250 Households  
STRMU Progress: 102 Households

**Distribution of HOPWA Funds**

Locations served by HOPWA funding awarded by Louisville Metro in program year 2010 include Bullitt, Henry, Jefferson, Meade, Nelson, Oldham, Shelby, Spencer and

Trimble Counties in Kentucky and Harrison, Clark, Floyd, and Washington Counties in Indiana.

In program year 2011 Louisville Metro distributed \$553,834 in HOPWA funding in the following categories:

<b>Program Year 2011 HOPWA Funding</b>		
<b>Category</b>	<b>Amount</b>	<b>Percentage</b>
<b>Project Sponsor Admin</b>	\$ 19,660	3.55%
<b>Supportive Services</b>	\$ 321,840	58.11%
<b>TBRA</b>	\$ 104,689	18.90%
<b>STRMU</b>	\$ 90,911	16.42%
<b>Grantee Administration</b>	\$ 16,734	3.02%
<b>Total:</b>	<b>\$553,834</b>	<b>100%</b>

### **Barriers Encountered**

Several barriers have been identified by HOPWA project sponsors, including: past criminal histories, long waiting lists for available housing, and past or current poor credit barring people from available housing. Poor credit history often leads to a poor rental history, making it difficult to establish housing with landlords or community residences. Credit checks are almost always a requirement during the application process for new housing. HOPWA program applicants who have criminal records (which sometimes include felonies) also have added difficulty in entering into housing. Agencies typically turn to private renters with smaller properties who are willing to rent houses or studios that do not require credit checks. Another barrier is the increase of HIV/AIDS persons on the verge of becoming homeless. Many of these persons may also have substance abuse problems, thus making it significantly harder to complete case management programs without the assistance of medical/mental treatment. A lack of affordable housing stock continues to plague housing assistance programs seeking to place eligible program clients in decent, safe, and affordable housing.

### **Trends**

The city of Louisville has the highest incidence of HIV/AIDS in the state. According to the HIV/AIDS Semi-Annual Report compiled by the Kentucky Cabinet for Health and Family Services in 2011, there were approximately 3,633 diagnosed AIDS cases in Jefferson County. Of these cases, 2,395 were presumed to be living. When you expand these numbers to include the entire Kentuckiana Regional Planning and Development Agency (KIPDA) region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, and Trimble Counties), these numbers expand to 3,974 diagnosed AIDS cases, with 2,616 presumed living. According to the same report, most of Kentucky's HIV cases (83%) are reported in men. Over the most recent five years, most HIV cases were reported in those between 30 and 40 years of age and black men and women had many times higher the infection rate than their white counterparts. According to "Black Women and AIDS," by Cheri N. Holmes with the Kentucky Department for Public Health, African Americans comprise 50% of Jefferson County's AIDS cases, but only 20% of the County's population.

## Specific HOPWA Objectives

The below summarizes the 2010 – 2014 Consolidated Plan HOPWA Objectives that will be addressed in the 2012 program year. Details on each specific project will be added via a substantial amendment after projects are introduced in the Mayor's Recommended Budget and approved by the Louisville Metro Council.

**2010 – 2014 Consolidated Plan Goal:** Provide housing and supportive services to persons with AIDS and their families.

**Indicators:** Number of new AIDS cases, number of persons living with AIDS, number of unsheltered homeless with AIDS, number of persons with AIDS receiving homeless prevention or supportive services.

**Objective 6.1:** Provide tenant-based rental assistance to persons with AIDS [HUD DH-2]

**Outcome 6.1:** Provides 45 households with TBRA.

**Activities 6.1:** Non-profit service providers.

**Funding:** HOPWA funding distributed to subrecipients.

**Objective 6.2:** Provide supportive services to persons with AIDS [HUD DH-3]

**Outcome 6.2:** Provide 300 individuals with HIV/AIDS with supportive services.

**Activities 6.2:** Non-profit service providers. HOPWA

**Funding:** HOPWA funding distributed to subrecipients.

**Objective 6.3:** Provide short-term rent, mortgage and utility payments [HUD DH-2]

**Outcome 6.3:** Provide 111 households with STRMU assistance.

**Activities 6.3:** Non-profit service providers.

**Funding:** HOPWA funding distributed to subrecipients.

## Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.



# Third Program Year Action Plan - Amended

The CPMP Third Annual Action Plan includes the [SF 424](#) and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

## Narrative Responses

### GENERAL

#### **Executive Summary**

The Louisville/Jefferson County Metro Government 2012 Action Plan submitted to the U.S. Department of Housing and Urban Development (HUD) in May 2012 outlined projects and programs for 2012 aimed at addressing the goals identified in the five-year, 2010 to 2014, Louisville/Jefferson County Metro Government Consolidated Plan (Con Plan). These projects and programs include affordable housing, homeless prevention, supportive services, economic development, community development activities and the creation and funding of the Shawnee Neighborhood Revitalization Strategy Area.

Louisville/Jefferson County Metro Government's Citizen Participation Plan was designed to encourage active citizen participation in both the identification of needs within the community and the development of the goals and strategies to address those needs. This plan, which provides guidelines for all phases of consolidated planning, including amendments, deems that an amendment to the Action Plan is warranted given substantial changes which include the addition or deletion of an activity not previously included in the Consolidated Plan, changes to the location of an activity which affect the original scope of the project, changes to the scope of an activity which affect the original beneficiaries, changes in the scope of an activity due to modifications in funding level (a funding adjustment totaling 25% or more in a program year Action Plan budget for the project) and a series of changes representing a cumulative total of 10% or more in a program year Action Plan budget.

The Amendment to the 2012 Action Plan is primarily concerned with the approved budget for Louisville Metro's fiscal year. The amendment details nonprofit subrecipients who will receive CDBG funding for homeless services, Emergency Solutions grant activities and Housing Opportunities for Persons with AIDS grant activities. Several HOME Rental projects have been identified and included in this amendment as well as CDBG-funded public facilities projects. Lastly, information is provided about Louisville Metro's Lead Based Paint status.

#### **Substantial Amendment**

#### **Program Year 2012 Subrecipients**

Nonprofit subrecipients will receive CDBG funding for homeless services, youth services, Emergency Solutions Grant funding, or Housing Opportunities for Persons with AIDS grant funding are detailed below:

### **Community Development Block Grant Homeless Services**

- Bridgehaven – Steps to Recovery – This program’s focus is to assist clients with severe mental illness and a history of homelessness to maintain housing. Bridgehaven provides psychiatric rehabilitation services to these clients (including assessments, therapy, skill building, socialization, etc.). CDBG will pay for partial salaries of a Psych Rehab Practitioner, the Program Manager and the Admissions Coordinator.

Funding Awarded in Program Year 2012: **\$16,560**  
Program Year 2012 Goal: **20 persons served**

- The Coalition for the Homeless, Inc. – White Flag/Education/QAS – The program will provide education on homelessness to the general community, support for shelters to open beyond their usual capacity on “White Flag Days” (days when weather conditions make it unsafe for persons to be on the street) and comprehensive, uniform monitoring of homeless shelters to ensure safe and sanitary operations.

Funding Awarded in Program Year 2012: **\$85,140**  
Program Year 2012 Goal: **6,500 persons served**

- Family and Children’s Place – SPC Case Management – Funding will help individuals and families exit the homeless shelter system and to assist them in achieving housing stability and economic self-sufficiency. The focus of this initiative is to provide intensive case management services enabling disabled individuals and their families to access secure, stable housing through Shelter Plus Care (S+C). CDBG funds will pay for program personnel, including case management and administration personnel and direct operating costs.

Funding Awarded in Program Year 2012: **\$312,094**  
Program Year 2012 Goal: **110 persons served**

- Family Health Centers – Phoenix Health Center – Funds will to provide an array of services to homeless individuals: primary health care, dental care, medications, psychiatric treatment, outreach, and case management. CDBG funds will pay for personnel services, including a case manager and the partial salaries of a physician, a patient advocate and a medical assistant.

Funding Awarded in Program Year 2012: **\$79,292**  
Program Year 2012 Goal: **480 persons served**

- Family Scholar House – Family Scholar House – Funding will provide academic advising and case management services to single parents who are working either on their college degree or towards getting into college. Clients may also receive assistance in obtaining food, medical care, childcare or other basic needs; emergency financial assistance and supportive services. CDBG funds will pay for the partial salary of the Services Coordinator.

Funding Awarded in Program Year 2012: **\$24,160**  
Program Year 2012 Goal: **26 persons served**

- [Father Maloney's Boys Haven – Equine Employment Program](#) – This program will provide therapeutic and employment opportunities for young men and women who have experienced physical, mental or sexual abuse and are aging-out of foster care or are homeless. The Equine Program is designed to teach skills necessary to sustain employment in the workforce. A four level curriculum allows students to work at their own pace while completing basic education requirements and focus on independent living skills. CDBG funds will pay for program personnel, including the case manager/trainer.

Funding Awarded in Program Year 2012: **\$32,720**  
Program Year 2012 Goal: **18 persons served**

- [GuardiaCare – Payee Program](#) – Funding will to help individuals who are homeless or at-risk for homelessness (primarily due to chronic substance abuse and/or severe mental illness) to find permanent housing solutions. The Payee Program combines representative payee services and limited case management services to address the client's basic human needs (food, shelter and clothing). CDBG funds will pay for program personnel.

Funding Awarded in Program Year 2012: **\$34,880**  
Program Year 2012 Goal: **130 persons served**

- [Jeff Street Baptist at Liberty, Incorporated – Hospitality Program](#) – Funds will provide a safe haven during the day for homeless men and women. The program provides coffee, snacks or a meal and access to restrooms and telephone. CDBG funds will pay personnel services and direct operating expenses.

Funding Awarded in Program Year 2012: **\$34,200**  
Program Year 2012 Goal: **700 persons served**

- [Kentucky Refugee Ministries – Bridge to Housing](#) – Funding will provide case management activities to support the housing security of refugees in Metro Louisville, all of whom qualified as low-to-moderate income under the HUD-CDBG criteria. The caseworker assists with lease signings, and coordinates with property managers to resolve apartment maintenance and rent payment issues. CDBG funds will pay for housing coordination, case management, and some operating expenses.

Funding Awarded in Program Year 2012: **\$20,625**  
Program Year 2012 Goal: **480 persons served**

- [Legal Aid – Tenant Assistance Program](#) – Funding will serve low income residents of Jefferson County by providing an assessment of issues that threaten housing. The Tenant Counseling and Education Program consists of four main activities: (1) individual counseling, problem resolution, referral, and legal assessment for clients on housing issues; (2) community educational trainings to target populations; (3) distribution of educational materials on housing issues; and, (4) advocacy on those issues affecting the low-income community in need of affordable and safe housing. CDBG funds will pay for the paralegals who perform the above services and the partial salary of the managing attorney.

Funding Awarded in Program Year 2012: **\$27,280**  
Program Year 2012 Goal: **1,800 persons served**

- St. John Center – Emergency Day Shelter – Funding will help provide an emergency day shelter for men. To advance HUD goals, the Shelter/Social Services Center: engages clients, many of whom do not access overnight shelters; provides self-sufficiency services, referrals, material aid, and counseling; and conducts targeted outreach to make available community linkages by serving as a hub for veterans’ services, application for benefits and income, mental health, and medical services. CDBG will pay for program personnel.

Funding Awarded in Program Year 2012: **\$186,964**  
Program Year 2012 Goal: **2,200 persons served**

- St. John Center – Residential Recovery – Program will provide intensive substance abuse treatment and recovery for seven homeless men at a time. While in transitional housing, clients learn recovery skills, seek a steady source of income, and prepare for permanent housing. CDBG funds will pay for the partial salaries of a Program Coordinator and a Case Manager.

Funding Awarded in Program Year 2012: **\$17,028**  
Program Year 2012 Goal: **14 persons served**

- Wellspring – Gaines and Block – Funding will be used to reduce the incidence of homelessness among individuals with severe mental illness. Ardery House provides 14 men and women with room, board, life-skills training, recreational activities, case management, and individual and group therapy. CDBG funds will pay for personnel services.

Funding Awarded in Program Year 2012: **\$10,000**  
Program Year 2012 Goal: **450 Persons Served**

- Volunteers of America – Family Emergency Shelter – Funding will provide emergency shelter to homeless families. Services include meals, access to laundry and shower facilities, quality children’s programming and case management. CDBG funds will pay partial salaries for the Director of Social Services and the program manager.

Funding Awarded in Program Year 2012: **\$24,315**  
Program Year 2012 Goal: **285 persons served**

- YMCA – Shelter and Mediation – Funding will provide emergency shelter and family unification/mediation services at a 24-hour emergency shelter for teens aged 12 to 17. The YMCA assists the stabilization of teens in crisis and their families by assessing their strengths and needs; developing a plan of care to build the skills needed to improve their relationship; and supporting them through crisis based mediation services, aftercare follow-ups and community based referrals to maintain a healthy and safe home environment. CDBG funds will pay for case management salaries.

Funding Awarded in Program Year 2012: **\$42,742**  
Program Year 2012 Goal: **600 persons served**

***CDBG Youth Out Of School Time (OOST) Enrichment***

- [The Boys & Girls Clubs, Inc. – Shawnee Teen Program](#) – The teen program serves youth 13-18 and most reside in the Shawnee neighborhood. The club has dedicated teen space and teen hours to promote a positive, safe, fun and engaging environment for teens.

Funding Awarded in Program Year 2012: **\$17,600**  
Program Year 2012 Goal: **301 persons served**

- [The Boys & Girls Clubs, Inc. – Newburg Teen Program](#) – The teen Program serves youth 13-18 and most reside in the Newburg neighborhood. The club has dedicated teen space and teen hours to promote a positive, safe, fun and engaging environment for teens.

Funding Awarded in Program Year 2012: **\$17,600**  
Program Year 2012 Goal: **200 persons served**

- [The YMCA of Greater Louisville – Arcadia Program](#) – Arcadia (refugee and immigrant youth services) focuses on providing services to refugee and immigrant youth that attend Shawnee High School, with an emphasis on incoming ninth graders. They partner with the Shawnee Newcomer Academy and provide afterschool enrichment opportunities, along with education support and tutoring. There will also be an emphasis on health and wellness for these youth.

Funding Awarded in Program Year 2012: **\$18,900**  
Program Year 2012 Goal: **100 persons served**

- [The YMCA of Greater Louisville – Y-Now Mentoring Services](#) – This program combines character development training and social interaction skills development in a unique year-long mentoring program in which youth, ages 11-15, learn, grow and change. Most of the youth are children who have a parent that is incarcerated and the majority of the youth are African American. Last year's demographics show that 10% of the youth were in foster care, 90% were from households headed by a single parent and 85% were at or below poverty level.

Funding Awarded in Program Year 2012: **\$12,400**  
Program Year 2012 Goal: **30 persons served**

- [The YMCA of Greater Louisville – Berrytown Family Afterschool Choice](#) – The Afterschool Choice Enrichment Sessions are held at the Berrytown YMCA for youth between the ages 5-18, and are designed to promote both an academic and a wellness component three days a week on a year-round basis. Program agendas include academic support, creative expression and opportunities for social interaction. They also offer a Kids Café during program hours.

Funding Awarded in Program Year 2012: **\$8,500**  
Program Year 2012 Goal: **100 persons served**

## **Emergency Solutions Grant (ESG) Funding**

### ***Emergency Shelter***

- Bellewood Presbyterian Home for Children – Transitional Housing Project – Program will provide transitional housing and supportive services for homeless young people (18 – 25 years of age) with a diagnosed disability. ESG funds will pay for operational costs, including rent, apartment repairs and maintenance and also pay the partial salary of the program coordinator and a therapist.

Funding Awarded in Program Year 2012: **\$55,900**  
Program Year 2012 Goal: **11 persons served**

- The Center for Women and Families – Economic Success Project – Funding will provide case management focused on financial skills and literacy to victims of intimate partner abuse and sexual violence as they work to overcome financial burdens and pursue economic stability. ESG funds will pay the salary of the program’s case manager.

Funding Awarded in Program Year 2012: **\$35,800**  
Program Year 2012 Goal: **100 persons served**

- Choices – Transitional Housing Project – The program will provide transitional housing, case management, and life skills education for homeless women and families. The core of the services offered is individualized goal setting and encouragement structured around case management and life skills education. ESG funds will pay for operational expenses such as utilities, phone and shelter furnishings and for program personnel.

Funding Awarded in Program Year 2012: **\$35,000**  
Program Year 2012 Goal: **30 persons served**

- New Directions Housing Corporation – Transitional Housing Project – ESG funds will provide transitional housing and services for single parent families. Services include case management focused on financial literacy and connection to resources and mainstream benefits. ESG funds will pay for operational costs, including maintenance and utilities.

Funding Awarded in Program Year 2012: **\$20,800**  
Program Year 2012 Goal: **55 persons served**

- Salvation Army – Center of Hope Project – Funding will be used to provide emergency shelter. All guests are provided with a bed, linen supplies, hygiene supplies, a locker, showers, meals, and a case manager. ESG funds will pay for shelter operations, specifically utilities.

Funding Awarded in Program Year 2012: **\$16,300**  
Program Year 2012 Goal: **450 persons served**

- Salvation Army – Transitional Housing Project – Funding will be used to provide transitional housing and case management to low-income, homeless, single parent families. Most clients served are victims of domestic violence or have a past/present alcohol or drug issue or mental health issue which has led to homelessness.

Funding Awarded in Program Year 2012: **\$56,400**  
Program Year 2012 Goal: **44 households served**

- St. Vincent de Paul – Ozanam Inn – Funding will be used provide emergency shelter and case management to homeless men. The Ozanam Inn provides free meals, showers, laundry and beds to homeless men. ESG funds will pay for utilities and program personnel, specifically a case manager and the partial salary of the program manager.

Funding Awarded in Program Year 2012: **\$60,700**  
Program Year 2012 Goal: **600 persons served**

- Volunteers of America – Family Emergency Shelter – Funding will provide emergency shelter to homeless families. Services include meals, access to laundry and shower facilities, quality children’s programming and case management. ESG funds will pay for operational expenses, such as utilities, office supplies, phone and security staff time.

Funding Awarded in Program Year 2012: **\$82,500**  
Program Year 2012 Goal: **285 persons served**

- Wayside Christian Mission – Men’s Shelter Project – Funding will contribute to emergency shelter for homeless men in Louisville Metro. The Men’s shelter provides shelter, meals, showers, case management, educational and job training services. ESG funds will pay for operational costs, specifically utilities.

Funding Awarded in Program Year 2012: **\$25,000**  
Program Year 2012 Goal: **1,000 persons served**

- Wayside Christian Mission – Family Emergency Shelter Project – ESG funds will contribute to emergency shelter for homeless families in Louisville Metro. The Family Emergency Shelter provides shelter for families, child care, meals, showers, access to laundry and case management. ESG funds will pay for operational costs, specifically utilities.

Funding Awarded in Program Year 2012: **\$21,900**  
Program Year 2012 Goal: **100 households served**

- Wellspring – Journey House Project – ESG funds will provide transitional housing for homeless and chronically homeless women with co-occurring diagnoses of severe and persistent mental illness and substance abuse disorder. Services include case management, 24-hour staff support, life skills training and rehabilitative training. ESG funds will pay for the partial salary of a case manager and some operational expenses.

Funding Awarded in Program Year 2012: **\$20,000**  
Program Year 2012 Goal: **18 persons served**

### ***Prevention***

- Legal Aid – Eviction Defense – The Legal Aid Defense Program will prevent homelessness by providing legal services for persons facing homelessness through eviction. ESG funding will pay staff costs of Legal Aid attorneys providing these services.

Funding Awarded in Year 2012: **\$30,000**  
Program Year 2012 Goal: **250 persons served**

### ***Street Outreach***

- YMCA – Street Outreach – Using ESG funds, YMCA Street Outreach provides an expert continuum of care for homeless 18-22 year olds by: 1) increasing nightly street outreach services to 18-22 year olds, including distribution of food, clothing, first aid, and personal hygiene products, 2) increasing specialized case management services specific to this population, 3) providing age appropriate emergency shelter, and 4) offering an expert independent living skills assessment and program.

Funding Awarded in Program Year 2012: **\$27,800**  
Program Year 2012 Goal: **300 persons served**

### ***Rapid Re-Housing***

- Family and Children’s Place – Homeless Prevention Service Project – ESG funds will provide intensive case management to households who are on the verge of homelessness as evidenced by an eviction notice or utility shut off notice. Case managers assess immediate need, assist in accessing needed services, teach life skills, and act as client advocates to avert initial crises and prevent homelessness. ESG funds will pay for program personnel.

Funding Awarded in Program Year 2012: **\$65,200**  
Program Year 2012 Goal: **45 persons served**

### **Housing Opportunities for Persons with AIDS**

- Hoosier Hills AIDS Coalition – Hoosier Hills HOPWA – \$40,000 in HOPWA funds provides TBRA and STRMU direct assistance to low-income, HIV/AIDS affected persons in the Indiana portion of Louisville Metro’s MSA.

Funding Awarded in Program Year 2012: **\$40,000**  
Program Year 2012 Goal: **50 persons served**

- Legal Aid Society – HOPWA Legal Aid – HOPWA funding will provide low-income individuals living with HIV/AIDS direct legal representation, advice and counsel and, collaboration with other HOPWA-funded projects and HIV/AIDS service providers. These services help clients resolve the significant, intertwined impediments to securing and maintaining stable and safe housing.

Funding Awarded in Program Year 2012: **\$30,000**  
Program Year 2012 Goal: **71 persons served**

- House of Ruth – House of Ruth HOPWA – \$334,000 in HOPWA funds provides TBRA and supportive services to low-income, HIV/AIDS affected persons in Louisville Metro. HOPWA funds pay for Case Management salaries as well as TBRA.

Funding Awarded in Program Year 2012: **\$337,800**  
Program Year 2012 Goal: **460 persons served**

- [Volunteers of America – VOA HOPWA](#) – HOPWA funding will provide STRMU and case management services to HOPWA eligible clients. ESG funds will pay the partial salary of a case manager, STRMU direct assistance costs and some administration costs.

Funding Awarded in Program Year 2012: **\$98,000**  
Program Year 2012 Goal: **250 persons served**

- [AIDS Interfaith Ministries – AIM Care Team](#) – HOPWA funding will provide direct services to low-income persons and families affected by HIV/AIDS. These direct services include a food pantry and basic household supplies that can be delivered to homebound clients and basic case management. HOPWA funds pay the partial salary of the Director of Program Development, who is responsible for delivering direct services.

Funding Awarded in Program Year 2011: **\$35,100**  
Program Year 2012 Goal: **140 persons served**

### **Program Year 2012 Rental Development Projects**

Three Rental Development projects will be reviewed during Program Year 2012 for eligibility and financial feasibility. Final funding decisions for these projects will be made based on that review.

- [Woodbourne House](#) – This project will be an adaptive reuse, turning a building from an office and meeting space into apartments. Woodbourne house is an historic building on the national register. It will be an eleven unit apartment building with a large community space for low-income elderly. The bedrooms will be singles and all residents will be over 62 years old and have an income below 50% of the AMI.

Funding Awarded in Program Year 2012: **\$240,000**  
Project Goal: **11 units**

- [Wellspring](#) – This project involves rehabilitation of the historical Tonini building that was built in the early 1870s. It will be transformed into twelve, one-bedroom, affordable housing units designated for persons with a persistent mental illness. This project will provide much needed housing choices for low- and moderate-income persons with persistent mental illness in Louisville Metro (Jefferson County), Kentucky.

Funding Awarded in Program Year 2012: **\$90,600**  
Project Goal: **12 units**

- [Nightingale](#) – Located on Nightingale Road in the Audubon Neighborhood, this project proposes using Tax Exempt Bond Financing with 4% Low Income Housing Tax Credits and a HOME loan through Louisville Metro. It plans to rehabilitate 59-69 existing units with a mixed composition of bedrooms. The units will be leased to low-income families at 60% of AMI or less.

Funding Awarded in Program Year 2012: **\$500,000**  
Project Goal: **59-69**

## Public Facilities and Improvements

The projects listed below are being considered for potential funding in Program Year 2012. Each project will undergo a review of eligibility and compliance before a final funding decision is made.

- Dreams With Wings - Acquisition of real estate at 2106 Bardstown Road. The building will be used to house the Adult Day Training Center operated by Dreams With Wings, Inc. Dreams With Wings, Inc. provides services to individual with developmental and intellectual disabilities and autism.

Funding Awarded in Program Year 2012: **\$250,000**

Project Goal: 1 public facility acquired to serve low- to moderate-income clients

- COOL Oak Street – Additional funds are being appropriated to the Oak Street Corridors of Opportunity in Louisville project. This project will fund infrastructure improvements on Oak Street in Old Louisville.

Funding Awarded in Program Year 2012: **\$14,000**

Project Goal: Infrastructure improvements

## Changes to Lead Based Paint Information

Louisville Metro continues to address the issue of Elevated Blood Levels due to Lead Based Paint. The Department of Community Services and Revitalization and the Louisville Metro Department of Public Health and Wellness, partner on the Lead-Safe Louisville Project. The purpose of this project is to eliminate lead hazards that exist in both owner occupied and rental units in the Louisville Metro area, especially those where children under six year of age reside. In 2011, the project screened 11,174 individuals. Of those screened, 1% had Elevated Blood Lead (ELB) Levels, a reduction from 2010 when the EBL Levels totaled 2%. The Portland Area had the highest percentage of EBL Levels at 10%. Shelby Park’s EBL Levels have shown the greatest decrease from 11% in 2010 to 4% in 2011. The charts on the following page provide additional information:

### TARGET AREAS SCREENING DATA 2011

Lead Target Areas	Total Screened	Screened BLL >10	Percent BLL >10
Phoenix Hill	94	0	0%
Portland	318	33	10%
Russell	371	12	3%
Shawnee	304	6	2%
Shelby Park	96	4	4%
Smoketown Jackson	168	1	1%
<b>Total Louisville Metro</b>	<b>11,174</b>	<b>114</b>	<b>1%</b>

### TARGET AREAS SCREENING DATA 2010

Lead Target Areas	Total Screened	Screened BLL >10	Percent BLL >10
Phoenix Hill	96	1	1%
Portland	398	40	10%
Russell	417	8	2%
Shawnee	356	12	3%
Shelby Park	91	10	11%
Smoketown Jackson	163	2	1%
<b>Total Louisville Metro</b>	<b>10,996</b>	<b>174</b>	<b>2%</b>

**COMMUNITY DEVELOPMENT BLOCK GRANT - 2012 ACTION PLAN BUDGET**

ACTIVITY	BUDGETED AMOUNT	CATEGORY TOTALS
<b>HOUSING</b>		
Residential Programs Delivery	\$ 760,700	
Residential Repair Program	\$ 1,813,000	
<b>Total Housing</b>		\$ 2,573,700
<b>NEIGHBORHOOD REVITALIZATION STRATEGY AREA</b>		
Shawnee Neighborhood Revitalization Strategy Area	\$ 400,000	
<b>Total NRSA</b>		\$ 400,000
<b>PUBLIC FACILITIES AND IMPROVEMENTS</b>		
COOL Project - Oak Street	\$ 14,000	
Dreams with Wings	\$ 250,000	
Smoketown HOPE VI Infrastructure	\$ 4,924,355	
<b>Total Public Facilities and Improvements</b>		\$ 5,188,355
<b>CLEARANCE</b>		
Smoketown HOPE VI	\$ 1,075,645	
Vacant Properties Demolition	\$ 490,000	
<b>Total Clearance</b>		\$ 1,565,645
<b>PUBLIC SERVICE</b>		
Homeless Services	\$ 948,000	
Out of School Time (OOST) Enrichment	\$ 75,000	
Homeownership Counseling	\$ 90,000	
Family Economic Success Program	\$ 330,400	
Community Outreach CAP	\$ 108,300	
<b>Total Public Service</b>		\$ 1,551,700
<b>CODE ENFORCEMENT</b>		
Code Enforcement	\$ 975,000	
<b>Total Code Enforcement</b>		\$ 975,000
<b>ECONOMIC DEVELOPMENT</b>		
CAP Micro-Enterprise Assistance	\$ 181,800	
Micro-Enterprise/New Business Development Loans	\$ 250,000	
<b>Total Economic Development</b>		\$ 431,800
<b>ADMINISTRATION AND PLANNING</b>		
Urban Design/Landmarks	\$ 155,000	
CSR Department Services	\$ 1,273,900	
Community Centers Plan	\$ 25,000	
Human Relations - Fair Housing	\$ 70,000	
Urban League - Fair Housing	\$ 23,000	
HMIS Grant Match	\$ 12,500	
Continuum of Care	\$ 65,000	
Indirect Cost	\$ 440,000	
<b>Total Administration and Planning</b>		\$ 2,064,400
<b>TOTAL CDBG 2012 ACTION PLAN BUDGET</b>		\$ 14,750,600

**CDBG 2012 ACTION PLAN BUDGET - CAP CALCULATIONS**

<u>Action Plan Category</u>	<u>Amount</u>	<u>% of Total*</u>	<u>Calculation</u>
Public Service	\$ 1,551,700	15.00%	=1,551,700/10,345,052
Administration & Planning	\$ 2,064,400	19.96%	=2,064,400/10,345,052
<b>Total CDBG 2012 ACTION PLAN BUDGET*</b>	<b>\$ 10,345,052</b>		

\*The CDBG 2012 Action Plan budget of \$14,750,600 is comprised up of \$9,745,052 of entitlement funds, estimated program income of \$600,000 and carryforward funding of \$4,405,500. The Public Service and Administration & Planning Caps are calculated based on entitlement and program income funds only.

<b>HOME INVESTMENT PARTNERSHIP PROGRAM - 2012 ACTION PLAN BUDGET</b>		
<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Community Housing Development Organization (CHDO)	\$	2,600,000
Rental Development Program	\$	1,922,100
Nightingale Project	\$	500,000
Woodbourne Project	\$	240,000
Wellspring Project	\$	90,600
Tenant Based Rental Assistance	\$	400,000
HOME Administration	\$	276,400
<b>Total HOME 2012 ACTION PLAN BUDGET</b>	<b>\$</b>	<b>6,029,100</b>

**HOME 2012 ACTION PLAN BUDGET - CAP CALCULATIONS**

<u>Action Plan Category</u>	<u>Amount</u>	<u>% of Total*</u>	<u>Calculation</u>
Administration & Planning	<u>\$ 276,400</u>	<u>9.81%</u>	=276,400/2,818,531
<b>Total HOME 2012 ACTION PLAN BUDGET*</b>	<b><u>\$ 2,818,531</u></b>		

\*The HOME 2012 Action Plan budget of \$6,029,100 is comprised up of \$2,518,531 of entitlement funds, estimated program income of \$300,000 and carryforward funding of \$3,210,600. The Administration & Planning Cap is calculated based on entitlement and program income funds only.

**EMERGENCY SOLUTIONS GRANT - 2012 ACTION PLAN BUDGET**

<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Emergency Shelter	\$	374,400
Prevention	\$	30,000
Street Outreach	\$	27,800
Rapid Re-Housing	\$	431,100
ESG Administration (7.5%)	\$	70,000
<b>Total ESG 2011 ACTION PLAN BUDGET</b>	\$	<b>933,300</b>

**ESG 2012 ACTION PLAN BUDGET - CAP CALCULATIONS**

<u>Action Plan Category</u>	<u>Amount</u>	<u>% of Total*</u>	<u>Calculation</u>
Administration & Planning	<u>\$ 70,000</u>	<u>7.50%</u>	=70,000/933,274
<b>Total ESG 2012 ACTION PLAN BUDGET</b>	<b><u>\$ 933,274</u></b>		

**HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS - 2012 ACTION PLAN BUDGET**

<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>	
Housing Assistance and Supportive Services	\$	540,900
HOPWA Administration (3%)	\$	16,700
<b>Total HOPWA 2011 ACTION PLAN BUDGET</b>	<b>\$</b>	<b>557,600</b>

**HOPWA 2012 ACTION PLAN BUDGET - CAP CALCULATIONS**

<u>Action Plan Category</u>	<u>Amount</u>	<u>% of Total*</u>	<u>Calculation</u>
Administration & Planning	<u>\$ 16,700</u>	<u>2.99%</u>	=16,700/557,629
<b>Total HOPWA 2012 ACTION PLAN BUDGET</b>	<b><u>\$ 557,629</u></b>		

**HOME RENTAL DEVELOPMENTS – 2012 ACTION PLAN**

<b>ACTIVITY</b>	<b>BUDGETED AMOUNT</b>
Woodbourne House	\$240,000.00
Wellspring	\$90,600.00
Nightingale	\$500,000
<b>TOTAL RENTAL DEVELOPMENTS</b>	<b><u>\$ 330,600.00</u></b>

**COMMUNITY DEVELOPMENT BLOCK GRANT – 2012 ACTION PLAN SERVICES SUB-RECIPIENTS**

<b>SUB-RECIPIENT</b>	<b>PROGRAM TITLE</b>	<b>AMOUNT</b>
Bridgehaven	Steps to Recovery	16,560
Coalition for the Homeless	White Flag/Education/QAS	85,140
Family and Children's Place	SPC Case Management	312,094
Family Health Centers	Phoenix Health Center	79,292
Family Scholar House	Family Scholar House	24,160
Father Maloney's Boys Haven	Equine Employment Program	32,720
GaurdiaCare	Payee Program	34,880
Jeff Street Baptist at Liberty	Hospitality Program	34,200
KY Refugee Ministries	Bridge to Housing	20,625
Legal Aid	Tenant Assistance Program	27,280
St. John Center	Residential Recovery	17,028
St. John Center	Emergency Day Shelter	186,964
Volunteers of America	Family Emergency Shelter	24,315
Wellspring	Gaines and Block	10,000
YMCA	Shelter and Mediation	42,742

**TOTAL CDBG SERVICES**

**\$ 948,000**

**CDBG OUT OF SCHOOL TIME (OOST) – 2012 ACTION PLAN SERVICES SUB-RECIPIENTS**

<b>SUB-RECIPIENT</b>	<b>PROGRAM TITLE</b>	<b>AMOUNT</b>
Boys & Girls Clubs	Shawnee Teen Program	17,600
Boys & Girls Clubs	Newburg Teen Program	17,600
YMCA of Greater Louisville	Arcadia Program	18,900
YMCA of Greater Louisville	Y-Now Mentoring Program	12,400
YMCA of Greater Louisville	Berrytown Family Afterschool Choice	8,500

**TOTAL CDBG OOST SERVICES**

**\$ 75,000**

**TOTAL CDBG FUNDED HOMELESS SERVICES**

**\$ 1,023,000**

<b>EMERGENCY SOLUTIONS GRANT – 2012 ACTION PLAN SUB-RECIPIENTS</b>						
<b>SUB-RECIPIENT</b>	<b>PROGRAM TITLE</b>	<b>Emergency Shelter</b>	<b>Prevention</b>	<b>Street Outreach</b>	<b>Rapid Re-Housing</b>	<b>TOTAL AMOUNT</b>
Bellewood	Rapid Re-Housing	55,900				55,900
Center for Women and Families	Economic Success Program	35,800				35,800
Choices, Inc.	Transitional Housing	35,000				35,000
Family and Children's Place	ESG Case Management				65,200	65,200
Legal Aid	Eviction Defense		30,000			30,000
New Directions Housing Corporation	Transitional Housing	20,800				20,800
Salvation Army	Center of Hope	16,300				16,300
Salvation Army	Transitional Housing	56,400				56,400
St. Vincent de Paul	Emergency Shelter and Case Management	60,700				60,700
Volunteers of America	Family Emergency Shelter	82,500				82,500
Wayside Christian Mission	Men's Emergency Shelter	25,000				25,000
Wayside Christian Mission	Family Emergency Shelter	21,900				21,900
Wellspring	Journey House	20,000				20,000
YMCA	Street Outreach			27,800		27,800

**TOTAL EMERGENCY SOLUTIONS GRANT**

**\$ 430,300 \$ 30,000 \$ 27,800 \$ 65,200 \$ 553,300**

**HOUSING FOR PEOPLE WITH AIDS (HOPWA) FUNDING – 2012 SUB-RECIPIENTS**

<b>SUBRECIPIENT</b>	<b>PROGRAM TITLE</b>	<b>AMOUNT</b>
AIDS Interfaith Ministries of Kentuckiana	AIM Care Team	35,100
Hoosier Hills AIDS Coalition	Hoosier Hills HOPWA	40,000
House of Ruth	House of Ruth HOPWA	337,800
Legal Aid Society	HOPWA Legal Aid	30,000
Volunteers of America of Kentucky	VOA HOPWA	98,000
<b>TOTAL HOPWA FUNDING</b>		<b>\$ 540,900</b>

**EMERGENCY SOLUTIONS GRANT  
SUBRECIPIENT MATCH  
CONTRACTS DATING JULY 2012 TO JUNE 2012**

<b>Subrecipient</b>	<b>Service Type</b>	<b>Project</b>	<b>Total Award</b>	<b>Use of Funds</b>	<b>Match</b>
Bellewood	Services, Operations	Transitional Housing	\$55,900	Services Salaries, Operations (rent, phones, supplies)	\$107,186 Cash Match
Center for Women and Families	Services	Economic Success	\$35,800	Salary of Case Manager	\$35,800 Cash Match
Choices	Services, Operations	Transitional Housing	\$35,000	Case Management Salaries, Operations	\$141,000 Cash Match
Family and Children's Place	Prevention	Homelessness Prevention	\$65,200	Case Management salaries	\$65,200 Cash match
New Directions	Operations	Transitional Housing	\$20,800	Operations (maintenance personnel, utilities)	\$20,800 Cash Match
Salvation Army	Operations	Center of Hope	\$16,300	Operations (Utilities)	\$16,300 Cash Match
Salvation Army	Services, Operations	Transitional Housing	\$56,400	Case Management Salaries, Operations (furnishings, phone, office supplies, utilities)	\$106,162 Cash Match
Society of St. Vincent de Paul	Services, Operations	Ozanam Inn	\$60,700	Case Management salaries, Operations (utilities)	\$10,000 Cash Match and \$50,730 in-kind volunteer services
VOA	Operations	Family Emergency Shelter	\$82,500	Operations (salaries, utilities, phone, copier, office supplies, maintenance, insurance)	\$82,500 Cash Match
Wayside Christian Mission	Operations	Men's Emergency Shelter	\$25,000	Operations (utilities)	\$103,591 Cash match
Wayside Christian Mission	Operations	Family Emergency Shelter	\$21,900	Operations (utilities)	\$87,970 Cash Match
Wellspring	Services, Operations	Journey House	\$20,000	Case Management, Operations (office supplies)	\$20,000 Cash match